

**Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,043,488	\$ 1,063,044,272
2	CLP HOLDINGS	28,676,440	\$ 2,550,769,338
3	HK & CHINA GAS	189,196,256	\$ 2,913,622,342
4	WHARF HOLDINGS	37,157,147	\$ 761,721,514
5	HSBC HOLDINGS	116,378,868	\$ 7,669,367,401
6	POWER ASSETS	17,980,420	\$ 963,750,512
8	PCCW	49,034,078	\$ 213,298,239
10	HANG LUNG GROUP	10,379,442	\$ 220,563,143
11	HANG SENG BANK	12,213,897	\$ 2,169,188,107
12	HENDERSON LAND	18,884,901	\$ 724,235,953
14	HYSAN DEV	3,466,902	\$ 136,422,594
16	SHK PPT	16,556,503	\$ 1,801,347,526
17	NEW WORLD DEV	83,699,492	\$ 858,756,788
19	SWIRE PACIFIC A	18,857,474	\$ 1,612,314,027
20	WHEELLOCK	5,115,423	\$ 231,984,433
23	BANK OF E ASIA	19,569,061	\$ 507,817,133
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	66,937,130	\$ 3,122,617,115
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	12,477,853	\$ 6,738,041
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,843,852	\$ 14,529,761
41	GREAT EAGLE H	1,976,668	\$ 63,352,209
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	2,073,434	\$ 24,466,521
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	188,000	\$ 5,226,400
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	518,500	\$ 13,273,600
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	36,806,270	\$ 1,463,049,233
69	SHANGRI-LA ASIA	19,940,030	\$ 224,524,738
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	201,007	\$ 882,421

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
81	CH OVS G OCEANS	29,897,170	\$ 79,227,501
83	SINO LAND	48,862,718	\$ 624,465,536
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,024,286	\$ 9,094,886
101	HANG LUNG PPT	33,314,957	\$ 507,053,646
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,662,000	\$ 6,442,040
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	6,443,189	\$ 16,365,700
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	65,811,413	\$ 90,819,750
127	CHINESE EST H	5,368,213	\$ 40,798,419
129	ASIA STANDARD	268,000	\$ 380,560
133	CHINA MERCHANTS	34,000	\$ 322,320
135	KUNLUN ENERGY	399,087,489	\$ 3,811,285,520
136	HENG TEN NET	1,138,584,875	\$ 313,110,841
142	FIRST PACIFIC	30,915,033	\$ 102,328,759
144	CHINA MER PORT	50,307,270	\$ 720,400,106
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	7,484,418	\$ 158,669,662
151	WANT WANT CHINA	466,374,772	\$ 2,662,999,948
152	SHENZHEN INT'L	11,520,427	\$ 179,027,436
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	3,404,000	\$ 14,501,040
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,003,643	\$ 71,752,241
168	TSINGTAO BREW	23,167,286	\$ 711,235,680
171	SILVER GRANT	25,220,000	\$ 46,404,800
173	K. WAH INT'L	29,828,489	\$ 111,558,549
175	GEELY AUTO	182,296,589	\$ 2,727,156,971
177	JIANGSU EXPRESS	6,192,449	\$ 65,144,563
178	SA SA INT'L	56,206,635	\$ 178,175,033
179	JOHNSON ELEC H	1,979,803	\$ 35,161,301
182	CONCORD NE	19,330,494	\$ 5,702,496
189	DONGYUE GROUP	7,740,474	\$ 32,355,181
194	LIU CHONG HING	1,287,756	\$ 14,242,581
196	HONGHUA GROUP	49,837,249	\$ 25,416,997
198	SMI HOLDINGS	27,682,839	\$ 64,777,843
200	MELCO INT'L DEV	29,754,900	\$ 432,041,148

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	80,076,947	\$ 233,023,916
220	U-PRESID CHINA	60,421,993	\$ 467,666,226
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,869,159	\$ 2,467,290
241	ALI HEALTH	197,135,080	\$ 1,377,974,209
242	SHUN TAK HOLD	18,655,384	\$ 47,571,229
245	CN MINSHENG FIN	14,135,496	\$ 3,406,655
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	442,000	\$ 4,543,760
256	CITYCHAMP	4,270,000	\$ 7,130,900
257	CHINA EB INT'L	204,949,407	\$ 1,381,359,003
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	81,991,594	\$ 1,015,055,934
268	KINGDEE INT'L	241,935,043	\$ 1,717,738,805
270	GUANGDONG INV	10,202,276	\$ 151,197,730
272	SHUI ON LAND	66,977,502	\$ 117,880,404
273	MASON GP HOLD	35,756,884	\$ 5,005,964
285	BYD ELECTRONIC	64,822,671	\$ 662,487,698
286	CS HEALTH	4,784,000	\$ 3,061,760
288	WH GROUP	121,964,577	\$ 730,567,816
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	63,358,081	\$ 1,764,522,556
293	CATHAY PAC AIR	18,393,693	\$ 198,651,884
297	SINOFERT	97,157,099	\$ 85,498,247
303	VTECH HOLDINGS	2,350,222	\$ 177,794,294
308	CHINA TRAVEL HK	41,608,805	\$ 88,210,667
315	SMARTONE TELE	5,247,999	\$ 49,541,111
317	COMEC	6,564,505	\$ 36,958,163
321	TEXWINCA HOLD	9,628,263	\$ 30,617,876
322	TINGYI	93,582,044	\$ 1,158,545,705
323	MAANSHAN IRON	180,551,229	\$ 698,733,256
327	PAX GLOBAL	43,105,315	\$ 156,903,347
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	125,999,138	\$ 263,338,198
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,870,185	\$ 24,595,262
337	GREENLAND HK	3,303,000	\$ 6,506,910
338	SHANGHAI PEICHEM	76,089,645	\$ 269,357,343

