

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1          | CKH HOLDINGS    | 9,576,256                                      | \$ 478,812,800                               |
| 2          | CLP HOLDINGS    | 19,725,386                                     | \$ 1,485,321,566                             |
| 3          | HK & CHINA GAS  | 480,986,704                                    | \$ 5,338,952,414                             |
| 4          | WHARF HOLDINGS  | 28,799,798                                     | \$ 429,116,990                               |
| 5          | HSBC HOLDINGS   | 123,108,415                                    | \$ 4,074,888,537                             |
| 6          | POWER ASSETS    | 22,652,838                                     | \$ 966,143,541                               |
| 8          | PCCW            | 57,579,988                                     | \$ 260,261,546                               |
| 10         | HANG LUNG GROUP | 7,849,984                                      | \$ 151,347,692                               |
| 11         | HANG SENG BANK  | 59,594,174                                     | \$ 7,097,666,123                             |
| 12         | HENDERSON LAND  | 21,511,673                                     | \$ 630,292,019                               |
| 14         | HYSAN DEV       | 19,624,747                                     | \$ 480,806,302                               |
| 16         | SHK PPT         | 17,525,797                                     | \$ 1,743,816,802                             |
| 17         | NEW WORLD DEV   | 22,254,067                                     | \$ 876,810,240                               |
| 19         | SWIRE PACIFIC A | 16,791,455                                     | \$ 698,524,528                               |
| 23         | BANK OF E ASIA  | 32,362,933                                     | \$ 555,995,189                               |
| 26         | CHINA MOTOR BUS | 0  | \$ 0   |
| 27         | GALAXY ENT      | 43,209,130                                     | \$ 2,583,905,974                             |
| 28         | TIAN AN         | 0  | \$ 0   |
| 29         | DYNAMIC HOLD    | 0  | \$ 0   |
| 32         | CROSS-HAR(HOLD) | 0  | \$ 0   |
| 34         | KOWLOON DEV     | 0  | \$ 0   |
| 35         | FE CONSORT INTL | 3,526,784                                      | \$ 8,640,621                                 |
| 41         | GREAT EAGLE H   | 346,147  | \$ 6,974,862                                 |
| 43         | C.P. POKPHAND   | 0  | \$ 0   |
| 45         | HK&S HOTELS     | 2,798,813                                      | \$ 17,128,736                                |
| 50         | HK FERRY (HOLD) | 0  | \$ 0   |
| 51         | HARBOUR CENTRE  | 0  | \$ 0   |
| 52         | FAIRWOOD HOLD   | 1,339,892                                      | \$ 25,645,533                                |
| 53         | GUOCO GROUP     | 0  | \$ 0   |
| 56         | ALLIED PPT (HK) | 0  | \$ 0   |
| 62         | TRANSPORT INT'L | 0  | \$ 0   |
| 66         | MTR CORPORATION | 84,328,990                                     | \$ 3,377,376,050                             |
| 69         | SHANGRI-LA ASIA | 46,131,429                                     | \$ 299,392,974                               |
| 71         | MIRAMAR HOTEL   | 151,000  | \$ 1,987,160                                 |
| 75         | Y.T. REALTY     | 0  | \$ 0   |
| 78         | REGAL INT'L     | 291,477  | \$ 851,113                                   |
| 81         | CH OVS G OCEANS | 11,681,846                                     | \$ 57,941,956                                |
| 83         | SINO LAND       | 52,142,755                                     | \$ 471,891,933                               |
| 86         | SUN HUNG KAI CO | 0  | \$ 0   |
| 88         | TAI CHEUNG HOLD | 139,000  | \$ 671,370                                   |
| 95         | LVGEM CHINA     | 8,886,000                                      | \$ 27,013,440                                |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

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|------------|-----------------|--|--|
| 101        | HANG LUNG PPT   | 84,383,483                                     | \$ 1,767,833,969                             |
| 105        | ASSO INT HOTELS | 0  | \$ 0   |
| 107        | SICHUAN EXPRESS | 1,528,000                                      | \$ 2,719,840                                 |
| 112        | LERTHAI GROUP   | 0  | \$ 0   |
| 116        | CHOW SANG SANG  | 3,853,155                                      | \$ 32,674,754                                |
| 119        | POLY PROPERTY   | 14,641,948                                     | \$ 34,994,256                                |
| 120        | COSMOPOL INT'L  | 0  | \$ 0   |
| 123        | YUEXIU PROPERTY | 17,156,361                                     | \$ 25,391,414                                |
| 127        | CHINESE EST H   | 914,000  | \$ 4,451,180                                 |
| 135        | KUNLUN ENERGY   | 64,833,774                                     | \$ 341,673,989                               |
| 136        | HENGTEN NET     | 1,589,233,727                                  | \$ 397,308,432                               |
| 142        | FIRST PACIFIC   | 8,602,298                                      | \$ 20,301,423                                |
| 144        | CHINA MER PORT  | 27,874,531                                     | \$ 244,180,892                               |
| 148        | KINGBOARD HLDG  | 7,968,063                                      | \$ 208,763,251                               |
| 151        | WANT WANT CHINA | 474,235,898                                    | \$ 2,636,751,593                             |
| 152        | SHENZHEN INT'L  | 7,508,662                                      | \$ 91,155,157                                |
| 158        | MELBOURNE ENT   | 0  | \$ 0   |
| 163        | EMPEROR INT'L   | 0  | \$ 0   |
| 165        | CHINA EB LTD    | 15,841,353                                     | \$ 185,660,657                               |
| 168        | TSINGTAO BREW   | 13,684,530                                     | \$ 888,125,997                               |
| 171        | SILVER GRANT    | 3,902,000                                      | \$ 3,784,940                                 |
| 173        | K. WAH INT'L    | 2,396,409                                      | \$ 8,890,677                                 |
| 175        | GEELY AUTO      | 125,314,557                                    | \$ 2,047,639,861                             |
| 177        | JIANGSU EXPRESS | 16,494,716                                     | \$ 122,720,687                               |
| 178        | SA SA INT'L     | 93,844,615                                     | \$ 129,505,569                               |
| 179        | JOHNSON ELEC H  | 2,684,479                                      | \$ 42,575,837                                |
| 182        | CONCORD NE      | 20,410,000                                     | \$ 6,939,400                                 |
| 189        | DONGYUE GROUP   | 30,375,692                                     | \$ 94,772,159                                |
| 194        | LIU CHONG HING  | 0  | \$ 0   |
| 200        | MELCO INT'L DEV | 33,156,947                                     | \$ 553,057,876                               |
| 207        | JOY CITY PPT    | 0  | \$ 0   |
| 220        | U-PRESID CHINA  | 13,092,636                                     | \$ 94,266,979                                |
| 225        | POKFULAM        | 0  | \$ 0   |
| 230        | MINMETALS LAND  | 0  | \$ 0   |
| 241        | ALI HEALTH      | 99,966,483                                     | \$ 1,945,347,759                             |
| 242        | SHUN TAK HOLD   | 76,614,902                                     | \$ 201,497,192                               |
| 247        | TST PROPERTIES  | 0  | \$ 0   |
| 256        | CITYCHAMP       | 5,212,000                                      | \$ 8,234,960                                 |
| 257        | CHINA EB INT'L  | 122,715,990                                    | \$ 559,584,914                               |
| 261        | GBA HOLDINGS    | 0  | \$ 0   |
| 267        | CITIC           | 21,373,164                                     | \$ 145,978,710                               |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

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|------------|------------------|--|--|
| 268        | KINGDEE INT'L    | 200,887,609                                    | \$ 3,881,148,606                             |
| 270        | GUANGDONG INV    | 13,215,141                                     | \$ 159,903,206                               |
| 272        | SHUI ON LAND     | 51,973,569                                     | \$ 53,532,776                                |
| 285        | BYD ELECTRONIC   | 71,811,411                                     | \$ 2,969,401,845                             |
| 286        | AIDIGONG         | 7,256,000                                      | \$ 4,861,520                                 |
| 288        | WH GROUP         | 207,485,169                                    | \$ 1,373,551,819                             |
| 289        | WING ON CO       | 0  | \$ 0   |
| 291        | CHINA RES BEER   | 37,038,770                                     | \$ 1,853,790,439                             |
| 293        | CATHAY PAC AIR   | 39,199,928                                     | \$ 244,215,551                               |
| 297        | SINOFERT         | 6,108,001                                      | \$ 4,581,001                                 |
| 302        | CMGE             | 10,526,000                                     | \$ 37,788,340                                |
| 303        | VTECH HOLDINGS   | 4,700,000                                      | \$ 208,915,000                               |
| 308        | CHINA TRAVEL HK  | 70,680,110                                     | \$ 81,282,127                                |
| 315        | SMARTONE TELE    | 6,362,236                                      | \$ 26,721,391                                |
| 316        | OOIL             | 0  | \$ 0   |
| 317        | COMEC            | 9,914,000                                      | \$ 79,609,420                                |
| 322        | TINGYI           | 87,397,994                                     | \$ 1,319,709,709                             |
| 323        | MAANSHAN IRON    | 10,773,396                                     | \$ 21,869,994                                |
| 326        | CHINA STAR ENT   | 620,000  | \$ 985,800                                   |
