

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	17,449,373	\$ 1,270,314,354
2	CLP HOLDINGS	11,891,119	\$ 980,422,762
3	HK & CHINA GAS	215,177,637	\$ 3,318,039,163
4	WHARF HOLDINGS	35,001,633	\$ 632,829,525
5	HSBC HOLDINGS	78,903,684	\$ 4,702,659,566
6	POWER ASSETS	37,144,047	\$ 2,089,352,644
7	HK FINANCE INV	9,224,000	\$ 7,840,400
8	PCCW	76,849,326	\$ 356,580,873
10	HANG LUNG GROUP	2,807,922	\$ 55,316,063
11	HANG SENG BANK	18,551,605	\$ 3,062,869,986
12	HENDERSON LAND	28,690,885	\$ 1,130,420,869
14	HYSAN DEV	17,407,627	\$ 541,377,200
16	SHK PPT	9,182,596	\$ 1,089,055,886
17	NEW WORLD DEV	91,598,719	\$ 1,027,737,627
19	SWIRE PACIFIC A	15,365,286	\$ 1,157,774,300
20	WHEELLOCK	6,233,163	\$ 299,191,824
23	BANK OF E ASIA	34,051,033	\$ 646,288,606
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	68,530,634	\$ 3,710,933,831
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,929,587	\$ 6,329,045
41	GREAT EAGLE H	160,768	\$ 4,252,314
43	C.P. POKPHAND	5,512,000	\$ 3,637,920
45	HK&S HOTELS	4,849,566	\$ 43,597,598
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	705,868	\$ 14,752,641
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	42,052,979	\$ 1,915,513,193
69	SHANGRI-LA ASIA	98,808,590	\$ 830,980,242
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	287,007	\$ 1,262,831
81	CH OVS G OCEANS	1,721,979	\$ 7,215,092

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	36,626,497	\$ 433,657,724
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	8,836,000	\$ 25,182,600
101	HANG LUNG PPT	58,653,483	\$ 1,012,359,117
102	SUMMIT ASCENT	2,428,364	\$ 2,914,037
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	946,000	\$ 2,175,800
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	1,692,788	\$ 16,013,774
119	POLY PROPERTY	1,871,000	\$ 5,482,030
120	COSMOPOL INT'L	890,000	\$ 961,200
123	YUEXIU PROPERTY	12,747,256	\$ 22,180,225
127	CHINESE EST H	1,218,187	\$ 6,858,393
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	24,714,000	\$ 184,613,580
136	HENG TEN NET	2,431,457,146	\$ 279,617,572
142	FIRST PACIFIC	22,539,839	\$ 67,394,119
144	CHINA MER PORT	25,492,922	\$ 315,092,516
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	9,854,847	\$ 209,908,241
151	WANT WANT CHINA	444,789,203	\$ 2,944,504,524
152	SHENZHEN INT'L	9,318,843	\$ 149,660,619
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,544,000	\$ 11,672,640
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	18,863,483	\$ 223,343,639
168	TSINGTAO BREW	21,430,382	\$ 993,298,206
171	SILVER GRANT	11,178,000	\$ 14,307,840
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	359,416,681	\$ 5,340,931,880
177	JIANGSU EXPRESS	5,808,530	\$ 60,408,712
178	SA SA INT'L	121,140,986	\$ 237,436,333
179	JOHNSON ELEC H	2,327,948	\$ 33,429,333
182	CONCORD NE	11,720,000	\$ 4,570,800
189	DONGYUE GROUP	26,416,588	\$ 96,948,878
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	10,609,000	\$ 5,304,500
200	MELCO INT'L DEV	25,944,149	\$ 535,746,677

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	42,107,840	\$ 60,214,211
220	U-PRESID CHINA	12,095,871	\$ 101,484,358
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,276,000	\$ 1,429,120
235	CHINA STRATEGIC	28,735,000	\$ 1,005,725
241	ALI HEALTH	174,937,647	\$ 1,397,751,800
242	SHUN TAK HOLD	15,344,554	\$ 49,409,464
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	11,329,092	\$ 19,259,456
257	CHINA EB INT'L	111,112,486	\$ 666,674,916
258	TOMSON GROUP	800,342	\$ 1,528,653
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	44,158,940	\$ 457,486,618
268	KINGDEE INT'L	342,967,528	\$ 2,922,083,339
270	GUANGDONG INV	14,924,628	\$ 253,718,676
272	SHUI ON LAND	21,725,390	\$ 34,543,370
273	MASON GP HOLD	36,580,000	\$ 2,853,240
285	BYD ELECTRONIC	97,355,680	\$ 1,304,566,112
288	WH GROUP	169,905,375	\$ 1,442,496,634
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	29,766,626	\$ 1,224,896,660
293	CATHAY PAC AIR	49,114,548	\$ 495,074,644
297	SINOFERT	32,419,113	\$ 26,259,482
303	VTECH HOLDINGS	1,309,018	\$ 90,256,791
308	CHINA TRAVEL HK	28,800,794	\$ 35,712,985
315	SMARTONE TELE	2,379,530	\$ 16,513,938
316	OOIL	0	\$ 0
317	COMEC	5,104,526	\$ 30,167,749
321	TEXWINCA HOLD	4,840,000	\$ 8,808,800
322	TINGYI	67,041,442	\$ 716,002,601
323	MAANSHAN IRON	250,339,290	\$ 743,507,691
326	CHINA STAR ENT	5,770,000	\$ 4,673,700
327	PAX GLOBAL	20,830,468	\$ 73,531,552
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	44,505,951	\$ 64,978,688

