

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,995,337	\$ 779,007,757
2	CLP HOLDINGS	23,358,632	\$ 1,767,080,511
3	HK & CHINA GAS	153,070,356	\$ 1,815,414,422
4	WHARF HOLDINGS	32,692,044	\$ 881,050,586
5	HSBC HOLDINGS	85,086,376	\$ 3,867,175,789
6	POWER ASSETS	18,215,251	\$ 858,849,085
8	PCCW	43,926,165	\$ 175,704,660
10	HANG LUNG GROUP	3,730,833	\$ 67,005,761
11	HANG SENG BANK	21,725,608	\$ 3,208,872,302
12	HENDERSON LAND	35,953,889	\$ 1,179,287,559
13	HUTCHMED	1,753,000	\$ 83,179,850
14	HYSAN DEV	6,199,758	\$ 164,603,575
16	SHK PPT	23,859,385	\$ 2,464,674,471
17	NEW WORLD DEV	25,372,379	\$ 851,243,315
19	SWIRE PACIFIC A	9,344,807	\$ 453,223,140
23	BANK OF E ASIA	5,147,215	\$ 63,413,689
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	54,319,326	\$ 2,278,695,726
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
31	CHINA AEROSPACE	684,000	\$ 355,680
34	KOWLOON DEV	1,058,000	\$ 10,897,400
35	FE CONSORT INTL	719,645	\$ 1,791,916
38	FIRST TRACTOR	28,266,000	\$ 107,128,140
41	GREAT EAGLE H	163,942	\$ 3,409,994
43	C.P. POKPHAND	12,891,102	\$ 14,180,212
45	HK&S HOTELS	975,474	\$ 7,023,413
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	132,500	\$ 2,210,100
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	46,655,850	\$ 2,003,868,758
69	SHANGRI-LA ASIA	11,895,891	\$ 73,873,483
71	MIRAMAR HOTEL	0	\$ 0
81	CH OVS G OCEANS	21,503,282	\$ 74,186,323
82	CRAZY SPORTS	16,810,600	\$ 6,472,081
83	SINO LAND	33,478,664	\$ 332,108,347
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0

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88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	36,192,000	\$ 56,097,600
98	XINGFA ALUM	0	\$ 0
101	HANG LUNG PPT	43,398,898	\$ 775,972,296
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	3,164,000	\$ 5,568,640
116	CHOW SANG SANG	1,513,349	\$ 17,373,247
119	POLY PROPERTY	21,868,036	\$ 40,674,547
120	COSMOPOL INT'L	2,212,000	\$ 2,433,200
123	YUEXIU PROPERTY	11,177,633	\$ 73,213,496
127	CHINESE EST H	7,854,000	\$ 29,373,960
135	KUNLUN ENERGY	55,610,480	\$ 370,921,902
136	HENG TEN NET	224,051,849	\$ 454,825,253
142	FIRST PACIFIC	7,183,311	\$ 21,478,100
144	CHINA MER PORT	16,901,879	\$ 202,484,510
148	KINGBOARD HLDG	2,956,975	\$ 99,058,663
151	WANT WANT CHINA	250,216,959	\$ 1,566,358,163
152	SHENZHEN INT'L	20,822,738	\$ 181,574,275
165	CHINA EB LTD	41,249,068	\$ 343,604,736
168	TSINGTAO BREW	21,283,215	\$ 1,396,178,904
173	K. WAH INT'L	7,691,499	\$ 23,535,987
175	GEELY AUTO	157,015,331	\$ 4,160,906,272
177	JIANGSU EXPRESS	21,118,022	\$ 152,260,939
178	SA SA INT'L	63,599,463	\$ 116,387,017
179	JOHNSON ELEC H	276,467	\$ 4,810,526
182	CONCORD NE	50,669,616	\$ 39,522,300
185	ZENSUN ENT	7,453,801	\$ 31,007,812
189	DONGYUE GROUP	40,887,792	\$ 620,676,683
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	46,858,304	\$ 468,583,040
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	23,676,309	\$ 31,252,728
220	U-PRESID CHINA	25,921,215	\$ 174,708,989
225	POKFULAM	0	\$ 0
241	ALI HEALTH	395,420,737	\$ 3,586,466,085
242	SHUN TAK HOLD	21,236,670	\$ 44,597,007
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	5,864,177	\$ 7,623,430
257	EB ENVIRONMENT	50,817,014	\$ 258,658,601
261	GBA HOLDINGS	0	\$ 0
267	CITIC	64,137,439	\$ 460,506,812

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	140,081,914	\$ 3,572,088,807
270	GUANGDONG INV	38,631,154	\$ 376,653,752
272	SHUI ON LAND	45,314,451	\$ 48,939,607
285	BYD ELECTRONIC	74,667,312	\$ 1,851,749,338
286	AIDIGONG	113,868,000	\$ 96,787,800
288	WH GROUP	99,377,940	\$ 543,597,332
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	28,394,435	\$ 1,760,454,970
293	CATHAY PAC AIR	172,503,341	\$ 1,235,123,922
297	SINOFERT	44,271,469	\$ 49,584,045
302	CMGE	34,955,048	\$ 111,506,603
303	VTECH HOLDINGS	4,446,065	\$ 271,209,965
305	WULING MOTORS	28,580,000	\$ 40,012,000
308	CHINA TRAVEL HK	42,335,562	\$ 49,955,963
315	SMARTONE TELE	2,164,579	\$ 9,762,251
316	OOIL	2,037,896	\$ 269,002,272
317	COMEC	758,000	\$ 5,154,400
321	TEXWINCA HOLD	0	\$ 0
322	TINGYI	111,887,654	\$ 1,687,265,822
323	MAANSHAN IRON	21,258,128	\$ 61,010,827
327	PAX GLOBAL	11,227,555	\$ 66,354,850
329	OCI INTL	3,772,000	\$ 14,786,240
330	ESPRIT HOLDINGS	24,418,726	\$ 16,848,921
336	HUABAO INTL	29,728,367	\$ 415,602,571
337	GREENLAND HK	8,185,400	\$ 12,196,246
338	SHANGHAI PEICHEM	76,757,107	\$ 130,487,082
341	CAFE DE CORAL H	4,830,543	\$ 67,047,937
345	VITASOY INT'L	75,987,342	\$ 1,367,772,156
347	ANGANG STEEL	83,790,478	\$ 300,807,816
354	CHINASOFT INT'L	65,373,428	\$ 825,012,661
357	MEILAN AIRPORT	14,422,920	\$ 380,043,942
358	JIANGXI COPPER	51,738,008	\$ 673,628,864
363	SHANGHAI IND H	1,264,000	\$ 14,080,960
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	176,674,978	\$ 503,523,687
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	1,084,000	\$ 2,102,960
382	EDVANTAGE GROUP	736,000	\$ 3,216,320
384	CHINA GAS HOLD	82,953,228	\$ 1,478,226,523
386	SINOPEC CORP	388,606,064	\$ 1,453,386,679
388	HKEX	10,074,595	\$ 4,694,761,270