| 327        | PAX GLOBAL       | 13,148,468                                     | \$ 55,355,050                                |
| 336        | HUABAO INTL      | 23,966,107                                     | \$ 173,514,615                               |
| 337        | GREENLAND HK     | 3,579,000                                      | \$ 9,019,080                                 |
| 338        | SHANGHAI PEICHEM | 168,413,191                                    | \$ 262,724,578                               |
| 341        | CAFE DE CORAL H  | 16,135,226                                     | \$ 283,979,978                               |
| 345        | VITASOY INT'L    | 74,407,134                                     | \$ 2,075,959,039                             |
| 347        | ANGANG STEEL     | 123,852,831                                    | \$ 266,283,587                               |
| 354        | CHINASOFT INT'L  | 233,929,444                                    | \$ 1,277,254,764                             |
| 358        | JIANGXI COPPER   | 25,785,314                                     | \$ 237,482,742                               |
| 363        | SHANGHAI IND H   | 11,866,185                                     | \$ 135,986,480                               |
| 369        | WING TAI PPT     | 0  | \$ 0   |
| 371        | BJ ENT WATER     | 198,894,143                                    | \$ 602,649,253                               |
| 373        | ALLIED GROUP     | 0  | \$ 0   |
| 376        | YUNFENG FIN      | 0  | \$ 0   |
| 382        | EDVANTAGE GROUP  | 904,000  | \$ 5,161,840                                 |
| 383        | CHINA MED&HCARE  | 4,320,000                                      | \$ 669,600                                   |
| 384        | CHINA GAS HOLD   | 97,871,866                                     | \$ 2,162,968,239                             |
| 386        | SINOPEC CORP     | 269,437,610                                    | \$ 924,171,002                               |
| 388        | HKEX             | 12,146,226                                     | \$ 4,622,853,616                             |
| 390        | CHINA RAILWAY    | 94,959,770                                     | \$ 360,847,126                               |
| 392        | BEIJING ENT      | 7,812,904                                      | \$ 193,369,374                               |
| 405        | YUEXIU REIT      | 0  | \$ 0   |

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|------------|-----------------|--|--|
| 410        | SOHO CHINA      | 67,971,078                                     | \$ 161,771,166                               |
| 412        | CSFG            | 0  | \$ 0   |
| 416        | BANKOFJINZHOU   | 0  | \$ 0   |
| 425        | MINTH GROUP     | 35,707,232                                     | \$ 1,051,577,982                             |
| 435        | SUNLIGHT REIT   | 0  | \$ 0   |
| 440        | DAH SING        | 1,328,890                                      | \$ 29,102,691                                |
| 451        | GCL NEWENERGY   | 62,192,000                                     | \$ 8,706,880                                 |
| 460        | SIHUAN PHARM    | 83,725,045                                     | \$ 74,515,290                                |
| 467        | UNITEDENERGY GP | 25,030,000                                     | \$ 31,287,500                                |
| 468        | GAPACK          | 3,629,284                                      | \$ 11,795,173                                |
| 480        | HKR INT'L       | 0  | \$ 0   |
| 486        | RUSAL           | 26,311,000                                     | \$ 83,932,090                                |
| 489        | DONGFENG GROUP  | 88,446,680                                     | \$ 474,074,205                               |
| 493        | GOME RETAIL     | 1,838,644,885                                  | \$ 1,985,736,476                             |
| 496        | KASEN           | 21,531,649                                     | \$ 20,885,700                                |
| 497        | CSI PROPERTIES  | 3,000,000                                      | \$ 711,000                                   |
| 506        | CHINA FOODS     | 1,568,000                                      | \$ 4,312,000                                 |
| 511        | TVB             | 4,271,342                                      | \$ 34,982,291                                |
| 512        | CHINAGRANDPHARM | 5,784,000                                      | \$ 41,066,400                                |
| 517        | COSCO SHIP INTL | 1,206,439                                      | \$ 2,678,295                                 |
| 520        | XIABUXIABU      | 36,385,606                                     | \$ 349,665,674                               |
| 522        | ASM PACIFIC     | 10,303,985                                     | \$ 848,017,966                               |
| 525        | GUANGSHEN RAIL  | 29,157,872                                     | \$ 43,445,229                                |
| 530        | GOLDIN FIN HOLD | 3,210,000                                      | \$ 5,136,000                                 |
| 535        | GEMDALE PPT     | 83,380,864                                     | \$ 114,231,784                               |
| 546        | FUFENG GROUP    | 7,279,101                                      | \$ 19,216,827                                |
| 548        | SHENZHENEXPRESS | 32,225,122                                     | \$ 222,031,091                               |
| 551        | YUE YUEN IND    | 24,182,036                                     | \$ 305,660,935                               |
| 552        | CHINACOMSERVICE | 38,564,931                                     | \$ 195,909,849                               |
| 563        | SH IND URBAN    | 15,813,731                                     | \$ 13,283,534                                |
| 570        | TRAD CHI MED    | 68,510,754                                     | \$ 230,196,133                               |
| 576        | ZHEJIANGEXPRESS | 18,686,801                                     | \$ 99,787,517                                |
| 579        | JNCEC           | 7,614,694                                      | \$ 15,914,710                                |
| 581        | CHINA ORIENTAL  | 22,469,022                                     | \$ 46,735,566                                |
| 586        | CONCH VENTURE   | 44,578,645                                     | \$ 1,571,397,236                             |
| 588        | BEIJING N STAR  | 5,058,000                                      | \$ 8,193,960                                 |
| 590        | LUK FOOK HOLD   | 2,133,419                                      | \$ 37,505,506                                |
| 596        | INSPUR INT'L    | 11,045,779                                     | \$ 23,196,136                                |
| 598        | SINOTRANS       | 57,560,693                                     | \$ 107,062,889                               |
| 604        | SHENZHEN INVEST | 39,473,008                                     | \$ 99,866,710                                |
| 607        | FULLSHARE       | 223,876,835                                    | \$ 30,895,003                                |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

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|------------|-----------------|--|--|
| 622        | OSHDORI         | 21,082,799                                     | \$ 17,920,379                                |
| 631        | SANY INT'L      | 17,536,287                                     | \$ 77,335,026                                |
| 636        | KERRY LOG NET   | 2,288,500                                      | \$ 29,933,580                                |
| 639        | SHOUGANG RES    | 10,689,000                                     | \$ 19,453,980                                |
| 656        | FOSUN INTL      | 78,201,445                                     | \$ 668,622,355                               |
| 658        | C TRANSMISSION  | 17,078,458                                     | \$ 91,198,966                                |
| 659        | NWS HOLDINGS    | 17,212,107                                     | \$ 110,501,727                               |
| 665        | HAITONG INT'L   | 132,788,699                                    | \$ 265,577,398                               |
| 667        | CHINA EAST EDU  | 32,886,603                                     | \$ 578,146,481                               |
| 669        | TECHTRONIC IND  | 21,247,920                                     | \$ 2,031,301,152                             |
| 670        | CHINA EAST AIR  | 199,705,728                                    | \$ 653,037,731                               |
| 683        | KERRY PPT       | 14,930,817                                     | \$ 302,349,044                               |
| 688        | CHINA OVERSEAS  | 34,479,924                                     | \$ 743,042,362                               |
| 691        | SHANSHUI CEMENT | 0  | \$ 0   |
| 694        | BEIJING AIRPORT | 64,449,579                                     | \$ 337,715,794                               |
| 696        | TRAVELSKY TECH  | 32,722,025                                     | \$ 541,222,294                               |
| 697        | SHOUCHENG       | 23,623,264                                     | \$ 61,420,486                                |
| 698        | TONGDA GROUP    | 98,424,333                                     | \$ 42,814,585                                |
| 699        | CAR INC         | 76,020,426                                     | \$ 190,051,065                               |
| 700        | TENCENT         | 41,478,458                                     | \$ 21,485,841,244                            |
| 708        | EVERG VEHICLE   | 33,926,451                                     | \$ 907,532,564                               |
| 709        | GIORDANO INT'L  | 13,673,795                                     | \$ 18,049,409                                |
| 710        | BOE VARITRONIX  | 1,591,000                                      | \$ 4,359,340                                 |
| 728        | CHINA TELECOM   | 248,720,310                                    | \$ 626,775,181                               |
| 732        | TRULY INT'L     | 16,892,000                                     | \$ 16,892,000                                |
| 737        | BAY AREA DEV    | 0  | \$ 0   |
| 743        | ASIA CEMENT CH  | 13,806,578                                     | \$ 106,310,651                               |
| 750        | SFSY ENERGY     | 738,000  | \$ 420,660                                   |
