

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	2,448,865	\$ 206,316,876
2	CLP HOLDINGS	9,982,615	\$ 897,437,089
3	HK & CHINA GAS	130,610,014	\$ 2,471,141,465
4	WHARF HOLDINGS	23,247,860	\$ 533,538,387
5	HSBC HOLDINGS	48,914,724	\$ 3,272,395,036
6	POWER ASSETS	24,023,850	\$ 1,303,293,863
8	PCCW	54,095,176	\$ 258,574,941
10	HANG LUNG GROUP	14,658,069	\$ 353,992,366
11	HANG SENG BANK	7,728,823	\$ 1,536,490,012
12	HENDERSON LAND	12,626,569	\$ 630,697,122
14	HYSAN DEV	2,054,973	\$ 87,439,101
16	SHK PPT	11,447,274	\$ 1,556,829,264
17	NEW WORLD DEV	39,308,653	\$ 527,522,123
19	SWIRE PACIFIC A	14,718,657	\$ 1,474,809,431
20	WHEELLOCK	3,992,780	\$ 225,392,431
23	BANK OF E ASIA	17,607,738	\$ 448,997,319
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	81,696,559	\$ 4,754,739,734
28	TIAN AN	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	8,108,598	\$ 31,299,188
41	GREAT EAGLE H	160,768	\$ 6,109,184
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	2,474,184	\$ 28,947,953
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	95,500	\$ 2,611,925
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	932,000	\$ 35,975,200
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	22,637,764	\$ 1,102,459,107
69	SHANGRI-LA ASIA	16,935,576	\$ 187,984,894
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	7,216,507	\$ 32,474,282
83	SINO LAND	20,190,571	\$ 291,148,034

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86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	0	\$ 0
101	HANG LUNG PPT	35,705,909	\$ 682,696,980
102	SUMMIT ASCENT	4,216,706	\$ 6,662,395
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,162,000	\$ 5,686,060
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	11,625,606	\$ 40,457,109
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	18,665,372	\$ 35,650,861
127	CHINESE EST H	1,210,000	\$ 10,454,400
135	KUNLUN ENERGY	436,169,899	\$ 3,755,422,830
136	HENGTEN NET	1,466,734,396	\$ 344,682,583
142	FIRST PACIFIC	21,450,813	\$ 60,062,276
144	CHINA MER PORT	56,597,918	\$ 931,601,730
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	8,316,651	\$ 232,034,563
151	WANT WANT CHINA	480,572,400	\$ 3,066,051,912
152	SHENZHEN INT'L	7,245,364	\$ 126,504,055
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	4,978,737	\$ 75,079,354
168	TSINGTAO BREW	20,771,988	\$ 951,357,050
171	SILVER GRANT	25,772,000	\$ 40,719,760
173	K. WAH INT'L	5,655,688	\$ 28,108,769
175	GEELY AUTO	289,408,642	\$ 4,891,006,050
177	JIANGSU EXPRESS	13,142,443	\$ 145,092,571
178	SA SA INT'L	94,960,689	\$ 251,645,826
179	JOHNSON ELEC H	2,087,022	\$ 39,695,158
182	CONCORD NE	13,710,494	\$ 5,484,198
189	DONGYUE GROUP	22,320,474	\$ 157,136,137
194	LIU CHONG HING	124,000	\$ 1,696,320
196	HONGHUA GROUP	45,751,812	\$ 33,856,341
200	MELCO INT'L DEV	19,192,114	\$ 380,003,857
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	35,663,573	\$ 116,619,884
220	U-PRESID CHINA	50,555,021	\$ 352,368,496
225	POKFULAM	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
230	MINMETALS LAND	1,994,000	\$ 2,991,000
241	ALI HEALTH	141,380,979	\$ 1,413,809,790
242	SHUN TAK HOLD	14,299,181	\$ 51,906,027
245	CN MINSHENG FIN	7,195,496	\$ 1,216,039
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	140,000	\$ 1,428,000
256	CITYCHAMP	2,132,000	\$ 3,453,840
257	CHINA EB INT'L	201,522,696	\$ 1,559,785,667
258	TOMSON GROUP	0	\$ 0
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	31,781,189	\$ 376,289,278
268	KINGDEE INT'L	287,331,603	\$ 2,689,423,804
270	GUANGDONG INV	3,132,233	\$ 45,292,089
272	SHUI ON LAND	19,081,892	\$ 37,782,146
273	MASON GP HOLD	24,816,884	\$ 3,747,349