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
336	HUABAO INTL	6,538,345	\$ 19,157,351
337	GREENLAND HK	6,170,180	\$ 17,214,802
338	SHANGHAI PECEM	94,734,695	\$ 208,416,329
341	CAFE DE CORAL H	2,230,311	\$ 46,725,015
342	NEWOCEAN ENERGY	67,512,856	\$ 85,066,199
345	VITASOY INT'L	54,930,657	\$ 1,826,444,345
347	ANGANG STEEL	192,091,517	\$ 512,884,350
354	CHINASOFT INT'L	292,737,853	\$ 1,009,945,593
358	JIANGXI COPPER	16,026,345	\$ 146,320,530
363	SHANGHAI IND H	2,168,006	\$ 31,696,248
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	167,470,551	\$ 691,653,376
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	105,260,075	\$ 3,552,527,531
386	SINOPEC CORP	310,430,414	\$ 1,400,041,167
388	HKEX	14,459,224	\$ 3,577,212,018
390	CHINA RAILWAY	62,216,030	\$ 299,881,265
392	BEIJING ENT	2,175,615	\$ 80,932,878
400	COGOBUY	16,359,764	\$ 21,267,693
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	26,146,703	\$ 77,655,708
412	CHINA SDHS FIN	45,390,000	\$ 10,984,380
419	HUAYI TENCENT	40,280,000	\$ 3,907,160
425	MINTH GROUP	49,413,499	\$ 1,378,636,622
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	29,538,500	\$ 10,338,475
440	DAH SING	615,382	\$ 18,061,462
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	56,828,000	\$ 14,491,140
460	SIHUAN PHARM	178,697,091	\$ 180,484,062
467	UNITEDENERGY GP	7,532,000	\$ 11,975,880
468	GAPACK	575,000	\$ 2,231,000
480	HKR INT'L	0	\$ 0
486	RUSAL	24,144,000	\$ 85,228,320
488	LAI SUN DEV	395,700	\$ 3,814,548
489	DONGFENG GROUP	81,786,088	\$ 642,020,791
493	GOME RETAIL	1,829,577,830	\$ 1,317,296,038
494	LI & FUNG	376,278,373	\$ 316,073,833

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
496	KASEN	32,424,807	\$ 158,881,554
497	CSI PROPERTIES	6,035,752	\$ 1,659,832
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	3,518,000	\$ 11,081,700
508	DINGYI GP INV	46,445,000	\$ 9,985,675
511	TVB	8,571,384	\$ 108,856,577
512	CHINAGRANDPHARM	12,556,000	\$ 56,753,120
517	COSCO SHIP INTL	1,088,439	\$ 2,176,878
520	XIABUXIABU	25,092,820	\$ 263,976,466
522	ASM PACIFIC	13,922,016	\$ 1,433,967,648
525	GUANGSHEN RAIL	8,130,948	\$ 20,246,061
526	CHINA AUTO NR	1,614,000	\$ 1,113,660
530	GOLDIN FIN HOLD	14,717,515	\$ 36,499,437
535	GEMDALE PPT	45,472,000	\$ 42,743,680
538	AJISEN (CHINA)	6,619,120	\$ 15,223,976
546	FUFENG GROUP	43,300,025	\$ 156,313,090
547	DIGITAL DOMAIN	257,539,457	\$ 22,663,472
548	SHENZHENEXPRESS	24,905,096	\$ 261,503,508
551	YUE YUEN IND	14,243,315	\$ 312,640,764
552	CHINACOMSERVICE	21,412,256	\$ 115,412,060
563	SH IND URBAN	3,550,156	\$ 3,585,658
570	TRAD CHI MED	52,229,488	\$ 182,803,208
576	ZHEJIANGEXPRESS	8,656,843	\$ 57,481,438
579	JNCEC	5,158,000	\$ 7,169,620
581	CHINA ORIENTAL	64,702,000	\$ 175,989,440
585	IMAGI INT'L	0	\$ 0
586	CONCH VENTURE	31,433,898	\$ 963,448,974
588	BEIJING N STAR	2,010,000	\$ 4,884,300
590	LUK FOOK HOLD	7,120,782	\$ 153,808,891
596	INSPUR INT'L	13,588,000	\$ 41,986,920
598	SINOTRANS	37,014,794	\$ 86,244,470
603	CHINA OIL & GAS	9,317,856	\$ 3,168,071
604	SHENZHEN INVEST	30,302,593	\$ 94,241,064
606	CHINA AGRI	46,165,285	\$ 117,721,477
607	FULLSHARE	578,644,589	\$ 115,728,918
622	OSHIDORI	26,532,200	\$ 24,144,302
627	FULLSUN INT'L	3,920,000	\$ 509,600
631	SANY INT'L	6,484,762	\$ 25,549,962
636	KERRY LOG NET	2,617,811	\$ 33,246,200
639	SHOUGANG RES	13,904,441	\$ 22,247,106
650	IDG ENERGY INV	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
656	FOSUN INTL	78,413,761	\$ 821,776,215
658	C TRANSMISSION	31,158,490	\$ 146,444,903
659	NWS HOLDINGS	12,409,621	\$ 149,908,222
665	HAITONG INT'L	180,826,678	\$ 414,093,093
669	TECHTRONIC IND	29,015,033	\$ 1,784,424,530
670	CHINA EAST AIR	82,966,124	\$ 326,056,867
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	6,615,080	\$ 168,023,032
686	PANDA GREEN	54,934,300	\$ 12,250,349
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	31,121,787	\$ 787,381,211
691	SHANSHUI CEMENT	1,676,700	\$ 4,376,187
694	BEIJING AIRPORT	54,304,477	\$ 399,137,906
696	TRAVELSKY TECH	24,383,426	\$ 437,438,662
697	SHOUGANG INT'L	57,964,661	\$ 21,157,101
698	TONGDA GROUP	104,981,226	\$ 68,237,797
699	CAR INC	57,201,332	\$ 367,804,565
700	TENCENT	54,979,713	\$ 17,681,475,701
708	EVERG HEALTH	5,435,000	\$ 38,262,400
709	GIORDANO INT'L	4,813,820	\$ 11,938,274
710	BOE VARITRONIX	1,138,000	\$ 2,435,320
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	20,427,012	\$ 17,771,500
728	CHINA TELECOM	109,024,149	\$ 366,321,141
732	TRULY INT'L	31,889,281	\$ 32,527,067
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	3,154,500	\$ 30,377,835
751	SKYWORTH GROUP	86,120,393	\$ 183,436,437
752	PICO FAR EAST	400,000	\$ 1,052,000
753	AIR CHINA	87,200,940	\$ 604,302,514
754	HOPSON DEV HOLD	0	\$ 0
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	124,353,600	\$ 967,471,008
763	ZTE	47,562,752	\$ 1,079,674,470
772	CHINA LIT	40,748,932	\$ 1,210,243,280
777	NETDRAGON	2,232,725	\$ 40,367,668
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	41,001,183	\$ 517,434,929
788	CHINA TOWER	1,458,735,923	\$ 2,596,549,943
798	CEOVU	0	\$ 0

