

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1          | CKH HOLDINGS    | 11,442,742                                     | \$ 898,255,247                               |
| 2          | CLP HOLDINGS    | 22,328,474                                     | \$ 1,980,535,644                             |
| 3          | HK & CHINA GAS  | 177,497,930                                    | \$ 2,829,317,004                             |
| 4          | WHARF HOLDINGS  | 35,846,702                                     | \$ 742,026,731                               |
| 5          | HSBC HOLDINGS   | 115,883,933                                    | \$ 7,381,806,532                             |
| 6          | POWER ASSETS    | 18,230,951                                     | \$ 969,886,593                               |
| 8          | PCCW            | 47,450,975                                     | \$ 218,274,485                               |
| 10         | HANG LUNG GROUP | 5,569,510                                      | \$ 118,630,563                               |
| 11         | HANG SENG BANK  | 10,488,426                                     | \$ 1,898,405,106                             |
| 12         | HENDERSON LAND  | 19,598,581                                     | \$ 781,003,453                               |
| 14         | HYSAN DEV       | 3,170,691                                      | \$ 122,230,138                               |
| 16         | SHK PPT         | 13,773,036                                     | \$ 1,559,107,675                             |
| 17         | NEW WORLD DEV   | 79,959,054                                     | \$ 855,561,878                               |
| 19         | SWIRE PACIFIC A | 16,811,663                                     | \$ 1,377,715,783                             |
| 20         | WHEELLOCK       | 4,980,082                                      | \$ 226,593,731                               |
| 23         | BANK OF E ASIA  | 18,590,817                                     | \$ 474,065,834                               |
| 25         | CHEVALIER INT'L | 0  | \$ 0   |
| 26         | CHINA MOTOR BUS | 0  | \$ 0   |
| 27         | GALAXY ENT      | 71,207,213                                     | \$ 3,471,351,634                             |
| 28         | TIAN AN         | 0  | \$ 0   |
| 31         | CHINA AEROSPACE | 8,356,000                                      | \$ 4,345,120                                 |
| 32         | CROSS-HAR(HOLD) | 0  | \$ 0   |
| 34         | KOWLOON DEV     | 0  | \$ 0   |
| 35         | FE CONSORT INTL | 3,204,852                                      | \$ 11,761,807                                |
| 41         | GREAT EAGLE H   | 160,768  | \$ 5,490,227                                 |
| 43         | C.P. POKPHAND   | 0  | \$ 0   |
| 45         | HK&S HOTELS     | 3,276,934                                      | \$ 38,667,821                                |
| 50         | HK FERRY (HOLD) | 0  | \$ 0   |
| 51         | HARBOUR CENTRE  | 0  | \$ 0   |
| 52         | FAIRWOOD HOLD   | 240,000  | \$ 6,480,000                                 |
| 53         | GUOCO GROUP     | 0  | \$ 0   |
| 54         | HOPEWELL HOLD   | 498,500  | \$ 16,699,750                                |
| 56         | ALLIED PPT (HK) | 0  | \$ 0   |
| 62         | TRANSPORT INT'L | 0  | \$ 0   |
| 64         | GET NICE        | 0  | \$ 0   |
| 66         | MTR CORPORATION | 40,823,122                                     | \$ 1,669,665,690                             |
| 69         | SHANGRI-LA ASIA | 19,028,330                                     | \$ 210,072,763                               |
| 71         | MIRAMAR HOTEL   | 0  | \$ 0   |
| 75         | Y.T. REALTY     | 0  | \$ 0   |
| 78         | REGAL INT'L     | 197,007  | \$ 918,053                                   |
| 81         | CH OVS G OCEANS | 27,020,435                                     | \$ 72,144,561                                |

### Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 83         | SINO LAND       | 42,398,736                                     | \$ 581,710,658                               |
| 86         | SUN HUNG KAI CO | 0  | \$ 0   |
| 88         | TAI CHEUNG HOLD | 0  | \$ 0   |
| 95         | LVGEM CHINA     | 3,916,286                                      | \$ 8,459,178                                 |
| 101        | HANG LUNG PPT   | 30,497,766                                     | \$ 478,814,926                               |
| 105        | ASSO INT HOTELS | 0  | \$ 0   |
| 106        | LANDSEA GREEN   | 0  | \$ 0   |
| 107        | SICHUAN EXPRESS | 2,406,000                                      | \$ 5,822,520                                 |
| 116        | CHOW SANG SANG  | 0  | \$ 0   |
| 119        | POLY PROPERTY   | 6,205,319                                      | \$ 15,823,563                                |
| 120        | COSMOPOL INT'L  | 0  | \$ 0   |
| 123        | YUEXIU PROPERTY | 68,519,223                                     | \$ 96,612,104                                |
| 127        | CHINESE EST H   | 5,456,213                                      | \$ 49,105,917                                |
| 129        | ASIA STANDARD   | 0  | \$ 0   |
| 133        | CHINA MERCHANTS | 34,000   | \$ 324,360                                   |
| 135        | KUNLUN ENERGY   | 398,042,313                                    | \$ 3,661,989,280                             |
| 136        | HENGTEN NET     | 1,136,240,875                                  | \$ 295,422,628                               |
| 142        | FIRST PACIFIC   | 32,032,495                                     | \$ 93,534,885                                |
| 144        | CHINA MER PORT  | 53,254,073                                     | \$ 786,030,117                               |
| 147        | IB SETTLEMENT   | 0  | \$ 0   |
| 148        | KINGBOARD HLDG  | 5,331,538                                      | \$ 121,292,490                               |
| 151        | WANT WANT CHINA | 453,700,060                                    | \$ 2,518,035,333                             |
| 152        | SHENZHEN INT'L  | 10,813,608                                     | \$ 164,799,386                               |
| 158        | MELBOURNE ENT   | 0  | \$ 0   |
| 161        | AVIC IHL        | 3,100,000                                      | \$ 12,834,000                                |
| 163        | EMPEROR INT'L   | 0  | \$ 0   |
| 165        | CHINA EB LTD    | 4,705,995                                      | \$ 67,578,088                                |
| 168        | TSINGTAO BREW   | 20,674,652                                     | \$ 634,711,816                               |
| 171        | SILVER GRANT    | 26,566,000                                     | \$ 43,833,900                                |
| 173        | K. WAH INT'L    | 28,512,489                                     | \$ 110,343,332                               |
| 175        | GEELY AUTO      | 179,509,732                                    | \$ 2,570,579,362                             |
| 177        | JIANGSU EXPRESS | 7,657,073                                      | \$ 80,705,549                                |
| 178        | SA SA INT'L     | 56,620,019                                     | \$ 172,691,058                               |
| 179        | JOHNSON ELEC H  | 2,203,053                                      | \$ 38,641,550                                |
| 182        | CONCORD NE      | 16,530,494                                     | \$ 4,959,148                                 |
| 189        | DONGYUE GROUP   | 7,090,396                                      | \$ 31,126,838                                |
| 194        | LIU CHONG HING  | 156,000  | \$ 1,815,840                                 |
| 196        | HONGHUA GROUP   | 55,089,249                                     | \$ 25,616,501                                |
| 198        | SMI HOLDINGS    | 27,682,839                                     | \$ 64,777,843                                |
| 200        | MELCO INT'L DEV | 30,051,727                                     | \$ 446,568,663                               |
| 207        | JOY CITY PPT    | 0  | \$ 0   |

**Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018**

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 215        | HUTCHTEL HK      | 29,949,259                                     | \$ 91,045,747                                |
| 220        | U-PRESID CHINA   | 53,159,792                                     | \$ 352,449,421                               |
| 225        | POKFULAM         | 0  | \$ 0   |
| 230        | MINMETALS LAND   | 1,907,159                                      | \$ 2,574,665                                 |
| 241        | ALI HEALTH       | 198,320,839                                    | \$ 1,392,212,290                             |
| 242        | SHUN TAK HOLD    | 16,737,384                                     | \$ 42,680,329                                |
| 245        | CN MINSHENG FIN  | 13,785,496                                     | \$ 3,143,093                                 |
| 247        | TST PROPERTIES   | 0  | \$ 0   |
| 251        | SEA HOLDINGS     | 438,000  | \$ 4,388,760                                 |
| 256        | CITYCHAMP        | 2,244,000                                      | \$ 3,725,040                                 |
| 257        | CHINA EB INT'L   | 205,610,610                                    | \$ 1,389,927,724                             |
| 258        | TOMSON GROUP     | 0  | \$ 0   |
| 261        | CCT LAND         | 0  | \$ 0   |
| 266        | TIAN TECK LAND   | 0  | \$ 0   |
| 267        | CITIC            | 107,150,628                                    | \$ 1,384,386,114                             |
| 268        | KINGDEE INT'L    | 236,299,924                                    | \$ 1,807,694,419                             |
| 270        | GUANGDONG INV    | 12,137,658                                     | \$ 182,064,870                               |
| 272        | SHUI ON LAND     | 64,077,608                                     | \$ 114,058,142                               |
| 273        | MASON GP HOLD    | 36,216,884                                     | \$ 4,925,496                                 |
| 285        | BYD ELECTRONIC   | 50,340,370                                     | \$ 535,621,537                               |
| 286        | CS HEALTH        | 5,316,000                                      | \$ 3,455,400                                 |
| 288        | WH GROUP         | 110,972,294                                    | \$ 653,626,812                               |
| 289        | WING ON CO       | 0  | \$ 0   |
| 291        | CHINA RES BEER   | 59,727,203                                     | \$ 1,654,443,523                             |
| 293        | CATHAY PAC AIR   | 17,766,693                                     | \$ 194,722,955                               |
| 297        | SINOFERT         | 77,673,099                                     | \$ 69,129,058                                |
| 303        | VTECH HOLDINGS   | 2,191,276                                      | \$ 153,389,320                               |