| 751        | SKYWORTH GROUP  | 91,440,639                                     | \$ 237,745,661                               |
| 753        | AIR CHINA       | 149,049,348                                    | \$ 816,790,427                               |
| 754        | HOPSON DEV HOLD | 8,390,859                                      | \$ 133,246,841                               |
| 762        | CHINA UNICOM    | 126,676,752                                    | \$ 670,120,018                               |
| 763        | ZTE             | 67,014,848                                     | \$ 1,400,610,323                             |
| 772        | CHINA LIT       | 56,118,271                                     | \$ 3,055,639,856                             |
| 775        | CKLIFE SCIENCES | 21,668,365                                     | \$ 21,668,365                                |
| 777        | NETDRAGON       | 12,220,073                                     | \$ 232,670,190                               |
| 778        | FORTUNE REIT    | 6,923,000                                      | \$ 46,176,410                                |
| 780        | TONGCHENG-ELONG | 28,690,598                                     | \$ 416,013,671                               |
| 788        | CHINA TOWER     | 2,146,813,284                                  | \$ 3,177,283,660                             |
| 799        | IGG             | 77,874,909                                     | \$ 696,980,436                               |
| 806        | VALUE PARTNERS  | 29,589,907                                     | \$ 107,411,362                               |

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|------------|-----------------|--|--|
| 807        | SIICENVIRONMENT | 1,956,500                                      | \$ 2,210,845                                 |
| 808        | PROSPERITY REIT | 0  | \$ 0   |
| 811        | XINHUA WINSHARE | 619,000  | \$ 3,125,950                                 |
| 813        | SHIMAO GROUP    | 9,642,654                                      | \$ 337,010,757                               |
| 816        | HUADIAN FUXIN   | 29,376,745                                     | \$ 72,854,328                                |
| 817        | CHINA JINMAO    | 104,806,653                                    | \$ 503,071,934                               |
| 818        | HI SUN TECH     | 837,000  | \$ 811,890                                   |
| 819        | TIANNENG POWER  | 145,725,280                                    | \$ 2,051,811,942                             |
| 823        | LINK REIT       | 13,468,090                                     | \$ 848,489,670                               |
| 831        | CR ASIA         | 0  | \$ 0   |
| 832        | CENTRAL CHINA   | 1,764,000                                      | \$ 5,927,040                                 |
| 836        | CHINA RES POWER | 17,096,417                                     | \$ 151,816,183                               |
| 839        | CHINA EDU GROUP | 39,185,808                                     | \$ 565,843,068                               |
| 853        | MICROPORT       | 52,489,069                                     | \$ 1,952,593,367                             |
| 855        | CHINA WATER     | 54,852,552                                     | \$ 337,891,720                               |
| 856        | VSTECs          | 2,572,000                                      | \$ 12,422,760                                |
| 857        | PETROCHINA      | 335,638,519                                    | \$ 862,590,994                               |
| 860        | APOLLO FMG      | 29,172,903                                     | \$ 12,981,942                                |
| 861        | DC HOLDINGS     | 14,523,500                                     | \$ 88,302,880                                |
| 867        | CMS             | 14,961,289                                     | \$ 131,360,117                               |
| 868        | XINYI GLASS     | 51,391,236                                     | \$ 700,976,459                               |
| 874        | BAIYUNSHAN PH   | 10,003,255                                     | \$ 199,064,775                               |
| 877        | O-NET TECH GP   | 2,437,000                                      | \$ 15,450,580                                |
| 880        | SJM HOLDINGS    | 120,901,790                                    | \$ 1,274,304,867                             |
| 881        | ZHONGSHENG HLDG | 240,746,865                                    | \$ 11,844,745,758                            |
| 883        | CNOOC           | 103,917,121                                    | \$ 868,747,132                               |
| 884        | CIFI HOLD GP    | 72,242,108                                     | \$ 470,296,123                               |
| 902        | HUANENG POWER   | 105,580,395                                    | \$ 332,578,244                               |
| 906        | COFCO PACKAGING | 9,530,438                                      | \$ 30,497,402                                |
| 914        | CONCH CEMENT    | 16,103,258                                     | \$ 937,209,616                               |
| 916        | CHINA LONGYUAN  | 28,362,628                                     | \$ 138,976,877                               |
| 921        | HISENSE HA      | 3,991,814                                      | \$ 42,951,919                                |
| 934        | SINOPEC KANTONS | 32,639,549                                     | \$ 98,245,042                                |
| 939        | CCB             | 568,942,980                                    | \$ 3,095,049,811                             |
| 941        | CHINA MOBILE    | 46,460,531                                     | \$ 2,469,377,223                             |
| 950        | LEE'S PHARM     | 1,919,002                                      | \$ 10,880,741                                |
| 956        | CHINA SUNTIEN   | 57,644,496                                     | \$ 112,983,212                               |
| 960        | LONGFOR GROUP   | 21,107,105                                     | \$ 904,439,449                               |
| 966        | CHINA TAIPING   | 59,444,619                                     | \$ 725,224,352                               |
| 968        | XINYI SOLAR     | 197,009,491                                    | \$ 1,855,829,405                             |
| 973        | L'OCCITANE      | 1,704,800                                      | \$ 22,776,128                                |

**Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020**

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|------------|-----------------|--|--|
| 978        | CHI MER LAND    | 0  | \$ 0   |
| 981        | SMIC            | 263,726,812                                    | \$ 6,237,139,104                             |
| 991        | DATANG POWER    | 50,932,054                                     | \$ 51,950,695                                |
| 992        | LENOVO GROUP    | 492,246,466                                    | \$ 2,564,604,088                             |
| 995        | ANHUIEXPRESSWAY | 1,464,000                                      | \$ 5,519,280                                 |
| 998        | CITIC BANK      | 99,103,956                                     | \$ 316,141,620                               |
| 1003       | HUANXI MEDIA    | 1,490,000                                      | \$ 2,235,000                                 |
| 1030       | SEAZEN          | 66,265,561                                     | \$ 442,653,947                               |
| 1031       | KINGSTON FIN    | 0  | \$ 0   |
| 1033       | SINOPEC SSC     | 9,630,000                                      | \$ 5,103,900                                 |
| 1038       | CKI HOLDINGS    | 8,771,143                                      | \$ 344,705,920                               |
| 1044       | HENGAN INT'L    | 39,949,942                                     | \$ 2,440,941,456                             |
| 1052       | YUEXIUTRANSPORT | 13,121,323                                     | \$ 62,195,071                                |
| 1055       | CHINA SOUTH AIR | 243,807,194                                    | \$ 1,050,809,006                             |
| 1060       | ALI PICTURES    | 578,091,200                                    | \$ 687,928,528                               |
| 1061       | ESSEX BIO-TECH  | 1,165,874                                      | \$ 5,386,338                                 |
| 1066       | WEIGAO GROUP    | 129,994,490                                    | \$ 2,423,097,294                             |
| 1070       | TCL ELECTRONICS | 12,998,354                                     | \$ 70,321,095                                |
| 1071       | HUADIAN POWER   | 34,364,406                                     | \$ 72,508,897                                |
| 1072       | DONGFANG ELEC   | 906,602  | \$ 4,206,633                                 |
| 1076       | IMPERIAL PAC    | 0  | \$ 0   |
| 1083       | TOWNGAS CHINA   | 14,839,192                                     | \$ 50,156,469                                |
| 1088       | CHINA SHENHUA   | 55,409,917                                     | \$ 740,276,491                               |
| 1089       | LEYOU TECH H    | 23,795,009                                     | \$ 76,857,879                                |
| 1093       | CSPC PHARMA     | 113,036,104                                    | \$ 1,822,141,996                             |
| 1098       | ROAD KING INFRA | 2,929,101                                      | \$ 29,876,830                                |
| 1099       | SINOPHARM       | 56,514,216                                     | \$ 1,020,646,741                             |
| 1109       | CHINA RES LAND  | 53,805,152                                     | \$ 1,985,410,109                             |
| 1111       | CHONG HING BANK | 0  | \$ 0   |
| 1112       | H&H INTL HLDG   | 8,571,224                                      | \$ 286,707,443                               |
| 1113       | CK ASSET        | 20,697,010                                     | \$ 850,647,111                               |
| 1114       | BRILLIANCE CHI  | 99,899,980                                     | \$ 677,321,864                               |
| 1117       | CH MODERN D     | 169,244,694                                    | \$ 191,246,504                               |
| 1119       | IDREAMSKY       | 2,811,200                                      | \$ 14,056,000                                |
| 1122       | QINGLING MOTORS | 472,000  | \$ 641,920                                   |