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799	IGG	67,517,570	\$ 349,741,013
806	VALUE PARTNERS	45,672,762	\$ 195,022,694
807	SIICENVIRONMENT	2,085,300	\$ 2,794,302
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	332,000	\$ 1,862,520
813	SHIMAO PROPERTY	39,153,743	\$ 1,061,066,435
816	HUADIAN FUXIN	5,368,000	\$ 8,105,680
817	CHINA JINMAO	222,574,652	\$ 1,210,806,107
818	HI SUN TECH	1,341,000	\$ 1,850,580
819	TIANNENG POWER	104,732,499	\$ 544,608,995
823	LINK REIT	14,841,463	\$ 1,283,786,550
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,643,726	\$ 5,802,353
836	CHINA RES POWER	18,870,091	\$ 188,512,209
839	CHINA EDU GROUP	60,954,205	\$ 714,383,283
853	MICROPORT	67,678,352	\$ 564,437,456
855	CHINA WATER	58,118,891	\$ 344,645,024
856	VSTECS	2,185,852	\$ 8,699,691
857	PETROCHINA	232,415,013	\$ 885,501,200
860	WE SOLUTIONS	42,153,781	\$ 20,023,046
861	DC HOLDINGS	6,398,117	\$ 26,744,129
867	CMS	20,430,353	\$ 216,561,742
868	XINYI GLASS	89,115,410	\$ 797,582,920
874	BAIYUNSHAN PH	3,123,303	\$ 78,394,905
877	O-NET TECH GP	2,623,000	\$ 11,777,270
880	SJM HOLDINGS	122,032,698	\$ 1,037,277,933
881	ZHONGSHENG HLDG	178,395,529	\$ 4,736,401,295
882	TIANJIN DEV	1,188,000	\$ 2,696,760
883	CNOOC	129,578,329	\$ 1,549,756,815
884	CIFI HOLD GP	108,254,634	\$ 593,235,394
895	DONGJIANG ENV	814,050	\$ 5,356,449
902	HUANENG POWER	65,774,991	\$ 244,682,967
903	TPV TECHNOLOGY	1,326,000	\$ 5,091,840
906	COFCO PACKAGING	25,743,160	\$ 79,288,933
914	CONCH CEMENT	52,419,920	\$ 2,513,535,164
916	CHINA LONGYUAN	29,648,300	\$ 129,266,588
921	HISENSE HA	2,364,000	\$ 18,722,880
931	CHINA LNG	0	\$ 0
934	SINOPEC KANTONS	7,819,500	\$ 24,631,425
939	CCB	500,513,189	\$ 3,183,263,882
941	CHINA MOBILE	41,879,580	\$ 2,690,763,015

