

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,667,326	\$ 1,113,887,069
2	CLP HOLDINGS	28,983,193	\$ 2,560,665,102
3	HK & CHINA GAS	197,459,811	\$ 2,997,439,931
4	WHARF HOLDINGS	38,196,147	\$ 775,381,784
5	HSBC HOLDINGS	124,571,701	\$ 8,246,646,606
6	POWER ASSETS	18,714,199	\$ 1,002,145,356
8	PCCW	50,276,728	\$ 218,703,767
10	HANG LUNG GROUP	11,214,306	\$ 238,304,003
11	HANG SENG BANK	12,742,592	\$ 2,303,860,634
12	HENDERSON LAND	18,293,601	\$ 700,644,918
14	HYSAN DEV	3,556,902	\$ 136,051,502
16	SHK PPT	18,089,548	\$ 1,928,345,817
17	NEW WORLD DEV	83,622,634	\$ 886,399,920
19	SWIRE PACIFIC A	20,079,882	\$ 1,746,949,734
20	WHEELLOCK	5,347,423	\$ 240,901,406
23	BANK OF E ASIA	19,549,305	\$ 511,214,326
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	65,503,609	\$ 3,029,541,916
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	7,461,853	\$ 4,626,349
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,927,852	\$ 14,690,166
41	GREAT EAGLE H	1,729,769	\$ 57,601,308
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	2,519,682	\$ 29,933,822
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	221,000	\$ 6,121,700
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	706,000	\$ 17,579,400
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	37,570,573	\$ 1,487,794,691
69	SHANGRI-LA ASIA	18,870,830	\$ 215,504,879
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	201,007	\$ 886,441

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
81	CH OVS G OCEANS	33,925,670	\$ 92,277,822
83	SINO LAND	61,171,616	\$ 780,549,820
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,048,286	\$ 8,744,298
101	HANG LUNG PPT	33,789,792	\$ 510,225,859
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,594,000	\$ 6,329,360
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	6,440,189	\$ 16,100,473
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	69,300,525	\$ 94,941,719
127	CHINESE EST H	5,546,213	\$ 42,151,219
129	ASIA STANDARD	308,000	\$ 452,760
133	CHINA MERCHANTS	34,000	\$ 323,680
135	KUNLUN ENERGY	388,077,179	\$ 3,589,713,906
136	HENG TEN NET	1,143,264,875	\$ 320,114,165
142	FIRST PACIFIC	32,915,033	\$ 113,886,014
144	CHINA MER PORT	50,804,073	\$ 749,868,117
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	6,624,418	\$ 146,730,859
151	WANT WANT CHINA	494,264,676	\$ 2,772,824,832
152	SHENZHEN INT'L	11,799,122	\$ 180,998,531
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	3,448,000	\$ 14,585,040
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	4,896,247	\$ 72,562,381
168	TSINGTAO BREW	22,393,354	\$ 716,587,328
171	SILVER GRANT	25,696,000	\$ 45,995,840
173	K. WAH INT'L	31,236,463	\$ 114,637,819
175	GEELY AUTO	187,137,591	\$ 2,765,893,595
177	JIANGSU EXPRESS	5,249,949	\$ 56,384,452
178	SA SA INT'L	44,298,385	\$ 151,943,461
179	JOHNSON ELEC H	2,092,303	\$ 38,038,069
182	CONCORD NE	19,620,494	\$ 6,082,353
189	DONGYUE GROUP	8,792,474	\$ 40,269,531
194	LIU CHONG HING	810,714	\$ 9,079,997
196	HONGHUA GROUP	51,956,249	\$ 28,056,374
198	SMI HOLDINGS	27,682,839	\$ 64,777,843
200	MELCO INT'L DEV	28,828,900	\$ 399,568,554