| 308        | CHINA TRAVEL HK  | 47,338,279                                     | \$ 104,144,214                               |
| 315        | SMARTONE TELE    | 5,190,342                                      | \$ 50,346,317                                |
| 317        | COMEC            | 6,404,505                                      | \$ 35,352,868                                |
| 321        | TEXWINCA HOLD    | 7,782,261                                      | \$ 22,568,557                                |
| 322        | TINGYI           | 97,161,858                                     | \$ 996,880,663                               |
| 323        | MAANSHAN IRON    | 231,678,465                                    | \$ 829,408,905                               |
| 327        | PAX GLOBAL       | 41,953,782                                     | \$ 138,867,018                               |
| 329        | OCI INTL         | 0  | \$ 0   |
| 330        | ESPRIT HOLDINGS  | 123,341,943                                    | \$ 224,482,336                               |
| 332        | YUANHENG GAS     | 0  | \$ 0   |
| 336        | HUABAO INTL      | 6,004,185                                      | \$ 20,774,480                                |
| 337        | GREENLAND HK     | 2,432,000                                      | \$ 5,131,520                                 |
| 338        | SHANGHAI PEICHEM | 76,460,904                                     | \$ 270,671,600                               |
| 341        | CAFE DE CORAL H  | 2,188,765                                      | \$ 41,542,760                                |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 342        | NEWOCEAN ENERGY | 38,273,540                                     | \$ 105,634,970                               |
| 345        | VITASOY INT'L   | 1,472,000                                      | \$ 46,147,200                                |
| 347        | ANGANG STEEL    | 167,786,638                                    | \$ 1,021,820,625                             |
| 354        | CHINASOFT INT'L | 114,003,790                                    | \$ 462,855,387                               |
| 358        | JIANGXI COPPER  | 44,613,095                                     | \$ 416,240,176                               |
| 363        | SHANGHAI IND H  | 1,162,549                                      | \$ 19,484,321                                |
| 365        | UNIS HOLDINGS   | 0  | \$ 0   |
| 367        | CHUANG'S INT'L  | 0  | \$ 0   |
| 368        | SINOTRANS SHIP  | 30,870,391                                     | \$ 79,954,313                                |
| 369        | WING TAI PPT    | 0  | \$ 0   |
| 371        | BJ ENT WATER    | 418,057,956                                    | \$ 1,826,913,268                             |
| 373        | ALLIED GROUP    | 0  | \$ 0   |
| 376        | YUNFENG FIN     | 0  | \$ 0   |
| 378        | FDG KINETIC     | 7,462,000                                      | \$ 746,200                                   |
| 383        | CHINA MED&HCARE | 0  | \$ 0   |
| 384        | CHINA GAS HOLD  | 108,499,703                                    | \$ 3,075,966,580                             |
| 386        | SINOPEC CORP    | 378,323,355                                    | \$ 2,425,052,706                             |
| 388        | HKEX            | 23,075,366                                     | \$ 5,284,258,814                             |
| 390        | CHINA RAILWAY   | 124,907,482                                    | \$ 881,846,823                               |
| 392        | BEIJING ENT     | 7,185,442                                      | \$ 326,219,067                               |
| 400        | COGOBUY         | 17,216,699                                     | \$ 52,338,765                                |
| 405        | YUEXIU REIT     | 0  | \$ 0   |
| 410        | SOHO CHINA      | 85,091,892                                     | \$ 251,872,000                               |
| 412        | CHINA SDHS FIN  | 0  | \$ 0   |
| 416        | BANKOFJINZHOU   | 0  | \$ 0   |
| 419        | HUAYI TENCENT   | 73,428,194                                     | \$ 13,951,357                                |
| 425        | MINTH GROUP     | 69,950,653                                     | \$ 1,794,234,249                             |
| 435        | SUNLIGHT REIT   | 0  | \$ 0   |
| 439        | KUANGCHI        | 41,936,867                                     | \$ 26,000,858                                |
| 440        | DAH SING        | 0  | \$ 0   |
| 445        | CIMC-TIANDA     | 0  | \$ 0   |
| 451        | GCL NEWENERGY   | 160,806,000                                    | \$ 46,633,740                                |
| 460        | SIHUAN PHARM    | 167,025,845                                    | \$ 288,954,712                               |
| 467        | UNITEDENERGY GP | 6,876,000                                      | \$ 10,107,720                                |
| 468        | GAPACK          | 276,000  | \$ 1,261,320                                 |
| 472        | NEW SILKROAD    | 0  | \$ 0   |
| 480        | HKR INT'L       | 302,000  | \$ 1,138,540                                 |
| 486        | RUSAL           | 0  | \$ 0   |
| 488        | LAI SUN DEV     | 165,212  | \$ 2,121,322                                 |
| 489        | DONGFENG GROUP  | 33,982,588                                     | \$ 234,479,857                               |
| 493        | GOME RETAIL     | 1,580,111,608                                  | \$ 1,074,475,893                             |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 494        | LI & FUNG       | 268,899,118                                    | \$ 381,836,748                               |
| 496        | KASEN           | 1,775,000                                      | \$ 5,964,000                                 |
| 497        | CSI PROPERTIES  | 6,940,000                                      | \$ 2,151,400                                 |
| 500        | FRONTIER SER    | 0  | \$ 0   |
| 506        | CHINA FOODS     | 18,009,451                                     | \$ 62,852,984                                |
| 508        | DINGYI GP INV   | 38,832,680                                     | \$ 19,804,667                                |
| 511        | TVB             | 11,196,185                                     | \$ 193,917,924                               |
| 512        | CHINAGRANDPHARM | 7,704,000                                      | \$ 29,506,320                                |
| 517        | COSCO SHIP INTL | 484,000  | \$ 1,306,800                                 |
| 519        | APPLIED DEV     | 784,454  | \$ 454,983                                   |
| 520        | XIABUXIABU      | 26,485,200                                     | \$ 308,287,728                               |
| 522        | ASM PACIFIC     | 19,001,891                                     | \$ 1,457,445,040                             |
| 525        | GUANGSHEN RAIL  | 10,441,440                                     | \$ 31,219,906                                |
| 526        | LISI GP HOLD    | 0  | \$ 0   |
| 530        | GOLDIN FIN HOLD | 0  | \$ 0   |
| 535        | GEMDALE PPT     | 89,734,000                                     | \$ 64,608,480                                |
| 538        | AJISEN (CHINA)  | 8,883,120                                      | \$ 20,075,851                                |
| 546        | FUFENG GROUP    | 75,689,636                                     | \$ 238,422,353                               |
| 547        | DIGITAL DOMAIN  | 395,835,340                                    | \$ 41,958,546                                |
| 548        | SHENZHENEXPRESS | 3,310,000                                      | \$ 25,884,200                                |
| 551        | YUE YUEN IND    | 17,550,550                                     | \$ 395,764,903                               |
| 552        | CHINACOMSERVICE | 9,668,043                                      | \$ 57,814,897                                |
| 563        | SH IND URBAN    | 15,180,000                                     | \$ 20,189,400                                |
| 570        | TRAD CHI MED    | 40,794,364                                     | \$ 210,090,975                               |
| 576        | ZHEJIANGEXPRESS | 8,743,411                                      | \$ 55,957,830                                |
| 579        | JNCEC           | 10,214,000                                     | \$ 16,035,980                                |
| 580        | SUN.KING ELEC   | 2,836,000                                      | \$ 3,091,240                                 |
| 581        | CHINA ORIENTAL  | 20,299,927                                     | \$ 102,920,630                               |
| 586        | CONCH VENTURE   | 21,777,079                                     | \$ 528,094,166                               |
| 588        | BEIJING N STAR  | 2,774,000                                      | \$ 6,269,240                                 |
| 590        | LUK FOOK HOLD   | 5,281,707                                      | \$ 123,856,029                               |
| 593        | DREAMEAST       | 0  | \$ 0   |
| 596        | INSPUR INT'L    | 0  | \$ 0   |
| 598        | SINOTRANS       | 24,748,802                                     | \$ 75,236,358                                |
| 603        | CHINA OIL & GAS | 11,627,936                                     | \$ 6,046,527                                 |
| 604        | SHENZHEN INVEST | 210,418,662                                    | \$ 536,567,588                               |
| 605        | C FIN SERVICES  | 0  | \$ 0   |
| 606        | CHINA AGRI      | 31,550,671                                     | \$ 89,919,412                                |
| 607        | FULLSHARE       | 915,513,240                                    | \$ 2,526,816,542                             |
| 622        | ENERCHINA HOLD  | 9,836,861                                      | \$ 4,131,482                                 |
| 627        | FULLSUN INT'L   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 631        | SANY INT'L      | 1,780,000                                      | \$ 4,610,200                                 |
| 636        | KERRY LOG NET   | 1,281,584                                      | \$ 15,763,483                                |
| 639        | SHOUGANG RES    | 30,331,288                                     | \$ 49,743,312                                |
| 650        | IDG ENERGY INV  | 0  | \$ 0   |
| 656        | FOSUN INTL      | 34,697,393                                     | \$ 424,696,090                               |
| 658        | C TRANSMISSION  | 29,380,146                                     | \$ 240,329,594                               |
| 659        | NWS HOLDINGS    | 2,527,422                                      | \$ 39,276,138                                |
| 662        | ASIA FINANCIAL  | 0  | \$ 0   |
| 665        | HAITONG INT'L   | 135,335,783                                    | \$ 358,639,825                               |