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950	LEE'S PHARM	3,740,320	\$ 15,971,166
951	CHAOWEI POWER	3,324,798	\$ 9,409,178
956	CHINA SUNTIEN	4,269,000	\$ 9,477,180
958	HN RENEWABLES	50,301,618	\$ 149,395,805
960	LONGFOR GROUP	33,809,747	\$ 1,100,507,265
966	CHINA TAIPING	39,116,883	\$ 722,097,660
968	XINYI SOLAR	124,411,154	\$ 553,629,635
973	L'OCCITANE	340,500	\$ 6,060,900
976	CHIHO ENV	4,152,000	\$ 2,159,040
978	CHI MER LAND	0	\$ 0
981	SMIC	331,652,214	\$ 3,349,687,361
989	GROUND INTL DEV	4,903,810	\$ 451,151
991	DATANG POWER	33,828,286	\$ 53,786,975
992	LENOVO GROUP	464,927,155	\$ 2,636,136,969
995	ANHUIEXPRESSWAY	1,214,301	\$ 5,610,071
996	CARNIVAL GROUP	62,057,999	\$ 1,365,276
998	CITIC BANK	61,861,862	\$ 283,327,328
999	I.T	546,000	\$ 1,146,600
1003	HUANXI MEDIA	1,430,000	\$ 2,016,300
1004	C SMARTERENERGY	0	\$ 0
1030	FUTURE LAND	275,474,795	\$ 2,374,592,733
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	22,210,000	\$ 17,990,100
1038	CKI HOLDINGS	8,862,166	\$ 502,041,704
1044	HENGAN INT'L	41,130,554	\$ 2,221,049,916
1051	G-RESOURCES	10,707,000	\$ 535,350
1052	YUEXIUTRANSPORT	2,210,000	\$ 16,243,500
1055	CHINA SOUTH AIR	190,403,525	\$ 923,457,096
1060	ALI PICTURES	588,294,764	\$ 741,251,403
1061	ESSEX BIO-TECH	399,309	\$ 2,399,847
1066	WEIGAO GROUP	22,651,037	\$ 206,803,968
1068	YURUN FOOD	16,318,000	\$ 14,686,200
1070	TCL ELECTRONICS	854,953	\$ 3,248,821
1071	HUADIAN POWER	44,086,745	\$ 130,055,898
1072	DONGFANG ELEC	4,200,137	\$ 18,690,610
1076	IMPERIAL PAC	311,610,000	\$ 44,871,840
1083	TOWNGAS CHINA	4,519,240	\$ 27,115,440
1086	GOODBABY INTL	20,433,475	\$ 23,498,496
1088	CHINA SHENHUA	48,484,555	\$ 762,177,205
1089	LEYOU TECH H	22,424,761	\$ 58,304,379
1093	CSPC PHARMA	186,886,656	\$ 3,704,093,522



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1098	ROAD KING INFRA	881,297	\$ 12,655,425
1099	SINOPHARM	22,395,424	\$ 610,275,304
1107	MODERN LAND	590,000	\$ 637,200
1109	CHINA RES LAND	51,598,314	\$ 1,749,182,845
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	15,382,512	\$ 479,165,249
1113	CK ASSET	6,519,192	\$ 356,925,762
1114	BRILLIANCE CHI	330,932,243	\$ 2,885,729,159
1115	TIBET WATER	23,062,000	\$ 29,749,980
1117	CH MODERN D	7,455,802	\$ 8,275,940
1119	IDREAMSKY	519,600	\$ 2,343,396
1122	QINGLING MOTORS	578,000	\$ 1,098,200
1128	WYNN MACAU	79,560,194	\$ 1,354,114,502
1131	AGRITRADE RES	92,943,331	\$ 61,342,598
1133	HARBIN ELECTRIC	13,716,213	\$ 27,158,102
1137	HKTV	15,076,000	\$ 43,871,160
1138	COSCO SHIP ENGY	53,011,365	\$ 178,648,300
1140	OP FINANCIAL	6,040,000	\$ 9,422,400
1141	CMBC CAPITAL	233,259,075	\$ 32,889,530
1157	ZOOMLION	112,460,055	\$ 651,143,718
1168	SINOLINK HOLD	12,704,000	\$ 6,224,960
1169	HAIER ELEC	28,874,714	\$ 674,224,572
1171	YANZHOU COAL	120,695,004	\$ 961,939,182
1176	ZHUGUANG HOLD	5,488,000	\$ 5,707,520
1177	SINO BIOPHARM	340,003,304	\$ 3,984,838,723
1186	CHINA RAIL CONS	65,163,858	\$ 568,228,842
1193	CHINA RES GAS	44,928,442	\$ 2,100,404,664
1196	REALORD GROUP	2,767,000	\$ 13,585,970
1198	ROYALE FURN	0	\$ 0
1199	COSCO SHIP PORT	19,129,878	\$ 118,605,244
1205	CITIC RESOURCES	3,330,000	\$ 1,665,000
1208	MMG	285,509,682	\$ 491,076,653
1211	BYD COMPANY	167,364,688	\$ 6,284,544,034
1212	LIFESTYLE INT'L	8,930,827	\$ 72,518,315
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	0	\$ 0
1224	C C LAND	2,149,000	\$ 3,803,730
1233	TIMES CHINA	7,624,000	\$ 113,140,160
1234	CHINA LILANG	1,035,254	\$ 6,480,690
1238	POWERLONG	8,511,753	\$ 45,793,231

