

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	8,674,947	\$ 448,928,507
2	CLP HOLDINGS	20,164,971	\$ 1,566,818,247
3	HK & CHINA GAS	405,210,820	\$ 4,903,050,922
4	WHARF HOLDINGS	148,242,524	\$ 2,371,880,384
5	HSBC HOLDINGS	157,796,764	\$ 5,909,488,812
6	POWER ASSETS	18,171,904	\$ 793,203,610
8	PCCW	42,595,315	\$ 189,549,152
10	HANG LUNG GROUP	5,060,931	\$ 95,854,033
11	HANG SENG BANK	48,372,143	\$ 6,438,332,233
12	HENDERSON LAND	29,291,994	\$ 899,264,216
14	HYSAN DEV	9,201,346	\$ 230,953,785
16	SHK PPT	21,326,503	\$ 2,156,109,453
17	NEW WORLD DEV	19,146,663	\$ 750,549,190
19	SWIRE PACIFIC A	16,461,529	\$ 678,214,995
20	WHEELLOCK	3,529,559	\$ 216,361,967
23	BANK OF E ASIA	33,497,778	\$ 599,610,226
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	53,337,570	\$ 2,917,565,079
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,500,355	\$ 3,615,856
41	GREAT EAGLE H	160,768	\$ 3,189,637
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	2,719,979	\$ 18,876,654
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,495,892	\$ 26,686,713
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	72,937,822	\$ 3,030,566,504
69	SHANGRI-LA ASIA	42,267,557	\$ 285,728,685
71	MIRAMAR HOTEL	220,000	\$ 3,058,000
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	421,477	\$ 1,336,082
81	CH OVS G OCEANS	11,770,607	\$ 57,675,974
83	SINO LAND	41,467,869	\$ 428,777,765
86	SUN HUNG KAI CO	525,000	\$ 1,648,500
88	TAI CHEUNG HOLD	134,000	\$ 672,680

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
95	LVGEM CHINA	13,740,000	\$ 33,937,800
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	88,454,067	\$ 1,700,087,168
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,366,000	\$ 2,486,120
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	4,978,863	\$ 41,971,815
119	POLY PROPERTY	13,431,948	\$ 33,982,828
123	YUEXIU PROPERTY	12,893,369	\$ 19,340,054
127	CHINESE EST H	1,127,000	\$ 6,671,840
135	KUNLUN ENERGY	40,414,069	\$ 205,707,611
136	HENG TEN NET	984,484,251	\$ 150,626,090
142	FIRST PACIFIC	4,558,000	\$ 6,700,260
144	CHINA MER PORT	35,880,180	\$ 338,350,097
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	9,184,395	\$ 199,301,372
151	WANT WANT CHINA	453,642,580	\$ 2,726,391,906
152	SHENZHEN INT'L	3,289,894	\$ 42,373,835
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	22,687,180	\$ 294,933,340
168	TSINGTAO BREW	11,624,416	\$ 699,789,843
171	SILVER GRANT	7,582,000	\$ 7,278,720
173	K. WAH INT'L	6,653,409	\$ 23,220,397
175	GEELY AUTO	142,715,049	\$ 2,020,845,094
177	JIANGSU EXPRESS	25,061,963	\$ 230,570,060
178	SA SA INT'L	95,330,692	\$ 122,976,593
179	JOHNSON ELEC H	4,918,936	\$ 67,881,317
182	CONCORD NE	12,090,000	\$ 3,929,250
189	DONGYUE GROUP	35,100,757	\$ 115,130,483
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	36,349,856	\$ 575,781,719
207	JOY CITY PPT	0	\$ 0
220	U-PRESID CHINA	9,986,502	\$ 80,191,611
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,018,000	\$ 997,640
241	ALI HEALTH	94,905,765	\$ 2,197,068,460
242	SHUN TAK HOLD	79,201,799	\$ 233,645,307
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	5,912,000	\$ 9,045,360
257	CHINA EB INT'L	78,857,776	\$ 343,031,326

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
261	GBA INV HLDGS	0	\$ 0
267	CITIC	20,993,157	\$ 162,906,898
268	KINGDEE INT'L	217,029,438	\$ 3,893,508,118
270	GUANGDONG INV	11,974,191	\$ 161,891,062
272	SHUI ON LAND	57,084,357	\$ 74,780,508