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
390	CHINA RAILWAY	74,103,201	\$ 271,958,748
392	BEIJING ENT	3,240,167	\$ 89,914,634
398	ORIENTAL WATCH	0	\$ 0
400	COGOBUY	14,517,795	\$ 43,553,385
405	YUEXIU REIT	0	\$ 0
408	YIP'S CHEMICAL	0	\$ 0
410	SOHO CHINA	57,343,731	\$ 106,085,902
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	33,540,000	\$ 5,332,860
425	MINTH GROUP	13,929,235	\$ 441,556,750
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	533,200	\$ 13,889,860
451	GCL NEWENERGY	209,434,947	\$ 67,019,183
460	SIHUAN PHARM	166,531,598	\$ 248,132,081
467	UNITEDENERGY GP	53,486,779	\$ 40,649,952
468	GAPACK	6,801,805	\$ 19,725,235
480	HKR INT'L	0	\$ 0
486	RUSAL	6,413,000	\$ 46,365,990
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	36,338,208	\$ 261,271,716
493	GOME RETAIL	1,344,896,874	\$ 995,223,687
506	CHINA FOODS	905,682	\$ 2,653,648
511	TVB	3,791,163	\$ 21,875,011
512	CHINAGRANDPHARM	20,259,440	\$ 120,543,668
517	COSCO SHIP INTL	742,000	\$ 1,840,160
520	XIABUXIABU	40,624,500	\$ 222,216,015
522	ASM PACIFIC	10,392,016	\$ 847,988,506
525	GUANGSHEN RAIL	10,185,207	\$ 13,648,177
535	GEMDALE PPT	96,239,361	\$ 71,217,127
546	FUFENG GROUP	1,296,000	\$ 3,252,960
547	DIGITAL DOMAIN	16,692,885	\$ 13,187,379
548	SHENZHENEXPRESS	6,337,952	\$ 48,168,435
551	YUE YUEN IND	10,542,309	\$ 174,580,637
552	CHINACOMSERVICE	24,975,219	\$ 96,654,098
558	LK TECH	13,759,250	\$ 258,398,715
563	SH IND URBAN	3,576,000	\$ 2,324,400
564	ZMJ	21,076,913	\$ 156,179,925
570	TRAD CHI MED	106,678,022	\$ 375,506,637
576	ZHEJIANGEXPRESS	73,250,686	\$ 500,302,185
579	JNCEC	29,260,558	\$ 64,665,833

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
580	SUN.KING TECH	24,228,000	\$ 101,999,880
581	CHINA ORIENTAL	30,245,919	\$ 61,399,216
586	CONCH VENTURE	49,806,451	\$ 1,877,703,203
590	LUK FOOK HOLD	767,000	\$ 18,292,950
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	19,503,817	\$ 46,029,008
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	166,049,981	\$ 308,852,965
606	SCE CM	0	\$ 0
607	FULLSHARE	150,626,805	\$ 16,418,322
622	OSHIDORI	0	\$ 0
631	SANY INT'L	41,025,948	\$ 333,540,957
636	KERRY LOG NET	15,401,023	\$ 285,842,987
639	SHOUGANG RES	15,755,386	\$ 31,510,772
656	FOSUN INTL	46,922,324	\$ 411,508,781
658	C TRANSMISSION	31,322,163	\$ 199,522,178
659	NWS HOLDINGS	12,302,110	\$ 93,742,078
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	94,124,905	\$ 157,188,591
667	CHINA EAST EDU	64,730,900	\$ 455,705,536
669	TECHTRONIC IND	16,386,350	\$ 2,607,068,285
670	CHINA EAST AIR	59,140,969	\$ 168,551,762
683	KERRY PPT	4,578,373	\$ 96,832,589
686	BJ ENERGY INTL	0	\$ 0
688	CHINA OVERSEAS	51,751,796	\$ 846,659,383
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	148,578,037	\$ 720,603,479
696	TRAVELSKY TECH	43,170,843	\$ 587,123,465
697	SHOUCHENG	40,914,362	\$ 66,281,266
698	TONGDA GROUP	60,435,110	\$ 15,410,953
700	TENCENT	33,632,307	\$ 15,719,740,292
708	EVERG VEHICLE	105,772,248	\$ 349,048,418
709	GIORDANO INT'L	1,409,895	\$ 2,143,040
710	BOE VARITRONIX	9,732,812	\$ 85,746,074
716	SINGAMAS CONT	1,328,000	\$ 1,155,360
728	CHINA TELECOM	35,515,801	\$ 93,761,715
732	TRULY INT'L	25,225,657	\$ 58,019,011
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	12,321,336	\$ 73,188,736
746	L & M CHEMICAL	0	\$ 0
750	SFSY ENERGY	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
751	SKYWORTH GROUP	58,838,603	\$ 301,842,033
753	AIR CHINA	91,651,096	\$ 478,418,721
754	HOPSON DEV HOLD	31,210,876	\$ 562,419,986
762	CHINA UNICOM	6,788,000	\$ 26,948,360
763	ZTE	12,344,358	\$ 271,575,876
769	CHINA RAREEARTH	41,908,000	\$ 30,592,840
772	CHINA LIT	49,029,099	\$ 2,591,187,882
775	CKLIFE SCIENCES	45,090,054	\$ 32,915,739
776	IMPERIUM TEC GP	0	\$ 0
777	NETDRAGON	2,991,714	\$ 49,123,944
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	38,007,262	\$ 630,160,404
788	CHINA TOWER	1,414,881,708	\$ 1,429,030,525
798	CEOVU	0	\$ 0
799	IGG	33,754,610	\$ 243,033,192
806	VALUE PARTNERS	31,969,490	\$ 134,591,553
807	SIICENVIRONMENT	4,922,200	\$ 6,349,638
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,200,600	\$ 12,191,324
813	SHIMAO GROUP	131,202,320	\$ 1,270,038,458
817	CHINA JINMAO	108,663,562	\$ 239,059,836
818	HI SUN TECH	25,012,517	\$ 26,763,393
819	TIANNENG POWER	137,484,900	\$ 1,138,374,972
823	LINK REIT	11,384,267	\$ 782,099,143
826	TIANGONG INT'L	23,104,400	\$ 103,969,800
832	CENTRAL CHINA	28,149,052	\$ 29,275,014
836	CHINA RES POWER	101,619,763	\$ 2,001,909,331
839	CHINA EDU GROUP	65,816,556	\$ 831,921,268
853	MICROPORT	69,390,745	\$ 2,300,303,197
855	CHINA WATER	60,126,246	\$ 485,218,805
856	VSTECS	3,196,401	\$ 23,141,943
857	PETROCHINA	416,834,734	\$ 1,433,911,485
860	APOLLO FMG	138,646,666	\$ 73,482,733
861	DC HOLDINGS	14,974,120	\$ 62,591,822
863	BC TECH GROUP	7,453,759	\$ 102,265,573
867	CMS	36,600,656	\$ 465,560,344
868	XINYI GLASS	80,780,207	\$ 1,619,643,150
873	SHIMAO SERVICES	64,603,395	\$ 785,577,283
874	BAIYUNSHAN PH	6,434,546	\$ 123,157,210
880	SJM HOLDINGS	287,366,975	\$ 1,620,749,739
881	ZHONGSHENG HLDG	236,428,079	\$ 16,195,323,412