| 1128       | WYNN MACAU      | 138,083,149                                    | \$ 1,996,682,335                             |
| 1131       | AGRITRADE RES   | 37,715,000                                     | \$ 3,130,345                                 |
| 1133       | HARBIN ELECTRIC | 7,489,001                                      | \$ 18,198,272                                |
| 1137       | HKTV            | 11,425,121                                     | \$ 149,440,583                               |
| 1138       | COSCO SHIP ENGY | 43,951,397                                     | \$ 147,237,180                               |
| 1141       | CMBC CAPITAL    | 64,027,126                                     | \$ 10,116,286                                |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1157       | ZOONLION        | 140,225,295                                    | \$ 1,107,779,831                             |
| 1169       | HAIER ELEC      | 19,307,153                                     | \$ 525,154,562                               |
| 1171       | YANZHOU COAL    | 111,422,106                                    | \$ 657,390,425                               |
| 1176       | ZHUGUANG HOLD   | 3,374,000                                      | \$ 3,711,400                                 |
| 1177       | SINO BIOPHARM   | 464,871,913                                    | \$ 4,225,685,689                             |
| 1186       | CHINA RAIL CONS | 55,678,252                                     | \$ 321,820,297                               |
| 1193       | CHINA RES GAS   | 19,496,555                                     | \$ 705,775,291                               |
| 1196       | REALORD GROUP   | 532,000  | \$ 2,324,840                                 |
| 1199       | COSCO SHIP PORT | 27,832,913                                     | \$ 131,928,008                               |
| 1208       | MMG             | 190,135,936                                    | \$ 391,680,028                               |
| 1211       | BYD COMPANY     | 71,281,281                                     | \$ 5,841,500,978                             |
| 1212       | LIFESTYLE INT'L | 12,624,167                                     | \$ 90,262,794                                |
| 1216       | ZYBANK          | 0  | \$ 0   |
| 1221       | SINO HOTELS     | 0  | \$ 0   |
| 1224       | C C LAND        | 0  | \$ 0   |
| 1233       | TIMES CHINA     | 12,859,581                                     | \$ 156,372,505                               |
| 1234       | CHINA LILANG    | 6,204,035                                      | \$ 26,181,028                                |
| 1238       | POWERLONG       | 9,632,711                                      | \$ 52,690,929                                |
| 1252       | CHINA TIANRUI   | 772,000  | \$ 5,890,360                                 |
| 1257       | CEB GREENTECH   | 33,730,237                                     | \$ 110,972,480                               |
| 1258       | CHINFMINING     | 0  | \$ 0   |
| 1268       | MEIDONG AUTO    | 9,530,000                                      | \$ 257,786,500                               |
| 1282       | GLORY SUN FIN   | 34,748,000                                     | \$ 11,814,320                                |
| 1288       | ABC             | 363,267,974                                    | \$ 911,802,615                               |
| 1293       | GRAND BAOXIN    | 6,337,367                                      | \$ 7,668,214                                 |
| 1299       | AIA             | 83,356,041                                     | \$ 6,501,771,198                             |
| 1300       | TRIGIANT        | 3,596,000                                      | \$ 2,984,680                                 |
| 1302       | LIFETECH SCI    | 39,325,605                                     | \$ 84,550,051                                |
| 1308       | SITC            | 5,469,777                                      | \$ 55,244,748                                |
| 1310       | HKBN            | 17,134,093                                     | \$ 249,815,076                               |
| 1313       | CHINARES CEMENT | 29,663,216                                     | \$ 358,924,914                               |
| 1316       | NEXTEER         | 83,934,806                                     | \$ 444,015,124                               |
| 1317       | MAPLELEAF EDU   | 140,707,666                                    | \$ 337,698,398                               |
| 1333       | CHINA ZHONGWANG | 51,175,447                                     | \$ 81,880,715                                |
| 1336       | NCI             | 20,813,303                                     | \$ 628,561,751                               |
| 1337       | RAZER           | 98,450,120                                     | \$ 153,582,187                               |
| 1339       | PICC GROUP      | 125,785,929                                    | \$ 311,949,104                               |
| 1347       | HUA HONG SEMI   | 80,508,147                                     | \$ 2,346,812,485                             |
| 1349       | FUDANZHANGJIANG | 1,125,000                                      | \$ 5,197,500                                 |
| 1359       | CHINA CINDA     | 246,060,253                                    | \$ 369,090,380                               |
| 1366       | JIANGNAN GP     | 0  | \$ 0   |



## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1368       | XTEP INT'L      | 61,504,187                                     | \$ 148,840,133                               |
| 1375       | CC SECURITIES   | 12,926,571                                     | \$ 19,777,654                                |
| 1378       | CHINAHONGQIAO   | 54,795,878                                     | \$ 286,034,483                               |
| 1381       | CANVEST ENV     | 9,986,560                                      | \$ 33,554,842                                |
| 1382       | PACIFICTEXTILES | 19,539,999                                     | \$ 67,022,197                                |
| 1383       | SUNCITY GROUP   | 51,970,000                                     | \$ 42,095,700                                |
| 1385       | SHANGHAI FUDAN  | 12,826,000                                     | \$ 218,042,000                               |
| 1398       | ICBC            | 332,477,040                                    | \$ 1,416,352,190                             |
| 1426       | SPRING REIT     | 0  | \$ 0   |
| 1448       | FU SHOU YUAN    | 12,916,459                                     | \$ 102,944,178                               |
| 1458       | ZHOU HEI YA     | 60,713,631                                     | \$ 521,530,090                               |
| 1475       | NISSIN FOODS    | 6,391,632                                      | \$ 57,524,688                                |
| 1478       | Q TECH          | 43,263,598                                     | \$ 422,252,716                               |
| 1501       | KDL MEDICAL     | 182,014  | \$ 10,420,302                                |
| 1503       | CMC REIT        | 0  | \$ 0   |
| 1508       | CHINA RE        | 103,111,374                                    | \$ 82,489,099                                |
| 1513       | LIVZON PHARMA   | 3,674,395                                      | \$ 128,971,265                               |
| 1515       | CR MEDICAL      | 31,914,373                                     | \$ 165,316,452                               |
| 1521       | FRONTAGE        | 29,945,920                                     | \$ 120,382,598                               |
| 1528       | RS MACALLINE    | 1,305,460                                      | \$ 6,279,263                                 |
| 1530       | 3SBIO           | 211,953,818                                    | \$ 1,903,345,286                             |
| 1548       | GENSCRIPT BIO   | 66,975,070                                     | \$ 984,533,529                               |
| 1551       | GRCB            | 0  | \$ 0   |
| 1558       | HEC PHARM       | 36,286,600                                     | \$ 412,215,776                               |
| 1565       | VIRSCEND EDU    | 10,001,323                                     | \$ 24,103,188                                |
| 1566       | CA CULTURAL     | 3,037,000                                      | \$ 7,258,430                                 |
| 1568       | SUNDART HLDGS   | 0  | \$ 0   |
| 1569       | MINSHENG EDU    | 0  | \$ 0   |
| 1578       | BANK OF TIANJIN | 0  | \$ 0   |
| 1579       | YIHAI INTL      | 17,887,223                                     | \$ 2,123,213,370                             |
| 1585       | YADEA           | 19,968,000                                     | \$ 162,739,200                               |
| 1589       | CNLP            | 21,508,805                                     | \$ 77,216,610                                |
| 1600       | TIAN LUN GAS    | 6,352,241                                      | \$ 35,826,639                                |
| 1606       | CDB LEASING     | 0  | \$ 0   |
| 1608       | VPOWER GROUP    | 0  | \$ 0   |
| 1610       | COFCO MEAT      | 145,268,896                                    | \$ 531,684,159                               |
| 1618       | MCC             | 7,648,596                                      | \$ 10,478,577                                |
| 1622       | REDCO GROUP     | 18,508,512                                     | \$ 69,221,835                                |
| 1628       | YUZHOU GROUP    | 59,932,490                                     | \$ 210,363,040                               |
| 1638       | KAISA GROUP     | 62,491,374                                     | \$ 266,213,253                               |
| 1658       | PSBC            | 312,429,232                                    | \$ 1,105,999,481                             |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1660       | ZHAOBANGJI PPT  | 1,352,000                                      | \$ 1,514,240                                 |
| 1666       | TONG REN TANG   | 18,831,779                                     | \$ 112,990,674                               |
| 1668       | CHINASOUTHCITY  | 39,000,000                                     | \$ 30,420,000                                |
| 1672       | ASCLETIS-B      | 24,264,000                                     | \$ 66,240,720                                |
| 1675       | ASIAINFO TECH   | 219,200  | \$ 2,880,288                                 |
| 1680       | MACAU LEGEND    | 5,631,233                                      | \$ 5,237,047                                 |