285	BYD ELECTRONIC	75,435,732	\$ 1,452,892,198
286	AIDIGONG	7,614,000	\$ 5,558,220
288	WH GROUP	215,083,139	\$ 1,492,676,985
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	38,442,981	\$ 1,802,975,809
293	CATHAY PAC AIR	37,846,352	\$ 276,278,370
297	SINOFERT	13,588,000	\$ 9,647,480
302	CMGE	21,472,000	\$ 74,293,120
303	VTECH HOLDINGS	3,697,150	\$ 174,875,195
308	CHINA TRAVEL HK	66,534,840	\$ 76,515,066
315	SMARTONE TELE	4,879,296	\$ 20,737,008
316	OOIL	0	\$ 0
322	TINGYI	72,043,294	\$ 897,659,443
323	MAANSHAN IRON	8,045,304	\$ 16,975,591
326	CHINA STAR ENT	650,000	\$ 1,111,500
327	PAX GLOBAL	12,332,468	\$ 41,190,443
330	ESPRIT HOLDINGS	37,419,152	\$ 31,057,896
336	HUABAO INTL	6,700,107	\$ 30,150,482
337	GREENLAND HK	2,917,180	\$ 7,934,730
338	SHANGHAI PECEM	141,140,191	\$ 283,691,784
341	CAFE DE CORAL H	11,369,226	\$ 182,589,770
345	VITASOY INT'L	66,013,591	\$ 1,944,100,255
347	ANGANG STEEL	92,049,468	\$ 181,337,452
354	CHINASOFT INT'L	248,781,092	\$ 1,117,027,103
358	JIANGXI COPPER	22,338,236	\$ 184,737,212
363	SHANGHAI IND H	4,981,000	\$ 61,266,300
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	200,968,141	\$ 641,088,370
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	1,204,000	\$ 7,139,720
383	CHINA MED&HCARE	4,630,000	\$ 819,510
384	CHINA GAS HOLD	78,217,398	\$ 2,010,187,129
386	SINOPEC CORP	181,875,809	\$ 614,740,234
388	HKEX	15,874,452	\$ 5,483,035,721
390	CHINA RAILWAY	93,062,247	\$ 389,000,192

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
392	BEIJING ENT	3,627,615	\$ 100,303,555
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	35,550,694	\$ 103,452,520
412	CHINA SDHS FIN	0	\$ 0
425	MINTH GROUP	42,218,752	\$ 966,809,421
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,113,690	\$ 25,670,555
451	GCL NEWENERGY	10,434,000	\$ 1,126,872
460	SIHUAN PHARM	93,237,303	\$ 69,927,977
467	UNITEDENERGY GP	16,124,000	\$ 23,218,560
468	GAPACK	707,504	\$ 1,924,411
480	HKR INT'L	303,156	\$ 994,352
486	RUSAL	27,100,000	\$ 78,319,000
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	69,799,867	\$ 350,395,332
493	GOME RETAIL	1,775,542,434	\$ 2,254,938,891
496	KASEN	17,367,649	\$ 17,367,649
506	CHINA FOODS	3,470,000	\$ 9,993,600
511	TVB	3,939,598	\$ 36,401,886
512	CHINAGRANDPHARM	4,974,480	\$ 27,558,619
520	XIABUXIABU	29,508,680	\$ 230,167,704
522	ASM PACIFIC	9,086,383	\$ 770,070,959
525	GUANGSHEN RAIL	12,899,869	\$ 20,252,794
526	CHINA AUTO NR	2,218,000	\$ 609,950
530	GOLDIN FIN HOLD	3,808,000	\$ 4,836,160
535	GEMDALE PPT	68,294,864	\$ 104,491,142
546	FUFENG GROUP	5,711,525	\$ 14,450,158
548	SHENZHENEXPRESS	20,022,259	\$ 155,172,507
551	YUE YUEN IND	19,583,526	\$ 233,043,959
552	CHINACOMSERVICE	27,059,184	\$ 138,813,614
563	SH IND URBAN	7,871,140	\$ 7,241,449
570	TRAD CHI MED	91,210,203	\$ 351,159,282
576	ZHEJIANGEXPRESS	17,456,649	\$ 101,772,264
579	JNCEC	3,933,058	\$ 6,214,232
581	CHINA ORIENTAL	27,307,192	\$ 60,895,038
586	CONCH VENTURE	37,341,027	\$ 1,295,733,637
588	BEIJING N STAR	4,400,000	\$ 8,008,000
590	LUK FOOK HOLD	1,723,000	\$ 27,533,540
598	SINOTRANS	41,580,871	\$ 71,103,289
604	SHENZHEN INVEST	36,320,273	\$ 95,885,521
607	FULLSHARE	272,979,209	\$ 41,765,819

### Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
620	DTXS SILK ROAD	164,000	\$ 792,120
622	OSHDORI	8,608,800	\$ 8,436,624
631	SANY INT'L	17,515,414	\$ 68,835,577
636	KERRY LOG NET	2,169,000	\$ 24,292,800
639	SHOUGANG RES	15,182,699	\$ 24,444,145
656	FOSUN INTL	76,209,661	\$ 771,241,769