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	27,785,731	\$ 2,472,930
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	9,890,119	\$ 42,329,709
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	6,966,000	\$ 54,892,080
1270	LANGHAM-SS	2,771,436	\$ 6,180,302
1282	GLORY SUN FIN	84,940,199	\$ 24,632,658
1288	ABC	175,324,999	\$ 573,312,747
1293	GRAND BAOXIN	25,913,645	\$ 41,461,832
1299	AIA	79,481,069	\$ 6,318,744,986
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	22,680,000	\$ 30,618,000
1308	SITC	4,234,000	\$ 36,708,780
1310	HKBN	8,304,758	\$ 117,097,088
1313	CHINARES CEMENT	114,279,517	\$ 995,374,593
1316	NEXTEER	42,702,778	\$ 311,730,279
1317	MAPLELEAF EDU	103,029,097	\$ 257,572,743
1330	DYNAGREEN ENV	2,467,873	\$ 7,897,194
1333	CHINA ZHONGWANG	66,782,251	\$ 210,364,091
1336	NCI	33,191,206	\$ 1,027,267,826
1337	RAZER	131,052,052	\$ 193,957,037
1339	PICC GROUP	100,133,902	\$ 335,448,572
1347	HUA HONG SEMI	65,749,034	\$ 1,026,999,911
1349	FUDANZHANGJIANG	384,000	\$ 2,161,920
1359	CHINA CINDA	206,740,124	\$ 341,121,205
1360	NOVA GROUP	32,315,000	\$ 61,075,350
1368	XTEP INT'L	50,974,023	\$ 237,538,947
1375	CC SECURITIES	8,444,000	\$ 12,919,320
1378	CHINAHONGQIAO	52,809,898	\$ 232,363,551
1381	CANVEST ENV	11,758,531	\$ 37,392,129
1382	PACIFICTEXTILES	7,327,779	\$ 42,281,285
1383	SUNCITY GROUP	27,590,000	\$ 51,317,400
1385	SHANGHAI FUDAN	7,840,968	\$ 46,340,121
1387	CHINA DILI	28,627,590	\$ 68,706,216
1398	ICBC	357,102,522	\$ 2,039,055,401
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	20,812,694	\$ 5,931,618
1448	FU SHOU YUAN	8,135,706	\$ 56,949,942
1458	ZHOU HEI YA	33,345,793	\$ 132,382,798
1475	NISSIN FOODS	2,755,000	\$ 19,395,200

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	10,467,270	\$ 110,115,680
1508	CHINA RE	26,220,905	\$ 33,562,758
1513	LIVZON PHARMA	1,936,449	\$ 46,184,309
1515	CR MEDICAL	12,678,128	\$ 57,812,264
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	3,050,947	\$ 19,190,457
1530	3SBIO	137,950,401	\$ 2,044,424,943
1548	GENSCRIPT BIO	66,484,415	\$ 1,263,203,885
1551	GRCB	0	\$ 0
1558	HEC PHARM	1,830,000	\$ 87,657,000
1565	VIRSCEND EDU	1,385,000	\$ 2,894,650
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	11,056,000	\$ 15,478,400
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	18,821,234	\$ 991,879,032
1585	YADEA	19,342,000	\$ 34,428,760
1589	CNLP	0	\$ 0
1600	TIAN LUN GAS	3,601,225	\$ 27,909,494
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	65,172,425	\$ 179,875,893
1617	NANFANG COMM	1,976,000	\$ 8,556,080
1618	MCC	5,023,000	\$ 8,338,180
1622	REDCO GROUP	12,731,612	\$ 64,040,008
1628	YUZHOU PPT	48,768,870	\$ 166,301,847
1636	CMRU	7,590,000	\$ 23,604,900
1638	KAISA GROUP	89,331,848	\$ 310,874,831
1658	PSBC	398,250,911	\$ 2,023,114,628
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	25,635,706	\$ 196,369,508
1668	CHINASOUTHCITY	39,068,592	\$ 35,943,105
1672	ASCLETIS-B	1,120,000	\$ 3,976,000
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	21,533,033	\$ 18,087,748
1681	CONSUN PHARMA	3,487,499	\$ 16,321,495
1686	SUNEVISION	3,366,000	\$ 19,186,200
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	13,263,991	\$ 158,902,612
1727	HEBEI CONS	2,396,500	\$ 12,294,045