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	80,686,947	\$ 235,605,885
220	U-PRESID CHINA	61,248,993	\$ 463,654,877
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,881,159	\$ 2,445,507
241	ALI HEALTH	185,403,080	\$ 1,405,355,346
242	SHUN TAK HOLD	19,507,384	\$ 51,109,346
245	CN MINSHENG FIN	14,245,496	\$ 3,475,901
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	446,000	\$ 4,620,560
256	CITYCHAMP	4,836,000	\$ 8,221,200
257	CHINA EB INT'L	207,616,844	\$ 1,482,384,266
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	77,953,031	\$ 969,735,706
268	KINGDEE INT'L	236,064,243	\$ 1,805,891,459
270	GUANGDONG INV	8,192,276	\$ 117,968,774
272	SHUI ON LAND	73,101,430	\$ 125,003,445
273	MASON GP HOLD	33,176,884	\$ 4,611,587
285	BYD ELECTRONIC	68,122,671	\$ 765,698,822
286	CS HEALTH	4,316,000	\$ 2,891,720
288	WH GROUP	138,600,247	\$ 891,199,588
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	61,280,357	\$ 1,816,962,585
293	CATHAY PAC AIR	18,475,693	\$ 201,385,054
297	SINOFERT	103,913,099	\$ 94,560,920
303	VTECH HOLDINGS	1,994,422	\$ 162,645,114
308	CHINA TRAVEL HK	42,072,805	\$ 91,297,987
315	SMARTONE TELE	5,137,149	\$ 50,189,946
317	COMEC	6,748,505	\$ 39,343,784
321	TEXWINCA HOLD	10,986,261	\$ 34,386,997
322	TINGYI	92,610,044	\$ 1,203,930,572
323	MAANSHAN IRON	171,809,110	\$ 716,443,989
327	PAX GLOBAL	45,045,315	\$ 172,073,103
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	131,649,366	\$ 255,399,770
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,486,185	\$ 23,869,161
337	GREENLAND HK	3,495,000	\$ 6,920,100
338	SHANGHAI PEICHEM	74,656,602	\$ 274,736,295

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
341	CAFE DE CORAL H	4,032,137	\$ 68,949,543
342	NEWOCEAN ENERGY	37,791,644	\$ 111,863,266
345	VITASOY INT'L	3,277,584	\$ 83,414,513
347	ANGANG STEEL	173,516,941	\$ 1,129,595,286
354	CHINASOFT INT'L	107,189,906	\$ 511,295,852
358	JIANGXI COPPER	45,147,390	\$ 419,419,253
363	SHANGHAI IND H	1,432,414	\$ 24,895,355
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	29,555,891	\$ 76,845,317
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	489,077,961	\$ 2,137,270,690
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	8,880,000	\$ 976,800
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	100,526,195	\$ 2,558,391,663
386	SINOPEC CORP	349,193,201	\$ 2,332,610,583
388	HKEX	26,484,178	\$ 6,048,986,255
390	CHINA RAILWAY	124,871,579	\$ 964,008,590
392	BEIJING ENT	8,713,007	\$ 383,372,308
400	COGOBUY	18,451,562	\$ 55,539,202
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	103,177,392	\$ 310,563,950
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	103,608,194	\$ 22,172,154
425	MINTH GROUP	73,204,261	\$ 1,958,213,982
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	48,655,092	\$ 32,598,912
440	DAH SING	0	\$ 0
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	162,412,000	\$ 47,911,540
460	SIHUAN PHARM	185,084,707	\$ 318,345,696
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	355,000	\$ 1,952,500
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	328,676	\$ 1,288,410
486	RUSAL	0	\$ 0
488	LAI SUN DEV	168,812	\$ 2,184,427
489	DONGFENG GROUP	30,849,941	\$ 238,778,543

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
493	GOME RETAIL	1,540,234,083	\$ 1,031,956,836
494	LI & FUNG	526,530,334	\$ 900,366,871
496	KASEN	2,262,000	\$ 8,889,660
497	CSI PROPERTIES	7,450,000	\$ 2,235,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	23,889,445	\$ 90,302,102
508	DINGYI GP INV	42,032,680	\$ 21,436,667
511	TVB	11,893,185	\$ 206,465,692
512	CHINAGRANDPHARM	6,484,000	\$ 25,806,320
517	COSCO SHIP INTL	484,000	\$ 1,306,800
519	APPLIED DEV	849,454	\$ 518,167
520	XIABUXIABU	28,448,700	\$ 319,763,388
522	ASM PACIFIC	19,357,646	\$ 1,433,433,686
525	GUANGSHEN RAIL	11,369,440	\$ 34,563,098
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	92,660,000	\$ 69,495,000
538	AJISEN (CHINA)	8,946,120	\$ 21,828,533
546	FUFENG GROUP	79,925,636	\$ 279,739,726
547	DIGITAL DOMAIN	417,885,340	\$ 45,131,617
548	SHENZHENEXPRESS	3,974,672	\$ 30,366,494
551	YUE YUEN IND	20,135,090	\$ 472,167,861
552	CHINACOMSERVICE	11,470,043	\$ 79,143,297
563	SH IND URBAN	17,474,000	\$ 23,939,380
570	TRAD CHI MED	44,939,418	\$ 231,438,003
576	ZHEJIANGEXPRESS	9,111,506	\$ 56,946,913
579	JNCEC	10,140,000	\$ 15,514,200
580	SUN.KING ELEC	3,030,000	\$ 3,393,600
581	CHINA ORIENTAL	5,080,000	\$ 31,546,800
586	CONCH VENTURE	18,277,792	\$ 427,700,333
588	BEIJING N STAR	4,506,000	\$ 10,679,220
590	LUK FOOK HOLD	2,819,390	\$ 71,330,567
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	24,927,492	\$ 78,770,875
603	CHINA OIL & GAS	11,807,936	\$ 6,612,444
604	SHENZHEN INVEST	221,762,937	\$ 554,407,343
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	31,843,439	\$ 89,161,629
607	FULLSHARE	954,855,045	\$ 2,921,856,438
622	ENERCHINA HOLD	9,836,861	\$ 3,787,191