| 1681       | CONSUN PHARMA   | 3,219,822                                      | \$ 10,818,602                                |
| 1686       | SUNEVISION      | 23,609,018                                     | \$ 140,473,657                               |
| 1717       | AUSNUTRIA       | 21,591,000                                     | \$ 271,182,960                               |
| 1727       | HEBEI CONS      | 2,030,500                                      | \$ 34,112,400                                |
| 1728       | ZHENGTONGAUTO   | 133,890,046                                    | \$ 133,890,046                               |
| 1753       | DUIBA           | 11,124,800                                     | \$ 37,268,080                                |
| 1755       | S-ENJOY SERVICE | 2,947,000                                      | \$ 66,454,850                                |
| 1765       | HOPE EDU        | 32,436,000                                     | \$ 76,873,320                                |
| 1766       | CRRC            | 100,739,596                                    | \$ 323,374,103                               |
| 1769       | SCHOLAR EDU     | 975,000  | \$ 22,473,750                                |
| 1772       | GANFENGLITHIUM  | 6,927,900                                      | \$ 275,730,420                               |
| 1773       | TIANLI EDU      | 17,298,000                                     | \$ 117,799,380                               |
| 1776       | GF SEC          | 62,275,403                                     | \$ 598,466,623                               |
| 1777       | FANTASIA        | 22,916,750                                     | \$ 35,062,628                                |
| 1778       | COLOUR LIFE     | 31,437,546                                     | \$ 119,148,299                               |
| 1787       | SD GOLD         | 13,996,106                                     | \$ 305,115,111                               |
| 1788       | GUOTAI JUNAN I  | 106,720,076                                    | \$ 119,526,485                               |
| 1789       | AK MEDICAL      | 44,525,185                                     | \$ 884,270,174                               |
| 1797       | KOOLEARN        | 47,428,685                                     | \$ 1,603,089,553                             |
| 1798       | DATANG RENEW    | 9,332,000                                      | \$ 9,705,280                                 |
| 1800       | CHINA COMM CONS | 150,999,853                                    | \$ 622,119,394                               |
| 1801       | INNOVENT BIO    | 9,355,955                                      | \$ 484,638,469                               |
| 1806       | HUIFU PAYMENT   | 6,934,916                                      | \$ 19,417,765                                |
| 1809       | PRINX CHENGSHAN | 0  | \$ 0   |
| 1810       | XIAOMI-W        | 336,748,719                                    | \$ 8,250,343,616                             |
| 1811       | CGN NEW ENERGY  | 35,666,460                                     | \$ 48,149,721                                |
| 1813       | KWG GROUP       | 34,270,221                                     | \$ 502,401,440                               |
| 1816       | CGN POWER       | 176,108,146                                    | \$ 287,056,278                               |
| 1817       | MULSANNE GROUP  | 1,679,500                                      | \$ 9,237,250                                 |
| 1818       | ZHAOJIN MINING  | 41,163,934                                     | \$ 362,654,259                               |
| 1821       | ESR             | 6,950,000                                      | \$ 168,885,000                               |
| 1829       | CMEC            | 9,590,706                                      | \$ 15,824,665                                |
| 1833       | PA GOODDOCTOR   | 89,319,302                                     | \$ 9,941,238,313                             |
| 1836       | STELLA HOLDINGS | 5,771,500                                      | \$ 43,113,105                                |
| 1839       | CIMC VEHICLES   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1848       | CALC            | 3,230,533                                      | \$ 22,904,479                                |
| 1858       | CHUNLI MEDICAL  | 5,968,990                                      | \$ 259,352,616                               |
| 1859       | BRIGHT CULTURE  | 762,000  | \$ 1,447,800                                 |
| 1860       | MOBVISTA        | 0  | \$ 0   |
| 1873       | VIVA BIOTECH    | 38,041,166                                     | \$ 330,197,321                               |
| 1876       | BUD APAC        | 178,685,325                                    | \$ 4,520,738,723                             |
| 1877       | JUNSHI BIO      | 5,747,200                                      | \$ 275,290,880                               |
| 1881       | REGAL REIT      | 0  | \$ 0   |
| 1882       | HAITIAN INT'L   | 4,972,497                                      | \$ 95,173,593                                |
| 1883       | CITIC TELECOM   | 15,627,464                                     | \$ 41,256,505                                |
| 1888       | KB LAMINATES    | 28,362,687                                     | \$ 310,287,796                               |
| 1890       | CHINA KEPEI     | 2,086,000                                      | \$ 12,516,000                                |
| 1896       | MAOYAN ENT      | 6,686,200                                      | \$ 101,763,964                               |
| 1898       | CHINA COAL      | 32,275,100                                     | \$ 60,677,188                                |
| 1899       | XINGDA INT'L    | 0  | \$ 0   |
| 1907       | CHINA RISUN GP  | 0  | \$ 0   |
| 1908       | C&D INTL GROUP  | 3,143,000                                      | \$ 41,801,900                                |
| 1910       | SAMSONITE       | 107,036,651                                    | \$ 955,837,293                               |
| 1911       | CR HOLDINGS     | 243,600  | \$ 4,794,048                                 |
| 1913       | PRADA           | 16,226,454                                     | \$ 514,378,592                               |
| 1916       | JIANGXI BANK    | 1,781,520                                      | \$ 6,146,244                                 |
| 1918       | SUNAC           | 46,620,696                                     | \$ 1,498,855,376                             |
| 1919       | COSCO SHIP HOLD | 56,461,031                                     | \$ 221,891,852                               |
| 1928       | SANDS CHINA LTD | 60,789,120                                     | \$ 2,036,435,520                             |
| 1929       | CHOW TAI FOOK   | 5,904,200                                      | \$ 55,263,312                                |
| 1951       | JXR             | 57,779,297                                     | \$ 603,215,861                               |
| 1958       | BAIC MOTOR      | 53,867,982                                     | \$ 186,921,898                               |
| 1963       | BCQ             | 3,118,923                                      | \$ 13,380,180                                |
| 1966       | CHINA SCE GROUP | 16,579,000                                     | \$ 65,155,470                                |
| 1970       | IMAX CHINA      | 3,245,700                                      | \$ 41,090,562                                |
| 1972       | SWIREPROPERTIES | 43,827,234                                     | \$ 887,501,489                               |
| 1988       | MINSHENG BANK   | 629,353,463                                    | \$ 2,920,200,068                             |
| 1992       | FOSUN TOURISM   | 0  | \$ 0   |
| 1995       | EVERSUNSHINE LS | 21,232,000                                     | \$ 348,204,800                               |
| 1996       | RSUN PPT        | 668,000  | \$ 1,790,240                                 |
| 1997       | WHARF REIC      | 75,042,554                                     | \$ 2,408,865,983                             |
| 1999       | MAN WAH HLDGS   | 14,705,060                                     | \$ 159,991,053                               |
| 2001       | NEW HIGHER EDU  | 8,091,000                                      | \$ 44,662,320                                |
| 2005       | SSY GROUP       | 113,390,354                                    | \$ 551,077,120                               |
| 2006       | JINJIANGCAPITAL | 24,932,000                                     | \$ 31,912,960                                |
| 2007       | COUNTRY GARDEN  | 410,249,383                                    | \$ 3,971,214,027                             |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 2009       | BBMG             | 52,269,981                                     | \$ 83,109,270                                |
| 2013       | WEIMOB INC       | 283,488,518                                    | \$ 3,498,248,312                             |
| 2016       | CZBANK           | 0  | \$ 0   |
| 2018       | AAC TECH         | 99,468,769                                     | \$ 4,769,527,474                             |
| 2019       | DEXIN CHINA      | 2,731,000                                      | \$ 8,029,140                                 |
| 2020       | ANTA SPORTS      | 42,476,322                                     | \$ 3,251,562,449                             |
| 2038       | FIH              | 163,323,799                                    | \$ 148,624,657                               |
| 2039       | CIMC             | 12,878,731                                     | \$ 105,991,956                               |
| 2048       | E-HOUSE ENT      | 7,366,386                                      | \$ 77,789,036                                |
| 2066       | SHENGJINGBANK    | 0  | \$ 0   |
| 2098       | ZALL SMARTCOM    | 10,450,000                                     | \$ 8,046,500                                 |
| 2103       | SINIC HOLDINGS   | 0  | \$ 0   |
| 2128       | CHINA LESSO      | 10,333,000                                     | \$ 149,621,840                               |
| 2139       | BANK OF GANSU    | 1,958,000                                      | \$ 2,075,480                                 |
| 2168       | KAISA PROSPER    | 1,444,596                                      | \$ 39,798,620                                |
| 2186       | LUYE PHARMA      | 196,795,819                                    | \$ 911,164,642                               |
| 2196       | FOSUN PHARMA     | 34,034,227                                     | \$ 1,041,447,346                             |