| 669        | TECHTRONIC IND  | 21,544,779                                     | \$ 965,206,099                               |
| 670        | CHINA EAST AIR  | 41,293,290                                     | \$ 197,794,859                               |
| 678        | GENTING HK      | 0  | \$ 0   |
| 680        | NAN HAI CORP    | 77,133,120                                     | \$ 13,344,030                                |
| 683        | KERRY PPT       | 5,130,414                                      | \$ 143,138,551                               |
| 686        | PANDA GREEN     | 80,146,992                                     | \$ 23,242,628                                |
| 687        | HKICIM GROUP    | 4,562,000                                      | \$ 11,268,140                                |
| 688        | CHINA OVERSEAS  | 60,298,952                                     | \$ 1,594,907,280                             |
| 689        | EPI (HOLDINGS)  | 3,825,000                                      | \$ 1,549,125                                 |
| 694        | BEIJING AIRPORT | 27,010,204                                     | \$ 227,966,122                               |
| 696        | TRAVELSKY TECH  | 15,559,603                                     | \$ 323,639,742                               |
| 697        | SHOUGANG INT'L  | 11,802,661                                     | \$ 2,608,388                                 |
| 698        | TONGDA GROUP    | 154,302,864                                    | \$ 131,157,434                               |
| 699        | CAR INC         | 60,893,114                                     | \$ 407,983,864                               |
| 700        | TENCENT         | 78,293,532                                     | \$ 24,317,971,039                            |
| 707        | ATV HOLDINGS    | 11,094,000                                     | \$ 3,272,730                                 |
| 708        | EVERG HEALTH    | 7,980,000                                      | \$ 85,545,600                                |
| 709        | GIORDANO INT'L  | 6,986,970                                      | \$ 26,899,835                                |
| 710        | BOE VARITRONIX  | 1,352,033                                      | \$ 3,042,074                                 |
| 715        | CHINA OCEANWIDE | 0  | \$ 0   |
| 716        | SINGAMAS CONT   | 16,496,073                                     | \$ 16,661,034                                |
| 717        | EMPEROR CAPITAL | 10,560,974                                     | \$ 4,118,780                                 |
| 721        | C FIN INT INV   | 17,053,738                                     | \$ 3,052,619                                 |
| 728        | CHINA TELECOM   | 239,555,030                                    | \$ 948,637,919                               |
| 729        | FDG EVEHICLES   | 429,120,147                                    | \$ 32,184,011                                |
| 732        | TRULY INT'L     | 45,388,072                                     | \$ 54,011,806                                |
| 735        | CP CLEAN ENERGY | 707,500  | \$ 1,775,825                                 |
| 743        | ASIA CEMENT CH  | 0  | \$ 0   |
| 746        | L & M CHEMICAL  | 0  | \$ 0   |
| 751        | SKYWORTHDIGITAL | 33,828,114                                     | \$ 60,890,605                                |
| 752        | PICO FAR EAST   | 400,000  | \$ 1,160,000                                 |
| 753        | AIR CHINA       | 35,730,524                                     | \$ 264,048,572                               |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 754        | HOPSON DEV HOLD | 1,509,355                                      | \$ 9,403,282                                 |
| 755        | SHANGHAI ZENDAI | 0  | \$ 0   |
| 762        | CHINA UNICOM    | 68,680,617                                     | \$ 600,955,399                               |
| 763        | ZTE             | 38,421,900                                     | \$ 599,381,640                               |
| 772        | CHINA LIT       | 30,064,858                                     | \$ 1,220,633,235                             |
| 775        | CKLIFE SCIENCES | 0  | \$ 0   |
| 777        | NETDRAGON       | 5,703,225                                      | \$ 76,309,151                                |
| 778        | FORTUNE REIT    | 0  | \$ 0   |
| 787        | GLOBAL BRANDS   | 446,680,136                                    | \$ 158,571,448                               |
| 788        | CHINA TOWER     | 822,126,890                                    | \$ 1,019,437,344                             |
| 798        | CEOVU           | 2,332,000                                      | \$ 746,240                                   |
| 799        | IGG             | 12,903,470                                     | \$ 123,486,208                               |
| 806        | VALUE PARTNERS  | 30,897,899                                     | \$ 188,786,163                               |
| 807        | SIICENVIRONMENT | 2,960,800                                      | \$ 4,589,240                                 |
| 808        | PROSPERITY REIT | 0  | \$ 0   |
| 811        | XINHUA WINSHARE | 2,095,764                                      | \$ 10,939,888                                |
| 813        | SHIMAO PROPERTY | 24,727,212                                     | \$ 475,257,015                               |
| 815        | CHI SILVER GP   | 23,653,956                                     | \$ 19,869,323                                |
| 816        | HUADIAN FUXIN   | 6,108,100                                      | \$ 10,200,527                                |
| 817        | CHINA JINMAO    | 147,054,793                                    | \$ 522,044,515                               |
| 818        | HI SUN TECH     | 13,297,918                                     | \$ 14,095,793                                |
| 819        | TIANNENG POWER  | 50,388,126                                     | \$ 343,647,019                               |
| 823        | LINK REIT       | 28,731,178                                     | \$ 2,279,818,974                             |
| 826        | TIANGONG INT'L  | 33,926,400                                     | \$ 56,317,824                                |
| 831        | CR ASIA         | 0  | \$ 0   |
| 832        | CENTRAL CHINA   | 4,585,000                                      | \$ 13,158,950                                |
| 836        | CHINA RES POWER | 16,264,876                                     | \$ 240,394,867                               |
| 839        | CHINA EDU GROUP | 16,302,152                                     | \$ 169,542,381                               |
| 853        | MICROPORT       | 26,374,352                                     | \$ 218,643,378                               |
| 855        | CHINA WATER     | 36,263,247                                     | \$ 317,303,411                               |
| 856        | VSTECs          | 626,000  | \$ 2,385,060                                 |
| 857        | PETROCHINA      | 142,116,696                                    | \$ 754,639,656                               |
| 860        | WE SOLUTIONS    | 27,879,063                                     | \$ 13,660,741                                |
| 861        | DC HOLDINGS     | 25,290,229                                     | \$ 97,367,382                                |
| 867        | CMS             | 13,263,458                                     | \$ 103,057,069                               |
| 868        | XINYI GLASS     | 49,540,686                                     | \$ 411,683,101                               |
| 874        | BAIYUNSHAN PH   | 1,897,500                                      | \$ 58,158,375                                |
| 877        | O-NET TECH GP   | 13,513,610                                     | \$ 49,189,540                                |
| 880        | SJM HOLDINGS    | 116,207,304                                    | \$ 842,502,954                               |
| 881        | ZHONGSHENG HLDG | 64,947,613                                     | \$ 1,048,254,474                             |
| 882        | TIANJIN DEV     | 3,496,000                                      | \$ 9,544,080                                 |

**Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018**

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 883        | CNOOC           | 162,993,512                                    | \$ 2,083,057,083                             |
| 884        | CIFI HOLD GP    | 49,819,307                                     | \$ 187,320,594                               |
| 895        | DONGJIANG ENV   | 3,243,330                                      | \$ 28,314,271                                |
| 902        | HUANENG POWER   | 146,262,415                                    | \$ 731,312,075                               |
| 906        | COFCO PACKAGING | 51,790,951                                     | \$ 170,910,138                               |
| 914        | CONCH CEMENT    | 123,138,152                                    | \$ 4,753,132,667                             |
| 916        | CHINA LONGYUAN  | 29,764,800                                     | \$ 172,635,840                               |
| 921        | HISENSE HA      | 9,374,393                                      | \$ 54,558,967                                |
| 931        | CHINA LNG       | 42,776,630                                     | \$ 62,026,114                                |
| 934        | SINOPEC KANTONS | 22,752,799                                     | \$ 74,629,181                                |
| 939        | CCB             | 780,376,321                                    | \$ 5,064,642,323                             |
| 941        | CHINA MOBILE    | 99,914,783                                     | \$ 7,748,391,422                             |
| 950        | LEE'S PHARM     | 5,020,239                                      | \$ 30,924,672                                |
| 951        | CHAOWEI POWER   | 26,118,730                                     | \$ 89,326,057                                |
| 952        | TONGHAI FIN     | 0  | \$ 0   |
| 956        | CHINA SUNTIEN   | 2,335,000                                      | \$ 4,950,200                                 |
| 958        | HN RENEWABLES   | 36,924,028                                     | \$ 83,817,544                                |
| 960        | LONGFOR GROUP   | 15,351,742                                     | \$ 341,576,260                               |
| 966        | CHINA TAIPING   | 15,402,434                                     | \$ 381,210,242                               |
| 968        | XINYI SOLAR     | 252,888,027                                    | \$ 743,490,799                               |
| 973        | L'OCCITANE      | 6,615,572                                      | \$ 92,618,008                                |
| 976        | CHIHO ENV       | 4,420,049                                      | \$ 10,387,115                                |