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
341	CAFE DE CORAL H	3,628,137	\$ 62,476,519
342	NEWOCEAN ENERGY	38,124,540	\$ 112,467,393
345	VITASOY INT'L	1,742,000	\$ 52,085,800
347	ANGANG STEEL	170,142,020	\$ 1,071,894,726
354	CHINASOFT INT'L	104,705,465	\$ 444,998,226
358	JIANGXI COPPER	44,135,502	\$ 410,018,814
363	SHANGHAI IND H	1,168,037	\$ 19,716,465
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	27,280,891	\$ 71,203,126
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	467,805,961	\$ 2,039,633,990
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	7,878,000	\$ 787,800
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	100,453,830	\$ 2,581,663,431
386	SINOPEC CORP	392,249,122	\$ 2,545,696,802
388	HKEX	26,358,529	\$ 5,957,027,554
390	CHINA RAILWAY	126,640,012	\$ 951,066,490
392	BEIJING ENT	7,871,507	\$ 354,217,815
400	COGOBUY	18,248,699	\$ 52,738,740
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	98,426,392	\$ 294,294,912
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	109,218,194	\$ 20,423,802
425	MINTH GROUP	72,846,983	\$ 1,926,802,700
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	45,427,867	\$ 28,619,556
440	DAH SING	0	\$ 0
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	159,050,000	\$ 45,329,250
460	SIHUAN PHARM	177,343,707	\$ 303,257,739
467	UNITEDENERGY GP	6,466,000	\$ 9,569,680
468	GAPACK	0	\$ 0
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	165,512	\$ 2,148,346
489	DONGFENG GROUP	32,812,414	\$ 246,749,353

**Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
493	GOME RETAIL	1,558,248,748	\$ 1,028,444,174
494	LI & FUNG	515,692,764	\$ 856,049,988
496	KASEN	2,118,000	\$ 8,133,120
497	CSI PROPERTIES	7,460,000	\$ 2,238,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	21,339,713	\$ 77,249,761
508	DINGYI GP INV	41,792,680	\$ 21,314,267
511	TVB	11,863,075	\$ 206,892,028
512	CHINAGRANDPHARM	6,712,000	\$ 24,834,400
517	COSCO SHIP INTL	484,000	\$ 1,306,800
519	APPLIED DEV	849,454	\$ 518,167
520	XIABUXIABU	28,205,700	\$ 313,647,384
522	ASM PACIFIC	18,815,545	\$ 1,411,165,875
525	GUANGSHEN RAIL	11,283,440	\$ 32,947,645
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	90,696,000	\$ 65,301,120
538	AJISEN (CHINA)	8,930,120	\$ 21,789,493
546	FUFENG GROUP	77,302,636	\$ 258,963,831
547	DIGITAL DOMAIN	413,035,340	\$ 42,542,640
548	SHENZHENEXPRESS	3,495,000	\$ 26,002,800
551	YUE YUEN IND	19,183,886	\$ 447,943,738
552	CHINACOMSERVICE	11,756,043	\$ 80,176,213
563	SH IND URBAN	16,030,000	\$ 21,159,600
570	TRAD CHI MED	44,427,418	\$ 237,686,686
576	ZHEJIANGEXPRESS	8,441,630	\$ 53,604,351
579	JNCEC	11,020,000	\$ 16,309,600
580	SUN.KING ELEC	3,006,000	\$ 3,126,240
581	CHINA ORIENTAL	5,368,000	\$ 29,631,360
586	CONCH VENTURE	19,445,292	\$ 451,130,774
588	BEIJING N STAR	4,502,000	\$ 10,579,700
590	LUK FOOK HOLD	2,769,725	\$ 68,827,666
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	25,162,492	\$ 76,745,601
603	CHINA OIL & GAS	11,807,936	\$ 6,258,206
604	SHENZHEN INVEST	215,685,266	\$ 541,370,018
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	31,189,439	\$ 86,082,852
607	FULLSHARE	940,202,545	\$ 2,811,205,610
622	ENERCHINA HOLD	9,836,861	\$ 3,590,454