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883	CNOOC	74,148,677	\$ 605,053,204
884	CIFI HOLD GP	50,726,386	\$ 206,456,391
902	HUANENG POWER	283,805,258	\$ 1,081,298,033
906	COFCO PACKAGING	2,899,130	\$ 10,726,781
909	MING YUAN CLOUD	89,250,349	\$ 2,025,982,922
914	CONCH CEMENT	26,927,105	\$ 1,007,073,727
916	CHINA LONGYUAN	125,682,936	\$ 2,249,724,554
921	HISENSE HA	2,679,368	\$ 22,024,405
934	SINOPEC KANTONS	22,250,560	\$ 63,191,590
939	CCB	697,465,717	\$ 3,605,897,757
941	CHINA MOBILE	22,897,109	\$ 1,115,089,208
950	LEE'S PHARM	4,583,502	\$ 15,858,917
951	CHAOWEI POWER	21,986,000	\$ 48,808,920
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	72,378,894	\$ 455,987,032
960	LONGFOR GROUP	37,570,446	\$ 1,268,002,553
966	CHINA TAIPING	31,833,881	\$ 357,812,822
968	XINYI SOLAR	291,214,808	\$ 4,094,480,200
973	L'OCCITANE	0	\$ 0
975	MONGOL MINING	0	\$ 0
978	CHI MER LAND	1,250,000	\$ 1,012,500
981	SMIC	17,360,983	\$ 400,170,658
990	THEME INT'L	25,840,000	\$ 24,031,200
991	DATANG POWER	29,855,219	\$ 40,304,546
992	LENOVO GROUP	1,125,785,675	\$ 9,073,832,541
995	ANHUIEXPRESSWAY	3,511,600	\$ 16,715,216
998	CITIC BANK	245,698,723	\$ 830,461,684
1003	HUANXI MEDIA	2,980,000	\$ 4,380,600
1024	KUAISHOU-W	80,302,052	\$ 7,648,770,453
1030	SEAZEN	36,713,686	\$ 201,558,136
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	11,368,000	\$ 7,957,600
1038	CKI HOLDINGS	6,786,907	\$ 314,912,485
1044	HENGAN INT'L	65,424,594	\$ 2,584,271,463
1052	YUEXIUTRANSPORT	11,728,300	\$ 54,536,595
1055	CHINA SOUTH AIR	194,147,156	\$ 865,896,316
1060	ALI PICTURES	638,243,683	\$ 516,977,383
1061	ESSEX BIO-TECH	2,435,112	\$ 12,979,147
1066	WEIGAO GROUP	112,008,415	\$ 1,328,419,802
1068	YURUN FOOD	19,685,000	\$ 16,535,400
1070	TCL ELECTRONICS	24,103,119	\$ 99,786,913

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1071	HUADIAN POWER	135,489,179	\$ 356,336,541
1072	DONGFANG ELEC	18,178,230	\$ 228,318,569
1083	TOWNGAS CHINA	18,935,170	\$ 98,841,587
1086	GOODBABY INTL	15,487,720	\$ 18,120,632
1088	CHINA SHENHUA	72,440,535	\$ 1,169,190,235
1091	SOUTH MANGANESE	953,000	\$ 886,290
1093	CSPC PHARMA	103,052,325	\$ 813,082,844
1098	ROAD KING INFRA	1,061,556	\$ 8,173,981
1099	SINOPHARM	61,370,719	\$ 1,082,579,483
1108	LUOYANG GLASS	10,774,936	\$ 110,550,843
1109	CHINA RES LAND	34,306,327	\$ 976,015,003
1112	H&H INTL HLDG	7,835,344	\$ 127,089,280
1113	CK ASSET	30,211,576	\$ 1,444,113,333
1114	BRILLIANCE CHI	82,551,694	\$ 602,627,366
1115	TIBET WATER	0	\$ 0
1117	CH MODERN D	377,917,786	\$ 544,201,612
1119	IDREAMSKY	131,052,928	\$ 656,575,169
1121	GOLDENSOLAR	13,848,000	\$ 129,755,760
1122	QINGLING MOTORS	755,751	\$ 1,284,777
1128	WYNN MACAU	242,420,400	\$ 1,648,458,720
1133	HARBIN ELECTRIC	7,271,060	\$ 23,340,103
1137	HK TECH VENTURE	16,682,807	\$ 195,856,154
1138	COSCO SHIP ENGY	61,818,275	\$ 184,836,642
1140	WEALTHKING INV	0	\$ 0
1141	CMBC CAPITAL	253,080,000	\$ 21,511,800
1157	ZOOMLION	95,476,337	\$ 496,476,952
1167	JACOBIO-B	3,092,902	\$ 53,259,772
1171	YANZHOU COAL	259,437,097	\$ 3,025,036,551
1176	ZHUGUANG HOLD	21,002,000	\$ 32,973,140
1177	SINO BIOPHARM	304,916,258	\$ 1,673,990,256
1179	HUAZHU-S	0	\$ 0
1183	MECOM POWER	10,952,001	\$ 37,127,283
1186	CHINA RAIL CONS	1,746,686	\$ 8,523,828
1193	CHINA RES GAS	15,816,173	\$ 620,784,790
1196	REALORD GROUP	3,612,501	\$ 35,836,010
1198	ROYALE HOME	0	\$ 0
1199	COSCO SHIP PORT	17,397,730	\$ 106,126,153
1208	MMG	129,975,865	\$ 405,524,699
1209	CHINA RES MIXC	13,520,916	\$ 524,611,541
1211	BYD COMPANY	39,078,953	\$ 12,153,554,383
1212	LIFESTYLE INT'L	10,129,332	\$ 45,075,527