285	BYD ELECTRONIC	84,307,431	\$ 996,513,834
286	CS HEALTH	6,546,000	\$ 3,796,680
288	WH GROUP	100,193,843	\$ 893,729,080
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	40,013,200	\$ 1,352,446,160
293	CATHAY PAC AIR	9,811,006	\$ 135,195,663
297	SINOFERT	79,564,281	\$ 81,155,567
303	VTECH HOLDINGS	2,240,466	\$ 162,433,785
308	CHINA TRAVEL HK	112,809,634	\$ 206,441,630
315	SMARTONE TELE	2,355,311	\$ 19,902,378
316	OOIL	0	\$ 0
317	COMEC	4,770,112	\$ 43,312,617
321	TEXWINCA HOLD	5,488,000	\$ 15,915,200
322	TINGYI	75,280,263	\$ 953,048,130
323	MAANSHAN IRON	201,091,867	\$ 822,465,736
326	CHINA STAR ENT	1,870,000	\$ 1,196,800
327	PAX GLOBAL	28,962,315	\$ 106,870,942
330	ESPRIT HOLDINGS	101,008,313	\$ 170,704,049
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,089,107	\$ 7,980,389
337	GREENLAND HK	4,107,780	\$ 14,130,763
338	SHANGHAI PEICHEM	158,529,030	\$ 607,166,185
341	CAFE DE CORAL H	0	\$ 0
342	NEWOCEAN ENERGY	43,064,472	\$ 94,741,838
345	VITASOY INT'L	2,441,556	\$ 96,929,773

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
347	ANGANG STEEL	124,441,422	\$ 709,316,105
354	CHINASOFT INT'L	182,078,944	\$ 875,799,721
358	JIANGXI COPPER	45,095,222	\$ 487,028,398
363	SHANGHAI IND H	860,463	\$ 16,331,588
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	264,899,327	\$ 1,279,463,749
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	1,647,419	\$ 217,459
383	CHINA MED&HCARE	7,710,000	\$ 1,457,190
384	CHINA GAS HOLD	115,628,693	\$ 2,931,187,368
386	SINOPEC CORP	327,239,335	\$ 2,038,701,057
388	HKEX	16,437,659	\$ 4,546,656,479
390	CHINA RAILWAY	73,650,168	\$ 481,672,099
392	BEIJING ENT	4,153,500	\$ 176,939,100
400	COGOBUY	10,852,562	\$ 33,534,417
405	YUEXIU REIT	7,297,000	\$ 38,528,160
410	SOHO CHINA	29,949,056	\$ 89,847,168
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	61,714,190	\$ 15,305,119
425	MINTH GROUP	97,626,475	\$ 2,601,745,559
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	45,109,477	\$ 32,929,918
440	DAH SING	95,600	\$ 3,943,500
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	119,013,565	\$ 38,679,409
460	SIHUAN PHARM	115,235,518	\$ 233,928,102
467	UNITEDENERGY GP	25,750,000	\$ 40,170,000
468	GAPACK	354,000	\$ 1,692,120
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	329,700	\$ 4,206,972
489	DONGFENG GROUP	84,703,386	\$ 709,814,375
493	GOME RETAIL	1,822,640,618	\$ 1,494,565,307
494	LI & FUNG	343,007,520	\$ 497,360,904
496	KASEN	4,017,000	\$ 22,455,030
497	CSI PROPERTIES	3,000,000	\$ 1,290,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	8,377,000	\$ 26,555,090

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
508	DINGYI GP INV	39,672,680	\$ 19,439,613
511	TVB	6,237,259	\$ 97,051,750
512	CHINAGRANDPHARM	13,004,000	\$ 64,109,720
517	COSCO SHIP INTL	484,000	\$ 1,350,360
519	APPLIED DEV	719,454	\$ 294,976
520	XIABUXIABU	29,131,600	\$ 392,693,968
522	ASM PACIFIC	20,965,901	\$ 1,981,277,645
525	GUANGSHEN RAIL	14,845,532	\$ 44,536,596
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	66,474,000	\$ 71,791,920
538	AJISEN (CHINA)	10,300,826	\$ 33,477,685
546	FUFENG GROUP	49,068,828	\$ 203,144,948
547	DIGITAL DOMAIN	262,175,000	\$ 36,704,500
548	SHENZHENEXPRESS	3,360,000	\$ 32,289,600
551	YUE YUEN IND	10,627,659	\$ 276,850,517
552	CHINACOMSERVICE	32,066,614	\$ 215,487,646
563	SH IND URBAN	10,096,000	\$ 16,961,280
570	TRAD CHI MED	63,793,451	\$ 306,208,565
576	ZHEJIANGEXPRESS	15,592,991	\$ 147,977,485
579	JNCEC	8,636,000	\$ 13,990,320
581	CHINA ORIENTAL	29,265,039	\$ 145,739,894
586	CONCH VENTURE	26,853,388	\$ 746,524,186
588	BEIJING N STAR	680,000	\$ 1,931,200