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	3,161,000	\$ 7,586,400
636	KERRY LOG NET	480,928	\$ 5,424,868
639	SHOUGANG RES	27,879,151	\$ 44,327,850
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	31,728,989	\$ 379,478,708
658	C TRANSMISSION	31,752,256	\$ 254,970,616
659	NWS HOLDINGS	1,890,370	\$ 30,056,883
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	139,257,882	\$ 370,425,966
669	TECHTRONIC IND	21,124,302	\$ 861,871,522
670	CHINA EAST AIR	40,547,290	\$ 196,654,357
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	77,383,120	\$ 13,696,812
683	KERRY PPT	5,112,952	\$ 134,214,990
686	PANDA GREEN	79,062,992	\$ 23,718,898
687	HKICIM GROUP	5,286,000	\$ 11,999,220
688	CHINA OVERSEAS	68,479,415	\$ 1,773,616,849
689	EPI (HOLDINGS)	2,820,000	\$ 1,269,000
694	BEIJING AIRPORT	27,916,158	\$ 246,220,514
696	TRAVELSKY TECH	19,818,506	\$ 382,497,166
697	SHOUGANG INT'L	17,094,661	\$ 3,487,311
698	TONGDA GROUP	177,698,642	\$ 158,151,791
699	CAR INC	66,251,114	\$ 437,257,352
700	TENCENT	76,141,327	\$ 22,172,354,422
707	ATV HOLDINGS	12,728,000	\$ 3,882,040
708	EVERG HEALTH	7,980,000	\$ 74,293,800
709	GIORDANO INT'L	7,178,970	\$ 25,772,502
710	BOE VARITRONIX	3,153,033	\$ 6,432,187
715	CHINA OCEANWIDE	4,142,000	\$ 1,553,250
716	SINGAMAS CONT	13,888,073	\$ 14,721,357
717	EMPEROR CAPITAL	10,824,974	\$ 4,221,740
721	C FIN INT INV	17,093,738	\$ 3,247,810
728	CHINA TELECOM	252,586,896	\$ 1,028,028,667
729	FDG EVEHICLES	471,490,147	\$ 39,605,172
732	TRULY INT'L	52,488,072	\$ 62,460,806
735	CP CLEAN ENERGY	705,000	\$ 1,670,850
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	135,522,010	\$ 288,661,881
752	PICO FAR EAST	408,000	\$ 1,183,200

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753	AIR CHINA	48,663,411	\$ 362,542,412
754	HOPSON DEV HOLD	1,721,355	\$ 10,775,682
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	80,724,104	\$ 700,685,223
763	ZTE	40,446,526	\$ 621,258,639
772	CHINA LIT	26,836,039	\$ 1,147,240,667
775	CKLIFE SCIENCES	11,741,975	\$ 4,520,660
777	NETDRAGON	6,129,725	\$ 85,325,772
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	379,344,136	\$ 176,395,023
788	CHINA TOWER	177,998,000	\$ 202,917,720
798	CEOVU	2,388,000	\$ 871,620
799	IGG	13,275,470	\$ 122,399,833
806	VALUE PARTNERS	28,846,374	\$ 175,385,954
807	SIICENVIRONMENT	3,623,900	\$ 5,508,328
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,646,764	\$ 13,710,238
813	SHIMAO PROPERTY	20,970,212	\$ 384,593,688
815	CHI SILVER GP	26,781,956	\$ 23,300,302
816	HUADIAN FUXIN	6,620,100	\$ 9,930,150
817	CHINA JINMAO	147,655,220	\$ 525,652,583
818	HI SUN TECH	13,936,918	\$ 16,027,456
819	TIANNENG POWER	50,590,126	\$ 358,178,092
823	LINK REIT	28,423,012	\$ 2,111,829,792
826	TIANGONG INT'L	37,550,400	\$ 61,207,152
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,534,000	\$ 7,348,600
836	CHINA RES POWER	17,759,142	\$ 261,059,387
839	CHINA EDU GROUP	16,873,152	\$ 177,168,096
853	MICROPORT	20,117,352	\$ 165,968,154
855	CHINA WATER	35,673,247	\$ 297,158,148
856	VSTECs	746,000	\$ 2,946,700
857	PETROCHINA	189,914,984	\$ 1,021,742,614
860	WE SOLUTIONS	35,338,499	\$ 19,436,174
861	DC HOLDINGS	27,330,229	\$ 106,041,289
867	CMS	12,735,928	\$ 116,915,819
868	XINYI GLASS	59,690,026	\$ 489,458,213
874	BAIYUNSHAN PH	1,779,500	\$ 54,897,575
877	O-NET TECH GP	15,928,610	\$ 58,776,571
880	SJM HOLDINGS	126,698,559	\$ 855,215,273
881	ZHONGSHENG HLDG	70,097,613	\$ 1,085,111,049

**Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
882	TIANJIN DEV	3,658,000	\$ 9,840,020
883	CNOOC	135,957,579	\$ 1,721,222,950
884	CIFI HOLD GP	42,690,100	\$ 153,257,459
895	DONGJIANG ENV	3,166,530	\$ 27,263,823
902	HUANENG POWER	165,680,448	\$ 770,414,083
906	COFCO PACKAGING	54,508,951	\$ 165,707,211
914	CONCH CEMENT	123,035,481	\$ 4,835,294,403
916	CHINA LONGYUAN	46,231,684	\$ 279,239,371
921	HISENSE HA	9,800,393	\$ 57,038,287
931	CHINA LNG	69,971,748	\$ 95,161,577
934	SINOPEC KANTONS	25,040,799	\$ 78,127,293
939	CCB	583,213,619	\$ 3,779,224,251
941	CHINA MOBILE	92,413,099	\$ 7,014,154,214
950	LEE'S PHARM	5,136,739	\$ 31,642,312
951	CHAOWEI POWER	27,523,367	\$ 96,331,785
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	2,564,000	\$ 5,256,200
958	HN RENEWABLES	40,114,000	\$ 92,663,340
960	LONGFOR GROUP	15,775,115	\$ 341,531,240
966	CHINA TAIPING	9,300,474	\$ 234,836,969
968	XINYI SOLAR	262,170,972	\$ 755,052,399
973	L'OCCITANE	5,152,613	\$ 82,338,756
976	CHIHO ENV	4,700,049	\$ 11,186,117
978	CHI MER LAND	1,672,000	\$ 1,872,640
981	SMIC	588,297,126	\$ 4,088,665,026
989	GROUND INTL DEV	10,420,000	\$ 5,522,600
991	DATANG POWER	51,553,307	\$ 94,858,085
992	LENOVO GROUP	549,110,532	\$ 2,998,143,505
995	ANHUIEXPRESSWAY	743,000	\$ 3,759,580
996	CARNIVAL GROUP	185,981,605	\$ 21,945,829
998	CITIC BANK	159,079,281	\$ 790,624,027
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,420,000	\$ 3,726,800
1004	C SMARTERENERGY	2,179,495	\$ 1,525,647
1030	FUTURE LAND	101,498,561	\$ 511,552,747
1031	KINGSTON FIN	5,718,000	\$ 10,235,220
1033	SINOPEC SSC	48,273,960	\$ 39,584,647
1038	CKI HOLDINGS	15,248,683	\$ 901,959,599
1044	HENGAN INT'L	58,696,928	\$ 3,747,798,853
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	66,732,461	\$ 3,470,088



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1052	YUEXIUTRANSPORT	23,173,085	\$ 137,184,663
1055	CHINA SOUTH AIR	116,252,686	\$ 612,651,655
1060	ALI PICTURES	1,077,114,354	\$ 1,303,308,368
1061	ESSEX BIO-TECH	852,481	\$ 4,509,624
1065	TIANJIN CAPITAL	7,294,000	\$ 22,319,640
1066	WEIGAO GROUP	26,905,402	\$ 194,795,110
1068	YURUN FOOD	12,066,570	\$ 8,446,599
1070	TCL ELECTRONICS	4,529,974	\$ 14,495,917
1071	HUADIAN POWER	52,667,136	\$ 166,954,821
1072	DONGFANG ELEC	8,219,813	\$ 36,249,375
1076	IMPERIAL PAC	68,800,000	\$ 4,128,000
1083	TOWNGAS CHINA	8,396,144	\$ 48,865,558
1086	GOODBABY INTL	37,718,186	\$ 103,347,830
1088	CHINA SHENHUA	41,078,362	\$ 739,410,516
1089	LEYOU TECH H	26,765,985	\$ 51,658,351
1093	CSPC PHARMA	92,440,370	\$ 1,469,801,883
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	24,579,407	\$ 947,536,140
1106	SINO HAIJING	12,360,000	\$ 927,000
1107	MODERN LAND	590,000	\$ 613,600
1109	CHINA RES LAND	42,744,980	\$ 1,250,290,665
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,153,946	\$ 428,897,560
1113	CK ASSET	19,216,436	\$ 1,038,648,366
1114	BRILLIANCE CHI	349,973,550	\$ 2,362,321,463
1115	TIBET WATER	20,290,141	\$ 39,971,578
1117	CH MODERN D	20,566,492	\$ 18,509,843
1122	QINGLING MOTORS	578,000	\$ 1,202,240
1128	WYNN MACAU	71,308,474	\$ 1,173,737,482
1129	WATER INDUSTRY	15,956,000	\$ 19,625,880
1131	AGRITRADE RES	75,760,000	\$ 116,670,400
1133	HARBIN ELECTRIC	23,761,132	\$ 64,392,668
1138	COSCO SHIP ENGY	76,564,147	\$ 297,834,532
1140	OP FINANCIAL	8,048,000	\$ 19,556,640
1141	CMBC CAPITAL	474,149,319	\$ 137,503,303
1157	ZOOMLION	26,499,834	\$ 75,259,529
1166	SOLARTECH INT'L	18,220,000	\$ 2,113,520
1168	SINOLINK HOLD	29,146,093	\$ 15,155,968
1169	HAIER ELEC	11,456,648	\$ 205,303,132
1171	YANZHOU COAL	28,066,948	\$ 206,853,407
1176	ZHUGUANG HOLD	14,114,000	\$ 19,618,460

**Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1177	SINO BIOPHARM	191,242,652	\$ 1,352,085,550
1186	CHINA RAIL CONS	149,244,394	\$ 1,573,035,913
1188	HYBRID KINETIC	23,296,330	\$ 1,327,891
1193	CHINA RES GAS	39,407,198	\$ 1,239,356,377
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	1,566,138	\$ 7,642,753
1199	COSCO SHIP PORT	37,317,076	\$ 299,282,950
1205	CITIC RESOURCES	36,058,837	\$ 23,798,832
1208	MMG	117,305,744	\$ 425,819,851
1211	BYD COMPANY	136,711,141	\$ 7,621,646,111
1212	LIFESTYLE INT'L	6,411,422	\$ 81,553,288
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,450,000
1224	C C LAND	13,924,000	\$ 25,063,200
1230	YASHILI INT'L	11,298,924	\$ 15,366,537
1233	TIMES CHINA	1,752,000	\$ 12,666,960
1234	CHINA LILANG	0	\$ 0
1238	POWERLONG	2,994,000	\$ 9,041,880
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	166,551,446	\$ 17,987,556
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	10,953,077	\$ 65,608,931
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	2,774,600	\$ 4,217,392
1270	LANGHAM-SS	1,295,500	\$ 3,666,265
1282	CHINA GOLDJOY	25,676,000	\$ 9,371,740
1288	ABC	252,715,702	\$ 881,977,800
1293	GRAND BAOXIN	54,335,152	\$ 118,450,631
1297	SINOSOFT TECH	6,637,635	\$ 14,735,550
1299	AIA	142,996,663	\$ 9,116,037,266
1302	LIFETECH SCI	26,198,090	\$ 43,226,849
1308	SITC	1,918,042	\$ 12,467,273
1310	HKBN	3,152,000	\$ 36,752,320
1313	CHINARES CEMENT	74,883,731	\$ 530,925,653
1316	NEXTEER	20,753,493	\$ 239,910,379
1317	MAPLELEAF EDU	62,390,772	\$ 218,367,702
1330	DYNAGREEN ENV	14,130,375	\$ 43,804,163
1333	CHINA ZHONGWANG	112,188,306	\$ 399,390,369
1336	NCI	17,096,001	\$ 591,521,635
1337	RAZER	107,643,080	\$ 129,171,696

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	206,824,543	\$ 692,862,219
1347	HUA HONG SEMI	23,526,190	\$ 354,774,945
1359	CHINA CINDA	282,805,014	\$ 576,922,229
1360	MEGAEXPO HLDG	760,000	\$ 2,622,000
1361	361 DEGREES	19,556,500	\$ 38,135,175
1363	CTEG	69,822,868	\$ 23,739,775
1368	XTEP INT'L	11,485,190	\$ 48,352,650
1375	CC SECURITIES	18,415,583	\$ 33,884,673
1378	CHINAHONGQIAO	57,078,813	\$ 275,690,667
1381	CANVEST ENV	20,003,729	\$ 81,015,102
1382	PACIFICTEXTILES	5,454,355	\$ 42,816,687
1383	SUNCITY GROUP	7,657,500	\$ 8,040,375
1385	SHANGHAI FUDAN	365,425	\$ 3,160,926
1387	RENHE COMM	45,772,181	\$ 11,671,906
1398	ICBC	393,497,123	\$ 2,132,754,407
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	22,225,899	\$ 131,355,063
1458	ZHOU HEI YA	48,599,265	\$ 195,855,038
1462	GOLD-FIN HLDG	8,715,957	\$ 15,078,606
1475	NISSIN FOODS	234,000	\$ 849,420
1478	Q TECH	22,150,721	\$ 97,684,680
1508	CHINA RE	98,827,639	\$ 153,182,840
1513	LIVZON PHARMA	2,493,719	\$ 69,824,132
1515	CR MEDICAL	18,368,302	\$ 103,046,174
1518	NC HEALTHCARE	112,500	\$ 753,750
1528	RS MACALLINE	1,408,200	\$ 10,336,188
1530	3SBIO	56,993,312	\$ 691,898,808
1548	GENSCRIPT BIO	62,204,972	\$ 855,940,415
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,393,200	\$ 64,616,400
1566	CHINA ANIMATION	5,236,274	\$ 14,295,028
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	350,000	\$ 1,015,000
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	25,698,982	\$ 552,528,113
1585	YADEA	17,362,000	\$ 46,877,400
1599	UCD	354,000	\$ 931,020
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	5,326,001	\$ 6,124,901
1617	NANFANG COMM	0	\$ 0
1618	MCC	36,604,209	\$ 72,476,334
1622	REDCO GROUP	19,914,813	\$ 73,286,512
1628	YUZHOU PPT	20,682,900	\$ 66,598,938
1636	CMRU	2,272,000	\$ 9,974,080
1638	KAISA GROUP	39,409,947	\$ 87,884,182
1658	PSBC	1,046,938,708	\$ 4,753,101,734
1660	ZHAOBANGJI PPT	504,000	\$ 5,120,640
1666	TONG REN TANG	12,226,919	\$ 131,561,648
1668	CHINASOUTHCITY	20,845,748	\$ 25,640,270
1680	MACAU LEGEND	8,101,000	\$ 11,017,360
1681	CONSUN PHARMA	5,493,435	\$ 30,213,893
1686	SUNEVISION	4,353,661	\$ 19,765,621
1697	SDITC	0	\$ 0
1700	SPRINGLAND	746,000	\$ 1,133,920
1717	AUSNUTRIA	8,894,316	\$ 83,517,627
1727	HEBEI CONS	375,500	\$ 1,520,775
1728	ZHENGTONGAUTO	204,733,342	\$ 866,022,037
1766	CRRC	78,865,198	\$ 568,618,078
1773	TIANLI EDU	0	\$ 0
1776	GF SEC	42,595,335	\$ 482,179,192
1777	FANTASIA	12,603,000	\$ 11,720,790
1778	COLOUR LIFE	14,544,088	\$ 59,048,997
1787	SD GOLD	0	\$ 0
1788	GUOTAI JUNAN I	216,414,936	\$ 296,488,462
1789	AK MEDICAL	5,841,118	\$ 29,789,702
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	49,524,358	\$ 379,851,826
1803	BJ SPORTS & ENT	4,132,500	\$ 10,331,250
1806	HUIFU PAYMENT	0	\$ 0
1810	XIAOMI-W	195,750,100	\$ 2,826,631,444
1811	CGN NEW ENERGY	1,118,000	\$ 1,173,900
1812	CHENMING PAPER	6,542,250	\$ 30,944,843
1813	KWG GROUP	42,285,115	\$ 271,047,587
1816	CGN POWER	541,762,073	\$ 1,045,600,801
1818	ZHAOJIN MINING	87,710,622	\$ 635,024,903
1828	DCH HOLDINGS	1,594,000	\$ 4,622,600
1829	CMEC	7,824,706	\$ 30,125,118

**Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	17,333,800	\$ 614,483,210
1836	STELLA HOLDINGS	5,254,191	\$ 42,138,612
1848	CALC	378,500	\$ 3,065,850
1862	JINGRUI HLDGS	7,016,000	\$ 13,470,720
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,076,264	\$ 119,588,862
1883	CITIC TELECOM	4,558,259	\$ 11,760,308
1888	KB LAMINATES	17,545,283	\$ 117,202,490
1898	CHINA COAL	37,634,936	\$ 123,442,590
1899	XINGDA INT'L	15,622,896	\$ 32,808,082
1910	SAMSONITE	31,528,265	\$ 729,879,335
1913	PRADA	7,996,802	\$ 222,311,096
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	198,362,119	\$ 4,820,199,492
1919	COSCO SHIP HOLD	155,812,390	\$ 472,111,542
1928	SANDS CHINA LTD	114,528,978	\$ 3,710,738,887
1929	CHOW TAI FOOK	3,760,400	\$ 25,871,552
1958	BAIC MOTOR	42,556,260	\$ 191,503,170
1963	BCQ	5,010,209	\$ 23,397,676
1966	CHINA SCE GROUP	6,670,673	\$ 18,344,351
1970	IMAX CHINA	6,421,742	\$ 123,682,751
1972	SWIREPROPERTIES	6,615,604	\$ 185,236,912
1980	TIANGE	5,821,596	\$ 23,810,328
1986	TSAKER CHEM	0	\$ 0
1988	MINSHENG BANK	275,176,761	\$ 1,574,011,073
1997	WHARF REIC	21,130,884	\$ 1,051,261,479
1999	MAN WAH HLDGS	83,873,986	\$ 285,171,552
2001	NEW HIGHER EDU	17,306,239	\$ 74,416,828
2005	SSY GROUP	29,767,173	\$ 237,244,369
2006	JINJIANG HOTELS	4,144,000	\$ 8,412,320
2007	COUNTRY GARDEN	488,394,784	\$ 4,405,320,952
2009	BBMG	220,492,657	\$ 549,026,716
2014	OZNER WATER	43,699,000	\$ 76,473,250
2016	CZBANK	0	\$ 0
2018	AAC TECH	73,618,535	\$ 3,916,506,062
2020	ANTA SPORTS	43,863,891	\$ 1,559,361,325
2038	FIH	177,767,849	\$ 156,435,707
2039	CIMC	23,841,491	\$ 183,817,896
2066	SHENGJINGBANK	530,000	\$ 2,003,400
2083	NATURE HOME	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2098	ZALL SMARTCOM	4,867,000	\$ 28,812,640
2099	CHINAGOLDINTL	613,600	\$ 6,577,792
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	3,392,411	\$ 13,332,175
2136	LIFESTYLE CHI	12,704,606	\$ 36,843,357
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	178,600,297	\$ 1,135,897,889
2196	FOSUN PHARMA	30,395,384	\$ 816,116,060
2198	CHINA SANJIANG	2,135,200	\$ 4,291,752
2199	REGINA MIRACLE	12,588,817	\$ 72,763,362
2202	CHINA VANKE	57,615,567	\$ 1,500,885,520
2208	GOLDWIND	8,180,394	\$ 60,453,112
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	8,710,000	\$ 42,069,300
2233	WESTCHINACEMENT	14,899,314	\$ 17,283,204
2238	GAC GROUP	149,982,163	\$ 1,177,359,980
2255	HAICHANG HLDG	3,963,000	\$ 6,221,910
2268	YOUYUAN HLDGS	13,340,919	\$ 31,217,750
2269	WUXI BIO	33,259,939	\$ 2,055,464,230
2280	HC GROUP	27,478,448	\$ 126,400,861
2282	MGM CHINA	104,258,246	\$ 1,305,313,240
2283	TK GROUP HLDG	210,000	\$ 892,500
2298	COSMO LADY	18,856,664	\$ 55,815,725
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	258,000	\$ 1,578,960
2313	SHENZHOU INTL	16,859,850	\$ 1,583,982,908
2314	LEE & MAN PAPER	35,501,284	\$ 249,574,027
2318	PING AN	1,252,950,734	\$ 93,282,182,146
2319	MENGNIU DAIRY	61,269,941	\$ 1,482,732,572
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	99,759,886	\$ 786,107,902
2329	GUORUI PPT	11,071,268	\$ 21,256,835
2331	LI NING	51,335,600	\$ 415,818,360
2333	GREATWALL MOTOR	615,128,047	\$ 2,995,673,589
2338	WEICHAJ POWER	181,990,938	\$ 1,510,524,785
2342	COMBA	49,241,918	\$ 62,044,817
2343	PACIFIC BASIN	149,249,076	\$ 250,738,448
2348	DAWNRAYS PHARMA	426,000	\$ 813,660
2356	DAHSHING BANKING	1,148,682	\$ 16,678,863
2357	AVICHINA	119,680,874	\$ 640,292,676
2362	JINCHUAN INTL	26,244,995	\$ 17,846,597