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1728	ZHENGTONGAUTO	132,344,081	\$ 309,685,150
1755	S-ENJOY SERVICE	1,592,000	\$ 16,620,480
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	12,728,000	\$ 16,037,280
1766	CRRC	63,633,351	\$ 339,165,761
1772	GANFENGLITHIUM	3,769,900	\$ 52,853,998
1776	GF SEC	39,772,265	\$ 335,280,194
1777	FANTASIA	18,805,500	\$ 23,506,875
1778	COLOUR LIFE	11,872,000	\$ 52,949,120
1787	SD GOLD	5,289,724	\$ 98,388,866
1788	GUOTAI JUNAN I	199,312,794	\$ 261,099,760
1789	AK MEDICAL	5,503,862	\$ 48,929,333
1797	KOOLEARN	18,092,000	\$ 314,800,800
1798	DATANG RENEW	5,469,000	\$ 4,320,510
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	110,835,569	\$ 667,230,125
1801	INNOVENT BIO-B	10,156,500	\$ 247,310,775
1803	BJ SPORTS & ENT	18,252,615	\$ 6,205,889
1806	HUIFU PAYMENT	3,137,221	\$ 9,725,385
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	1,061,033,295	\$ 9,506,858,323
1811	CGN NEW ENERGY	0	\$ 0
1813	KWG GROUP	57,456,561	\$ 462,525,316
1816	CGN POWER	217,042,551	\$ 451,448,506
1818	ZHAOJIN MINING	94,379,284	\$ 830,537,699
1828	DCH HOLDINGS	2,987,457	\$ 10,665,221
1829	CMEC	5,835,706	\$ 18,324,117
1833	PA GOODDOCTOR	32,829,130	\$ 1,733,378,064
1836	STELLA HOLDINGS	2,805,440	\$ 37,817,331
1848	CALC	1,552,000	\$ 12,540,160
1860	MOBVISTA	0	\$ 0
1876	BUD APAC	60,239,900	\$ 1,737,921,115
1877	JUNSHI BIO-B	1,702,600	\$ 48,779,490
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	14,776,124	\$ 282,815,013
1883	CITIC TELECOM	9,605,000	\$ 28,430,800
1888	KB LAMINATES	26,786,091	\$ 205,985,040
1896	MAOYAN ENT	1,481,200	\$ 16,737,560
1898	CHINA COAL	9,682,055	\$ 30,788,935
1899	XINGDA INT'L	2,309,190	\$ 4,964,759
1907	CHINA RISUN GP	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1908	C&D INTL GROUP	1,547,632	\$ 12,117,959
1910	SAMSONITE	47,830,144	\$ 775,804,936
1911	CR HOLDINGS	241,372	\$ 3,639,890
1913	PRADA	33,172,575	\$ 847,559,291
1916	JIANGXI BANK	294,500	\$ 1,351,755
1918	SUNAC	89,542,018	\$ 3,322,008,868
1919	COSCO SHIP HOLD	92,755,122	\$ 276,410,264
1928	SANDS CHINA LTD	73,159,612	\$ 2,860,540,829
1929	CHOW TAI FOOK	10,862,922	\$ 77,561,263
1958	BAIC MOTOR	30,593,956	\$ 147,156,928
1963	BCQ	1,416,809	\$ 6,559,826
1966	CHINA SCE GROUP	16,306,021	\$ 59,516,977
1970	IMAX CHINA	1,813,506	\$ 32,207,867
1972	SWIREPROPERTIES	22,278,730	\$ 555,854,314
1980	TIANGE	7,633,000	\$ 16,334,620
1988	MINSHENG BANK	303,282,441	\$ 1,674,119,074
1992	FOSUN TOURISM	854,139	\$ 9,224,701
1995	EVERSUNSHINE LS	606,000	\$ 2,866,380
1996	RSUN PPT	1,072,000	\$ 2,733,600
1997	WHARF REIC	42,714,407	\$ 1,986,219,926
1999	MAN WAH HLDGS	62,965,011	\$ 350,715,111
2001	NEW HIGHER EDU	18,430,264	\$ 59,161,147
2003	VCREDIT	221,000	\$ 1,562,470
2005	SSY GROUP	52,665,845	\$ 341,274,676
2006	JINJIANGCAPITAL	8,288,000	\$ 9,779,840
2007	COUNTRY GARDEN	475,513,617	\$ 5,297,221,693
2008	PHOENIX TV	1,178,000	\$ 718,580
2009	BBMG	115,214,788	\$ 254,624,681
2013	WEIMOB INC	46,294,432	\$ 168,511,732
2016	CZBANK	0	\$ 0
2018	AAC TECH	101,929,196	\$ 5,249,353,594
2019	DEXIN CHINA	1,794,000	\$ 5,651,100
2020	ANTA SPORTS	14,229,669	\$ 1,081,454,844
2038	FIH	237,740,108	\$ 263,891,520
2039	CIMC	4,955,137	\$ 33,893,137
2048	E-HOUSE ENT	3,384,000	\$ 30,083,760
2051	51 CREDIT CARD	6,356,500	\$ 11,695,960
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,628,500
2099	CHINAGOLDINTL	271,100	\$ 1,754,017
2128	CHINA LESSO	12,800,000	\$ 107,136,000