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1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	24,485,704	\$ 109,695,954
1234	CHINA LILANG	6,135,500	\$ 26,934,845
1238	POWERLONG	29,579,290	\$ 143,163,764
1250	BE CLEAN ENERGY	232,894,559	\$ 22,823,667
1252	CHINA TIANRUI	2,106,000	\$ 13,330,980
1257	CEB GREENTECH	33,037,036	\$ 86,557,034
1258	CHINFMINING	34,093,732	\$ 102,281,196
1268	MEIDONG AUTO	20,866,090	\$ 828,383,773
1271	GRAND MING	0	\$ 0
1277	KINETIC M&E	0	\$ 0
1282	GLORY SUN FIN	65,287,533	\$ 17,954,072
1288	ABC	369,562,944	\$ 964,559,284
1299	AIA	55,503,836	\$ 4,781,655,471
1302	LIFETECH SCI	118,969,210	\$ 414,012,851
1308	SITC	61,506,357	\$ 1,617,617,189
1310	HKBN	17,800,277	\$ 165,898,582
1313	CHINARES CEMENT	63,326,071	\$ 382,489,469
1316	NEXTEER	33,669,982	\$ 315,487,731
1317	MAPLELEAF EDU	210,872,457	\$ 246,720,775
1323	HUASHENG INTL	12,276,000	\$ 15,590,520
1330	DYNAGREEN ENV	1,767,850	\$ 7,036,043
1332	TOUYUN BIOTECH	0	\$ 0
1333	CHINA ZHONGWANG	57,664,323	\$ 96,876,063
1336	NCI	22,681,032	\$ 488,776,240
1337	RAZER	234,330,171	\$ 555,362,505
1339	PICC GROUP	110,931,216	\$ 259,579,045
1347	HUA HONG SEMI	26,548,393	\$ 1,217,243,819
1349	FUDANZHANGJIANG	6,139,000	\$ 23,205,420
1359	CHINA CINDA	223,784,801	\$ 281,968,849
1361	361 DEGREES	28,757,708	\$ 103,815,326
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	149,843,067	\$ 1,552,374,174
1375	CC SECURITIES	6,032,000	\$ 8,505,120
1378	CHINAHONGQIAO	207,310,567	\$ 1,498,855,399
1381	CANVEST ENV	3,504,000	\$ 15,067,200
1382	PACIFICTEXTILES	12,430,371	\$ 48,229,839
1385	SHANGHAI FUDAN	10,926,160	\$ 234,912,440

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1387	CHINA DILI	0	\$ 0
1398	ICBC	865,378,631	\$ 3,625,936,464
1415	COWELL	5,249,988	\$ 36,277,417
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	2,925,000	\$ 1,638,000
1448	FU SHOU YUAN	17,982,040	\$ 113,286,852
1456	GUOLIAN SEC	1,509,500	\$ 5,736,100
1458	ZHOU HEI YA	129,063,621	\$ 884,085,804
1468	KINGKEY FIN INT	3,630,000	\$ 2,686,200
1475	NISSIN FOODS	10,752,313	\$ 61,610,753
1476	HENGTOU SEC	0	\$ 0
1477	OCUMENSION-B	6,925,598	\$ 108,039,329
1478	Q TECH	26,409,000	\$ 287,858,100
1503	CMC REIT	0	\$ 0
1508	CHINA RE	29,093,509	\$ 22,111,067
1513	LIVZON PHARMA	4,053,441	\$ 102,349,385
1515	CR MEDICAL	18,261,979	\$ 93,136,093
1516	SUNAC SERVICES	22,676,226	\$ 318,827,738
1521	FRONTAGE	13,538,221	\$ 55,100,559
1528	RS MACALLINE	2,808,708	\$ 10,757,352
1530	3SBIO	122,157,863	\$ 842,889,255
1548	GENSCRIPT BIO	23,931,107	\$ 719,129,765
1551	GRCB	0	\$ 0
1558	HEC PHARM	28,211,432	\$ 118,488,014
1563	IA FIN LEASING	0	\$ 0
1566	CA CULTURAL	17,685,000	\$ 10,787,850
1568	SUNDART HLDGS	0	\$ 0
1576	QILU EXPRESSWAY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	88,805,928	\$ 4,289,326,322
1585	YADEA	36,091,736	\$ 498,065,957
1589	CNLP	54,261,034	\$ 228,981,563
1600	TIAN LUN GAS	3,433,501	\$ 24,034,507
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	29,453,178	\$ 37,700,068
1610	COFCO JOYCOME	189,866,991	\$ 565,803,633
1618	MCC	29,925,854	\$ 56,260,606
1622	REDCO GROUP	42,621,740	\$ 95,046,480
1628	YUZHOU GROUP	147,330,013	\$ 116,390,710
1638	KAISA GROUP	279,260,157	\$ 282,052,759
1658	PSBC	669,690,238	\$ 3,756,962,235