| 978        | CHI MER LAND    | 1,294,000                                      | \$ 1,436,340                                 |
| 981        | SMIC            | 565,793,214                                    | \$ 4,124,632,530                             |
| 989        | GROUND INTL DEV | 17,125,000                                     | \$ 6,935,625                                 |
| 991        | DATANG POWER    | 55,047,433                                     | \$ 103,489,174                               |
| 992        | LENOVO GROUP    | 494,285,698                                    | \$ 2,723,514,196                             |
| 995        | ANHUIEXPRESSWAY | 667,400  | \$ 3,156,802                                 |
| 996        | CARNIVAL GROUP  | 157,861,605                                    | \$ 22,100,625                                |
| 998        | CITIC BANK      | 142,341,577                                    | \$ 674,699,075                               |
| 999        | I.T             | 0  | \$ 0   |
| 1003       | HUANXI MEDIA    | 1,990,000                                      | \$ 3,164,100                                 |
| 1004       | C SMARTERENERGY | 2,179,495                                      | \$ 1,656,416                                 |
| 1030       | FUTURE LAND     | 104,753,061                                    | \$ 520,622,713                               |
| 1031       | KINGSTON FIN    | 5,248,000                                      | \$ 9,813,760                                 |
| 1033       | SINOPEC SSC     | 46,913,960                                     | \$ 36,592,889                                |
| 1038       | CKI HOLDINGS    | 13,629,079                                     | \$ 799,345,483                               |
| 1044       | HENGAN INT'L    | 60,220,827                                     | \$ 3,766,812,729                             |
| 1045       | APT SATELLITE   | 0  | \$ 0   |
| 1051       | G-RESOURCES     | 61,407,461                                     | \$ 3,254,595                                 |
| 1052       | YUEXIUTRANSPORT | 25,316,990                                     | \$ 150,382,921                               |



## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1055       | CHINA SOUTH AIR | 103,049,995                                    | \$ 551,317,473                               |
| 1060       | ALI PICTURES    | 1,041,672,237                                  | \$ 1,281,256,852                             |
| 1061       | ESSEX BIO-TECH  | 856,481  | \$ 4,402,312                                 |
| 1065       | TIANJIN CAPITAL | 7,294,000                                      | \$ 22,830,220                                |
| 1066       | WEIGAO GROUP    | 24,634,946                                     | \$ 164,561,439                               |
| 1068       | YURUN FOOD      | 11,499,570                                     | \$ 7,819,708                                 |
| 1070       | TCL ELECTRONICS | 3,968,112                                      | \$ 11,983,698                                |
| 1071       | HUADIAN POWER   | 63,067,154                                     | \$ 216,951,010                               |
| 1072       | DONGFANG ELEC   | 7,787,613                                      | \$ 34,966,382                                |
| 1076       | IMPERIAL PAC    | 68,800,000                                     | \$ 3,921,600                                 |
| 1083       | TOWNGAS CHINA   | 7,768,426                                      | \$ 47,309,714                                |
| 1086       | GOODBABY INTL   | 34,304,619                                     | \$ 92,622,471                                |
| 1088       | CHINA SHENHUA   | 29,971,290                                     | \$ 522,099,872                               |
| 1089       | LEYOU TECH H    | 26,195,985                                     | \$ 51,868,050                                |
| 1093       | CSPC PHARMA     | 178,118,034                                    | \$ 2,354,720,409                             |
| 1098       | ROAD KING INFRA | 0  | \$ 0   |
| 1099       | SINOPHARM       | 19,790,443                                     | \$ 692,665,505                               |
| 1106       | SINO HAIJING    | 35,900,000                                     | \$ 2,943,800                                 |
| 1107       | MODERN LAND     | 590,000  | \$ 578,200                                   |
| 1109       | CHINA RES LAND  | 37,896,205                                     | \$ 1,108,463,996                             |
| 1111       | CHONG HING BANK | 0  | \$ 0   |
| 1112       | H&H INTL HLDG   | 9,373,784                                      | \$ 471,970,024                               |
| 1113       | CK ASSET        | 19,264,960                                     | \$ 1,094,249,728                             |
| 1114       | BRILLIANCE CHI  | 359,877,854                                    | \$ 2,371,595,058                             |
| 1115       | TIBET WATER     | 20,408,141                                     | \$ 41,428,526                                |
| 1117       | CH MODERN D     | 19,890,492                                     | \$ 17,503,633                                |
| 1122       | QINGLING MOTORS | 578,000  | \$ 1,173,340                                 |
| 1128       | WYNN MACAU      | 79,862,164                                     | \$ 1,477,450,034                             |
| 1129       | WATER INDUSTRY  | 10,708,000                                     | \$ 13,277,920                                |
| 1131       | AGRITRADE RES   | 72,385,000                                     | \$ 106,405,950                               |
| 1133       | HARBIN ELECTRIC | 23,677,132                                     | \$ 60,613,458                                |
| 1138       | COSCO SHIP ENGY | 75,711,351                                     | \$ 319,501,901                               |
| 1140       | OP FINANCIAL    | 7,664,000                                      | \$ 19,160,000                                |
| 1141       | CMBC CAPITAL    | 475,769,319                                    | \$ 142,730,796                               |
| 1157       | ZOOMLION        | 19,636,814                                     | \$ 54,197,607                                |
| 1166       | SOLARTECH INT'L | 18,740,000                                     | \$ 1,761,560                                 |
| 1168       | SINOLINK HOLD   | 18,534,000                                     | \$ 9,452,340                                 |
| 1169       | HAIER ELEC      | 12,472,219                                     | \$ 227,493,275                               |
| 1171       | YANZHOU COAL    | 26,721,473                                     | \$ 185,179,808                               |
| 1176       | ZHUGUANG HOLD   | 14,972,000                                     | \$ 20,212,200                                |
| 1177       | SINO BIOPHARM   | 259,015,231                                    | \$ 1,393,501,943                             |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1186       | CHINA RAIL CONS | 144,773,176                                    | \$ 1,450,627,224                             |
| 1188       | HYBRID KINETIC  | 22,894,330                                     | \$ 1,304,977                                 |
| 1193       | CHINA RES GAS   | 38,711,900                                     | \$ 1,217,489,255                             |
| 1194       | MUNSUN CAPITAL  | 0  | \$ 0   |
| 1196       | REALORD GROUP   | 850,138  | \$ 4,293,197                                 |
| 1199       | COSCO SHIP PORT | 34,688,729                                     | \$ 258,084,144                               |
| 1205       | CITIC RESOURCES | 34,056,837                                     | \$ 22,477,512                                |
| 1208       | MMG             | 112,339,851                                    | \$ 408,917,058                               |
| 1211       | BYD COMPANY     | 127,252,646                                    | \$ 6,947,994,472                             |
| 1212       | LIFESTYLE INT'L | 7,491,922                                      | \$ 94,398,217                                |
| 1216       | ZYBANK          | 0  | \$ 0   |
| 1221       | SINO HOTELS     | 0  | \$ 0   |
| 1223       | SYMPHONY HOLD   | 1,980,000                                      | \$ 1,980,000                                 |
| 1224       | C C LAND        | 7,666,000                                      | \$ 14,182,100                                |
| 1230       | YASHILI INT'L   | 11,269,924                                     | \$ 14,988,999                                |
| 1233       | TIMES CHINA     | 1,776,000                                      | \$ 12,609,600                                |
| 1234       | CHINA LILANG    | 334,254  | \$ 2,239,502                                 |
| 1238       | POWERLONG       | 2,832,000                                      | \$ 8,835,840                                 |
| 1243       | WANG ON PPT     | 0  | \$ 0   |
| 1250       | BE CLEAN ENERGY | 165,531,446                                    | \$ 17,049,739                                |
| 1252       | CHINA TIANRUI   | 0  | \$ 0   |
| 1257       | CEB GREENTECH   | 12,269,237                                     | \$ 69,811,959                                |
| 1258       | CHINFMINING     | 0  | \$ 0   |
| 1266       | XIWANG STEEL    | 3,036,600                                      | \$ 4,403,070                                 |
| 1270       | LANGHAM-SS      | 1,111,000                                      | \$ 3,221,900                                 |
| 1282       | CHINA GOLDJOY   | 25,532,000                                     | \$ 9,063,860                                 |
| 1288       | ABC             | 232,602,054                                    | \$ 795,499,025                               |
| 1293       | GRAND BAOXIN    | 61,922,341                                     | \$ 138,706,044                               |
| 1297       | SINOSOFT TECH   | 6,652,635                                      | \$ 13,770,954                                |
| 1299       | AIA             | 127,042,978                                    | \$ 8,010,059,763                             |
| 1302       | LIFETECH SCI    | 27,042,090                                     | \$ 41,374,398                                |
| 1308       | SITC            | 2,097,042                                      | \$ 14,490,560                                |
| 1310       | HKBN            | 2,952,500                                      | \$ 36,138,600                                |
| 1313       | CHINARES CEMENT | 85,197,473                                     | \$ 613,421,806                               |
| 1316       | NEXTEER         | 23,394,525                                     | \$ 275,119,614                               |
| 1317       | MAPLELEAF EDU   | 69,825,955                                     | \$ 233,916,949                               |