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2139	BANK OF GANSU	0	\$ 0
2183	SANSHENG HLDG	0	\$ 0
2186	LUYE PHARMA	229,442,059	\$ 1,356,002,569
2196	FOSUN PHARMA	46,519,376	\$ 1,030,404,178
2198	CHINA SANJIANG	6,103,200	\$ 9,459,960
2199	REGINA MIRACLE	8,281,000	\$ 42,067,480
2202	CHINA VANKE	52,760,722	\$ 1,530,060,938
2208	GOLDWIND	22,985,606	\$ 214,455,704
2222	NVC LIGHTING	0	\$ 0
2226	HONWORLD GP	212,500	\$ 907,375
2232	CRYSTAL INTL	6,630,657	\$ 19,958,278
2233	WESTCHINACEMENT	13,014,250	\$ 16,658,240
2238	GAC GROUP	424,566,124	\$ 3,341,335,396
2255	HAICHANG HLDG	9,698,000	\$ 8,534,240
2269	WUXI BIO	20,376,448	\$ 1,761,543,930
2280	HC GROUP	40,373,486	\$ 115,871,905
2282	MGM CHINA	121,723,231	\$ 1,550,753,963
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	2,408,000	\$ 2,793,280
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	338,000	\$ 1,372,280
2313	SHENZHOU INTL	7,613,512	\$ 825,304,701
2314	LEE & MAN PAPER	34,548,604	\$ 152,704,830
2318	PING AN	1,170,566,809	\$ 107,399,504,726
2319	MENGNU DAIRY	77,217,173	\$ 2,455,506,101
2322	HK CHAOSHANG GP	0	\$ 0
2327	MEILLEUREHEALTH	8,910,000	\$ 4,143,150
2328	PICC P&C	101,471,950	\$ 1,009,645,903
2329	GUORUI PPT	10,502,296	\$ 16,173,536
2331	LI NING	35,733,042	\$ 950,498,917
2333	GREATWALL MOTOR	433,098,531	\$ 2,767,499,613
2338	WEICHAJ POWER	117,538,466	\$ 1,546,806,213
2342	COMBA	51,332,193	\$ 100,611,098
2343	PACIFIC BASIN	240,278,945	\$ 415,682,575
2356	DAHSING BANKING	773,482	\$ 7,889,516
2357	AVICHINA	61,023,600	\$ 225,787,320
2359	WUXI APPTTEC	8,808,104	\$ 823,557,724
2362	JINCHUAN INTL	40,437,000	\$ 25,070,940
2378	PRU	0	\$ 0
2380	CHINA POWER	45,590,789	\$ 75,224,802
2382	SUNNY OPTICAL	30,520,517	\$ 3,949,354,900

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	9,248,082	\$ 42,171,254
2388	BOC HONG KONG	50,923,896	\$ 1,382,583,776
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	0	\$ 0
2588	BOC AVIATION	11,867,412	\$ 885,902,306
2600	CHALCO	164,784,493	\$ 387,243,559
2601	CPIC	143,580,777	\$ 4,185,379,650
2607	SH PHARMA	7,477,073	\$ 108,268,017
2608	SUNSHINE 100	2,579,000	\$ 3,584,810
2611	GTJA	9,877,399	\$ 118,528,788
2616	CSTONE PHARMA-B	4,837,282	\$ 52,049,154
2628	CHINA LIFE	69,297,620	\$ 1,424,066,091
2638	HKELECTRIC-SS	105,154,775	\$ 819,155,697
2662	CAMSING INTL	7,849,920	\$ 9,105,907
2666	UNI MEDICAL	31,131,469	\$ 167,798,618
2669	CHINA OVS PPT	45,718,555	\$ 218,077,507
2678	TEXHONG TEXTILE	2,642,654	\$ 21,167,659
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,810,195	\$ 1,294,411,043
2689	ND PAPER	144,447,767	\$ 998,134,070
2698	WEIQIAO TEXTILE	304,000	\$ 626,240
2727	SH ELECTRIC	48,399,507	\$ 115,674,822
2768	JIAYUAN INTL	20,839,492	\$ 65,227,610
2777	R&F PROPERTIES	56,891,400	\$ 720,245,124
2778	CHAMPION REIT	13,012,446	\$ 67,664,719
2799	CHINA HUARONG	231,984,544	\$ 273,741,762
2800	TRACKER FUND	22,026,033	\$ 601,310,701
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	43,877,400	\$ 673,956,864

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2823	ISHARES A50	112,449,224	\$ 1,722,722,112
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,858,350	\$ 307,558,460
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	33,550,000	\$ 62,403,000
2866	COSCO SHIP DEV	54,225,962	\$ 48,803,366
2868	BJ CAPITAL LAND	30,268,000	\$ 80,815,560
2869	GREENTOWN SER	55,265,879	\$ 487,445,053
2877	SHINEWAY PHARM	8,137,577	\$ 59,973,942
2880	DALIAN PORT	1,196,000	\$ 1,184,040
2883	CHINA OILFIELD	53,834,466	\$ 592,179,126
2888	STANCHART	5,142,506	\$ 362,289,548
2899	ZIJIN MINING	64,561,169	\$ 177,543,215
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	50,895,627	\$ 2,290,303,215
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	7,121,572	\$ 65,233,600
3306	JNBY	881,000	\$ 9,937,680
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	4,247,000	\$ 22,636,510
3311	CHINA STATE CON	92,002,093	\$ 667,935,195
3313	ARTGO HOLDINGS	60,180,000	\$ 349,044,000
3319	A-LIVING	6,353,363	\$ 150,574,703
3320	CHINARES PHARMA	12,043,427	\$ 88,880,491
3323	CNBM	88,997,609	\$ 613,193,526
3328	BANKCOMM	70,846,531	\$ 384,696,663
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	239,495,455	\$ 4,651,001,736
3336	JU TENG INTL	2,092,000	\$ 3,849,280