658	C TRANSMISSION	19,629,458	\$ 88,921,445
659	NWS HOLDINGS	30,773,141	\$ 211,103,747
665	HAITONG INT'L	160,860,672	\$ 334,590,198
667	CHINA EAST EDU	9,555,185	\$ 130,906,035
669	TECHTRONIC IND	35,259,560	\$ 2,704,408,252
670	CHINA EAST AIR	197,503,532	\$ 568,810,172
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	10,567,504	\$ 212,935,206
688	CHINA OVERSEAS	39,962,884	\$ 1,021,051,686
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	37,390,132	\$ 192,559,180
696	TRAVELSKY TECH	22,910,450	\$ 329,910,480
697	SHOUCHENG	12,384,063	\$ 19,566,820
698	TONGDA GROUP	66,044,333	\$ 32,691,945
699	CAR INC	32,224,505	\$ 91,517,594
700	TENCENT	51,980,380	\$ 27,263,709,310
708	EVERG HEALTH	4,725,000	\$ 56,511,000
709	GIORDANO INT'L	10,419,823	\$ 12,191,193
710	BOE VARITRONIX	175,000	\$ 399,000
728	CHINA TELECOM	233,002,612	\$ 528,915,929
732	TRULY INT'L	28,382,000	\$ 24,124,700
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	8,977,078	\$ 71,457,541
751	SKYWORTH GROUP	32,770,401	\$ 72,750,290
753	AIR CHINA	161,956,546	\$ 780,630,552
754	HOPSON DEV HOLD	2,248,000	\$ 19,827,360
762	CHINA UNICOM	218,081,931	\$ 966,102,954
763	ZTE	71,230,493	\$ 1,851,992,818
772	CHINA LIT	27,736,147	\$ 1,546,290,195
775	CKLIFE SCIENCES	13,530,436	\$ 12,718,610
777	NETDRAGON	3,977,073	\$ 88,688,728
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	54,493,307	\$ 798,871,881
788	CHINA TOWER	1,493,386,321	\$ 2,329,682,661
799	IGG	99,416,602	\$ 647,202,079

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
806	VALUE PARTNERS	33,983,828	\$ 139,333,695
807	SIICENVIRONMENT	1,958,500	\$ 2,467,710
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	705,000	\$ 3,715,350
813	SHIMAO GROUP	16,332,223	\$ 565,911,527
816	HUADIAN FUXIN	23,578,736	\$ 57,532,116
817	CHINA JINMAO	126,620,432	\$ 772,384,635
818	HI SUN TECH	3,048,000	\$ 2,712,720
819	TIANNENG POWER	150,622,849	\$ 2,271,392,563
823	LINK REIT	13,735,136	\$ 890,036,813
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,621,000	\$ 6,273,270
836	CHINA RES POWER	13,277,610	\$ 123,216,221
839	CHINA EDU GROUP	30,694,238	\$ 375,697,473
853	MICROPORT	36,672,117	\$ 1,320,196,212
855	CHINA WATER	58,032,552	\$ 326,142,942
856	VSTECs	3,272,000	\$ 14,560,400
857	PETROCHINA	381,971,014	\$ 1,031,321,738
860	APOLLO FMG	17,660,903	\$ 7,594,188
861	DC HOLDINGS	4,846,500	\$ 24,717,150
867	CMS	17,964,544	\$ 168,687,068
868	XINYI GLASS	67,531,508	\$ 655,055,628
874	BAIYUNSHAN PH	9,017,912	\$ 192,983,317
877	O-NET TECH GP	3,687,000	\$ 19,393,620
880	SJM HOLDINGS	117,320,865	\$ 1,051,194,950
881	ZHONGSHENG HLDG	209,472,245	\$ 10,012,773,311
883	CNOOC	158,789,560	\$ 1,429,106,040
884	CIFI HOLD GP	82,957,393	\$ 587,338,342
902	HUANENG POWER	204,070,609	\$ 599,967,590
914	CONCH CEMENT	29,508,895	\$ 1,645,120,896
916	CHINA LONGYUAN	42,089,212	\$ 186,034,317
921	HISENSE HA	4,172,900	\$ 38,348,951
934	SINOPEC KANTONS	18,181,555	\$ 58,908,238
939	CCB	715,627,163	\$ 4,393,950,781
941	CHINA MOBILE	69,040,876	\$ 3,773,083,873
950	LEE'S PHARM	3,183,476	\$ 13,115,921
956	CHINA SUNTIEN	19,540,913	\$ 38,300,189
960	LONGFOR GROUP	25,047,864	\$ 968,099,944
966	CHINA TAIPING	50,108,803	\$ 692,503,657
968	XINYI SOLAR	127,863,661	\$ 1,020,352,015
973	L'OCCITANE	1,741,550	\$ 24,242,376

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978	CHI MER LAND	0	\$ 0
981	SMIC	242,209,423	\$ 8,053,463,315
991	DATANG POWER	45,710,156	\$ 45,253,054
992	LENOVO GROUP	415,032,284	\$ 1,855,194,309