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1660	ZHAOBANGJI PPT	1,248,000	\$ 586,560
1666	TONG REN TANG	6,379,533	\$ 33,556,344
1668	CHINASOUTHCITY	67,148,000	\$ 35,588,440
1672	ASCLETIS-B	10,936,000	\$ 28,105,520
1675	ASIAINFO TECH	3,062,400	\$ 41,097,408
1680	MACAU LEGEND	2,924,000	\$ 2,105,280
1681	CONSUN PHARMA	677,000	\$ 2,281,490
1686	SUNEVISION	11,064,648	\$ 78,116,415
1691	JS GLOBAL LIFE	28,964,428	\$ 400,867,684
1696	SISRAM MED	0	\$ 0
1709	DL HOLDINGS GP	653,000	\$ 1,658,620
1717	AUSNUTRIA	23,710,091	\$ 223,349,057
1725	HK AERO TECH	0	\$ 0
1755	S-ENJOY SERVICE	7,610,000	\$ 102,126,200
1760	INTRON TECH	2,397,000	\$ 10,546,800
1763	CIRC	1,125,800	\$ 24,542,440
1765	HOPE EDU	210,289,600	\$ 279,685,168
1766	CRRC	59,911,770	\$ 212,686,784
1769	SCHOLAR EDU	6,287,000	\$ 8,298,840
1772	GANFENGLITHIUM	17,357,537	\$ 2,596,687,535
1773	TIANLI EDU	73,213,996	\$ 116,410,254
1776	GF SEC	21,196,449	\$ 262,835,968
1777	FANTASIA	66,112,500	\$ 37,023,000
1778	COLOUR LIFE	11,011,549	\$ 13,764,436
1787	SD GOLD	75,444,889	\$ 1,071,317,424
1788	GUOTAI JUNAN I	60,735,778	\$ 66,809,356
1789	AK MEDICAL	58,853,738	\$ 420,215,689
1797	KOOLEARN	52,735,131	\$ 215,686,686
1798	DATANG RENEW	124,048,920	\$ 378,349,206
1799	XINTE ENERGY	12,556,400	\$ 248,616,720
1800	CHINA COMM CONS	9,955,034	\$ 40,716,089
1801	INNOVENT BIO	48,606,478	\$ 3,353,846,982
1810	XIAOMI-W	364,342,857	\$ 7,432,594,283
1811	CGN NEW ENERGY	91,967,617	\$ 667,684,899
1812	CHENMING PAPER	34,858,410	\$ 129,324,701
1813	KWG GROUP	45,094,189	\$ 284,995,274
1816	CGN POWER	190,082,922	\$ 410,579,112
1817	MULSANNE GROUP	783,000	\$ 4,713,660
1818	ZHAOJIN MINING	163,646,365	\$ 937,693,671
1821	ESR	37,792,884	\$ 978,835,696
1830	PERFECT MEDICAL	8,142,000	\$ 48,200,640

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	129,809,687	\$ 4,030,590,781
1836	STELLA HOLDINGS	685,000	\$ 6,370,500
1848	CALC	1,394,336	\$ 7,417,868
1857	CEB WATER	767,400	\$ 1,373,646
1858	CHUNLI MEDICAL	7,192,300	\$ 125,721,404
1860	MOBVISTA	23,713,960	\$ 169,317,674
1862	JINGRUI HLDGS	9,226,000	\$ 18,821,040
1866	CHINA XLX FERT	3,349,000	\$ 16,510,570
1873	VIVA BIOTECH	88,589,049	\$ 451,804,150
1876	BUD APAC	130,689,988	\$ 2,751,024,247
1877	JUNSHI BIO	7,939,738	\$ 319,574,455
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	15,407,161	\$ 324,320,739
1883	CITIC TELECOM	6,123,494	\$ 16,349,729
1888	KB LAMINATES	33,317,671	\$ 399,145,699
1890	CHINA KEPEI	9,632,000	\$ 38,816,960
1896	MAOYAN ENT	13,094,633	\$ 118,113,590
1898	CHINA COAL	211,477,294	\$ 949,533,050
1899	XINGDA INT'L	2,822,788	\$ 5,109,246
1902	YINCHENG INTL	2,002,000	\$ 5,405,400
1905	HAITONG UT	0	\$ 0
1907	CHINA RISUN GP	21,540,000	\$ 97,576,200
1908	C&D INTL GROUP	12,063,702	\$ 166,237,814
1909	FIRE ROCK	13,858,000	\$ 35,892,220
1910	SAMSONITE	43,735,852	\$ 699,773,632
1911	CR HOLDINGS	4,662,900	\$ 79,922,106
1913	PRADA	5,937,876	\$ 298,675,163
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	130,895,139	\$ 1,798,499,210
1919	COSCO SHIP HOLD	417,090,345	\$ 4,738,146,319
1928	SANDS CHINA LTD	81,823,572	\$ 1,400,819,553
1929	CHOW TAI FOOK	86,593,498	\$ 1,444,379,547
1931	IVD MEDICAL	0	\$ 0
1951	JXR	115,633,387	\$ 1,167,897,209
1952	EVEREST MED-B	2,201,409	\$ 84,093,824
1958	BAIC MOTOR	138,134,055	\$ 384,012,673
1963	BCQ	1,493,309	\$ 6,376,429
1966	CHINA SCE GROUP	33,758,994	\$ 75,620,147
1967	CONFIDENCE IN	0	\$ 0
1970	IMAX CHINA	2,058,293	\$ 23,299,877
1972	SWIREPROPERTIES	12,117,786	\$ 249,626,392