590	LUK FOOK HOLD	12,340,522	\$ 350,470,825
596	INSPUR INT'L	3,710,000	\$ 15,470,700
598	SINOTRANS	22,437,449	\$ 78,979,820
603	CHINA OIL & GAS	10,879,936	\$ 5,439,968
604	SHENZHEN INVEST	74,385,060	\$ 238,032,192
606	CHINA AGRI	24,213,445	\$ 63,197,091
607	FULLSHARE	852,917,329	\$ 818,800,636
622	ENERCHINA HOLD	8,674,246	\$ 7,806,821
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	8,863,000	\$ 34,742,960
636	KERRY LOG NET	5,438,965	\$ 78,647,434
639	SHOUGANG RES	27,155,101	\$ 55,939,508
656	FOSUN INTL	37,204,064	\$ 476,212,019
658	C TRANSMISSION	40,252,156	\$ 242,317,979
659	NWS HOLDINGS	1,607,000	\$ 26,965,460
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	198,402,163	\$ 595,206,489

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669	TECHTRONIC IND	24,994,276	\$ 1,445,918,867
670	CHINA EAST AIR	37,605,918	\$ 223,379,153
678	GENTING HK	6,150,755	\$ 6,704,323
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	13,649,044	\$ 468,162,209
686	PANDA GREEN	70,802,131	\$ 24,072,725
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	28,657,528	\$ 859,725,840
689	EPI (HOLDINGS)	19,275,000	\$ 2,409,375
694	BEIJING AIRPORT	56,207,019	\$ 395,697,414
696	TRAVELSKY TECH	18,494,628	\$ 366,193,634
697	SHOUGANG INT'L	16,733,349	\$ 5,271,005
698	TONGDA GROUP	236,811,343	\$ 222,602,662
699	CAR INC	59,428,765	\$ 379,749,808
700	TENCENT	82,140,866	\$ 32,347,073,031
707	ATV HOLDINGS	12,784,000	\$ 2,812,480
708	EVERG HEALTH	6,008,926	\$ 66,939,436
709	GIORDANO INT'L	5,358,259	\$ 20,790,045
710	BOE VARITRONIX	2,191,812	\$ 5,326,103
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	4,860,836	\$ 6,319,087
717	EMPEROR CAPITAL	10,200,974	\$ 4,131,394
721	C FIN INT INV	11,313,738	\$ 1,436,845
728	CHINA TELECOM	77,628,699	\$ 327,593,110
729	FDG EVEHICLES	172,868,909	\$ 9,507,790
732	TRULY INT'L	67,149,779	\$ 90,652,202
735	CP CLEAN ENERGY	3,405,780	\$ 18,186,865
737	HOPEWELL INFR	0	\$ 0
743	ASIA CEMENT CH	2,507,000	\$ 22,939,050
751	SKYWORTHDIGITAL	114,833,572	\$ 300,863,959
752	PICO FAR EAST	400,000	\$ 1,208,000
753	AIR CHINA	72,980,709	\$ 731,266,704
754	HOPSON DEV HOLD	0	\$ 0
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	86,313,071	\$ 850,183,749
763	ZTE	51,533,254	\$ 1,259,988,060
772	CHINA LIT	41,349,548	\$ 1,573,350,301
777	NETDRAGON	4,219,000	\$ 94,505,600
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	8,468,261	\$ 8,637,626
788	CHINA TOWER	870,640,067	\$ 1,810,931,339

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799	IGG	49,494,594	\$ 524,642,696
806	VALUE PARTNERS	35,374,743	\$ 217,554,669
807	SIICENVIRONMENT	2,287,300	\$ 4,666,092
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	597,000	\$ 3,719,310
813	SHIMAO PROPERTY	35,114,789	\$ 905,961,556
816	HUADIAN FUXIN	7,006,000	\$ 12,050,320
817	CHINA JINMAO	402,918,462	\$ 2,308,722,787
818	HI SUN TECH	3,636,050	\$ 5,163,191
819	TIANNENG POWER	59,625,887	\$ 462,696,883
823	LINK REIT	20,453,731	\$ 1,888,902,058
826	TIANGONG INT'L	13,022,400	\$ 24,482,112
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	3,566,000	\$ 14,370,980
836	CHINA RES POWER	17,873,659	\$ 208,049,391
839	CHINA EDU GROUP	38,766,306	\$ 496,208,717
853	MICROPORT	40,612,378	\$ 298,500,978
855	CHINA WATER	30,418,248	\$ 247,300,356
856	VSTECs	2,724,476	\$ 11,579,023
857	PETROCHINA	117,784,436	\$ 601,878,468
860	WE SOLUTIONS	34,887,857	\$ 15,873,975
861	DC HOLDINGS	7,333,512	\$ 30,287,405
867	CMS	10,640,784	\$ 82,146,852
868	XINYI GLASS	65,743,438	\$ 623,905,227
874	BAIYUNSHAN PH	6,003,720	\$ 226,040,058
877	O-NET TECH GP	3,786,078	\$ 15,787,945
880	SJM HOLDINGS	135,280,561	\$ 1,278,401,301