995	ANHUIEXPRESSWAY	1,298,000	\$ 4,919,420
998	CITIC BANK	67,827,861	\$ 242,823,742
1003	HUANXI MEDIA	740,000	\$ 1,102,600
1030	SEAZEN	81,427,474	\$ 614,777,429
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	6,714,000	\$ 3,692,700
1038	CKI HOLDINGS	5,086,720	\$ 205,757,824
1044	HENGAN INT'L	33,305,545	\$ 2,046,625,740
1052	YUEXIUTRANSPORT	4,798,397	\$ 25,239,568
1055	CHINA SOUTH AIR	235,261,180	\$ 846,940,248
1060	ALI PICTURES	721,322,528	\$ 764,601,880
1061	ESSEX BIO-TECH	0	\$ 0
1066	WEIGAO GROUP	130,365,797	\$ 2,437,840,404
1070	TCL ELECTRONICS	1,320,953	\$ 5,032,831
1071	HUADIAN POWER	24,201,502	\$ 53,485,319
1072	DONGFANG ELEC	1,164,547	\$ 4,926,034
1076	IMPERIAL PAC	0	\$ 0
1083	TOWNGAS CHINA	7,081,758	\$ 25,777,599
1088	CHINA SHENHUA	60,979,489	\$ 809,807,614
1089	LEYOU TECH H	14,125,000	\$ 38,561,250
1093	CSPC PHARMA	268,196,610	\$ 3,963,945,896
1098	ROAD KING INFRA	2,083,445	\$ 23,792,942
1099	SINOPHARM	52,669,089	\$ 1,053,381,780
1109	CHINA RES LAND	40,350,206	\$ 1,309,364,185
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	10,525,295	\$ 369,964,119
1113	CK ASSET	26,663,740	\$ 1,273,193,585
1114	BRILLIANCE CHI	139,557,072	\$ 1,154,136,985
1117	CH MODERN D	36,203,818	\$ 32,945,474
1119	IDREAMSKY	0	\$ 0
1128	WYNN MACAU	106,984,109	\$ 1,493,498,162
1131	AGRITRADE RES	37,715,000	\$ 3,130,345
1133	HARBIN ELECTRIC	7,435,001	\$ 23,122,853
1137	HKTV	17,385,000	\$ 93,879,000
1138	COSCO SHIP ENGY	48,763,292	\$ 176,035,484
1141	CMBC CAPITAL	28,150,000	\$ 4,447,700
1157	ZOOMLION	149,603,320	\$ 996,358,111

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1169	HAIER ELEC	20,968,384	\$ 505,338,054
1171	YANZHOU COAL	115,197,962	\$ 728,051,120
1176	ZHUGUANG HOLD	0	\$ 0
1177	SINO BIOPHARM	343,104,369	\$ 5,105,393,011
1186	CHINA RAIL CONS	52,929,435	\$ 340,865,561
1193	CHINA RES GAS	22,278,930	\$ 880,017,735
1196	REALORD GROUP	0	\$ 0
1199	COSCO SHIP PORT	21,763,198	\$ 92,493,592
1208	MMG	191,046,286	\$ 332,420,538
1211	BYD COMPANY	96,222,940	\$ 6,533,537,626
1212	LIFESTYLE INT'L	10,246,194	\$ 69,571,657
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	10,743,000	\$ 174,036,600
1234	CHINA LILANG	2,422,035	\$ 10,438,971
1238	POWERLONG	7,797,711	\$ 42,185,617
1243	WANG ON PPT	0	\$ 0
1252	CHINA TIANRUI	772,000	\$ 6,253,200
1257	CEB GREENTECH	19,931,864	\$ 64,778,558
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	8,046,000	\$ 167,356,800
1282	GLORY SUN FIN	9,076,000	\$ 2,586,660
1288	ABC	309,913,605	\$ 917,344,271
1293	GRAND BAOXIN	13,427,867	\$ 16,919,112
1299	AIA	83,517,116	\$ 6,259,607,844
1300	TRIGIANT	2,814,000	\$ 3,489,360
1302	LIFETECH SCI	32,594,314	\$ 77,900,410
1308	SITC	10,858,741	\$ 91,322,012
1310	HKBN	26,129,757	\$ 356,409,885
1313	CHINARES CEMENT	49,931,513	\$ 485,833,621
1316	NEXTEER	84,850,758	\$ 452,254,540
1317	MAPLELEAF EDU	109,098,853	\$ 284,748,006
1333	CHINA ZHONGWANG	54,398,647	\$ 85,949,862
1336	NCI	40,398,844	\$ 1,185,706,071
1337	RAZER	115,891,072	\$ 174,995,519
1339	PICC GROUP	80,981,321	\$ 204,882,742
1347	HUA HONG SEMI	78,997,377	\$ 2,338,322,359
1349	FUDANZHANGJIANG	649,000	\$ 3,751,220
1359	CHINA CINDA	175,908,846	\$ 262,104,181
1360	NOVA GROUP	21,645,000	\$ 3,073,590



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1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	37,688,378	\$ 96,105,364
1375	CC SECURITIES	7,058,000	\$ 10,375,260
1378	CHINAHONGQIAO	51,316,260	\$ 189,870,162