| 2198       | CHINA SANJIANG   | 1,508,200                                      | \$ 3,182,302                                 |
| 2202       | CHINA VANKE      | 29,470,039                                     | \$ 717,595,450                               |
| 2208       | GOLDWIND         | 43,411,915                                     | \$ 273,060,945                               |
| 2231       | JY GRANDMARK     | 0  | \$ 0   |
| 2233       | WESTCHINACEMENT  | 85,561,446                                     | \$ 110,374,265                               |
| 2238       | GAC GROUP        | 229,485,043                                    | \$ 1,523,780,686                             |
| 2269       | WUXI BIO         | 15,396,617                                     | \$ 2,897,643,319                             |
| 2282       | MGM CHINA        | 76,072,807                                     | \$ 800,285,930                               |
| 2299       | BILLION IND      | 0  | \$ 0   |
| 2313       | SHENZHOU INTL    | 18,272,337                                     | \$ 2,302,314,462                             |
| 2314       | LEE & MAN PAPER  | 35,509,461                                     | \$ 165,118,994                               |
| 2318       | PING AN          | 786,200,962                                    | \$ 63,289,177,441                            |
| 2319       | MENGNU DAIRY     | 53,629,784                                     | \$ 2,027,205,835                             |
| 2322       | HK CHAOSHANG GP  | 1,288,000                                      | \$ 1,391,040                                 |
| 2328       | PICC P&C         | 78,287,322                                     | \$ 461,895,200                               |
| 2329       | GUORUI PPT       | 2,112,000                                      | \$ 2,407,680                                 |
| 2331       | LI NING          | 38,572,618                                     | \$ 1,263,253,240                             |
| 2333       | GREATWALL MOTOR  | 335,880,016                                    | \$ 3,019,561,344                             |
| 2338       | WEICHAJ POWER    | 70,580,227                                     | \$ 1,129,283,632                             |
| 2342       | COMBA            | 141,947,071                                    | \$ 471,264,276                               |
| 2343       | PACIFIC BASIN    | 253,856,680                                    | \$ 276,703,781                               |
| 2356       | DAHSHING BANKING | 2,468,043                                      | \$ 17,868,631                                |
| 2357       | AVICHINA         | 123,030,476                                    | \$ 585,625,066                               |
| 2359       | WUXI APTEC       | 29,986,852                                     | \$ 3,391,512,961                             |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2362       | JINCHUAN INTL   | 47,108,384                                     | \$ 36,744,540                                |
| 2378       | PRU             | 0  | \$ 0   |
| 2380       | CHINA POWER     | 177,021,780                                    | \$ 261,992,234                               |
| 2382       | SUNNY OPTICAL   | 50,912,856                                     | \$ 6,012,808,294                             |
| 2383       | TOM GROUP       | 0  | \$ 0   |
| 2386       | SINOPEC SEG     | 38,774,789                                     | \$ 134,548,518                               |
| 2388       | BOC HONG KONG   | 72,655,065                                     | \$ 1,576,614,911                             |
| 2400       | XD INC          | 6,793,051                                      | \$ 279,194,396                               |
| 2500       | VENUS MEDTECH-B | 3,939,000                                      | \$ 289,910,400                               |
| 2552       | HUA MEDICINE-B  | 3,685,043                                      | \$ 22,073,408                                |
| 2558       | JINSHANG BANK   | 0  | \$ 0   |
| 2588       | BOC AVIATION    | 18,082,571                                     | \$ 1,065,063,432                             |
| 2600       | CHALCO          | 128,559,346                                    | \$ 244,262,757                               |
| 2601       | CPIC            | 70,192,610                                     | \$ 1,488,083,332                             |
| 2606       | LANGUANGJUSTBON | 512,700  | \$ 25,558,095                                |
| 2607       | SH PHARMA       | 14,945,066                                     | \$ 205,345,207                               |
| 2608       | SUNSHINE 100    | 0  | \$ 0   |
| 2611       | GTJA            | 4,391,272                                      | \$ 50,323,977                                |
| 2616       | CSTONE PHARMA-B | 11,493,861                                     | \$ 103,444,749                               |
| 2628       | CHINA LIFE      | 112,479,575                                    | \$ 2,069,624,180                             |
| 2638       | HKELECTRIC-SS   | 81,909,778                                     | \$ 649,544,540                               |
| 2666       | UNI MEDICAL     | 18,405,197                                     | \$ 97,363,492                                |
| 2669       | CHINA OVS PPT   | 61,953,180                                     | \$ 422,520,688                               |
| 2678       | TEXHONG TEXTILE | 930,000  | \$ 5,524,200                                 |
| 2688       | ENN ENERGY      | 14,206,479                                     | \$ 1,286,396,673                             |
| 2689       | ND PAPER        | 142,156,601                                    | \$ 1,313,526,993                             |
| 2696       | HENLIUS-B       | 199,066  | \$ 10,450,965                                |
| 2727       | SH ELECTRIC     | 39,870,058                                     | \$ 89,308,930                                |
| 2768       | JIAYUAN INTL    | 3,661,490                                      | \$ 12,741,985                                |
| 2772       | ZHONGLIANG HLDG | 2,725,500                                      | \$ 13,245,930                                |
| 2777       | R&F PROPERTIES  | 96,008,695                                     | \$ 963,927,298                               |
| 2778       | CHAMPION REIT   | 16,670,868                                     | \$ 68,350,559                                |
| 2799       | CHINA HUARONG   | 233,409,637                                    | \$ 198,398,191                               |
| 2800       | TRACKER FUND    | 52,554,662                                     | \$ 1,341,194,974                             |
| 2801       | ISHARES CHINA   | 0  | \$ 0   |
| 2802       | ISHARES EM ASIA | 0  | \$ 0   |
| 2803       | PP BEDROCK      | 0  | \$ 0   |
| 2804       | PREMIA VIET     | 0  | \$ 0   |
| 2805       | VANGUARDASXJP   | 0  | \$ 0   |
| 2806       | GX CN CONSUME   | 0  | \$ 0   |
| 2807       | GX CN ROBO&AI   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2809       | GX CN CLN EN    | 0  | \$ 0   |
| 2810       | PREMIAEMASEAN   | 0  | \$ 0   |
| 2811       | HT CSI300 ETF   | 0  | \$ 0   |
| 2812       | SAMSUNGCDGN     | 0  | \$ 0   |
| 2813       | CAM CTPB BOND   | 0  | \$ 0   |
| 2819       | ABF HK IDX ETF  | 0  | \$ 0   |
| 2820       | GX CN BIOTECH   | 0  | \$ 0   |
| 2821       | ABF PAIF        | 0  | \$ 0   |
| 2822       | CSOP A50 ETF    | 49,404,370                                     | \$ 836,415,984                               |
| 2823       | ISHARES A50     | 60,819,939                                     | \$ 1,038,804,558                             |
| 2824       | LIPPO HK&M PROP | 0  | \$ 0   |
| 2825       | WISECSIHK100ETF | 0  | \$ 0   |
| 2826       | GX CN CLOUD     | 0  | \$ 0   |
| 2827       | WISE CSI300 ETF | 0  | \$ 0   |
| 2828       | HSCEI ETF       | 5,224,550                                      | \$ 531,859,190                               |
| 2832       | BOSERA FA50     | 0  | \$ 0   |
| 2833       | HS HSI ETF      | 0  | \$ 0   |
| 2834       | ISHARESND100    | 0  | \$ 0   |
| 2836       | ISHARES INDIA   | 0  | \$ 0   |
| 2838       | HS FCI50 ETF    | 0  | \$ 0   |
| 2840       | SPDR GOLD TRUST | 0  | \$ 0   |
| 2843       | AMUNDI A50      | 0  | \$ 0   |
| 2845       | GX CN ELE VEH   | 0  | \$ 0   |
| 2846       | ISHARESCSI300   | 0  | \$ 0   |
| 2848       | TRMSCIKOREA     | 0  | \$ 0   |
| 2858       | YIXIN           | 24,978,059                                     | \$ 48,707,215                                |
| 2866       | COSCO SHIP DEV  | 40,378,478                                     | \$ 32,302,782                                |
| 2868       | BJ CAPITAL LAND | 19,927,425                                     | \$ 28,296,944                                |
| 2869       | GREENTOWN SER   | 39,754,677                                     | \$ 380,849,806                               |
| 2877       | SHINEWAY PHARM  | 6,607,000                                      | \$ 34,290,330                                |
| 2883       | CHINA OILFIELD  | 32,126,079                                     | \$ 185,688,737                               |
| 2888       | STANCHART       | 2,090,368                                      | \$ 81,210,797                                |
| 2899       | ZIJIN MINING    | 122,953,872                                    | \$ 706,984,764                               |
| 3005       | CSOPCSI500ETF   | 0  | \$ 0   |
| 3007       | TRFXIC50        | 0  | \$ 0   |
| 3010       | ISHARES AXJ     | 0  | \$ 0   |
| 3011       | A ICBCICUSD     | 0  | \$ 0   |
| 3012       | AMUNDI HK35     | 0  | \$ 0   |
| 3015       | X TRNIFTY50     | 0  | \$ 0   |
| 3016       | TRMSCIPHIL      | 0  | \$ 0   |
| 3019       | X TRMSCIWORLD   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3020       | X TRMSCIUSA     | 0  | \$ 0   |
| 3022       | ISHARESMSCIEM   | 0  | \$ 0   |
| 3023       | PA AI&ROBOTICS  | 0  | \$ 0   |
| 3024       | WISE SSE50ETF   | 0  | \$ 0   |
| 3027       | X TRMSCIRUSSIA  | 0  | \$ 0   |
| 3032       | HSTECH ETF      | 0  | \$ 0   |
| 3033       | CSOP HS TECH    | 9,521,000                                      | \$ 72,930,860                                |
| 3036       | TRMSCITAIWAN    | 0  | \$ 0   |
| 3040       | GX MSCI CHINA   | 0  | \$ 0   |
| 3043       | TRMSCIPACEXJ    | 0  | \$ 0   |
| 3046       | VALUE CHINA ETF | 0  | \$ 0   |
| 3047       | F SSIFIRONORE   | 0  | \$ 0   |
| 3048       | TRMSCIBRAZIL    | 0  | \$ 0   |
| 3049       | X TRCSI300      | 0  | \$ 0   |
| 3053       | CSOP HKD MM     | 0  | \$ 0   |
| 3055       | TRMSCICHINA     | 0  | \$ 0   |