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	91,057,987	\$ 71,935,810
3339	LONKING	73,442,951	\$ 164,512,210
3360	FE HORIZON	12,949,600	\$ 94,532,080
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	62,122,193	\$ 181,396,804
3380	LOGAN PPT	39,485,642	\$ 484,883,684
3382	TIANJINPORT DEV	3,738,000	\$ 2,691,360
3383	AGILE GROUP	74,039,644	\$ 802,589,741
3393	WASION HOLDINGS	2,180,000	\$ 8,458,400
3396	LEGENDHOLDING	7,529,477	\$ 131,012,900
3606	FUYAO GLASS	13,512,412	\$ 304,704,891
3613	TONGRENTANGCM	4,252,000	\$ 45,411,360
3618	CQRC BANK	58,203,782	\$ 243,873,847
3633	ZHONGYU GAS	4,608,000	\$ 34,744,320
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	1,021,118	\$ 6,167,553
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	13,107,539	\$ 88,082,662
3683	GREAT HARVEST	1,670,000	\$ 2,454,900
3690	MEITUAN-W	89,905,592	\$ 8,433,144,530
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	11,654,000	\$ 14,334,420
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	60,682,081	\$ 327,076,417
3800	GCL-POLY ENERGY	1,012,214,258	\$ 313,786,420
3808	SINOTRUK	67,741,969	\$ 841,355,255
3813	POU SHENG INT'L	7,199,967	\$ 21,239,903
3818	CHINA DONGXIANG	9,568,902	\$ 8,420,634
3836	HARMONY AUTO	18,723,246	\$ 51,676,159
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	53,461,153	\$ 570,965,114
3888	KINGSOFT	190,373,063	\$ 3,598,050,891
3898	CRRC TIMES ELEC	9,943,596	\$ 298,307,880
3899	CIMC ENRIC	5,558,008	\$ 24,177,335
3900	GREENTOWN CHINA	54,704,680	\$ 410,285,100
3908	CICC	128,715,945	\$ 1,858,658,246
3918	NAGACORP	9,950,071	\$ 139,101,993
3933	UNITED LAB	97,141,061	\$ 436,163,364
3958	DFZQ	5,456,400	\$ 24,390,108
3968	CM BANK	112,190,666	\$ 4,308,121,574
3969	CHINA CRSC	41,520,084	\$ 196,389,997

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	28,513,274	\$ 55,030,619
3988	BANK OF CHINA	136,200,295	\$ 442,650,959
3990	MIDEA REAL EST	0	\$ 0
3993	CMOC	492,392,909	\$ 1,235,906,202
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	112,973,443	\$ 457,542,444
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	224,497,107	\$ 3,309,087,357
6055	CTIHK	8,533,000	\$ 157,007,200
6060	ZA ONLINE	55,271,746	\$ 1,401,138,761
6066	CSC	13,414,268	\$ 73,107,761
6068	WISDOM EDU INTL	4,876,836	\$ 16,727,547
6069	SHENGYE CAPITAL	958,000	\$ 6,744,320
6088	FIT HON TENG	9,488,000	\$ 30,171,840
6098	CG SERVICES	72,655,252	\$ 1,936,262,466
6099	CMSC	2,476,132	\$ 21,740,439
6100	TALENT LIEPIN	3,375,200	\$ 65,546,384
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	9,065,000	\$ 48,769,700
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	303,419,916	\$ 1,368,423,821
6178	EB SECURITIES	3,704,604	\$ 21,005,105
6185	CANSINO BIO-B	297,800	\$ 11,509,970
6190	BANKOFJIUJIANG	0	\$ 0
6196	BANKOFZHENGZHOU	350,000	\$ 931,000
6198	QINGDAO PORT	3,467,989	\$ 15,640,630
6806	SWHY	0	\$ 0
6808	SUNART RETAIL	79,767,543	\$ 670,047,361
6818	CEB BANK	28,451,507	\$ 105,270,576
6823	HKT-SS	21,194,342	\$ 260,690,407
6826	HAOHAI BIOTEC	563,123	\$ 25,903,658
6828	BG BLUE SKY	84,262,232	\$ 16,936,709
6837	HAITONG SEC	85,395,611	\$ 689,996,537

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6862	HAILILAO	34,392,692	\$ 1,288,006,315
6865	FLAT GLASS	2,746,000	\$ 10,215,120
6868	TENFU	0	\$ 0
6869	YOFC	23,503,984	\$ 325,295,139
6881	CGS	127,277,668	\$ 518,020,109
6886	HTSC	112,185,700	\$ 1,326,034,974
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	18,753,900	\$ 128,276,676
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	238,100,000	\$ 113,097,500
8137	HONBRIDGE	16,944,624	\$ 8,048,696
8279	AGTECH HOLDINGS	3,164,000	\$ 1,139,040
8283	ZHENG LI HLDG	2,275,000	\$ 1,979,250
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESKS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA-TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 01/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