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	3,356,000	\$ 7,920,160
636	KERRY LOG NET	541,928	\$ 6,719,907
639	SHOUGANG RES	27,462,863	\$ 46,137,610
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	31,819,489	\$ 403,471,121
658	C TRANSMISSION	32,640,256	\$ 264,386,074
659	NWS HOLDINGS	1,965,370	\$ 31,917,609
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	141,784,773	\$ 401,250,908
669	TECHTRONIC IND	21,113,462	\$ 900,489,154
670	CHINA EAST AIR	51,797,670	\$ 247,592,863
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	78,433,120	\$ 14,117,962
683	KERRY PPT	5,149,952	\$ 135,701,235
686	PANDA GREEN	79,294,992	\$ 24,184,973
687	HKICIM GROUP	4,668,000	\$ 10,176,240
688	CHINA OVERSEAS	73,627,720	\$ 1,947,453,194
689	EPI (HOLDINGS)	1,545,000	\$ 741,600
694	BEIJING AIRPORT	33,000,954	\$ 294,038,500
696	TRAVELSKY TECH	19,578,656	\$ 389,223,681
697	SHOUGANG INT'L	20,462,661	\$ 3,908,368
698	TONGDA GROUP	191,367,905	\$ 183,713,189
699	CAR INC	74,137,114	\$ 458,167,365
700	TENCENT	75,773,912	\$ 22,004,744,045
707	ATV HOLDINGS	15,028,000	\$ 4,282,980
708	EVERG HEALTH	7,980,000	\$ 87,780,000
709	GIORDANO INT'L	7,178,970	\$ 25,700,713
710	BOE VARITRONIX	2,590,033	\$ 5,205,966
715	CHINA OCEANWIDE	4,142,000	\$ 1,677,510
716	SINGAMAS CONT	13,659,049	\$ 15,981,087
717	EMPEROR CAPITAL	10,920,974	\$ 4,259,180
721	C FIN INT INV	17,093,738	\$ 3,299,091
728	CHINA TELECOM	286,642,393	\$ 1,163,768,116
729	FDG EVEHICLES	462,320,147	\$ 42,533,454
732	TRULY INT'L	54,278,072	\$ 65,133,686
735	CP CLEAN ENERGY	694,000	\$ 1,762,760
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	140,422,010	\$ 285,056,680
752	PICO FAR EAST	408,000	\$ 1,183,200