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1981	CATHAY EDU	10,743,000	\$ 30,187,830
1988	MINSHENG BANK	1,010,167,407	\$ 3,070,908,917
1992	FOSUN TOURISM	5,936,006	\$ 57,519,898
1995	CIFI ES SERVICE	37,814,500	\$ 481,000,440
1996	RSUN PPT	20,067,840	\$ 44,550,605
1997	WHARF REIC	64,508,687	\$ 2,793,226,147
1999	MAN WAH HLDGS	29,763,141	\$ 359,538,743
2001	NEW HIGHER EDU	14,171,459	\$ 47,616,102
2005	SSY GROUP	136,033,003	\$ 476,115,511
2006	JINJIANGCAPITAL	4,402,493	\$ 7,880,462
2007	COUNTRY GARDEN	461,838,614	\$ 3,163,594,506
2009	BBMG	33,995,288	\$ 42,154,157
2013	WEIMOB INC	331,381,877	\$ 3,366,839,870
2015	LI AUTO-W	0	\$ 0
2016	CZBANK	0	\$ 0
2018	AAC TECH	71,938,764	\$ 2,302,040,448
2019	DEXIN CHINA	14,800,889	\$ 38,482,311
2020	ANTA SPORTS	73,718,449	\$ 9,222,177,970
2038	FIH	94,620,032	\$ 107,866,836
2039	CIMC	29,320,174	\$ 422,210,506
2048	E-HOUSE ENT	35,808,000	\$ 44,760,000
2057	ZTO EXPRESS-SW	0	\$ 0
2066	SHENGJINGBANK	0	\$ 0
2096	SIMCERE PHARMA	14,270,000	\$ 111,020,600
2098	ZALL SMARTCOM	2,517,000	\$ 1,220,745
2099	CHINAGOLDINTL	1,678,900	\$ 36,516,075
2101	FULU HOLDINGS	687,500	\$ 5,348,750
2103	SINIC HOLDINGS	2,835,000	\$ 1,417,500
2117	DATANG GROUP	4,190,000	\$ 19,483,500
2126	JW THERAP-B	12,579,083	\$ 147,930,016
2127	HUISEN INTL	5,650,000	\$ 12,995,000
2128	CHINA LESSO	11,095,480	\$ 123,825,557
2131	NETJOY	17,481,000	\$ 58,561,350
2138	EC HEALTHCARE	4,323,000	\$ 53,172,900
2139	BANK OF GANSU	0	\$ 0
2142	HBM HOLDINGS-B	3,386,000	\$ 22,415,320
2148	VESYNC	14,239,000	\$ 148,370,380
2156	C&D PROPERTY	0	\$ 0
2158	YIDU TECH	15,156,702	\$ 372,854,869
2160	CARDIOFLOW-B	12,546,127	\$ 59,719,565
2162	KEYMED BIO-B	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2163	BROAD HOMES	4,170,900	\$ 44,878,884
2168	KAISA PROSPER	1,514,346	\$ 22,866,625
2171	CARSGEN-B	626,000	\$ 23,036,800
2186	LUYE PHARMA	129,546,017	\$ 463,774,741
2190	ZYLOXTB-B	0	\$ 0
2196	FOSUN PHARMA	32,065,609	\$ 1,162,378,326
2198	CHINA SANJIANG	22,250,200	\$ 51,175,460
2202	CHINA VANKE	47,688,515	\$ 811,658,525
2208	GOLDWIND	78,980,793	\$ 1,481,679,677
2219	CHAOJU EYE CARE	0	\$ 0
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	41,995,058	\$ 55,433,477
2238	GAC GROUP	104,484,460	\$ 776,319,538
2269	WUXI BIO	38,083,152	\$ 3,880,673,189
2282	MGM CHINA	79,067,205	\$ 404,824,090
2313	SHENZHOU INTL	18,214,088	\$ 2,908,789,854
2314	LEE & MAN PAPER	40,281,942	\$ 226,787,333
2318	PING AN	435,085,656	\$ 23,516,379,707
2319	MENGNU DAIRY	51,926,923	\$ 2,531,437,496
2322	HK CHAOSHANG GP	1,272,000	\$ 1,195,680
2328	PICC P&C	111,963,735	\$ 778,147,958
2331	LI NING	55,600,048	\$ 5,170,804,464
2333	GREATWALL MOTOR	137,889,179	\$ 4,633,076,414
2337	UNITED STRENGTH	0	\$ 0
2338	WEICHAJ POWER	76,285,872	\$ 1,011,550,663
2342	COMBA	84,259,269	\$ 167,675,945
2343	PACIFIC BASIN	423,296,203	\$ 1,274,121,571
2356	DAHSHING BANKING	299,463	\$ 2,329,822
2357	AVICHINA	83,425,256	\$ 423,800,300
2359	WUXI APPTTEC	29,270,577	\$ 4,422,784,185
2362	JINCHUAN INTL	104,989,269	\$ 109,188,840
2378	PRU	0	\$ 0
2380	CHINA POWER	344,192,299	\$ 1,342,349,966
2382	SUNNY OPTICAL	34,991,401	\$ 7,740,097,901
2386	SINOPEC SEG	19,964,857	\$ 74,668,565
2388	BOC HONG KONG	61,426,835	\$ 1,501,886,116
2400	XD INC	29,963,120	\$ 1,285,417,848
2500	VENUS MEDTECH-B	27,206,572	\$ 968,553,963
2518	AUTOHOME-S	0	\$ 0
2552	HUA MEDICINE-B	9,166,000	\$ 33,730,880

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2588	BOC AVIATION	16,025,252	\$ 1,106,543,651
2599	SHINSUN HOLD GP	13,589,000	\$ 57,073,800
2600	CHALCO	339,569,883	\$ 1,395,632,219
2601	CPIC	36,534,334	\$ 864,036,999
2607	SH PHARMA	15,364,641	\$ 208,959,118
2611	GTJA	3,595,152	\$ 37,749,096
2616	CSTONE PHARMA-B	10,630,539	\$ 95,993,767
2618	JD LOGISTICS	69,524,819	\$ 2,224,794,208
2628	CHINA LIFE	158,058,304	\$ 2,117,981,274
2638	HKELECTRIC-SS	61,602,001	\$ 476,799,488
2666	UNI MEDICAL	18,661,423	\$ 102,637,827
2669	CHINA OVS PPT	52,146,897	\$ 340,519,237
2678	TEXHONG TEXTILE	4,260,500	\$ 48,740,120
2688	ENN ENERGY	23,029,594	\$ 2,973,120,585
2689	ND PAPER	52,935,577	\$ 495,477,001
2696	HENLIUS	1,304,699	\$ 32,487,005
2727	SH ELECTRIC	95,635,652	\$ 226,656,495
2768	JIAYUAN INTL	30,234,149	\$ 89,190,740
2772	ZHONGLIANG HLDG	29,666,364	\$ 102,645,619
2777	R&F PROPERTIES	254,374,647	\$ 1,063,286,024
2778	CHAMPION REIT	0	\$ 0
2798	PER ENERGY	31,705,000	\$ 50,728,000
2799	CHINA HUARONG	146,679,708	\$ 149,613,302
2800	TRACKER FUND	16,942,400	\$ 424,915,392
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2814	SAMSUNG FANG	0	\$ 0
2817	PP CGOVT BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	40,002,970	\$ 716,053,163

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2823	ISHARES A50	60,736,844	\$ 1,109,054,771
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,396,000	\$ 214,729,520
2829	ISHARESCGB	0	\$ 0
2832	BOSERA STAR50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN EV BATT	229,465	\$ 46,099,519
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	15,896,087	\$ 24,162,052
2866	COSCO SHIP DEV	85,893,925	\$ 117,674,677
2869	GREENTOWN SER	93,416,987	\$ 665,128,947
2877	SHINEWAY PHARM	2,574,000	\$ 19,202,040
2878	SOLOMON SYSTECH	776,000	\$ 558,720
2883	CHINA OILFIELD	89,466,947	\$ 612,848,587
2888	STANCHART	0	\$ 0
2899	ZIJIN MINING	208,104,749	\$ 2,122,668,440
3001	PP CNUSDPROP	0	\$ 0
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICCCUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHAREMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3037	CSOP HSI ETF	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3050	GX CN GL LEADER	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3058	A GXCINNOVATOR	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	0	\$ 0
3069	CAM HSBIOTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3086	CAM NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3108	HGI ESGLEADER	0	\$ 0
3109	CSOP STAR 50	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3117	GX CN GAME&ENT	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3119	GX ASIA SEMICON	0	\$ 0
3121	CAM AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3124	GX CN ECOM&LOG	0	\$ 0
3125	ISHARESSDCPBB	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3134	CSOP CSI PV	0	\$ 0
3141	CAM ASIA IGB	0	\$ 0
3143	CAM HK BANKS	0	\$ 0
3145	CAM ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3151	PREMIA STAR50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	CAM JAPAN HDG	0	\$ 0
3162	CSOP SMARTDRIVE	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	CAM EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3174	CSOP HEALTHCARE	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	19,741,225	\$ 1,185,263,149
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3194	CSOP CLOUD ETF	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3300	CHINA GLASS	26,670,000	\$ 58,140,600
3301	RONSHINECHINA	31,435,700	\$ 92,420,958
3306	JNBY	4,809,760	\$ 66,663,274
3308	GOLDEN EAGLE	809,000	\$ 5,428,390
3309	C-MER EYE	15,520,214	\$ 112,676,754