881	ZHONGSHENG HLDG	80,891,635	\$ 1,739,170,153
882	TIANJIN DEV	2,510,000	\$ 7,028,000
883	CNOOC	87,343,955	\$ 1,285,703,018
884	CIFI HOLD GP	129,619,769	\$ 771,237,626
895	DONGJIANG ENV	1,562,725	\$ 15,174,060
902	HUANENG POWER	192,886,044	\$ 943,212,755
906	COFCO PACKAGING	29,629,860	\$ 100,148,927
914	CONCH CEMENT	49,898,483	\$ 2,484,944,453
916	CHINA LONGYUAN	52,593,241	\$ 280,847,907
921	HISENSE HA	2,504,507	\$ 28,851,921
931	CHINA LNG	4,070,386	\$ 4,599,536
934	SINOPEC KANTONS	18,517,500	\$ 66,663,000
939	CCB	1,478,256,053	\$ 10,244,314,447
941	CHINA MOBILE	29,855,447	\$ 2,298,869,419

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950	LEE'S PHARM	2,801,739	\$ 20,480,712
951	CHAOWEI POWER	10,974,624	\$ 40,386,616
956	CHINA SUNTIEN	2,240,094	\$ 5,421,027
958	HN RENEWABLES	98,144,513	\$ 220,825,154
960	LONGFOR GROUP	17,123,161	\$ 500,852,459
966	CHINA TAIPING	39,636,388	\$ 982,982,422
968	XINYI SOLAR	222,310,896	\$ 895,912,911
973	L'OCCITANE	3,713,500	\$ 52,137,540
976	CHIHO ENV	3,102,000	\$ 3,908,520
978	CHI MER LAND	0	\$ 0
981	SMIC	513,084,613	\$ 4,068,760,981
989	GROUND INTL DEV	9,775,000	\$ 3,079,125
991	DATANG POWER	29,073,632	\$ 62,799,045
992	LENOVO GROUP	496,843,998	\$ 3,552,434,586
995	ANHUIEXPRESSWAY	1,019,301	\$ 5,351,330
996	CARNIVAL GROUP	419,769,918	\$ 24,346,655
998	CITIC BANK	116,299,113	\$ 581,495,565
999	I.T	540,000	\$ 2,019,600
1003	HUANXI MEDIA	642,445	\$ 1,040,761
1004	C SMARTERENERGY	0	\$ 0
1030	FUTURE LAND	98,211,596	\$ 1,007,650,975
1031	KINGSTON FIN	2,940,000	\$ 5,203,800
1033	SINOPEC SSC	27,071,960	\$ 32,486,352
1038	CKI HOLDINGS	15,150,253	\$ 954,465,939
1044	HENGAN INT'L	51,451,596	\$ 3,637,627,837
1051	G-RESOURCES	24,990,972	\$ 1,899,314
1052	YUEXIUTRANSPORT	16,621,730	\$ 107,875,028
1055	CHINA SOUTH AIR	164,859,565	\$ 1,234,798,142
1060	ALI PICTURES	1,061,085,134	\$ 1,676,514,512
1061	ESSEX BIO-TECH	162,000	\$ 1,087,020
1066	WEIGAO GROUP	22,774,958	\$ 169,901,187
1070	TCL ELECTRONICS	8,703,113	\$ 38,206,666
1071	HUADIAN POWER	41,453,131	\$ 148,402,209
1072	DONGFANG ELEC	3,619,269	\$ 26,999,747
1076	IMPERIAL PAC	68,800,000	\$ 7,361,600
1083	TOWNGAS CHINA	2,809,715	\$ 17,054,970
1086	GOODBABY INTL	39,107,762	\$ 89,947,853
1088	CHINA SHENHUA	34,658,067	\$ 620,379,399
1089	LEYOU TECH H	15,065,976	\$ 37,212,961
1093	CSPC PHARMA	151,475,548	\$ 2,405,431,702
1098	ROAD KING INFRA	255,000	\$ 4,574,700

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1099	SINOPHARM	19,109,607	\$ 616,284,826
1109	CHINA RES LAND	47,026,336	\$ 1,622,408,592
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	11,265,591	\$ 539,621,809
1113	CK ASSET	7,968,675	\$ 547,049,539
1114	BRILLIANCE CHI	398,157,023	\$ 3,424,150,398
1115	TIBET WATER	18,043,000	\$ 41,138,040
1117	CH MODERN D	5,190,929	\$ 6,281,024
1122	QINGLING MOTORS	892,000	\$ 2,060,520
1128	WYNN MACAU	94,499,604	\$ 2,003,391,605
1129	WATER INDUSTRY	4,256,000	\$ 4,809,280
1131	AGRITRADE RES	97,680,284	\$ 128,937,975
1133	HARBIN ELECTRIC	32,068,603	\$ 131,160,586
1138	COSCO SHIP ENGY	59,935,940	\$ 308,670,091
1140	OP FINANCIAL	9,908,000	\$ 21,104,040
1141	CMBC CAPITAL	250,109,319	\$ 72,531,703
1157	ZOOMLION	20,330,984	\$ 100,231,751
1169	HAIER ELEC	33,091,035	\$ 747,857,391
1171	YANZHOU COAL	57,016,409	\$ 497,753,251
1176	ZHUGUANG HOLD	7,780,000	\$ 9,258,200
1177	SINO BIOPHARM	365,297,631	\$ 2,922,381,048
1186	CHINA RAIL CONS	114,698,812	\$ 1,116,019,441
1193	CHINA RES GAS	31,051,796	\$ 1,108,549,117
1196	REALORD GROUP	848,000	\$ 3,943,200
1199	COSCO SHIP PORT	18,657,589	\$ 169,410,908
1205	CITIC RESOURCES	16,384,837	\$ 12,616,324
1208	MMG	178,323,424	\$ 645,530,795