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753	AIR CHINA	48,779,083	\$ 360,965,214
754	HOPSON DEV HOLD	2,189,355	\$ 14,055,659
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	82,290,104	\$ 724,152,915
763	ZTE	40,190,101	\$ 655,098,646
772	CHINA LIT	26,344,720	\$ 1,185,512,400
775	CKLIFE SCIENCES	10,689,975	\$ 4,329,440
777	NETDRAGON	6,500,725	\$ 94,910,585
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	388,224,136	\$ 180,524,223
788	CHINA TOWER	292,940,611	\$ 345,669,921
798	CEOVU	1,704,000	\$ 715,680
799	IGG	14,655,470	\$ 143,623,606
806	VALUE PARTNERS	30,420,874	\$ 180,699,992
807	SIICENVIRONMENT	4,459,900	\$ 7,492,632
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,152,933	\$ 16,552,898
813	SHIMAO PROPERTY	18,996,855	\$ 336,244,334
815	CHI SILVER GP	25,985,956	\$ 23,387,360
816	HUADIAN FUXIN	6,622,100	\$ 10,131,813
817	CHINA JINMAO	143,852,801	\$ 506,361,860
818	HI SUN TECH	12,953,767	\$ 13,990,068
819	TIANNENG POWER	49,884,126	\$ 347,193,517
823	LINK REIT	28,435,816	\$ 2,084,345,313
826	TIANGONG INT'L	39,916,400	\$ 64,664,568
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,939,000	\$ 5,564,930
836	CHINA RES POWER	20,173,595	\$ 297,762,262
839	CHINA EDU GROUP	16,291,152	\$ 175,944,442
853	MICROPORT	13,149,516	\$ 130,048,713
855	CHINA WATER	34,775,467	\$ 289,331,885
856	VSTECs	856,000	\$ 3,466,800
857	PETROCHINA	192,683,924	\$ 1,094,444,688
860	WE SOLUTIONS	40,785,900	\$ 22,840,104
861	DC HOLDINGS	28,567,621	\$ 111,413,722
867	CMS	13,222,928	\$ 121,650,938
868	XINYI GLASS	66,382,026	\$ 540,349,692
874	BAIYUNSHAN PH	1,487,500	\$ 46,856,250
877	O-NET TECH GP	15,799,610	\$ 60,986,495
880	SJM HOLDINGS	131,402,559	\$ 905,363,632
881	ZHONGSHENG HLDG	71,710,613	\$ 1,072,790,770

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882	TIANJIN DEV	3,728,000	\$ 10,065,600
883	CNOOC	141,239,760	\$ 1,850,240,856
884	CIFI HOLD GP	34,922,100	\$ 122,925,792
895	DONGJIANG ENV	3,180,130	\$ 28,462,164
902	HUANENG POWER	162,658,294	\$ 766,120,565
906	COFCO PACKAGING	54,892,951	\$ 164,129,923
914	CONCH CEMENT	128,601,676	\$ 5,201,937,794
916	CHINA LONGYUAN	38,745,385	\$ 237,896,664
921	HISENSE HA	9,845,393	\$ 60,253,805
931	CHINA LNG	84,367,748	\$ 107,147,040
934	SINOPEC KANTONS	26,748,799	\$ 85,596,157
939	CCB	527,306,942	\$ 3,443,314,331
941	CHINA MOBILE	91,333,187	\$ 7,018,955,421
950	LEE'S PHARM	5,697,239	\$ 34,354,351
951	CHAOWEI POWER	28,894,561	\$ 101,419,909
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	3,010,000	\$ 6,321,000
958	HN RENEWABLES	54,073,725	\$ 124,910,305
960	LONGFOR GROUP	15,957,662	\$ 335,908,785
966	CHINA TAIPING	7,798,741	\$ 210,566,007
968	XINYI SOLAR	288,616,902	\$ 865,850,706
973	L'OCCITANE	6,146,613	\$ 96,747,689
976	CHIHO ENV	4,754,049	\$ 11,457,258
978	CHI MER LAND	1,964,000	\$ 2,219,320
981	SMIC	586,265,730	\$ 4,021,782,908
989	GROUND INTL DEV	9,620,000	\$ 5,675,800
991	DATANG POWER	52,677,307	\$ 97,453,018
992	LENOVO GROUP	538,901,668	\$ 3,174,130,825
995	ANHUIEXPRESSWAY	810,000	\$ 4,074,300
996	CARNIVAL GROUP	195,615,767	\$ 25,430,050
998	CITIC BANK	156,039,997	\$ 773,958,385
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,440,000	\$ 3,904,000
1004	C SMARTERENERGY	2,179,495	\$ 1,591,031
1030	FUTURE LAND	98,136,561	\$ 455,353,643
1031	KINGSTON FIN	6,034,000	\$ 11,102,560
1033	SINOPEC SSC	50,883,960	\$ 41,216,008
1038	CKI HOLDINGS	15,767,136	\$ 915,282,245
1044	HENGAN INT'L	59,481,867	\$ 3,741,409,434
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	75,614,869	\$ 4,007,588