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3311	CHINA STATE CON	21,801,783	\$ 163,731,390
3316	BINJIANG SER	292,288	\$ 5,722,999
3318	CHINA BOTON	3,609,146	\$ 9,455,963
3319	A-LIVING	44,196,050	\$ 972,313,100
3320	CHINARES PHARMA	116,618,168	\$ 409,329,770
3323	CNBM	74,169,269	\$ 658,623,109
3328	BANKCOMM	137,480,534	\$ 644,783,704
3331	VINDA INT'L	45,147,170	\$ 975,178,872
3333	EVERGRANDE	241,334,757	\$ 555,069,941
3336	JU TENG INTL	2,007,424	\$ 2,649,800
3339	LONKING	54,359,825	\$ 117,417,222
3347	TIGERMED	4,980,559	\$ 664,904,627
3360	FE HORIZON	163,510,686	\$ 1,195,263,115
3377	SINO-OCEAN GP	94,291,087	\$ 163,123,581
3380	LOGAN GROUP	47,725,846	\$ 343,626,091
3383	AGILE GROUP	59,809,828	\$ 315,795,892
3393	WASION HOLDINGS	1,262,000	\$ 3,457,880
3396	LEGENDHOLDING	7,514,799	\$ 95,588,243
3600	MODERN DENTAL	296,000	\$ 1,672,400
3606	FUYAO GLASS	21,259,870	\$ 968,387,079
3613	TONGRENTANGCM	5,378,154	\$ 55,825,239
3618	CQRC BANK	139,727,331	\$ 381,455,614
3633	ZHONGYU GAS	0	\$ 0
3662	AOYUAN HEALTHY	21,943,731	\$ 68,903,315
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	25,624,490	\$ 316,718,696
3690	MEITUAN-W	52,650,359	\$ 14,573,619,371
3692	HANSOH PHARMA	24,363,062	\$ 404,426,829
3700	INKE	7,916,000	\$ 11,557,360
3709	EEKA FASHION	1,174,000	\$ 12,914,000
3738	VOBILE GROUP	90,291,487	\$ 613,982,112
3759	PHARMARON	8,836,206	\$ 1,338,685,209
3799	DALI FOODS	71,196,681	\$ 299,026,060
3800	GCL-POLY ENERGY	689,815,467	\$ 1,993,566,700
3808	SINOTRUK	20,757,243	\$ 207,572,430
3813	POU SHENG INT'L	13,840,360	\$ 16,885,239
3818	CHINA DONGXIANG	112,047,170	\$ 79,553,491
3836	HARMONY AUTO	12,530,000	\$ 46,987,500
3866	BQD	0	\$ 0
3868	XINYI ENERGY	86,501,461	\$ 359,846,078
3877	CSSC SHIPPING	3,812,144	\$ 3,964,630

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3883	CHINA AOYUAN	166,948,528	\$ 365,617,276
3888	KINGSOFT	70,637,212	\$ 2,302,773,111
3898	TIMES ELECTRIC	23,920,301	\$ 1,105,117,906
3899	CIMC ENRIC	24,338,848	\$ 226,107,898
3900	GREENTOWN CHINA	71,832,849	\$ 738,441,688
3908	CICC	38,531,721	\$ 699,736,053
3913	KWG LIVING	23,849,726	\$ 119,010,133
3918	NAGACORP	44,778,982	\$ 313,005,084
3933	UNITED LAB	18,918,699	\$ 92,323,251
3958	DFZQ	18,007,600	\$ 104,624,156
3968	CM BANK	214,634,806	\$ 13,618,578,441
3969	CHINA CRSC	49,773,872	\$ 131,403,022
3983	CHINA BLUECHEM	13,531,009	\$ 31,391,941
3988	BANK OF CHINA	604,763,342	\$ 1,651,003,924
3990	MIDEA REAL EST	7,684,713	\$ 99,593,880
3993	CMOC	224,083,624	\$ 994,931,291
3996	CH ENERGY ENG	56,644,000	\$ 56,644,000
3998	BOSIDENG	419,715,678	\$ 2,442,745,246
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	86,536,348	\$ 1,628,614,069
6049	POLY PPT SER	16,801,970	\$ 688,040,672
6055	CTIHK	7,647,569	\$ 130,161,624
6060	ZA ONLINE	79,757,431	\$ 2,105,596,178
6066	CSC	51,856,864	\$ 407,594,951
6068	WISDOM EDU INTL	20,400,923	\$ 25,501,154
6069	SHENGYE CAPITAL	3,916,000	\$ 32,855,240
6078	HYGEIA HEALTH	8,353,671	\$ 508,738,564
6088	FIT HON TENG	54,895,352	\$ 86,185,703
6093	HEVOL SERVICES	0	\$ 0
6098	CG SERVICES	31,489,297	\$ 1,733,485,800
6099	CMSC	12,484,125	\$ 144,316,485
6100	TONGDAO LIEPIN	438,800	\$ 5,300,704
6110	TOPSPORTS	73,417,374	\$ 686,452,447
6111	DAFA PPT	1,456,000	\$ 7,367,360
6118	AUSTAR	0	\$ 0
6122	JIUTAI RCB	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6127	JOINN	1,016,441	\$ 80,502,127
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	166,055,934	\$ 592,819,684
6160	BEIGENE	3,145,179	\$ 708,294,311
6169	YUHUA EDU	93,766,373	\$ 300,052,394
6178	EB SECURITIES	16,280,610	\$ 95,892,793
6185	CANSINO-BIO-B	14,415,529	\$ 2,773,547,780
6186	CHINA FEIHE	123,864,118	\$ 1,491,323,981
6196	BANKOFZHENGZHOU	358,000	\$ 640,820
6198	QINGDAO PORT	1,519,936	\$ 5,882,152
6199	BANK OF GUIZHOU	0	\$ 0
6600	SCICLONE PHARMA	136,000	\$ 1,373,600
6601	CHEERWIN GP	920,000	\$ 3,486,800
6606	NH HEALTH-B	3,040,710	\$ 79,362,531
6608	BAIRONG-W	228,000	\$ 2,663,040
6618	JD HEALTH	52,181,364	\$ 3,660,522,685
6666	EVERG SERVICES	55,299,004	\$ 206,265,285
6668	E-STAR CM	0	\$ 0
6677	SINO-OCEAN SERV	237,000	\$ 919,560
6690	HAIER SMARTHOME	74,364,984	\$ 2,045,037,060
6806	SWHY	9,161,600	\$ 17,865,120
6808	SUNART RETAIL	189,591,132	\$ 621,858,913
6818	CEB BANK	32,139,120	\$ 87,739,798
6823	HKT-SS	34,113,945	\$ 365,019,212
6826	HAOHAI BIOTEC	2,107,143	\$ 108,517,865
6830	HUAZHONG IN-V	3,484,000	\$ 11,671,400
6837	HAITONG SEC	48,824,541	\$ 329,077,406
6855	ASCENTAGE-B	5,565,838	\$ 150,277,626
6862	HAIDILAO	191,139,241	\$ 4,023,481,023
6865	FLAT GLASS	84,651,096	\$ 3,182,881,210
6868	TENFU	0	\$ 0
6869	YOFC	3,629,456	\$ 46,239,269
6878	DIFFER GROUP	75,228,000	\$ 161,740,200
6881	CGS	46,635,072	\$ 199,131,757
6886	HTSC	26,175,397	\$ 295,781,986
6889	DYNAM JAPAN	0	\$ 0
6900	SUNKWAN PPT	4,080,000	\$ 9,669,600
6908	HG SEMI	0	\$ 0
6958	ZHENRO SERVICES	3,151,000	\$ 13,517,790
6968	GANGLONG CHINA	9,573,000	\$ 40,493,790
6969	SMOORE INTL	59,025,634	\$ 2,027,530,528