1211	BYD COMPANY	154,695,586	\$ 8,044,170,472
1212	LIFESTYLE INT'L	2,134,852	\$ 27,966,561
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,980,000	\$ 1,980,000
1224	C C LAND	1,257,000	\$ 2,262,600
1230	YASHILI INT'L	7,474,924	\$ 8,596,163
1233	TIMES CHINA	5,329,000	\$ 85,583,740
1234	CHINA LILANG	964,000	\$ 8,569,960
1238	POWERLONG	1,904,000	\$ 7,768,320
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	57,867,382	\$ 7,407,025
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	14,013,875	\$ 81,841,030

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1258	CHINMINING	0	\$ 0
1266	XIWANG STEEL	739,000	\$ 1,145,450
1270	LANGHAM-SS	1,600,000	\$ 5,136,000
1282	CHINA GOLDJOY	96,160,199	\$ 30,290,463
1288	ABC	287,891,111	\$ 1,036,408,000
1293	GRAND BAOXIN	47,178,364	\$ 131,155,852
1299	AIA	53,998,373	\$ 4,282,070,979
1302	LIFETECH SCI	28,938,090	\$ 46,590,325
1308	SITC	968,000	\$ 8,576,480
1310	HKBN	2,327,500	\$ 28,535,150
1313	CHINARES CEMENT	94,195,974	\$ 792,188,141
1316	NEXTEER	36,759,167	\$ 424,200,787
1317	MAPLELEAF EDU	69,880,283	\$ 308,172,048
1333	CHINA ZHONGWANG	84,533,694	\$ 362,649,547
1336	NCI	27,495,935	\$ 1,189,199,189
1337	RAZER	107,721,219	\$ 206,824,740
1339	PICC GROUP	121,710,121	\$ 400,426,298
1347	HUA HONG SEMI	47,217,676	\$ 891,469,723
1359	CHINA CINDA	345,532,340	\$ 749,805,178
1360	MEGAEXPO HLDG	4,926,677	\$ 21,233,978
1361	361 DEGREES	36,909,508	\$ 62,377,069
1363	CTEG	53,074,868	\$ 18,045,455
1368	XTEP INT'L	8,798,878	\$ 43,906,401
1375	CC SECURITIES	17,003,583	\$ 38,598,133
1378	CHINAHONGQIAO	102,251,562	\$ 686,107,981
1381	CANVEST ENV	13,304,978	\$ 52,953,812
1382	PACIFICTEXTILES	5,000,975	\$ 34,756,776
1383	SUNCITY GROUP	13,010,000	\$ 30,573,500
1385	SHANGHAI FUDAN	734,000	\$ 9,483,280
1387	RENHE COMM	109,974,925	\$ 29,143,355
1398	ICBC	282,529,713	\$ 1,658,449,415
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	38,504,694	\$ 14,246,737
1448	FU SHOU YUAN	20,022,899	\$ 142,763,270
1458	ZHOU HEI YA	61,110,303	\$ 259,718,788
1478	Q TECH	14,335,721	\$ 120,993,485
1508	CHINA RE	40,745,648	\$ 66,415,406
1513	LIVZON PHARMA	1,353,917	\$ 39,398,985
1515	CR MEDICAL	19,639,676	\$ 122,551,578
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	1,635,047	\$ 12,720,666

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1530	3SBIO	90,374,016	\$ 1,415,257,091
1548	GENSCRIPT BIO	71,651,092	\$ 1,540,498,478
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,531,000	\$ 139,297,950
1561	MANFIELD CHEM	0	\$ 0
1566	CHINA ANIMATION	9,498,274	\$ 21,751,047
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	268,000	\$ 3,140,960
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	24,810,412	\$ 885,731,708
1585	YADEA	13,394,000	\$ 35,494,100
1589	CNLP	6,730,526	\$ 19,316,610
1600	TIAN LUN GAS	1,966,500	\$ 17,226,540
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	49,719,000	\$ 163,078,320
1617	NANFANG COMM	548,000	\$ 2,548,200
1618	MCC	18,699,290	\$ 42,821,374
1622	REDCO GROUP	24,319,957	\$ 78,796,661
1628	YUZHOU PPT	20,388,978	\$ 95,216,527
1636	CMRU	719,730	\$ 2,763,763
1638	KAISA GROUP	125,211,830	\$ 462,031,653
1658	PSBC	914,821,649	\$ 4,162,438,503
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	10,735,586	\$ 117,017,887
1668	CHINASOUTHCITY	14,236,000	\$ 18,079,720
1672	ASCLETIS-B	0	\$ 0
1680	MACAU LEGEND	5,044,000	\$ 6,557,200
1681	CONSUN PHARMA	3,186,000	\$ 18,223,920
1686	SUNEVISION	4,847,545	\$ 33,302,634
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	6,404,000	\$ 68,010,480
1720	PUTIAN COMM	0	\$ 0
1727	HEBEI CONS	290,000	\$ 1,858,900
1728	ZHENGTONGAUTO	139,627,012	\$ 649,265,606