| 1330       | DYNAGREEN ENV   | 13,719,540                                     | \$ 44,588,505                                |
| 1333       | CHINA ZHONGWANG | 109,772,455                                    | \$ 388,594,491                               |
| 1336       | NCI             | 14,996,632                                     | \$ 495,638,688                               |
| 1337       | RAZER           | 112,113,080                                    | \$ 131,172,304                               |
| 1339       | PICC GROUP      | 163,254,565                                    | \$ 520,782,062                               |

**Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018**

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1347       | HUA HONG SEMI   | 26,648,552                                     | \$ 403,992,048                               |
| 1359       | CHINA CINDA     | 269,537,408                                    | \$ 547,160,938                               |
| 1360       | MEGAEXPO HLDG   | 400,000  | \$ 1,400,000                                 |
| 1361       | 361 DEGREES     | 18,824,500                                     | \$ 35,013,570                                |
| 1363       | CTEG            | 72,310,868                                     | \$ 23,862,586                                |
| 1368       | XTEP INT'L      | 7,849,690                                      | \$ 32,811,704                                |
| 1375       | CC SECURITIES   | 17,200,583                                     | \$ 30,789,044                                |
| 1378       | CHINAHONGQIAO   | 85,338,310                                     | \$ 383,169,012                               |
| 1381       | CANVEST ENV     | 21,624,027                                     | \$ 85,847,387                                |
| 1382       | PACIFICTEXTILES | 4,435,355                                      | \$ 35,704,608                                |
| 1383       | SUNCITY GROUP   | 5,807,500                                      | \$ 6,388,250                                 |
| 1385       | SHANGHAI FUDAN  | 235,425  | \$ 1,902,234                                 |
| 1387       | RENHE COMM      | 62,293,726                                     | \$ 14,327,557                                |
| 1398       | ICBC            | 371,512,983                                    | \$ 2,032,176,017                             |
| 1426       | SPRING REIT     | 0  | \$ 0   |
| 1448       | FU SHOU YUAN    | 20,335,899                                     | \$ 116,931,419                               |
| 1458       | ZHOU HEI YA     | 49,171,765                                     | \$ 186,852,707                               |
| 1462       | GOLD-FIN HLDG   | 9,013,957                                      | \$ 15,774,425                                |
| 1475       | NISSIN FOODS    | 232,000  | \$ 835,200                                   |
| 1478       | Q TECH          | 20,236,540                                     | \$ 89,445,507                                |
| 1508       | CHINA RE        | 96,464,931                                     | \$ 155,308,539                               |
| 1513       | LIVZON PHARMA   | 2,421,038                                      | \$ 59,073,327                                |
| 1515       | CR MEDICAL      | 18,804,302                                     | \$ 107,748,650                               |
| 1518       | NC HEALTHCARE   | 0  | \$ 0   |
| 1528       | RS MACALLINE    | 1,197,400                                      | \$ 9,088,266                                 |
| 1530       | 3SBIO           | 55,594,815                                     | \$ 569,290,906                               |
| 1548       | GENSCRIPT BIO   | 68,945,772                                     | \$ 835,622,757                               |
| 1551       | GRCB            | 0  | \$ 0   |
| 1558       | HEC PHARM       | 3,880,200                                      | \$ 111,555,750                               |
| 1566       | CHINA ANIMATION | 5,035,274                                      | \$ 13,897,356                                |
| 1568       | SUNDART HLDGS   | 0  | \$ 0   |
| 1569       | MINSHENG EDU    | 1,690,000                                      | \$ 2,467,400                                 |
| 1571       | XIN POINT HOLD  | 0  | \$ 0   |
| 1573       | CH UNIENERGY    | 0  | \$ 0   |
| 1578       | BANK OF TIANJIN | 0  | \$ 0   |
| 1579       | YIHAI INTL      | 25,732,440                                     | \$ 513,104,854                               |
| 1585       | YADEA           | 17,215,985                                     | \$ 46,138,840                                |
| 1599       | UCD             | 120,000  | \$ 301,200                                   |
| 1600       | TIAN LUN GAS    | 0  | \$ 0   |
| 1606       | CDB LEASING     | 0  | \$ 0   |
| 1608       | VPOWER GROUP    | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1610       | COFCO MEAT      | 6,690,001                                      | \$ 9,432,901                                 |
| 1617       | NANFANG COMM    | 0  | \$ 0   |
| 1618       | MCC             | 35,683,209                                     | \$ 69,225,425                                |
| 1622       | REDCO GROUP     | 18,268,813                                     | \$ 75,632,886                                |
| 1628       | YUZHOU PPT      | 23,563,571                                     | \$ 77,759,784                                |
| 1636       | CMRU            | 1,011,730                                      | \$ 4,380,791                                 |
| 1638       | KAISA GROUP     | 35,163,669                                     | \$ 83,689,532                                |
| 1658       | PSBC            | 1,039,842,158                                  | \$ 4,648,094,446                             |
| 1660       | ZHAOBANGJI PPT  | 504,000  | \$ 5,140,800                                 |
| 1666       | TONG REN TANG   | 14,054,919                                     | \$ 150,387,633                               |
| 1668       | CHINASOUTHCITY  | 17,213,748                                     | \$ 20,140,085                                |
| 1680       | MACAU LEGEND    | 7,671,000                                      | \$ 10,432,560                                |
| 1681       | CONSUN PHARMA   | 5,349,435                                      | \$ 28,993,938                                |
| 1686       | SUNEVISION      | 3,709,661                                      | \$ 16,507,991                                |
| 1697       | SDITC           | 0  | \$ 0   |
| 1700       | SPRINGLAND      | 813,000  | \$ 1,227,630                                 |
| 1717       | AUSNUTRIA       | 11,384,703                                     | \$ 107,243,902                               |
| 1727       | HEBEI CONS      | 566,000  | \$ 2,235,700                                 |
| 1728       | ZHENGTONGAUTO   | 194,330,826                                    | \$ 860,885,559                               |
| 1766       | CRRC            | 65,564,184                                     | \$ 471,406,483                               |
| 1773       | TIANLI EDU      | 0  | \$ 0   |
| 1776       | GF SEC          | 40,200,715                                     | \$ 456,680,122                               |
| 1777       | FANTASIA        | 12,690,000                                     | \$ 11,928,600                                |
| 1778       | COLOUR LIFE     | 14,718,088                                     | \$ 61,815,970                                |
| 1787       | SD GOLD         | 0  | \$ 0   |
| 1788       | GUOTAI JUNAN I  | 197,176,739                                    | \$ 252,386,226                               |
| 1789       | AK MEDICAL      | 6,399,118                                      | \$ 31,419,669                                |
| 1798       | DATANG RENEW    | 0  | \$ 0   |
| 1799       | XINTE ENERGY    | 0  | \$ 0   |
| 1800       | CHINA COMM CONS | 40,437,341                                     | \$ 300,045,070                               |
| 1803       | BJ SPORTS & ENT | 4,145,000                                      | \$ 10,777,000                                |
| 1806       | HUIFU PAYMENT   | 672,000  | \$ 2,197,440                                 |
| 1810       | XIAOMI-W        | 252,733,650                                    | \$ 3,447,286,986                             |
| 1811       | CGN NEW ENERGY  | 0  | \$ 0   |
| 1812       | CHENMING PAPER  | 6,323,750                                      | \$ 28,899,538                                |
| 1813       | KWG GROUP       | 47,453,181                                     | \$ 325,054,290                               |
| 1816       | CGN POWER       | 532,383,422                                    | \$ 990,233,165                               |
| 1818       | ZHAOJIN MINING  | 82,951,311                                     | \$ 615,498,728                               |
| 1828       | DCH HOLDINGS    | 1,498,000                                      | \$ 4,299,260                                 |
| 1829       | CMEC            | 7,464,706                                      | \$ 28,067,295                                |
| 1833       | PA GOODDOCTOR   | 19,235,200                                     | \$ 671,308,480                               |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1836       | STELLA HOLDINGS | 5,460,719                                      | \$ 41,883,715                                |
| 1848       | CALC            | 1,268,500                                      | \$ 10,236,795                                |
| 1862       | JINGRUI HLDGS   | 5,831,000                                      | \$ 14,519,190                                |
| 1866       | CHINA XLX FERT  | 0  | \$ 0   |
| 1881       | REGAL REIT      | 0  | \$ 0   |
| 1882       | HAITIAN INT'L   | 10,288,403                                     | \$ 165,025,984                               |
| 1883       | CITIC TELECOM   | 2,457,259                                      | \$ 6,954,043                                 |
| 1888       | KB LAMINATES    | 17,688,317                                     | \$ 129,124,714                               |
| 1898       | CHINA COAL      | 36,820,042                                     | \$ 115,983,132                               |
| 1899       | XINGDA INT'L    | 14,908,964                                     | \$ 34,141,528                                |
| 1910       | SAMSONITE       | 31,875,503                                     | \$ 739,511,670                               |
| 1913       | PRADA           | 9,059,182                                      | \$ 240,068,323                               |
| 1916       | JIANGXI BANK    | 0  | \$ 0   |
| 1918       | SUNAC           | 197,936,056                                    | \$ 5,096,853,442                             |
| 1919       | COSCO SHIP HOLD | 152,103,640                                    | \$ 459,352,993                               |
| 1928       | SANDS CHINA LTD | 113,729,191                                    | \$ 3,980,521,685                             |