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2378	PRU	0	\$ 0
2380	CHINA POWER	229,236,211	\$ 412,625,180
2382	SUNNY OPTICAL	49,111,726	\$ 3,567,966,894
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	10,335,192	\$ 74,826,790
2388	BOC HONG KONG	47,044,665	\$ 1,397,226,551
2389	BJ ENT M&H	25,291,608	\$ 7,081,650
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	7,063,688	\$ 420,289,436
2600	CHALCO	256,893,649	\$ 737,284,773
2601	CPIC	118,738,422	\$ 3,336,549,658
2607	SH PHARMA	13,759,818	\$ 260,060,560
2608	SUNSHINE 100	874,000	\$ 3,321,200
2611	GTJA	12,974,564	\$ 204,998,111
2628	CHINA LIFE	103,978,982	\$ 1,699,016,566
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	143,139,821	\$ 1,089,294,038
2662	CAMSING INTL	1,875,920	\$ 15,720,210
2666	UNI MEDICAL	48,656,355	\$ 301,182,837
2669	CHINA OVS PPT	26,324,638	\$ 55,544,986
2678	TEXHONG TEXTILE	192,500	\$ 1,695,925
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	15,600,445	\$ 1,077,210,727
2689	ND PAPER	50,512,870	\$ 400,061,930
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	186,473,152	\$ 503,477,510
2768	JIAYUAN INTL	49,707,290	\$ 701,866,935
2777	R&F PROPERTIES	61,286,781	\$ 697,443,568
2778	CHAMPION REIT	13,853,457	\$ 73,838,926
2779	CH XINHUA EDU	1,301,000	\$ 2,888,220
2799	CHINA HUARONG	506,020,102	\$ 774,210,756
2800	TRACKER FUND	39,873,500	\$ 1,042,692,025
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	166,286,099	\$ 2,032,016,130
2823	ISHARES A50	88,188,074	\$ 1,052,965,604
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,335,187	\$ 245,895,191
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	13,799,478	\$ 31,048,826
2866	COSCO SHIP DEV	233,124,998	\$ 198,156,248
2868	BJ CAPITAL LAND	10,813,687	\$ 32,116,650
2869	GREENTOWN SER	53,571,293	\$ 332,677,730
2877	SHINEWAY PHARM	6,759,841	\$ 67,598,410
2880	DALIAN PORT	7,803,600	\$ 8,349,852
2883	CHINA OILFIELD	157,575,251	\$ 1,114,057,025
2888	STANCHART	1,170,218	\$ 70,447,124
2899	ZIJIN MINING	106,150,730	\$ 302,529,581
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	49,955,825	\$ 1,808,400,865
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	9,810,427	\$ 92,119,910
3306	JNBY	3,565,000	\$ 49,910,000
3308	GOLDEN EAGLE	1,408,000	\$ 12,108,800
3309	C-MER EYE	3,886,620	\$ 20,910,016
3311	CHINA STATE CON	172,020,389	\$ 1,083,728,451
3319	A-LIVING	7,811,998	\$ 76,948,180
3320	CHINARES PHARMA	24,392,806	\$ 290,274,391
3323	CNBM	184,075,435	\$ 1,078,682,049
3328	BANKCOMM	98,312,394	\$ 579,060,001
3331	VINDA INT'L	1,748,685	\$ 21,858,563
3333	EVERGRANDE	345,590,883	\$ 7,032,774,469
3337	ANTON OILFIELD	43,726,090	\$ 43,726,090