1765	HOPE EDU	21,050,000	\$ 26,944,000
1766	CRRC	94,173,097	\$ 686,521,877
1772	GANFENGLITHIUM	1,535,200	\$ 21,554,208
1776	GF SEC	50,280,843	\$ 558,117,357
1777	FANTASIA	10,815,000	\$ 17,304,000

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1778	COLOUR LIFE	9,925,609	\$ 59,851,422
1787	SD GOLD	350,500	\$ 6,273,950
1788	GUOTAI JUNAN I	271,549,932	\$ 431,764,392
1789	AK MEDICAL	5,750,000	\$ 23,747,500
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	49,741,489	\$ 407,382,795
1803	BJ SPORTS & ENT	10,136,482	\$ 23,313,909
1806	HUIFU PAYMENT	676,400	\$ 3,246,720
1810	XIAOMI-W	692,373,807	\$ 8,045,383,637
1811	CGN NEW ENERGY	2,008,000	\$ 2,369,440
1812	CHENMING PAPER	1,897,065	\$ 9,011,059
1813	KWG GROUP	93,256,441	\$ 894,329,269
1816	CGN POWER	394,332,398	\$ 867,531,276
1818	ZHAOJIN MINING	65,477,256	\$ 489,769,875
1828	DCH HOLDINGS	1,069,000	\$ 3,174,930
1829	CMEC	5,433,971	\$ 21,572,865
1833	PA GOODDOCTOR	31,424,700	\$ 1,341,834,690
1836	STELLA HOLDINGS	220,139	\$ 2,597,640
1848	CALC	1,317,500	\$ 11,936,550
1862	JINGRUI HLDGS	1,085,000	\$ 3,189,900
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	18,823,458	\$ 358,022,171
1883	CITIC TELECOM	3,724,000	\$ 12,438,160
1888	KB LAMINATES	25,776,569	\$ 231,473,590
1898	CHINA COAL	30,385,720	\$ 100,576,733
1899	XINGDA INT'L	10,359,972	\$ 25,796,330
1908	C&D INTL GROUP	2,206,000	\$ 23,604,200
1910	SAMSONITE	34,702,781	\$ 820,720,771
1911	CR HOLDINGS	160,600	\$ 3,276,240
1913	PRADA	19,490,055	\$ 429,755,713
1916	JIANGXI BANK	3,181,000	\$ 20,326,590
1918	SUNAC	102,016,355	\$ 4,386,703,265
1919	COSCO SHIP HOLD	112,902,889	\$ 425,643,892
1928	SANDS CHINA LTD	67,955,872	\$ 2,888,124,560
1929	CHOW TAI FOOK	9,950,460	\$ 84,479,405
1958	BAIC MOTOR	98,836,341	\$ 611,796,951
1963	BCQ	2,459,809	\$ 12,520,428
1966	CHINA SCE GROUP	20,401,021	\$ 79,359,972
1970	IMAX CHINA	5,227,642	\$ 101,416,255

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1972	SWIREPROPERTIES	8,657,786	\$ 281,810,934
1980	TIANGE	3,916,269	\$ 12,571,223
1988	MINSHENG BANK	207,534,714	\$ 1,228,605,507
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	11,051,239	\$ 634,341,119
1999	MAN WAH HLDGS	118,013,213	\$ 553,481,969
2001	NEW HIGHER EDU	39,220,269	\$ 145,114,995
2003	VCREDIT	0	\$ 0
2005	SSY GROUP	43,147,285	\$ 335,685,877
2006	JINJIANG HOTELS	6,260,000	\$ 13,146,000
2007	COUNTRY GARDEN	533,107,139	\$ 7,015,689,949
2009	BBMG	178,419,372	\$ 540,610,697
2014	OZNER WATER	40,852,000	\$ 49,430,920
2016	CZBANK	0	\$ 0
2018	AAC TECH	77,545,365	\$ 4,078,886,199
2020	ANTA SPORTS	15,279,760	\$ 817,467,160
2038	FIH	149,651,459	\$ 139,175,857
2039	CIMC	421,838	\$ 4,581,161
2048	E-HOUSE ENT	1,569,600	\$ 17,359,776
2051	51 CREDIT CARD	1,505,500	\$ 7,979,150
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	5,400,000	\$ 19,440,000
2099	CHINAGOLDINTL	186,200	\$ 1,925,308
2128	CHINA LESSO	1,434,000	\$ 7,786,620
2136	LIFESTYLE CHI	8,652,339	\$ 24,745,690
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	150,577,538	\$ 1,139,871,963
2196	FOSUN PHARMA	38,642,373	\$ 1,143,814,241
2198	CHINA SANJIANG	1,024,200	\$ 2,314,692
2202	CHINA VANKE	45,453,641	\$ 1,522,696,974
2208	GOLDWIND	49,118,432	\$ 471,536,947
2232	CRYSTAL INTL	6,445,749	\$ 26,298,656
2233	WESTCHINACEMENT	19,992,250	\$ 22,791,165
2238	GAC GROUP	199,709,824	\$ 1,897,243,328
2255	HAICHANG HLDG	2,914,000	\$ 4,924,660
2269	WUXI BIO	26,512,714	\$ 2,163,437,462
2280	HC GROUP	26,952,401	\$ 117,512,468
2282	MGM CHINA	98,392,913	\$ 1,641,193,789
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	5,651,890	\$ 14,694,914