| 3065       | TRMSCISG        | 0  | \$ 0   |
| 3070       | PING AN HKDIV   | 0  | \$ 0   |
| 3072       | NIKKOAM INET    | 0  | \$ 0   |
| 3073       | SPDR GREATCHINA | 0  | \$ 0   |
| 3074       | ISHARESMSCITW   | 0  | \$ 0   |
| 3077       | PREMIA UST      | 0  | \$ 0   |
| 3079       | CICC CGB        | 0  | \$ 0   |
| 3080       | PING AN CGB     | 0  | \$ 0   |
| 3081       | VALUEGOLD ETF   | 0  | \$ 0   |
| 3082       | TRMSCIMALAY     | 0  | \$ 0   |
| 3085       | VANGUARDAXJHY   | 0  | \$ 0   |
| 3086       | BMO NASDAQ100   | 0  | \$ 0   |
| 3087       | X TRFTSEVIET    | 0  | \$ 0   |
| 3088       | CAM HS TECH     | 0  | \$ 0   |
| 3091       | A NIKKOAMGAME   | 0  | \$ 0   |
| 3092       | TRMSCITHAI      | 0  | \$ 0   |
| 3093       | CICCSELECT100   | 0  | \$ 0   |
| 3095       | VALUE A SHARE   | 0  | \$ 0   |
| 3096       | CSOP USD MM     | 0  | \$ 0   |
| 3097       | F GX OIL        | 0  | \$ 0   |
| 3099       | X TRMSCIINDO    | 0  | \$ 0   |
| 3100       | EFUND CSI100    | 0  | \$ 0   |
| 3101       | VANGUARDDDVEUR  | 0  | \$ 0   |
| 3110       | GX HS HIGH DIV  | 0  | \$ 0   |
| 3115       | ISHARESHSI      | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3118       | HGI MSCI CN A   | 0  | \$ 0   |
| 3121       | BMO AP REAL EST | 0  | \$ 0   |
| 3122       | CSOP RMB MM     | 0  | \$ 0   |
| 3126       | VANGUARDJAPAN   | 0  | \$ 0   |
| 3127       | GX CSI300       | 0  | \$ 0   |
| 3128       | HS AINDTOPETF   | 0  | \$ 0   |
| 3140       | VANGUARDSP500   | 0  | \$ 0   |
| 3141       | BMO ASIA IGB    | 0  | \$ 0   |
| 3143       | BMO HK BANKS    | 0  | \$ 0   |
| 3145       | BMO ASIA HIGHDV | 0  | \$ 0   |
| 3147       | CSOP CHINEXT    | 0  | \$ 0   |
| 3149       | CSOP MSCI       | 0  | \$ 0   |
| 3157       | CAM SCSMALLCAP  | 0  | \$ 0   |
| 3160       | BMO JAPAN HDG   | 0  | \$ 0   |
| 3163       | PA MULTI-FACTOR | 0  | \$ 0   |
| 3165       | BMO EU QLTY HDG | 0  | \$ 0   |
| 3166       | PA QLTY FACTOR  | 0  | \$ 0   |
| 3167       | ICBCCSOPCHINA   | 0  | \$ 0   |
| 3169       | VANGUARDCHINA   | 0  | \$ 0   |
| 3170       | ISHARESXS200    | 0  | \$ 0   |
| 3173       | PP CN NEWCON    | 0  | \$ 0   |
| 3175       | F SAMSUNG OIL   | 0  | \$ 0   |
| 3181       | PREMIAASIA TEC  | 0  | \$ 0   |
| 3182       | WISE NEW ECON50 | 0  | \$ 0   |
| 3186       | CICCKRANECNET   | 0  | \$ 0   |
| 3188       | CAM CSI300      | 38,491,177                                     | \$ 2,128,562,088                             |
| 3191       | GX CN SEMICON   | 0  | \$ 0   |
| 3197       | CAM MSCI AINC   | 0  | \$ 0   |
| 3198       | PING AN 5HANDL  | 0  | \$ 0   |
| 3199       | CSOP CTPBOND    | 0  | \$ 0   |
| 3301       | RONSHINECHINA   | 18,515,348                                     | \$ 110,166,321                               |
| 3306       | JNBY            | 2,597,000                                      | \$ 19,477,500                                |
| 3308       | GOLDEN EAGLE    | 1,196,000                                      | \$ 8,635,120                                 |
| 3309       | C-MER EYE       | 11,970,367                                     | \$ 81,518,199                                |
| 3311       | CHINA STATE CON | 84,718,186                                     | \$ 547,279,482                               |
| 3319       | A-LIVING        | 26,663,788                                     | \$ 1,023,889,459                             |
| 3320       | CHINARES PHARMA | 35,264,116                                     | \$ 148,814,570                               |
| 3323       | CNBM            | 39,629,490                                     | \$ 441,472,519                               |
| 3328       | BANKCOMM        | 137,012,750                                    | \$ 539,830,235                               |
| 3331       | VINDA INT'L     | 33,361,267                                     | \$ 860,720,689                               |
| 3333       | EVERGRANDE      | 205,346,088                                    | \$ 3,478,562,731                             |



## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3336       | JU TENG INTL    | 10,705,424                                     | \$ 25,907,126                                |
| 3339       | LONKING         | 47,377,891                                     | \$ 105,652,697                               |
| 3360       | FE HORIZON      | 99,369,037                                     | \$ 689,621,117                               |
| 3377       | SINO-OCEAN GP   | 71,499,656                                     | \$ 127,269,388                               |
| 3380       | LOGAN GROUP     | 18,698,475                                     | \$ 251,307,504                               |
| 3383       | AGILE GROUP     | 69,666,699                                     | \$ 725,927,004                               |
| 3393       | WASION HOLDINGS | 1,186,000                                      | \$ 2,704,080                                 |
| 3396       | LEGENDHOLDING   | 27,537,637                                     | \$ 294,652,716                               |
| 3603       | XINJI SHAXI     | 909,000  | \$ 2,236,140                                 |
| 3606       | FUYAO GLASS     | 19,349,431                                     | \$ 517,597,279                               |
| 3613       | TONGRENTANGCM   | 11,711,668                                     | \$ 98,260,895                                |
| 3618       | CQRC BANK       | 41,054,149                                     | \$ 130,962,735                               |
| 3633       | ZHONGYU GAS     | 3,365,600                                      | \$ 24,535,224                                |
| 3639       | YIDA CHINA      | 0  | \$ 0   |
| 3662       | AOYUAN HEALTHY  | 29,879,849                                     | \$ 207,067,354                               |
| 3668       | YANCOAL AUS     | 0  | \$ 0   |
| 3669       | YONGDA AUTO     | 38,603,554                                     | \$ 354,766,661                               |
| 3690       | MEITUAN-W       | 65,959,174                                     | \$ 17,268,111,753                            |
| 3692       | HANSOH PHARMA   | 57,694,543                                     | \$ 2,065,464,639                             |
| 3709       | EEKA FASHION    | 1,185,236                                      | \$ 10,809,352                                |
| 3759       | PHARMARON       | 5,766,468                                      | \$ 576,646,800                               |
| 3799       | DALI FOODS      | 48,757,096                                     | \$ 242,322,767                               |
| 3800       | GCL-POLY ENERGY | 881,476,041                                    | \$ 237,998,531                               |
| 3808       | SINOTRUK        | 51,724,184                                     | \$ 1,088,794,073                             |
| 3813       | POU SHENG INT'L | 4,625,000                                      | \$ 9,065,000                                 |
| 3818       | CHINA DONGXIANG | 132,157,817                                    | \$ 141,408,864                               |
| 3836       | HARMONY AUTO    | 11,160,788                                     | \$ 37,500,248                                |
| 3866       | BQD             | 0  | \$ 0   |
| 3868       | XINYI ENERGY    | 7,598,000                                      | \$ 20,970,480                                |
| 3877       | CSSC SHIPPING   | 0  | \$ 0   |
| 3883       | CHINA AOYUAN    | 12,544,244                                     | \$ 108,884,038                               |
| 3888       | KINGSOFT        | 95,155,568                                     | \$ 4,039,353,862                             |
| 3898       | CRRC TIMES ELEC | 19,544,549                                     | \$ 486,659,270                               |
| 3899       | CIMC ENRIC      | 33,700,500                                     | \$ 105,819,570                               |
| 3900       | GREENTOWN CHINA | 21,339,026                                     | \$ 224,913,334                               |
| 3908       | CICC            | 142,762,638                                    | \$ 2,666,806,078                             |
| 3918       | NAGACORP        | 24,776,233                                     | \$ 228,436,868                               |
| 3933       | UNITED LAB      | 11,650,051                                     | \$ 105,782,463                               |
| 3958       | DFZQ            | 3,355,200                                      | \$ 16,272,720                                |
| 3968       | CM BANK         | 93,766,286                                     | \$ 3,492,794,154                             |
| 3969       | CHINA CRSC      | 61,534,742                                     | \$ 183,373,531                               |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name        | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-------------------|--|--|
| 3983       | CHINA BLUECHEM    | 14,793,630                                     | \$ 16,125,057                                |
| 3988       | BANK OF CHINA     | 903,575,960                                    | \$ 2,249,904,140                             |
| 3990       | MIDEA REAL EST    | 5,408,599                                      | \$ 103,196,069                               |
| 3993       | CMOC              | 232,634,199                                    | \$ 723,492,359                               |
| 3996       | CH ENERGY ENG     | 0  | \$ 0   |
| 3998       | BOSIDENG          | 409,624,360                                    | \$ 901,173,592                               |
| 4332       | AMGEN-T           | 0  | \$ 0   |
| 4333       | CISCO-T           | 0  | \$ 0   |
| 4335       | INTEL-T           | 0  | \$ 0   |
| 4336       | APPL MATERIAL-T   | 0  | \$ 0   |
| 4337       | STARBUCKS-T       | 0  | \$ 0   |
| 4338       | MICROSOFT-T       | 0  | \$ 0   |
| 6030       | CITIC SEC         | 268,322,335                                    | \$ 4,963,963,198                             |
| 6049       | POLY PPT SER      | 6,574,402                                      | \$ 434,239,252                               |
| 6055       | CTIHK             | 9,040,383                                      | \$ 134,159,284                               |
| 6060       | ZA ONLINE         | 42,444,496                                     | \$ 2,147,691,498                             |
| 6066       | CSC               | 91,427,643                                     | \$ 1,009,361,179                             |
| 6068       | WISDOM EDU INTL   | 32,088,000                                     | \$ 95,943,120                                |