1381	CANVEST ENV	9,696,832	\$ 34,035,880
1382	PACIFICTEXTILES	16,427,999	\$ 63,247,796
1383	SUNCITY GROUP	13,470,000	\$ 16,164,000
1385	SHANGHAI FUDAN	5,206,968	\$ 59,671,853
1398	ICBC	276,843,623	\$ 1,362,070,625
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	7,208,455	\$ 54,351,751
1458	ZHOU HEI YA	42,929,500	\$ 285,481,175
1475	NISSIN FOODS	5,510,000	\$ 34,106,900
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	16,774,683	\$ 182,844,045
1503	CMC REIT	0	\$ 0
1508	CHINA RE	32,070,407	\$ 26,939,142
1513	LIVZON PHARMA	4,254,610	\$ 164,227,946
1515	CR MEDICAL	16,890,873	\$ 81,245,099
1521	FRONTAGE	22,976,529	\$ 119,248,186
1528	RS MACALLINE	1,363,191	\$ 6,802,323
1530	3SBIO	193,518,623	\$ 2,082,260,383
1548	GENSCRIPT BIO	70,007,743	\$ 1,129,924,972
1551	GRCB	0	\$ 0
1558	HEC PHARM	27,196,043	\$ 419,906,904
1565	VIRSCEND EDU	6,676,363	\$ 14,888,289
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	4,502,000	\$ 3,061,360
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	16,706,541	\$ 1,436,762,526
1585	YADEA	9,146,000	\$ 49,022,560
1589	CNLP	19,858,041	\$ 67,318,759
1600	TIAN LUN GAS	4,769,240	\$ 28,043,131
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	98,884,181	\$ 265,998,447
1618	MCC	7,008,803	\$ 9,181,532
1622	REDCO GROUP	13,824,512	\$ 50,459,469
1628	YUZHOU GROUP	45,718,921	\$ 168,245,629
1636	CMRU	6,396,000	\$ 2,878,200

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1638	KAISA GROUP	44,469,214	\$ 147,193,098
1658	PSBC	422,304,002	\$ 1,917,260,169
1660	ZHAOBANGJI PPT	1,416,000	\$ 1,699,200
1666	TONG REN TANG	11,128,351	\$ 72,222,998
1668	CHINASOUTHCITY	45,508,000	\$ 37,771,640
1672	ASCLETIS-B	19,239,000	\$ 61,757,190
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	5,936,233	\$ 6,411,132
1681	CONSUN PHARMA	4,984,554	\$ 16,100,109
1686	SUNEVISION	4,631,018	\$ 29,592,205
1717	AUSNUTRIA	8,104,000	\$ 132,095,200
1727	HEBEI CONS	2,290,500	\$ 20,729,025
1728	ZHENGTONGAUTO	107,233,909	\$ 141,548,760
1739	QEEKA HOME	0	\$ 0
1755	S-ENJOY SERVICE	2,959,000	\$ 61,991,050
1765	HOPE EDU	18,178,702	\$ 47,446,412
1766	CRRC	49,630,188	\$ 171,720,450
1769	SCHOLAR EDU	382,000	\$ 6,639,160
1772	GANFENGLITHIUM	3,327,300	\$ 130,263,795
1773	TIANLI EDU	9,458,000	\$ 56,558,840
1776	GF SEC	42,639,273	\$ 396,971,632
1777	FANTASIA	18,501,001	\$ 33,486,812
1778	COLOUR LIFE	24,338,152	\$ 90,781,307
1787	SD GOLD	4,241,646	\$ 71,259,653
1788	GUOTAI JUNAN I	128,139,273	\$ 149,922,949
1789	AK MEDICAL	10,020,308	\$ 272,552,378
1797	KOOLEARN	40,004,709	\$ 1,262,148,569
1798	DATANG RENEW	6,631,000	\$ 6,498,380
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	114,390,781	\$ 528,485,408
1801	INNOVENT BIO	7,783,020	\$ 460,754,784
1806	HUIFU PAYMENT	1,921,600	\$ 4,804,000
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	580,246,327	\$ 8,274,312,623
1811	CGN NEW ENERGY	29,474,460	\$ 43,916,945
1813	KWG GROUP	41,192,441	\$ 604,705,034
1816	CGN POWER	217,719,110	\$ 365,768,105
1817	MULSANNE GROUP	0	\$ 0
1818	ZHAOJIN MINING	71,860,367	\$ 661,115,376
1821	ESR	8,303,800	\$ 152,623,844
1829	CMEC	8,288,706	\$ 15,582,767

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	67,945,838	\$ 8,228,240,982
1836	STELLA HOLDINGS	5,860,884	\$ 47,648,987
1839	CIMC VEHICLES	133,000	\$ 726,180
1848	CALC	2,213,033	\$ 14,229,802
1857	CEB WATER	0	\$ 0
1858	CHUNLI MEDICAL	1,017,600	\$ 59,784,000
1873	VIVA BIOTECH	32,484,204	\$ 287,485,205
1876	BUD APAC	179,729,909	\$ 4,061,895,943