2299	BILLION IND	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2303	HENGXING GOLD	0	\$ 0
2313	SHENZHOU INTL	5,439,666	\$ 561,373,531
2314	LEE & MAN PAPER	24,194,511	\$ 158,715,992
2318	PING AN	1,216,813,025	\$ 112,737,726,766
2319	MENGNU DAIRY	57,592,492	\$ 1,664,423,019
2322	NOBLE CENTURY	992,000	\$ 694,400
2328	PICC P&C	105,905,322	\$ 936,203,046
2329	GUORUI PPT	9,712,268	\$ 17,093,592
2331	LI NING	40,025,405	\$ 537,140,935
2333	GREATWALL MOTOR	649,830,202	\$ 4,308,374,239
2338	WEICHAH POWER	128,127,653	\$ 1,804,037,354
2342	COMBA	134,744,163	\$ 276,225,534
2343	PACIFIC BASIN	258,160,657	\$ 433,709,904
2356	DAHSHING BANKING	1,565,482	\$ 23,294,372
2357	AVICHINA	118,740,192	\$ 567,578,118
2359	WUXI APPTTEC	3,780,246	\$ 389,743,363
2362	JINCHUAN INTL	43,217,000	\$ 35,870,110
2378	PRU	0	\$ 0
2380	CHINA POWER	102,663,612	\$ 209,433,768
2382	SUNNY OPTICAL	61,772,993	\$ 6,405,859,374
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	12,397,929	\$ 90,380,902
2388	BOC HONG KONG	39,323,440	\$ 1,390,083,604
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2488	LAUNCH TECH	159,500	\$ 1,114,905
2552	HUA MEDICINE-B	1,832,500	\$ 13,523,850
2588	BOC AVIATION	13,980,634	\$ 966,061,809
2600	CHALCO	207,657,933	\$ 689,424,338
2601	CPIC	137,259,622	\$ 4,330,541,074
2607	SH PHARMA	6,034,568	\$ 107,415,310
2608	SUNSHINE 100	1,432,000	\$ 2,477,360
2611	GTJA	13,372,910	\$ 219,583,182
2628	CHINA LIFE	135,043,561	\$ 2,842,666,959
2638	HKELECTRIC-SS	122,583,640	\$ 958,604,065
2662	CAMSING INTL	18,854,434	\$ 184,396,365
2666	UNI MEDICAL	34,423,680	\$ 238,211,866
2669	CHINA OVS PPT	23,483,723	\$ 90,647,171
2678	TEXHONG TEXTILE	190,500	\$ 2,331,720
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,106,118	\$ 1,089,697,616

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	95,354,056	\$ 728,504,988
2698	WEIQIAO TEXTILE	188,500	\$ 606,970
2727	SH ELECTRIC	100,444,944	\$ 310,374,877
2768	JIAYUAN INTL	77,199,109	\$ 297,988,561
2777	R&F PROPERTIES	101,726,342	\$ 1,739,520,448
2778	CHAMPION REIT	12,087,414	\$ 79,656,058
2779	CH XINHUA EDU	798,000	\$ 2,138,640
2799	CHINA HUARONG	551,833,121	\$ 916,042,981
2800	TRACKER FUND	8,548,500	\$ 258,164,700
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	64,462,199	\$ 981,114,669
2823	ISHARES A50	34,839,800	\$ 528,171,368
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,108,318	\$ 366,470,692
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	27,773,323	\$ 54,713,446
2866	COSCO SHIP DEV	88,060,780	\$ 96,866,858
2868	BJ CAPITAL LAND	18,547,047	\$ 59,536,021
2869	GREENTOWN SER	36,120,000	\$ 256,452,000
2877	SHINEWAY PHARM	3,999,803	\$ 31,718,438

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2880	DALIAN PORT	6,738,022	\$ 7,209,684
2883	CHINA OILFIELD	97,670,658	\$ 836,060,832
2888	STANCHART	1,356,405	\$ 91,218,236
2899	ZIJIN MINING	69,415,230	\$ 231,152,716
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	40,660,499	\$ 1,933,406,727
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	3,048,155	\$ 36,577,860
3306	JNBY	2,012,500	\$ 32,844,000
3308	GOLDEN EAGLE	678,000	\$ 6,556,260
3309	C-MER EYE	3,639,000	\$ 18,013,050
3311	CHINA STATE CON	194,598,719	\$ 1,494,518,162
3319	A-LIVING	5,305,286	\$ 69,287,035
3320	CHINARES PHARMA	11,293,000	\$ 124,448,860
3323	CNBM	153,271,768	\$ 1,152,603,695
3328	BANKCOMM	75,581,216	\$ 492,033,716
3331	VINDA INT'L	334,000	\$ 5,110,200
3333	EVERGRANDE	318,343,942	\$ 8,229,190,901
3336	JU TENG INTL	3,414,183	\$ 7,750,195
3337	ANTON OILFIELD	39,506,000	\$ 46,617,080
3339	LONKING	31,280,951	\$ 89,150,710
3360	FE HORIZON	37,091,655	\$ 310,086,236
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	133,029,670	\$ 526,797,493