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6978	IMMUNOTECH-B	1,884,521	\$ 29,398,528
6988	JOY SPREADER	20,305,000	\$ 43,858,800
6989	EXCELLENCE CM	2,741,646	\$ 13,296,983
6993	BLUE MOON GROUP	68,591,500	\$ 467,794,030
6996	ANTENGENE-B	5,742,555	\$ 60,871,083
6998	GENOR-B	4,902,656	\$ 43,241,426
6999	LEADING HLDGS	1,592,000	\$ 8,119,200
7200	FL2 CSOP HSI	8,533,500	\$ 66,134,625
7226	XL2CSOPHSTECH	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7232	FL2FBFTTAIWAN	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7331	FICAMNDQ100	0	\$ 0
7332	FIFBFTTAIWAN	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMCSI300	0	\$ 0
7374	FI CSOPGOLD	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	59,392,000	\$ 90,275,840
8075	MEDIA ASIA	0	\$ 0
8083	CHINA YOUZAN	556,598,852	\$ 500,938,967
8137	HONBRIDGE	11,468,000	\$ 4,529,860
9001	PP CNUSDPROP-U	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9069	CAM HSBIOTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9086	CAM NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9125	ISHARESSDCPBB-U	0	\$ 0
9141	CAM ASIA IGB-U	0	\$ 0
9151	PREMIA STAR50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	11,853,607	\$ 3,672,247,449
9626	BILIBILI-SW	3,792,918	\$ 2,256,786,210
9633	NONGFU SPRING	46,377,565	\$ 1,882,929,139
9666	JINKE SERVICES	4,109,827	\$ 141,378,049
9668	CBHB	23,738,480	\$ 62,669,587
9677	WEIHAI BANK	0	\$ 0
9688	ZAI LAB-SB	0	\$ 0
9698	GDS-SW	4,494,326	\$ 255,951,866
9801	ISHARES CHINA-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMSUNGCDGN-U	0	\$ 0
9813	CAM CTPB BOND-U	0	\$ 0

### Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9814	SAMSUNG FANG-U	0	\$ 0
9817	PP CGOVT BOND-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9829	ISHARESCGB-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN EV BATT-U	1,226,500	\$ 245,165,085
9846	ISHARESCSI300-U	0	\$ 0
9858	YOURAN DAIRY	0	\$ 0
9868	XPENG-W	2,016,800	\$ 379,763,440
9888	BIDU-SW	14,269,368	\$ 2,278,818,070
9901	NEW ORIENTAL-S	4,502,300	\$ 69,875,696
9909	POWERLONG CM	2,765,420	\$ 45,408,196
9911	NEWBORNTOWN	11,960,000	\$ 48,916,400
9922	JIUMAOJIU	103,050,004	\$ 1,749,789,068
9923	YEAHKA	12,027,552	\$ 282,647,472
9926	AKESO-B	12,310,565	\$ 482,574,148
9928	TIMES NEIGHBOR	47,395,256	\$ 157,352,250
9939	KINTOR PHARMA-B	14,232,500	\$ 567,876,750
9959	LINKLOGIS-W	4,271,500	\$ 31,694,530
9961	TRIP.COM-S	822,100	\$ 184,314,820
9966	ALPHAMAB-B	12,109,584	\$ 177,526,501
9968	HUIJING HLDGS	8,824,000	\$ 17,030,320
9969	INNOCARE-B	12,256,446	\$ 221,841,673
9979	GREENTOWN MGMT	10,448,168	\$ 36,673,070
9982	CENTRALCHINA MT	2,011,000	\$ 2,312,650
9983	CC NEW LIFE	18,690,000	\$ 91,581,000
9987	YUM CHINA-S	237,900	\$ 107,007,420
9988	BABA-SW	82,707,240	\$ 13,224,887,676
9989	HEPALINK	3,682,000	\$ 29,566,460
9990	ARCHOSAUR GAMES	13,687,919	\$ 140,985,566
9991	BAOZUN-SW	320,200	\$ 14,264,910
9992	POP MART	39,358,761	\$ 2,239,513,501
9993	RADIANCE HLDGS	14,418,000	\$ 54,067,500
9995	REMEGEN-B	3,438,409	\$ 318,396,673
9996	PEIJIA-B	3,474,755	\$ 64,977,919
9997	KANGJI MEDICAL	21,155,792	\$ 176,016,189
9999	NTES-S	17,845,559	\$ 2,830,305,657
80737	BAY AREA DEV-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 05/11/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82813	CAM CTPB BOND-R	0	\$ 0
82817	PP CGOVT BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82829	ISHARESCGB-R	0	\$ 0
82832	BOSERA STAR50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83001	PP CNUSDPROP-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83108	HGI ESGLEADER-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83125	ISHARESSDCPBB-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83151	PREMIA STAR50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0