1877	JUNSHI BIO-B	794,000	\$ 46,052,000
1882	HAITIAN INT'L	6,293,156	\$ 102,578,443
1883	CITIC TELECOM	10,560,325	\$ 26,823,226
1888	KB LAMINATES	37,236,697	\$ 303,851,448
1890	CHINA KEPEI	2,078,000	\$ 13,403,100
1896	MAOYAN ENT	6,329,800	\$ 80,894,844
1898	CHINA COAL	29,404,505	\$ 57,338,785
1899	XINGDA INT'L	2,020,000	\$ 3,110,800
1907	CHINA RISUN GP	985,000	\$ 2,117,750
1908	C&D INTL GROUP	2,316,800	\$ 30,303,744
1910	SAMSONITE	101,157,499	\$ 814,317,867
1911	CR HOLDINGS	0	\$ 0
1913	PRADA	23,706,632	\$ 651,932,380
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	39,305,948	\$ 1,507,383,106
1919	COSCO SHIP HOLD	61,749,999	\$ 156,844,997
1928	SANDS CHINA LTD	59,942,008	\$ 1,900,161,654
1929	CHOW TAI FOOK	32,211,476	\$ 244,485,103
1951	JXR	48,792,800	\$ 601,127,296
1958	BAIC MOTOR	50,700,099	\$ 183,027,357
1963	BCQ	2,494,827	\$ 10,153,946
1966	CHINA SCE GROUP	16,062,000	\$ 60,874,980
1970	IMAX CHINA	3,007,000	\$ 33,798,680
1972	SWIREPROPERTIES	49,045,294	\$ 949,516,892
1988	MINSHENG BANK	579,399,362	\$ 2,966,524,733
1992	FOSUN TOURISM	0	\$ 0
1995	EVERSUNSHINE LS	19,660,000	\$ 242,997,600
1996	RSUN PPT	2,661,000	\$ 6,918,600
1997	WHARF REIC	187,084,911	\$ 7,015,684,163
1999	MAN WAH HLDGS	12,085,303	\$ 101,033,133
2001	NEW HIGHER EDU	13,061,000	\$ 65,305,000
2005	SSY GROUP	72,490,361	\$ 384,198,913
2006	JINJIANGCAPITAL	30,722,000	\$ 43,010,800

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	421,181,701	\$ 4,371,866,056
2009	BBMG	56,558,867	\$ 89,928,599
2013	WEIMOB INC	283,196,456	\$ 3,262,423,173
2016	CZBANK	0	\$ 0
2018	AAC TECH	109,664,870	\$ 5,740,955,945
2019	DEXIN CHINA	3,832,000	\$ 12,530,640
2020	ANTA SPORTS	52,636,326	\$ 3,676,647,371
2038	FIH	212,004,065	\$ 188,683,618
2039	CIMC	14,158,326	\$ 96,559,783
2048	E-HOUSE ENT	3,671,400	\$ 34,584,588
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,106,000
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	14,944,289	\$ 153,328,405
2139	BANK OF GANSU	1,739,000	\$ 1,843,340
2186	LUYE PHARMA	170,567,053	\$ 835,778,560
2196	FOSUN PHARMA	35,381,824	\$ 974,769,251
2202	CHINA VANKE	30,119,464	\$ 811,719,555
2208	GOLDWIND	43,221,526	\$ 293,906,377
2233	WESTCHINACEMENT	16,183,000	\$ 24,436,330
2238	GAC GROUP	210,896,454	\$ 1,261,160,795
2269	WUXI BIO	20,280,472	\$ 2,857,518,505
2282	MGM CHINA	83,428,185	\$ 840,956,105
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	25,159,103	\$ 2,359,923,861
2314	LEE & MAN PAPER	26,513,935	\$ 112,949,363
2318	PING AN	891,263,271	\$ 72,281,451,278
2319	MENGNU DAIRY	71,014,194	\$ 2,219,193,563
2322	HK CHAOSHANG GP	1,912,000	\$ 1,739,920
2328	PICC P&C	39,979,952	\$ 282,258,461
2329	GUORUI PPT	5,532,000	\$ 6,749,040
2331	LI NING	38,904,663	\$ 994,014,140
2333	GREATWALL MOTOR	324,008,037	\$ 1,707,522,355
2338	WEICHAJ POWER	76,541,889	\$ 1,232,324,413
2342	COMBA	145,146,714	\$ 494,950,295
2343	PACIFIC BASIN	254,190,040	\$ 279,609,044
2356	DAHSHING BANKING	297,600	\$ 2,220,096
2357	AVICHINA	107,526,173	\$ 387,094,223
2359	WUXI APPTec	26,164,670	\$ 2,642,631,670
2362	JINCHUAN INTL	38,618,384	\$ 23,557,214
2378	PRU	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2380	CHINA POWER	103,082,862	\$ 151,531,807
2382	SUNNY OPTICAL	45,210,964	\$ 6,089,916,851
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	23,151,790	\$ 81,031,265
2388	BOC HONG KONG	47,984,650	\$ 1,149,232,368
2400	XD INC	1,377,159	\$ 45,033,099
2500	VENUS MEDTECH-B	2,229,836	\$ 188,421,142
2552	HUA MEDICINE-B	377,500	\$ 3,020,000