3380	LOGAN PPT	61,568,719	\$ 842,260,076
3382	TIANJINPORT DEV	4,960,000	\$ 4,513,600
3383	AGILE GROUP	78,689,964	\$ 1,016,674,335
3393	WASION HOLDINGS	2,334,671	\$ 9,478,764
3396	LEGENDHOLDING	5,360,236	\$ 116,049,109
3606	FUYAO GLASS	15,968,259	\$ 447,909,665
3613	TONGRENTANGCM	1,647,000	\$ 25,001,460
3618	CQRC BANK	40,627,674	\$ 190,543,791
3633	ZHONGYU GAS	6,068,997	\$ 45,820,927
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	32,760,391	\$ 240,461,270
3683	GREAT HARVEST	552,500	\$ 1,022,125
3690	MEITUAN-W	151,195,668	\$ 8,126,767,155
3698	HUI SHANG BANK	0	\$ 0
3700	INKE	21,726,000	\$ 43,886,520
3709	KORADIOR	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3799	DALI FOODS	104,919,482	\$ 591,745,878
3800	GCL-POLY ENERGY	956,888,680	\$ 554,995,434
3808	SINOTRUK	187,601,336	\$ 3,538,161,197
3813	POU SHENG INT'L	26,774,600	\$ 51,674,978
3818	CHINA DONGXIANG	39,359,976	\$ 46,838,371
3836	HARMONY AUTO	33,269,300	\$ 99,807,900
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	30,742,000	\$ 308,034,840
3888	KINGSOFT	85,918,319	\$ 1,795,692,867
3898	CRRC TIMES ELEC	16,284,999	\$ 700,254,957
3899	CIMC ENRIC	5,766,000	\$ 47,165,880
3900	GREENTOWN CHINA	22,232,133	\$ 178,968,671
3908	CICC	122,894,589	\$ 2,143,281,632
3918	NAGACORP	13,323,475	\$ 124,041,552
3933	UNITED LAB	131,179,740	\$ 648,027,916
3958	DFZQ	4,273,600	\$ 26,880,944
3968	CM BANK	197,911,267	\$ 7,956,032,933
3969	CHINA CRSC	28,034,318	\$ 171,850,369
3983	CHINA BLUECHEM	23,924,074	\$ 67,705,129
3988	BANK OF CHINA	257,730,416	\$ 958,757,148
3993	CMOC	426,981,968	\$ 1,511,516,167
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	36,486,505	\$ 78,445,986
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	242,913,363	\$ 4,372,440,534
6058	CISI FIN	0	\$ 0
6060	ZA ONLINE	33,730,870	\$ 988,314,491
6066	CSC	18,116,500	\$ 126,272,005
6068	WISDOM EDU INTL	5,360,000	\$ 22,244,000
6088	FIT HON TENG	1,577,000	\$ 6,071,450
6098	CG SERVICES	41,659,994	\$ 601,570,313
6099	CMSC	1,389,400	\$ 16,367,132
6100	TALENT LIEPIN	5,657,600	\$ 113,434,880
6116	LA CHAPELLE	96,200	\$ 437,710
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	5,726,000	\$ 30,519,580
6160	BEIGENE-B	0	\$ 0
6166	CHINA VAST	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	177,439,016	\$ 621,036,556
6178	EB SECURITIES	5,242,800	\$ 41,732,688
6190	BANKOFJIUJIANG	0	\$ 0
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,716,021	\$ 20,958,358
6808	SUNART RETAIL	141,011,049	\$ 1,006,818,890
6818	CEB BANK	67,219,412	\$ 254,761,571
6823	HKT-SS	16,573,254	\$ 208,823,000
6826	HAOHAI BIOTEC	577,500	\$ 34,101,375
6828	BG BLUE SKY	153,196,581	\$ 42,895,043
6837	HAITONG SEC	143,005,806	\$ 1,472,959,802
6858	HONMAGOLF	178,500	\$ 1,461,915
6860	FINGERTANGO	0	\$ 0
6862	HAIDILAO	13,628,000	\$ 402,707,400
6868	TENFU	0	\$ 0
6869	YOFC	27,320,053	\$ 579,185,124
6878	DIFFER GROUP	0	\$ 0
6881	CGS	295,718,452	\$ 1,585,050,903
6886	HTSC	91,755,026	\$ 1,403,851,898
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 4,636,212
8047	CHINAOCEAN FISH	1,052,000	\$ 457,620
8057	MADISON HLDG	4,760,000	\$ 3,189,200
8083	CHINA YOUZAN	179,315,417	\$ 121,934,484
8137	HONBRIDGE	12,094,624	\$ 11,852,732
8207	CHONGSING HLDGS	27,868,000	\$ 1,727,816
8265	CHINA TRUSTFUL	0	\$ 0
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	4,160,000	\$ 6,448,000
8293	SINGASIA HLDG	435,000	\$ 2,218,500
8469	SHENGYE CAPITAL	1,034,000	\$ 6,979,500
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCSGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/04/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0