| 1929       | CHOW TAI FOOK   | 15,862,661                                     | \$ 103,741,803                               |
| 1958       | BAIC MOTOR      | 74,693,080                                     | \$ 327,155,690                               |
| 1963       | BCQ             | 3,913,209                                      | \$ 18,235,554                                |
| 1966       | CHINA SCE GROUP | 6,995,673                                      | \$ 19,378,014                                |
| 1970       | IMAX CHINA      | 6,825,442                                      | \$ 127,635,765                               |
| 1972       | SWIREPROPERTIES | 7,398,168                                      | \$ 214,176,964                               |
| 1980       | TIANGE          | 5,491,596                                      | \$ 21,691,804                                |
| 1986       | TSAKER CHEM     | 0  | \$ 0   |
| 1988       | MINSHENG BANK   | 262,619,127                                    | \$ 1,462,788,537                             |
| 1997       | WHARF REIC      | 17,916,526                                     | \$ 873,430,643                               |
| 1999       | MAN WAH HLDGS   | 91,046,263                                     | \$ 318,661,921                               |
| 2001       | NEW HIGHER EDU  | 15,809,561                                     | \$ 65,609,678                                |
| 2005       | SSY GROUP       | 29,157,269                                     | \$ 208,766,046                               |
| 2006       | JINJIANG HOTELS | 3,706,000                                      | \$ 7,671,420                                 |
| 2007       | COUNTRY GARDEN  | 490,996,069                                    | \$ 4,536,803,678                             |
| 2009       | BBMG            | 209,628,657                                    | \$ 532,456,789                               |
| 2014       | OZNER WATER     | 43,230,000                                     | \$ 76,517,100                                |
| 2016       | CZBANK          | 0  | \$ 0   |
| 2018       | AAC TECH        | 76,274,264                                     | \$ 3,870,918,898                             |
| 2020       | ANTA SPORTS     | 43,724,119                                     | \$ 1,587,185,520                             |
| 2038       | FIH             | 193,488,851                                    | \$ 166,400,412                               |
| 2039       | CIMC            | 1,083,600                                      | \$ 8,874,684                                 |
| 2066       | SHENGJINGBANK   | 530,000  | \$ 2,003,400                                 |
| 2083       | NATURE HOME     | 0  | \$ 0   |
| 2098       | ZALL SMARTCOM   | 4,867,000                                      | \$ 29,299,340                                |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 2099       | CHINAGOLDINTL    | 445,000  | \$ 4,699,200                                 |
| 2118       | TIAN SHAN DEV    | 0  | \$ 0   |
| 2128       | CHINA LESSO      | 2,333,411                                      | \$ 9,403,646                                 |
| 2136       | LIFESTYLE CHI    | 12,579,606                                     | \$ 34,216,528                                |
| 2139       | BANK OF GANSU    | 0  | \$ 0   |
| 2186       | LUYE PHARMA      | 170,004,419                                    | \$ 952,024,746                               |
| 2196       | FOSUN PHARMA     | 32,779,987                                     | \$ 824,416,673                               |
| 2198       | CHINA SANJIANG   | 2,323,200                                      | \$ 4,646,400                                 |
| 2199       | REGINA MIRACLE   | 13,326,000                                     | \$ 75,425,160                                |
| 2202       | CHINA VANKE      | 59,092,932                                     | \$ 1,565,962,698                             |
| 2208       | GOLDWIND         | 8,425,070                                      | \$ 65,631,295                                |
| 2222       | NVC LIGHTING     | 0  | \$ 0   |
| 2232       | CRYSTAL INTL     | 6,722,500                                      | \$ 30,452,925                                |
| 2233       | WESTCHINACEMENT  | 12,151,000                                     | \$ 14,338,180                                |
| 2238       | GAC GROUP        | 146,768,665                                    | \$ 1,097,829,614                             |
| 2255       | HAICHANG HLDG    | 3,986,000                                      | \$ 6,417,460                                 |
| 2268       | YOUYUAN HLDGS    | 12,949,919                                     | \$ 29,784,814                                |
| 2269       | WUXI BIO         | 36,526,845                                     | \$ 2,155,083,855                             |
| 2280       | HC GROUP         | 29,469,396                                     | \$ 129,075,954                               |
| 2282       | MGM CHINA        | 114,534,541                                    | \$ 1,585,158,047                             |
| 2283       | TK GROUP HLDG    | 200,000  | \$ 902,000                                   |
| 2298       | COSMO LADY       | 18,644,664                                     | \$ 52,577,952                                |
| 2299       | BILLION IND      | 0  | \$ 0   |
| 2303       | HENGXING GOLD    | 274,000  | \$ 1,638,520                                 |
| 2313       | SHENZHOU INTL    | 17,143,487                                     | \$ 1,692,919,341                             |
| 2314       | LEE & MAN PAPER  | 41,395,591                                     | \$ 293,494,740                               |
| 2318       | PING AN          | 1,263,793,011                                  | \$ 94,784,475,825                            |
| 2319       | MENGNU DAIRY     | 56,221,905                                     | \$ 1,340,892,434                             |
| 2322       | NOBLE CENTURY    | 0  | \$ 0   |
| 2328       | PICC P&C         | 87,768,560                                     | \$ 689,860,882                               |
| 2329       | GUORUI PPT       | 8,078,268                                      | \$ 16,802,797                                |
| 2331       | LI NING          | 47,591,397                                     | \$ 409,286,014                               |
| 2333       | GREATWALL MOTOR  | 589,533,399                                    | \$ 2,747,225,639                             |
| 2338       | WEICHAJ POWER    | 191,603,672                                    | \$ 1,630,547,249                             |
| 2342       | COMBA            | 50,109,876                                     | \$ 62,136,246                                |
| 2343       | PACIFIC BASIN    | 133,760,860                                    | \$ 242,107,157                               |
| 2348       | DAWN RAYS PHARMA | 397,000  | \$ 662,990                                   |
| 2356       | DAHSING BANKING  | 1,109,882                                      | \$ 16,004,498                                |
| 2357       | AVICHINA         | 126,779,893                                    | \$ 664,326,639                               |
| 2362       | JINCHUAN INTL    | 21,825,995                                     | \$ 14,841,677                                |
| 2378       | PRU              | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2380       | CHINA POWER     | 219,152,477                                    | \$ 390,091,409                               |
| 2382       | SUNNY OPTICAL   | 55,361,252                                     | \$ 3,725,812,260                             |
| 2383       | TOM GROUP       | 0  | \$ 0   |
| 2386       | SINOPEC SEG     | 10,303,307                                     | \$ 68,620,025                                |
| 2388       | BOC HONG KONG   | 53,730,265                                     | \$ 1,595,788,871                             |
| 2389       | BJ ENT M&H      | 25,105,608                                     | \$ 5,724,079                                 |
| 2393       | YESTAR HEALTH   | 0  | \$ 0   |
| 2399       | FORDOO          | 0  | \$ 0   |
| 2588       | BOC AVIATION    | 10,901,198                                     | \$ 667,153,318                               |
| 2600       | CHALCO          | 246,693,900                                    | \$ 675,941,286                               |
| 2601       | CPIC            | 118,038,320                                    | \$ 3,163,426,976                             |
| 2607       | SH PHARMA       | 15,289,858                                     | \$ 273,076,864                               |
| 2608       | SUNSHINE 100    | 539,000  | \$ 2,048,200                                 |
| 2611       | GTJA            | 13,207,486                                     | \$ 206,829,231                               |
| 2628       | CHINA LIFE      | 99,427,445                                     | \$ 1,634,587,196                             |
| 2633       | JACOBSON PHARMA | 0  | \$ 0   |
| 2638       | HKELECTRIC-SS   | 136,454,595                                    | \$ 1,035,690,376                             |
| 2662       | CAMSING INTL    | 1,146,000                                      | \$ 9,580,560                                 |
| 2666       | UNI MEDICAL     | 45,951,551                                     | \$ 272,492,697                               |
| 2669       | CHINA OVS PPT   | 28,773,151                                     | \$ 64,739,590                                |
| 2678       | TEXHONG TEXTILE | 0  | \$ 0   |
| 2686       | AAG ENERGY      | 0  | \$ 0   |
| 2688       | ENN ENERGY      | 13,917,965                                     | \$ 974,257,550                               |
| 2689       | ND PAPER        | 48,011,525                                     | \$ 390,333,698                               |
| 2698       | WEIQIAO TEXTILE | 0  | \$ 0   |
| 2727       | SH ELECTRIC     | 171,755,446                                    | \$ 456,869,486                               |
| 2768       | JIAYUAN INTL    | 48,919,034                                     | \$ 657,471,817                               |
| 2777       | R&F PROPERTIES  | 62,404,102                                     | \$ 740,112,650                               |
| 2778       | CHAMPION REIT   | 13,427,457                                     | \$ 73,582,464                                |
| 2779       | CH XINHUA EDU   | 1,181,000                                      | \$ 2,480,100                                 |
| 2799       | CHINA HUARONG   | 465,788,314                                    | \$ 712,656,120                               |
| 2800       | TRACKER FUND    | 26,216,000                                     | \$ 686,859,200                               |
| 2801       | ISHARES CHINA   | 0  | \$ 0   |
| 2802       | ISHARES EM ASIA | 0  | \$ 0   |
| 2803       | PREMIA BEDROCK  | 0  | \$ 0   |
| 2805       | VANGUARDASXJP   | 0  | \$ 0   |
| 2808       | EFUND CG BOND   | 0  | \$ 0   |