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3339	LONKING	12,480,056	\$ 26,832,120
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	27,408,534	\$ 203,645,408
3369	QHD PORT	493,500	\$ 868,560
3377	SINO-OCEAN GP	22,245,004	\$ 75,410,564
3380	LOGAN PPT	55,168,542	\$ 477,207,888
3382	TIANJINPORT DEV	1,292,000	\$ 1,085,280
3383	AGILE GROUP	79,816,126	\$ 812,528,163
3393	WASION HOLDINGS	4,571,737	\$ 19,384,165
3396	LEGENDHOLDING	6,380,499	\$ 144,199,277
3606	FUYAO GLASS	19,870,048	\$ 478,868,157
3613	TONGRENTANGCM	223,000	\$ 3,010,500
3618	CQRC BANK	54,678,570	\$ 247,147,136
3633	ZHONGYU GAS	5,251,001	\$ 27,935,325
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	58,861,845	\$ 281,359,619
3690	MEITUAN-W	89,986,842	\$ 4,845,791,442
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	99,837,329	\$ 586,045,121
3800	GCL-POLY ENERGY	1,231,943,725	\$ 689,888,486
3808	SINOTRUK	191,280,399	\$ 2,475,168,363
3813	POU SHENG INT'L	42,381,100	\$ 61,452,595
3818	CHINA DONGXIANG	52,682,018	\$ 63,745,242
3836	HARMONY AUTO	50,626,500	\$ 148,841,910
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	27,186,979	\$ 130,225,629
3888	KINGSOFT	83,891,576	\$ 1,055,356,026
3898	CRRC TIMES ELEC	22,347,588	\$ 933,011,799
3899	CIMC ENRIC	10,410,000	\$ 65,062,500
3900	GREENTOWN CHINA	16,386,744	\$ 97,828,862
3908	CICC	87,773,160	\$ 1,255,156,188
3918	NAGACORP	4,360,000	\$ 34,967,200
3933	UNITED LAB	164,929,862	\$ 890,621,255
3948	YITAI COAL	0	\$ 0
3958	DFZQ	9,043,183	\$ 48,923,620
3968	CM BANK	176,662,937	\$ 5,538,383,075
3969	CHINA CRSC	49,414,785	\$ 281,664,275
3983	CHINA BLUECHEM	8,802,800	\$ 22,975,308
3988	BANK OF CHINA	202,745,973	\$ 683,253,929

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3993	CMOC	262,767,990	\$ 806,697,729
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	26,967,399	\$ 36,945,337
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	123,107,791	\$ 1,777,676,502
6060	ZA ONLINE	31,208,160	\$ 1,009,583,976
6066	CSC	11,191,000	\$ 51,814,330
6068	WISDOM EDU INTL	5,964,000	\$ 19,025,160
6088	FIT HON TENG	7,461,000	\$ 26,038,890
6098	CG SERVICES	35,438,394	\$ 423,843,192
6099	CMSC	8,256,738	\$ 91,814,927
6100	TALENT LIEPIN	698,600	\$ 22,704,500
6116	LA CHAPELLE	425,800	\$ 2,929,504
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	36,150,000	\$ 105,919,500
6178	EB SECURITIES	2,061,600	\$ 15,668,160
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,208,021	\$ 13,954,891
6808	SUNART RETAIL	162,541,580	\$ 1,444,994,646
6818	CEB BANK	87,466,539	\$ 300,884,894
6823	HKT-SS	13,328,595	\$ 149,013,692
6826	HAOHAI BIOTEC	11,100	\$ 455,100
6828	BG BLUE SKY	80,420,756	\$ 20,507,293
6837	HAITONG SEC	156,536,928	\$ 1,264,818,378
6858	HONMAGOLF	1,018,500	\$ 7,628,565
6868	TENFU	0	\$ 0
6869	YOFC	17,734,853	\$ 369,771,685
6878	DIFFER GROUP	0	\$ 0
6881	CGS	379,745,256	\$ 1,556,955,550
6886	HTSC	67,711,944	\$ 854,524,733
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,271,310
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	181,253,820	\$ 92,439,448
8137	HONBRIDGE	17,840,624	\$ 19,624,686
8207	CHONGSING HLDGS	470,625,520	\$ 188,250,208
8265	CHINA TRUSTFUL	1,192,000	\$ 2,133,680
8279	AGTECH HOLDINGS	8,731,423	\$ 5,064,225
8283	ZHENG LI HLDG	145,000	\$ 1,015,000
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	179,407	\$ 1,121,294
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 23/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0