2558	JINSHANG BANK	0	\$ 0
2588	BOC AVIATION	14,283,598	\$ 715,608,260
2600	CHALCO	107,613,316	\$ 168,952,906
2601	CPIC	51,711,057	\$ 1,171,255,441
2607	SH PHARMA	13,552,978	\$ 184,320,501
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	4,302,609	\$ 51,975,517
2616	CSTONE PHARMA-B	5,474,308	\$ 60,764,819
2628	CHINA LIFE	105,747,412	\$ 1,863,269,399
2638	HKELECTRIC-SS	66,177,679	\$ 527,436,102
2666	UNI MEDICAL	26,313,965	\$ 129,727,847
2669	CHINA OVS PPT	37,624,549	\$ 307,768,811
2678	TEXHONG TEXTILE	786,154	\$ 4,858,432
2688	ENN ENERGY	9,164,551	\$ 833,974,141
2689	ND PAPER	107,447,389	\$ 796,185,152
2696	HENLIUS-B	0	\$ 0
2727	SH ELECTRIC	28,062,102	\$ 64,262,214
2768	JIAYUAN INTL	6,719,980	\$ 21,503,936
2772	ZHONGLIANG HLDG	1,214,000	\$ 6,919,800
2777	R&F PROPERTIES	98,156,270	\$ 941,318,629
2778	CHAMPION REIT	17,645,868	\$ 74,818,480
2799	CHINA HUARONG	284,911,546	\$ 242,174,814
2800	TRACKER FUND	48,369,961	\$ 1,253,749,389
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCODGN	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	56,385,031	\$ 881,861,885
2823	ISHARES A50	63,831,329	\$ 1,007,258,372
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	5,958,050	\$ 623,807,835
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	40,500	\$ 52,427,250
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	28,834,059	\$ 55,938,074
2866	COSCO SHIP DEV	43,562,444	\$ 34,849,955
2868	BJ CAPITAL LAND	16,968,425	\$ 26,810,112
2869	GREENTOWN SER	42,513,677	\$ 388,149,871
2877	SHINeway PHARM	6,691,529	\$ 35,732,765
2880	DALIAN PORT	2,866,000	\$ 2,235,480
2883	CHINA OILFIELD	52,976,740	\$ 368,188,343
2888	STANCHART	1,167,050	\$ 49,074,453
2899	ZIJIN MINING	105,342,984	\$ 401,356,769
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICCUUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3140	VANGUARDSP500	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	46,393,022	\$ 2,310,372,496
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	8,985,000	\$ 67,836,750
3306	JNBY	2,635,500	\$ 21,136,710
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	5,740,000	\$ 42,074,200
3311	CHINA STATE CON	43,672,782	\$ 206,572,259
3319	A-LIVING	25,478,751	\$ 960,548,913
3320	CHINARES PHARMA	21,459,761	\$ 98,929,498
3323	CNBM	56,042,191	\$ 490,929,593
3328	BANKCOMM	84,774,983	\$ 430,656,914
3331	VINDA INT'L	23,416,541	\$ 688,446,305
3333	EVERGRANDE	257,626,668	\$ 6,646,768,034
3336	JU TENG INTL	4,915,424	\$ 15,434,431
3339	LONKING	37,175,891	\$ 91,452,692
3360	FE HORIZON	81,207,559	\$ 549,775,174
3377	SINO-OCEAN GP	45,411,390	\$ 93,093,350
3380	LOGAN GROUP	23,698,845	\$ 354,060,744
3383	AGILE GROUP	79,859,367	\$ 789,010,546
3393	WASION HOLDINGS	1,446,000	\$ 3,571,620
3396	LEGENDHOLDING	13,328,238	\$ 127,551,238



## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3603	XINJI SHAXI	678,000	\$ 1,911,960
3606	FUYAO GLASS	11,508,793	\$ 226,493,046
3613	TONGRENTANGCM	10,658,668	\$ 116,605,828
3618	CQRC BANK	35,902,866	\$ 117,043,343
3633	ZHONGYU GAS	4,661,662	\$ 34,589,532
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	17,241,849	\$ 139,658,977
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	16,486,408	\$ 159,753,294
3690	MEITUAN-W	91,039,276	\$ 17,452,229,209
3692	HANSOH PHARMA	50,302,537	\$ 1,841,072,854
3709	EEKA FASHION	269,000	\$ 2,294,570
3759	PHARMARON	5,829,700	\$ 514,179,540
3799	DALI FOODS	43,180,310	\$ 207,697,291
3800	GCL-POLY ENERGY	1,235,290,449	\$ 274,234,480