| 2810       | PREMIAEMASEAN   | 0  | \$ 0   |
| 2811       | HT CSI300 ETF   | 0  | \$ 0   |
| 2812       | SAMUNGCDRAGON   | 0  | \$ 0   |
| 2813       | CAM CTPB BOND   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2819       | ABF HK IDX ETF  | 0  | \$ 0   |
| 2821       | ABF PAIF        | 0  | \$ 0   |
| 2822       | CSOP A50 ETF    | 139,733,739                                    | \$ 1,721,519,664                             |
| 2823       | ISHARES A50     | 60,627,824                                     | \$ 731,171,557                               |
| 2824       | LIPPO HK&M PROP | 0  | \$ 0   |
| 2825       | WISECSIHK100ETF | 0  | \$ 0   |
| 2827       | WISE CSI300 ETF | 0  | \$ 0   |
| 2828       | HSCEI ETF       | 1,494,350                                      | \$ 157,205,620                               |
| 2832       | BOSERA FA50     | 0  | \$ 0   |
| 2833       | HS HSI ETF      | 0  | \$ 0   |
| 2834       | ISHARESND100    | 0  | \$ 0   |
| 2836       | ISHARES INDIA   | 0  | \$ 0   |
| 2838       | HS FCI50 ETF    | 0  | \$ 0   |
| 2840       | SPDR GOLD TRUST | 0  | \$ 0   |
| 2843       | AMUNDI A50      | 0  | \$ 0   |
| 2846       | ISHARESCSI300   | 0  | \$ 0   |
| 2847       | ISHARESFS100    | 0  | \$ 0   |
| 2848       | TRMSCIKOREA     | 0  | \$ 0   |
| 2858       | YIXIN           | 25,813,978                                     | \$ 50,337,257                                |
| 2866       | COSCO SHIP DEV  | 86,851,151                                     | \$ 72,954,967                                |
| 2868       | BJ CAPITAL LAND | 10,075,687                                     | \$ 29,924,790                                |
| 2869       | GREENTOWN SER   | 48,178,448                                     | \$ 309,305,636                               |
| 2877       | SHINeway PHARM  | 6,382,841                                      | \$ 62,934,812                                |
| 2880       | DALIAN PORT     | 7,725,600                                      | \$ 8,111,880                                 |
| 2883       | CHINA OILFIELD  | 158,519,519                                    | \$ 1,157,192,489                             |
| 2888       | STANCHART       | 0  | \$ 0   |
| 2899       | ZIJIN MINING    | 118,100,815                                    | \$ 341,311,355                               |
| 3007       | TRFXIC50        | 0  | \$ 0   |
| 3008       | C-SHS CSI 300   | 0  | \$ 0   |
| 3010       | ISHARES AXJ     | 0  | \$ 0   |
| 3012       | AMUNDI HK35     | 0  | \$ 0   |
| 3015       | X TRNIFTY50     | 0  | \$ 0   |
| 3016       | TRMSCIPHIL      | 0  | \$ 0   |
| 3019       | X TRMSCIWORLD   | 0  | \$ 0   |
| 3020       | X TRMSCIUSA     | 0  | \$ 0   |
| 3023       | PA AI&ROBOTICS  | 0  | \$ 0   |
| 3024       | WISE SSE50ETF   | 0  | \$ 0   |
| 3027       | X TRMSCIRUSSIA  | 0  | \$ 0   |
| 3036       | TRMSCITAIWAN    | 0  | \$ 0   |
| 3040       | MR MSCI CN ETF  | 0  | \$ 0   |
| 3041       | VALUE KOREA ETF | 0  | \$ 0   |



## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3043       | TRMSCIPACEXJ    | 0  | \$ 0   |
| 3046       | VALUE CHINA ETF | 0  | \$ 0   |
| 3048       | TRMSCIBRAZIL    | 0  | \$ 0   |
| 3049       | X TRCSI300      | 0  | \$ 0   |
| 3053       | CSOP HKD MM     | 0  | \$ 0   |
| 3055       | TRMSCICHINA     | 0  | \$ 0   |
| 3056       | MR GL CON ETF   | 0  | \$ 0   |
| 3060       | VALUE TW ETF    | 0  | \$ 0   |
| 3065       | TRMSCISG        | 0  | \$ 0   |
| 3070       | PING AN HKDIV   | 0  | \$ 0   |
| 3073       | SPDR GREATCHINA | 0  | \$ 0   |
| 3074       | ISHARESMSCITW   | 0  | \$ 0   |
| 3080       | PING AN CGB     | 0  | \$ 0   |
| 3081       | VALUEGOLD ETF   | 0  | \$ 0   |
| 3082       | TRMSCIMALAY     | 0  | \$ 0   |
| 3084       | VALUE JAPAN ETF | 0  | \$ 0   |
| 3085       | VANGUARDAXJHY   | 0  | \$ 0   |
| 3086       | BMO NASDAQ100   | 0  | \$ 0   |
| 3087       | X TRFTSEVIET    | 0  | \$ 0   |
| 3092       | TRMSCITHAI      | 0  | \$ 0   |
| 3093       | CICCSELECT100   | 0  | \$ 0   |
| 3095       | VALUE A SHARE   | 0  | \$ 0   |
| 3097       | F MR OIL ETF    | 0  | \$ 0   |
| 3099       | X TRMSCIINDO    | 0  | \$ 0   |
| 3100       | EFUND CSI100    | 0  | \$ 0   |
| 3101       | VANGUARDDDVEUR  | 0  | \$ 0   |
| 3107       | C-SHS CSI STA   | 0  | \$ 0   |
| 3110       | MR HS HDIVY ETF | 0  | \$ 0   |
| 3115       | ISHARESHSI      | 0  | \$ 0   |
| 3116       | XIE GOLD MINERS | 0  | \$ 0   |
| 3118       | HGI MSCI CN A   | 0  | \$ 0   |
| 3120       | EFUND CES 120   | 0  | \$ 0   |
| 3121       | BMO AP REAL EST | 0  | \$ 0   |
| 3122       | CSOP CNSTBOND   | 0  | \$ 0   |
| 3126       | VANGUARDJAPAN   | 0  | \$ 0   |
| 3127       | MR CSI300 ETF   | 0  | \$ 0   |
| 3128       | HS AINDTOPETF   | 0  | \$ 0   |
| 3129       | CSOP 300SMART   | 0  | \$ 0   |
| 3132       | C-SHS CSI HLC   | 0  | \$ 0   |
| 3135       | F CSOP OIL ETF  | 0  | \$ 0   |
| 3136       | HGI MSCIA50     | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3137       | CSOP CES A80    | 0  | \$ 0   |
| 3140       | VANGUARDSP500   | 0  | \$ 0   |
| 3141       | BMO ASIA IGBOND | 0  | \$ 0   |
| 3143       | BMO HK BANKS    | 0  | \$ 0   |
| 3145       | BMO ASIA HIGHDV | 0  | \$ 0   |
| 3146       | ISHARESDAX      | 0  | \$ 0   |
| 3147       | CSOP CHINEXT    | 0  | \$ 0   |
| 3149       | CSOP MSCI       | 0  | \$ 0   |
| 3150       | HGI CSI500      | 0  | \$ 0   |
| 3155       | ISHARESES50     | 0  | \$ 0   |
| 3156       | GFI MSCI A I    | 0  | \$ 0   |
| 3157       | CAM SCSMALLCAP  | 0  | \$ 0   |
| 3160       | BMO JAPAN HDG   | 0  | \$ 0   |
| 3161       | XIE CHIMER ETF  | 0  | \$ 0   |
| 3163       | PA MULTI-FACTOR | 0  | \$ 0   |
| 3165       | BMO EU QLTY HDG | 0  | \$ 0   |
| 3166       | PA QLTY FACTOR  | 0  | \$ 0   |
| 3167       | ICBCCSOPCHINA   | 0  | \$ 0   |
| 3169       | VANGUARDCHINA   | 0  | \$ 0   |
| 3170       | ISHARESXS200    | 0  | \$ 0   |
| 3171       | COMSTAGE DAX    | 0  | \$ 0   |
| 3173       | PREMIA NEW ECON | 0  | \$ 0   |
| 3175       | F SAMSUNG OIL   | 0  | \$ 0   |
| 3177       | COMSTAGE DIVDAX | 0  | \$ 0   |
| 3180       | CAM CES A80     | 0  | \$ 0   |
| 3181       | PREMIAASIA TEC  | 0  | \$ 0   |
| 3182       | WISE NEW ECON50 | 0  | \$ 0   |
| 3186       | CICCKRANECNET   | 0  | \$ 0   |
| 3188       | CAM CSI300      | 51,414,200                                     | \$ 1,889,471,850                             |
| 3197       | CAM MSCI AINC   | 0  | \$ 0   |
| 3198       | PING AN 5HANDL  | 0  | \$ 0   |
| 3199       | CSOP 5YRGBOND   | 0  | \$ 0   |
| 3301       | RONSHINECHINA   | 8,048,138                                      | \$ 74,928,165                                |
| 3306       | JNBY            | 3,893,500                                      | \$ 49,836,800                                |
| 3308       | GOLDEN EAGLE    | 1,359,000                                      | \$ 11,809,710                                |
| 3309       | C-MER EYE       | 4,484,000                                      | \$ 24,482,640                                |
| 3311       | CHINA STATE CON | 170,197,944                                    | \$ 1,084,160,903                             |
| 3319       | A-LIVING        | 7,172,734                                      | \$ 76,604,799                                |
| 3320       | CHINARES PHARMA | 22,235,910                                     | \$ 251,265,783                               |
| 3323       | CNBM            | 103,314,138                                    | \$ 591,990,011                               |
| 3328       | BANKCOMM        | 90,218,223                                     | \$ 527,776,605                               |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3331       | VINDA INT'L     | 1,640,382                                      | \$ 21,521,812                                |
| 3333       | EVERGRANDE      | 385,770,956                                    | \$ 9,644,273,900                             |
| 3337       | ANTON OILFIELD  | 59,206,077                                     | \$ 58,021,955                                |
| 3339       | LONKING         | 12,197,056                                     | \$ 24,394,112                                |
| 3358       | BESTWAY GLOBAL  | 0  | \$ 0   |
| 3360       | FE HORIZON      | 29,104,155                                     | \$ 219,445,329                               |
| 3369       | QHD PORT        | 469,500  | \$ 826,320                                   |
| 3377       | SINO-OCEAN GP   | 20,941,004                                     | \$ 72,455,874                                |
| 3380       | LOGAN PPT       | 44,570,319                                     | \$ 400,241,465                               |
| 3382       | TIANJINPORT DEV | 1,292,000                                      | \$ 1,124,040                                 |
| 3383       | AGILE GROUP     | 74,524,794                                     | \$ 744,502,692                               |
| 3393       | WASION HOLDINGS | 4,128,671                                      | \$ 16,762,404                                |
| 3396       | LEGENDHOLDING   | 5,213,055                                      | \$ 116,772,432                               |
| 3606       | FUYAO GLASS     | 20,069,503                                     | \$ 482,671,547                               |
| 3613       | TONGRENTANGCM   | 626,000  | \$ 8,075,400                                 |
| 3618       | CQRC BANK       | 51,706,919                                     | \$ 226,476,305                               |
| 3633       | ZHONGYU GAS     | 5,372,997                                      | \$ 27,885,854                                |
| 3639       | YIDA CHINA      | 0  | \$ 0   |
| 3669       | YONGDA AUTO     | 55,233,500                                     | \$ 262,359,125                               |
| 3690       | MEITUAN-W       | 110,576,647                                    | \$ 5,971,138,938                             |
| 3698       | HUIZHANG BANK   | 0  | \$ 0   |
| 3700       | INKE            | 422,000  | \$ 907,300                                   |
| 3709       | KORADIOR        | 0  | \$ 0   |
| 3799       | DALI FOODS      | 99,691,530                                     | \$ 574,223,213                               |
| 3800       | GCL-POLY ENERGY | 904,552,504                                    | \$ 461,321,777                               |
| 3808       | SINOTRUK        | 185,167,396                                    | \$ 2,281,262,319                             |
| 3813       | POU SHENG INT'L | 41,918,350                                     | \$ 63,296,709                                |
| 3818       | CHINA DONGXIANG | 50,981,018                                     | \$ 63,216,462                                |
| 3836       | HARMONY AUTO    | 48,496,000                                     | \$ 139,668,480                               |
| 3866       | BQD             | 0  | \$ 0   |
| 3883       | CHINA AOYUAN    | 23,740,979                                     | \$ 112,294,831                               |
| 3888       | KINGSOFT        | 86,680,764                                     | \$ 1,090,444,011                             |