| 6069       | SHENGYE CAPITAL   | 2,415,000                                      | \$ 19,078,500                                |
| 6088       | FIT HON TENG      | 79,585,106                                     | \$ 247,509,680                               |
| 6098       | CG SERVICES       | 105,593,571                                    | \$ 5,712,612,191                             |
| 6099       | CMSC              | 2,426,220                                      | \$ 23,728,432                                |
| 6100       | TONGDAO LIEPIN    | 1,648,800                                      | \$ 32,217,552                                |
| 6110       | TOPSPORTS         | 36,645,403                                     | \$ 357,659,133                               |
| 6122       | JIUTAI RCB        | 0  | \$ 0   |
| 6138       | HARBIN BANK       | 0  | \$ 0   |
| 6158       | ZHENRO PPT        | 49,381,517                                     | \$ 222,710,642                               |
| 6160       | BEIGENE           | 0  | \$ 0   |
| 6166       | CHINA VAST        | 443,000  | \$ 1,297,990                                 |
| 6169       | YUHUA EDU         | 185,102,837                                    | \$ 1,319,783,228                             |
| 6178       | EB SECURITIES     | 21,962,800                                     | \$ 178,777,192                               |
| 6185       | CANSINO BIO-B     | 6,237,424                                      | \$ 1,056,619,626                             |
| 6186       | CHINA FEIHE       | 59,111,773                                     | \$ 974,162,019                               |
| 6196       | BANK OF ZHENGZHOU | 340,600  | \$ 630,110                                   |
| 6198       | QINGDAO PORT      | 4,214,394                                      | \$ 20,861,250                                |
| 6199       | BANK OF GUIZHOU   | 0  | \$ 0   |
| 6806       | SWHY              | 8,457,600                                      | \$ 18,860,448                                |
| 6808       | SUNART RETAIL     | 195,619,466                                    | \$ 1,891,640,236                             |
| 6818       | CEB BANK          | 31,377,303                                     | \$ 85,032,491                                |
| 6823       | HKT-SS            | 35,745,821                                     | \$ 381,050,452                               |
| 6826       | HAOHAI BIOTEC     | 1,637,474                                      | \$ 94,973,492                                |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 6837       | HAITONG SEC     | 197,832,630                                    | \$ 1,372,958,452                             |
| 6855       | ASCENTAGE-B     | 1,184,700                                      | \$ 38,325,045                                |
| 6862       | HAIDILAO        | 83,785,546                                     | \$ 4,478,337,434                             |
| 6865       | FLAT GLASS      | 16,622,000                                     | \$ 215,753,560                               |
| 6868       | TENFU           | 0  | \$ 0   |
| 6869       | YOFC            | 6,423,500                                      | \$ 87,745,010                                |
| 6878       | DIFFER GROUP    | 4,718,500                                      | \$ 3,255,765                                 |
| 6881       | CGS             | 184,389,052                                    | \$ 820,531,281                               |
| 6886       | HTSC            | 89,944,047                                     | \$ 1,199,853,587                             |
| 6889       | DYNAM JAPAN     | 1,203,400                                      | \$ 9,314,316                                 |
| 6919       | RENUI HR        | 2,131,100                                      | \$ 58,179,030                                |
| 7200       | FL2 CSOP HSI    | 24,450,600                                     | \$ 189,736,656                               |
| 7205       | FL2SAMSUNGHSI   | 0  | \$ 0   |
| 7221       | FL2CAMDXNHSI    | 0  | \$ 0   |
| 7228       | FL2SAMSUNGHSCEI | 0  | \$ 0   |
| 7230       | FL2 GX HSCEI    | 0  | \$ 0   |
| 7231       | FL2 GX HSI      | 0  | \$ 0   |
| 7233       | XL2CSOPCSI300   | 0  | \$ 0   |
| 7242       | FL2EFUND YT HSI | 0  | \$ 0   |
| 7261       | FL2CAMDXNNDQ100 | 0  | \$ 0   |
| 7266       | FL2CSOPNASDAQ   | 0  | \$ 0   |
| 7267       | FL2CAMDXNHSCEI  | 0  | \$ 0   |
| 7272       | XL2CAMDXNCSI300 | 0  | \$ 0   |
| 7288       | FL2 CSOP HSCEI  | 0  | \$ 0   |
| 7299       | FL2CSOPGOLD     | 0  | \$ 0   |
| 7300       | FI CSOP HSI     | 0  | \$ 0   |
| 7302       | FI EFUND YT HSI | 0  | \$ 0   |
| 7312       | FISAMSUNGHSI    | 0  | \$ 0   |
| 7321       | FICAMDXNHSI     | 0  | \$ 0   |
| 7322       | XI GX S&P500    | 0  | \$ 0   |
| 7328       | FISAMSUNGHSCEI  | 0  | \$ 0   |
| 7331       | FICAMDXNNDQ100  | 0  | \$ 0   |
| 7333       | XICSOPCSI300    | 0  | \$ 0   |
| 7336       | FI GX HSI       | 0  | \$ 0   |
| 7341       | FICAMDXNHSCEI   | 0  | \$ 0   |
| 7362       | FI GX HSCEI     | 0  | \$ 0   |
| 7373       | XICAMDXNCSI300  | 0  | \$ 0   |
| 7500       | FI2 CSOP HSI    | 0  | \$ 0   |
| 7522       | FI2CAMDXNNDQ100 | 0  | \$ 0   |
| 7568       | FI2CSOPNASDAQ   | 0  | \$ 0   |
| 7588       | FI2 CSOP HSCEI  | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name        | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-------------------|--|--|
| 8032       | VIVA CHINA        | 0  | \$ 0   |
| 8083       | CHINA YOUZAN      | 148,047,712                                    | \$ 244,278,725                               |
| 9010       | ISHARES AXJ-U     | 0  | \$ 0   |
| 9011       | A ICBCCICUSD-U    | 0  | \$ 0   |
| 9022       | ISHARESMSCIEM-U   | 0  | \$ 0   |
| 9047       | F SSIFIRONORE-U   | 0  | \$ 0   |
| 9072       | NIKKOAM INET-U    | 0  | \$ 0   |
| 9074       | ISHARESMSCITW-U   | 0  | \$ 0   |
| 9077       | PREMIA UST-U      | 0  | \$ 0   |
| 9078       | PREMIA UST A-U    | 0  | \$ 0   |
| 9081       | VALUEGOLD ETF-U   | 0  | \$ 0   |
| 9085       | VANGUARDAXJHY-U   | 0  | \$ 0   |
| 9086       | BMO NASDAQ100-U   | 0  | \$ 0   |
| 9088       | CAM HS TECH-U     | 0  | \$ 0   |
| 9091       | A NIKKOAMGAME-U   | 0  | \$ 0   |
| 9096       | CSOP USD MM-U     | 0  | \$ 0   |
| 9101       | VANGUARD DVEUR-U  | 0  | \$ 0   |
| 9115       | ISHARESHSI-U      | 0  | \$ 0   |
| 9126       | VANGUARD JAPAN-U  | 0  | \$ 0   |
| 9140       | VANGUARD SP500-U  | 0  | \$ 0   |
| 9141       | BMO ASIA IGB-U    | 0  | \$ 0   |
| 9167       | ICBCCSOPCHINA-U   | 0  | \$ 0   |
| 9169       | VANGUARD CHINA-U  | 0  | \$ 0   |
| 9170       | ISHARES KSKS200-U | 0  | \$ 0   |
| 9173       | PP CN NEWCON-U    | 0  | \$ 0   |
| 9181       | PREMIA ASIATEC-U  | 0  | \$ 0   |
| 9186       | CICCKRANECNET-U   | 0  | \$ 0   |
| 9191       | GX CN SEMICON-U   | 0  | \$ 0   |
| 9618       | JD-SW             | 8,845,077                                      | \$ 2,722,514,701                             |
| 9803       | PP BEDROCK-U      | 0  | \$ 0   |
| 9804       | PREMIA VIET-U     | 0  | \$ 0   |
| 9805       | VANGUARD ASXJP-U  | 0  | \$ 0   |
| 9806       | GX CN CONSUME-U   | 0  | \$ 0   |
| 9807       | GX CN ROBO&AI-U   | 0  | \$ 0   |
| 9809       | GX CN CLN EN-U    | 0  | \$ 0   |
| 9810       | PREMIA EMASEAN-U  | 0  | \$ 0   |
| 9812       | SAMSUNG CDGN-U    | 0  | \$ 0   |
| 9820       | GX CN BIOTECH-U   | 0  | \$ 0   |
| 9826       | GX CN CLOUD-U     | 0  | \$ 0   |
| 9834       | ISHARES ND100-U   | 0  | \$ 0   |
| 9836       | ISHARES INDIA-U   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 9845       | GX CN ELE VEH-U  | 0  | \$ 0   |
| 9846       | ISHARESCSI300-U  | 0  | \$ 0   |
| 9909       | POWERLONG CM     | 1,062,490                                      | \$ 26,296,628                                |
| 9922       | JIUMAOJIU        | 14,950,146                                     | \$ 257,142,511                               |
| 9928       | TIMES NEIGHBOR   | 13,581,818                                     | \$ 149,128,362                               |
| 9966       | ALPHAMAB-B       | 6,886,000                                      | \$ 106,733,000                               |
| 9968       | HUIJING HLDGS    | 1,778,000                                      | \$ 4,178,300                                 |
| 9969       | INNOCARE-B       | 3,803,000                                      | \$ 46,016,300                                |
| 9988       | BABA-SW          | 40,186,576                                     | \$ 11,011,121,824                            |
| 9999       | NTES-S           | 7,347,939                                      | \$ 1,097,782,087                             |
| 80737      | BAY AREA DEV-R   | 0  | \$ 0   |
| 82805      | VANGUARDASXJP-R  | 0  | \$ 0   |
| 82811      | HT CSI300 ETF-R  | 0  | \$ 0   |
| 82813      | CAM CTPB BOND-R  | 0  | \$ 0   |
| 82822      | CSOP A50 ETF-R   | 0  | \$ 0   |
| 82823      | ISHARES A50-R    | 0  | \$ 0   |
| 82828      | HSCEI ETF-R      | 0  | \$ 0   |
| 82832      | BOSERA FA50-R    | 0  | \$ 0   |
| 82833      | HS HSI ETF-R     | 0  | \$ 0   |
| 82834      | ISHARESND100-R   | 0  | \$ 0   |
| 82836      | ISHARES INDIA-R  | 0  | \$ 0   |
| 82843      | AMUNDI A50-R     | 0  | \$ 0   |
| 82846      | ISHARESCSI300-R  | 0  | \$ 0   |
| 83005      | CSOPCSI500ETF-R  | 0  | \$ 0   |
| 83010      | ISHARES AXJ-R    | 0  | \$ 0   |
| 83012      | AMUNDI HK35-R    | 0  | \$ 0   |
| 83053      | CSOP HKD MM-R    | 0  | \$ 0   |
| 83074      | ISHARESMSCITW-R  | 0  | \$ 0   |
| 83079      | CICC CGB-R       | 0  | \$ 0   |
| 83081      | VALUEGOLD ETF-R  | 0  | \$ 0   |
| 83085      | VANGUARDAXJHY-R  | 0  | \$ 0   |
| 83093      | CICCSELECT100-R  | 0  | \$ 0   |
| 83095      | VALUE A SHARE-R  | 0  | \$ 0   |
| 83100      | EFUND CSI100-R   | 0  | \$ 0   |
| 83101      | VANGUARDDDVEUR-R | 0  | \$ 0   |
| 83115      | ISHARESHSI-R     | 0  | \$ 0   |
| 83118      | HGI MSCI CN A-R  | 0  | \$ 0   |
| 83122      | CSOP RMB MM-R    | 0  | \$ 0   |
| 83126      | VANGUARDJAPAN-R  | 0  | \$ 0   |
| 83127      | GX CSI300-R      | 0  | \$ 0   |
| 83128      | HS AINDTOPETF-R  | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 04/09/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 83140      | VANGUARDSP500-R | 0  | \$ 0   |
| 83147      | CSOP CHINEXT-R  | 0  | \$ 0   |
| 83149      | CSOP MSCI-R     | 0  | \$ 0   |
| 83167      | ICBCCSOPCHINA-R | 0  | \$ 0   |
| 83168      | HS RMB GOLD ETF | 0  | \$ 0   |
| 83169      | VANGUARDCHINA-R | 0  | \$ 0   |
| 83170      | ISHARESXS200-R  | 0  | \$ 0   |
| 83186      | CICCKRANECNET-R | 0  | \$ 0   |
| 83188      | CAM CSI300-R    | 0  | \$ 0   |
| 83197      | CAM MSCI AINC-R | 0  | \$ 0   |
| 83199      | CSOP CTPBBOND-R | 0  | \$ 0   |
| 87001      | HUI XIAN REIT   | 0  | \$ 0   |