3808	SINOTRUK	55,806,376	\$ 1,244,482,185
3813	POU SHENG INT'L	6,676,000	\$ 12,217,080
3818	CHINA DONGXIANG	10,616,300	\$ 7,431,410
3836	HARMONY AUTO	16,561,788	\$ 60,781,762
3866	BQD	0	\$ 0
3868	XINYI ENERGY	5,332,000	\$ 13,116,720
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	18,191,218	\$ 199,739,574
3888	KINGSOFT	92,440,426	\$ 3,535,846,295
3898	CRRC TIMES ELEC	7,539,204	\$ 156,438,483
3899	CIMC ENRIC	25,226,500	\$ 84,004,245
3900	GREENTOWN CHINA	20,520,629	\$ 183,249,217
3908	CICC	155,359,110	\$ 2,700,141,332
3918	NAGACORP	21,891,135	\$ 201,836,265
3933	UNITED LAB	24,154,524	\$ 165,458,489
3958	DFZQ	5,976,000	\$ 30,119,040
3968	CM BANK	121,171,523	\$ 4,537,873,536
3969	CHINA CRSC	37,853,493	\$ 134,001,365
3983	CHINA BLUECHEM	13,155,907	\$ 15,523,970
3988	BANK OF CHINA	556,111,416	\$ 1,679,456,476
3990	MIDEA REAL EST	2,847,999	\$ 58,668,779
3993	CMOC	236,891,530	\$ 658,558,453
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	324,049,727	\$ 816,605,312
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	245,738,805	\$ 4,305,343,864
6049	POLY PPT DEV	3,856,334	\$ 292,310,117
6055	CTIHK	6,145,883	\$ 95,138,269
6060	ZA ONLINE	48,473,697	\$ 2,285,534,814
6066	CSC	13,302,500	\$ 158,831,850
6068	WISDOM EDU INTL	2,540,000	\$ 8,331,200
6069	SHENGYE CAPITAL	2,462,000	\$ 15,732,180
6088	FIT HON TENG	58,720,000	\$ 190,252,800
6098	CG SERVICES	94,057,764	\$ 3,371,970,839
6099	CMSC	1,876,400	\$ 20,452,760
6100	TALENT LIEPIN	775,400	\$ 13,336,880
6110	TOPSPORTS	26,737,379	\$ 265,769,547
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	21,980,517	\$ 113,199,663
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	2,082,000	\$ 6,933,060
6169	YUHUA EDU	168,921,080	\$ 1,125,014,393
6178	EB SECURITIES	2,055,000	\$ 18,433,350
6185	CANSINO BIO-B	4,410,164	\$ 1,004,635,359
6186	CHINA FEIHE	74,036,000	\$ 1,163,845,920
6196	BANK OF ZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,746,394	\$ 16,146,958
6199	BANK OF GUIZHOU	0	\$ 0
6806	SWHY	5,842,400	\$ 15,540,784
6808	SUNART RETAIL	114,195,497	\$ 1,404,604,613
6818	CEB BANK	21,592,969	\$ 66,290,415
6823	HKT-SS	29,057,157	\$ 335,900,735
6826	HAOHAI BIOTEC	517,885	\$ 19,394,793
6837	HAITONG SEC	91,731,482	\$ 678,812,967
6855	ASCENTAGE-B	65,000	\$ 3,048,500
6862	HAIDILAO	84,884,576	\$ 2,894,564,042
6865	FLAT GLASS	16,144,312	\$ 152,079,419
6868	TENFU	0	\$ 0
6869	YOFC	13,854,266	\$ 215,572,379
6881	CGS	132,958,965	\$ 675,431,542
6886	HTSC	68,271,298	\$ 973,548,709

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6889	DYNAM JAPAN	240,400	\$ 1,742,900
6919	RENUI HR	709,201	\$ 21,382,410
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	110,868,000	\$ 704,566,140
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	201,560,000	\$ 272,106,000
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICUSD-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA-TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9618	JD-SW	303,500	\$ 71,504,600
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGCDCN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9909	POWERLONG CM	852,730	\$ 16,235,979
9922	JIUMAOJIU	3,904,941	\$ 52,248,111
9928	TIMES NEIGHBOR	6,598,932	\$ 72,192,316
9966	ALPHAMAB-B	3,211,000	\$ 57,541,120
9988	BABA-SW	35,888,923	\$ 7,924,274,198
9999	NTES-S	4,750,425	\$ 635,606,865
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/07/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0