| 3898       | CRRC TIMES ELEC | 22,589,185                                     | \$ 992,794,681                               |
| 3899       | CIMC ENRIC      | 8,774,000                                      | \$ 55,188,460                                |
| 3900       | GREENTOWN CHINA | 13,641,744                                     | \$ 82,532,551                                |
| 3908       | CICC            | 83,826,520                                     | \$ 1,280,869,226                             |
| 3918       | NAGACORP        | 5,118,000                                      | \$ 43,810,080                                |
| 3933       | UNITED LAB      | 148,065,862                                    | \$ 692,948,234                               |
| 3948       | YITAI COAL      | 0  | \$ 0   |
| 3958       | DFZQ            | 8,752,383                                      | \$ 46,825,249                                |
| 3968       | CM BANK         | 198,728,225                                    | \$ 6,299,684,733                             |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3969       | CHINA CRSC      | 49,033,092                                     | \$ 267,230,351                               |
| 3983       | CHINA BLUECHEM  | 8,532,800                                      | \$ 23,550,528                                |
| 3988       | BANK OF CHINA   | 130,897,731                                    | \$ 433,271,490                               |
| 3993       | CMOC            | 261,672,258                                    | \$ 808,567,277                               |
| 3996       | CH ENERGY ENG   | 0  | \$ 0   |
| 3998       | BOSIDENG        | 23,519,399                                     | \$ 35,749,486                                |
| 4332       | AMGEN-T         | 0  | \$ 0   |
| 4333       | CISCO-T         | 0  | \$ 0   |
| 4335       | INTEL-T         | 0  | \$ 0   |
| 4336       | APPL MATERIAL-T | 0  | \$ 0   |
| 4337       | STARBUCKS-T     | 0  | \$ 0   |
| 4338       | MICROSOFT-T     | 0  | \$ 0   |
| 6030       | CITIC SEC       | 122,070,669                                    | \$ 1,738,286,327                             |
| 6060       | ZA ONLINE       | 31,982,864                                     | \$ 973,878,209                               |
| 6066       | CSC             | 8,673,500                                      | \$ 41,546,065                                |
| 6068       | WISDOM EDU INTL | 5,976,000                                      | \$ 19,063,440                                |
| 6088       | FIT HON TENG    | 7,325,000                                      | \$ 25,930,500                                |
| 6098       | CG SERVICES     | 29,627,415                                     | \$ 375,083,074                               |
| 6099       | CMSC            | 7,474,400                                      | \$ 81,022,496                                |
| 6100       | TALENT LIEPIN   | 1,689,800                                      | \$ 49,849,100                                |
| 6116       | LA CHAPELLE     | 336,000  | \$ 2,284,800                                 |
| 6122       | JIUTAI RCB      | 0  | \$ 0   |
| 6138       | HARBIN BANK     | 0  | \$ 0   |
| 6139       | JINMAO HOTEL-SS | 0  | \$ 0   |
| 6158       | ZHENRO PPT      | 0  | \$ 0   |
| 6168       | CHINA U-TON     | 0  | \$ 0   |
| 6169       | YUHUA EDU       | 25,294,000                                     | \$ 83,723,140                                |
| 6178       | EB SECURITIES   | 1,719,400                                      | \$ 13,067,440                                |
| 6196       | BANKOFZHENGZHOU | 0  | \$ 0   |
| 6198       | QINGDAO PORT    | 3,375,021                                      | \$ 15,052,594                                |
| 6808       | SUNART RETAIL   | 164,622,932                                    | \$ 1,419,049,674                             |
| 6818       | CEB BANK        | 80,879,784                                     | \$ 280,652,850                               |
| 6823       | HKT-SS          | 25,220,267                                     | \$ 290,537,476                               |
| 6826       | HAOHAI BIOTEC   | 0  | \$ 0   |
| 6828       | BG BLUE SKY     | 107,372,756                                    | \$ 26,091,580                                |
| 6837       | HAITONG SEC     | 166,810,229                                    | \$ 1,409,546,435                             |
| 6858       | HONMAGOLF       | 890,000  | \$ 7,128,900                                 |
| 6868       | TENFU           | 0  | \$ 0   |
| 6869       | YOFC            | 18,626,353                                     | \$ 393,016,048                               |
| 6878       | DIFFER GROUP    | 0  | \$ 0   |
| 6881       | CGS             | 320,838,681                                    | \$ 1,286,563,111                             |

### Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 6886       | HTSC             | 59,955,518                                     | \$ 766,231,520                               |
| 6889       | DYNAM JAPAN      | 0  | \$ 0   |
| 7200       | FL2 CSOP HSI     | 0  | \$ 0   |
| 7205       | FL2SAMSUNGHSI    | 0  | \$ 0   |
| 7210       | XL2 XIE CHIMER   | 0  | \$ 0   |
| 7221       | FL2CAMDXNHSI     | 0  | \$ 0   |
| 7228       | FL2SAMSUNGHSCEI  | 7,200,000                                      | \$ 45,504,000                                |
| 7230       | FL2 MR HSCEI     | 0  | \$ 0   |
| 7231       | FL2 MR HSI       | 0  | \$ 0   |
| 7242       | FL2EFUND YT HSI  | 0  | \$ 0   |
| 7261       | FL2CAMDXNNDQ100  | 0  | \$ 0   |
| 7267       | FL2CAMDXNHSCEI   | 0  | \$ 0   |
| 7288       | FL2 CSOP HSCEI   | 0  | \$ 0   |
| 7300       | FI CSOP HSI      | 0  | \$ 0   |
| 7302       | FI EFUND YT HSI  | 0  | \$ 0   |
| 7312       | FISAMSUNGHSI     | 6,300,000                                      | \$ 30,996,000                                |
| 7321       | FICAMDXNHSI      | 0  | \$ 0   |
| 7322       | XI MR S&P500     | 0  | \$ 0   |
| 7328       | FISAMSUNGHSCEI   | 6,600,000                                      | \$ 34,056,000                                |
| 7331       | FICAMDXNNDQ100   | 0  | \$ 0   |
| 7336       | FI MR HSI        | 0  | \$ 0   |
| 7341       | FICAMDXNHSCEI    | 0  | \$ 0   |
| 7362       | FI MR HSCEI      | 0  | \$ 0   |
| 7388       | FI CSOP HSCEI    | 0  | \$ 0   |
| 8032       | VIVA CHINA       | 6,350,976                                      | \$ 5,461,839                                 |
| 8047       | CHINAOCEAN FISH  | 0  | \$ 0   |
| 8057       | MADISON HLDG     | 0  | \$ 0   |
| 8078       | HMV DIGIT CHINA  | 0  | \$ 0   |
| 8083       | CHINA YOUZAN     | 217,613,820                                    | \$ 124,039,877                               |
| 8137       | HONBRIDGE        | 17,840,624                                     | \$ 19,267,874                                |
| 8207       | CHONGSING HLDGS  | 31,730,342                                     | \$ 5,489,349                                 |
| 8265       | CHINA TRUSTFUL   | 0  | \$ 0   |
| 8279       | AGTECH HOLDINGS  | 5,388,000                                      | \$ 3,340,560                                 |
| 8283       | ZHENG LI HLDG    | 390,000  | \$ 2,609,100                                 |
| 8293       | SINGASIA HLDG    | 0  | \$ 0   |
| 8407       | CISI FIN         | 0  | \$ 0   |
| 8469       | SHENGYE CAPITAL  | 178,000  | \$ 1,108,940                                 |
| 9010       | ISHARES AXJ-U    | 0  | \$ 0   |
| 9074       | ISHARES MSCITW-U | 0  | \$ 0   |
| 9081       | VALUEGOLD ETF-U  | 0  | \$ 0   |
| 9085       | VANGUARDAXJHY-U  | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 9101       | VANGUARDDDVEUR-U | 0  | \$ 0   |
| 9115       | ISHARESHSI-U     | 0  | \$ 0   |
| 9126       | VANGUARDJAPAN-U  | 0  | \$ 0   |
| 9140       | VANGUARDSP500-U  | 0  | \$ 0   |
| 9146       | ISHARESDAX-U     | 0  | \$ 0   |
| 9155       | ISHARESE50-U     | 0  | \$ 0   |
| 9167       | ICBCCSOPCHINA-U  | 0  | \$ 0   |
| 9169       | VANGUARDCHINA-U  | 0  | \$ 0   |
| 9170       | ISHARES200-U     | 0  | \$ 0   |
| 9181       | PREMIAASIATEC-U  | 0  | \$ 0   |
| 9186       | CICCKRANECNET-U  | 0  | \$ 0   |
| 9199       | CSOP 5YCGBOND-U  | 0  | \$ 0   |
| 9805       | VANGUARDASXJP-U  | 0  | \$ 0   |
| 9810       | PREMIAEMASEAN-U  | 0  | \$ 0   |
| 9834       | ISHARESND100-U   | 0  | \$ 0   |
| 9836       | ISHARES INDIA-U  | 0  | \$ 0   |
| 9846       | ISHARESCSI300-U  | 0  | \$ 0   |
| 9847       | ISHARESFS100-U   | 0  | \$ 0   |
| 82805      | VANGUARDASXJP-R  | 0  | \$ 0   |
| 82808      | EFUND CG BOND-R  | 0  | \$ 0   |
| 82811      | HT CSI300 ETF-R  | 0  | \$ 0   |
| 82813      | CAM CTPB BOND-R  | 0  | \$ 0   |
| 82822      | CSOP A50 ETF-R   | 0  | \$ 0   |
| 82823      | ISHARES A50-R    | 0  | \$ 0   |
| 82828      | HSCEI ETF-R      | 0  | \$ 0   |
| 82832      | BOSERA FA50-R    | 0  | \$ 0   |
| 82833      | HS HSI ETF-R     | 0  | \$ 0   |
| 82834      | ISHARESND100-R   | 0  | \$ 0   |
| 82836      | ISHARES INDIA-R  | 0  | \$ 0   |
| 82843      | AMUNDI A50-R     | 0  | \$ 0   |
| 82846      | ISHARESCSI300-R  | 0  | \$ 0   |
| 82847      | ISHARESFS100-R   | 0  | \$ 0   |
| 83008      | C-SHS CSI 300-R  | 0  | \$ 0   |
| 83010      | ISHARES AXJ-R    | 0  | \$ 0   |
| 83012      | AMUNDI HK35-R    | 0  | \$ 0   |
| 83053      | CSOP HKD MM-R    | 0  | \$ 0   |
| 83074      | ISHARESMSCITW-R  | 0  | \$ 0   |
| 83081      | VALUEGOLD ETF-R  | 0  | \$ 0   |
| 83085      | VANGUARDAXJHY-R  | 0  | \$ 0   |
| 83093      | CICCSELECT100-R  | 0  | \$ 0   |
| 83095      | VALUE A SHARE-R  | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 07/12/2018

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 83100      | EFUND CSI100-R   | 0  | \$ 0   |
| 83101      | VANGUARDDDVEUR-R | 0  | \$ 0   |
| 83107      | C-SHS CSI STA-R  | 0  | \$ 0   |
| 83115      | ISHARESHSI-R     | 0  | \$ 0   |
| 83118      | HGI MSCI CN A-R  | 0  | \$ 0   |
| 83120      | EFUND CES 120-R  | 0  | \$ 0   |
| 83122      | CSOP CNSTBOND-R  | 0  | \$ 0   |
| 83126      | VANGUARDJAPAN-R  | 0  | \$ 0   |
| 83127      | MR CSI300 ETF-R  | 0  | \$ 0   |
| 83128      | HS AINDTOPETF-R  | 0  | \$ 0   |
| 83129      | CSOP 300SMART-R  | 0  | \$ 0   |
| 83132      | C-SHS CSI HLC-R  | 0  | \$ 0   |
| 83136      | HGI MSCIA50-R    | 0  | \$ 0   |
| 83137      | CSOP CES A80-R   | 0  | \$ 0   |
| 83140      | VANGUARDSP500-R  | 0  | \$ 0   |
| 83146      | ISHARESDAX-R     | 0  | \$ 0   |
| 83147      | CSOP CHINEXT-R   | 0  | \$ 0   |
| 83149      | CSOP MSCI-R      | 0  | \$ 0   |
| 83150      | HGI CSI500-R     | 0  | \$ 0   |
| 83155      | ISHARESES50-R    | 0  | \$ 0   |
| 83156      | GFI MSCI A I-R   | 0  | \$ 0   |
| 83167      | ICBCCSOPCHINA-R  | 0  | \$ 0   |
| 83168      | HS RMB GOLD ETF  | 0  | \$ 0   |
| 83169      | VANGUARDCHINA-R  | 0  | \$ 0   |
| 83170      | ISHARESKS200-R   | 0  | \$ 0   |
| 83180      | CAM CES A80-R    | 0  | \$ 0   |
| 83186      | CICCKRANECNET-R  | 0  | \$ 0   |
| 83188      | CAM CSI300-R     | 0  | \$ 0   |
| 83197      | CAM MSCI AINC-R  | 0  | \$ 0   |
| 83199      | CSOP 5YCGBOND-R  | 0  | \$ 0   |
| 87001      | HUI XIAN REIT    | 0  | \$ 0   |