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1052	YUEXIUTRANSPORT	21,685,085	\$ 129,893,659
1055	CHINA SOUTH AIR	119,747,669	\$ 632,267,692
1060	ALI PICTURES	1,090,463,492	\$ 1,264,937,651
1061	ESSEX BIO-TECH	866,481	\$ 4,644,338
1065	TIANJIN CAPITAL	7,156,000	\$ 21,754,240
1066	WEIGAO GROUP	23,305,402	\$ 170,129,435
1068	YURUN FOOD	12,538,570	\$ 8,526,228
1070	TCL ELECTRONICS	4,624,974	\$ 15,216,164
1071	HUADIAN POWER	58,469,136	\$ 187,685,927
1072	DONGFANG ELEC	8,226,013	\$ 38,497,741
1076	IMPERIAL PAC	68,800,000	\$ 3,852,800
1083	TOWNGAS CHINA	8,203,313	\$ 46,922,950
1086	GOODBABY INTL	38,825,695	\$ 108,323,689
1088	CHINA SHENHUA	38,822,583	\$ 717,441,334
1089	LEYOU TECH H	26,275,985	\$ 55,705,088
1093	CSPC PHARMA	91,947,406	\$ 1,599,884,864
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	25,169,177	\$ 972,788,691
1106	SINO HAIJING	9,840,000	\$ 511,680
1107	MODERN LAND	590,000	\$ 601,800
1109	CHINA RES LAND	41,887,240	\$ 1,193,786,340
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,389,946	\$ 419,916,797
1113	CK ASSET	20,602,130	\$ 1,145,478,428
1114	BRILLIANCE CHI	357,004,942	\$ 2,420,493,507
1115	TIBET WATER	20,194,141	\$ 41,196,048
1117	CH MODERN D	23,248,492	\$ 22,318,552
1122	QINGLING MOTORS	578,000	\$ 1,208,020
1128	WYNN MACAU	75,642,231	\$ 1,245,071,122
1129	WATER INDUSTRY	14,092,000	\$ 15,642,120
1131	AGRITRADE RES	72,110,000	\$ 113,212,700
1133	HARBIN ELECTRIC	23,541,132	\$ 60,500,709
1138	COSCO SHIP ENGY	84,083,351	\$ 361,558,409
1140	OP FINANCIAL	8,360,000	\$ 20,565,600
1141	CMBC CAPITAL	473,549,319	\$ 153,903,529
1157	ZOOMLION	29,454,634	\$ 86,007,531
1166	SOLARTECH INT'L	18,605,120	\$ 2,344,245
1168	SINOLINK HOLD	24,598,093	\$ 14,512,875
1169	HAIER ELEC	11,430,948	\$ 199,355,733
1171	YANZHOU COAL	25,796,851	\$ 197,861,847
1176	ZHUGUANG HOLD	14,554,000	\$ 20,666,680

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1177	SINO BIOPHARM	184,533,073	\$ 1,358,163,417
1186	CHINA RAIL CONS	143,969,664	\$ 1,575,028,124
1188	HYBRID KINETIC	23,296,330	\$ 1,351,187
1193	CHINA RES GAS	38,971,398	\$ 1,151,604,811
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	1,641,635	\$ 7,781,350
1199	COSCO SHIP PORT	37,809,514	\$ 301,719,922
1205	CITIC RESOURCES	37,484,837	\$ 25,489,689
1208	MMG	116,756,752	\$ 426,162,145
1211	BYD COMPANY	139,218,399	\$ 7,657,011,945
1212	LIFESTYLE INT'L	4,271,922	\$ 54,595,163
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,464,500
1224	C C LAND	13,583,000	\$ 24,992,720
1230	YASHILI INT'L	11,332,924	\$ 15,412,777
1233	TIMES CHINA	2,146,000	\$ 15,901,860
1234	CHINA LILANG	0	\$ 0
1238	POWERLONG	3,134,000	\$ 9,715,400
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	164,871,446	\$ 18,135,859
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	10,314,398	\$ 63,433,548
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	3,917,600	\$ 6,268,160
1270	LANGHAM-SS	1,297,000	\$ 3,722,390
1282	CHINA GOLDJOY	25,780,000	\$ 9,796,400
1288	ABC	241,158,162	\$ 846,465,149
1293	GRAND BAOXIN	49,333,398	\$ 106,066,806
1297	SINOSOFT TECH	6,802,635	\$ 15,714,087
1299	AIA	147,111,979	\$ 9,503,433,843
1302	LIFETECH SCI	27,908,363	\$ 48,281,468
1308	SITC	1,945,042	\$ 13,012,331
1310	HKBN	3,217,000	\$ 36,480,780
1313	CHINARES CEMENT	73,344,150	\$ 524,410,673
1316	NEXTEER	18,366,493	\$ 214,520,638
1317	MAPLELEAF EDU	63,651,561	\$ 229,782,135
1330	DYNAGREEN ENV	14,553,375	\$ 46,861,868
1333	CHINA ZHONGWANG	115,083,426	\$ 413,149,499
1336	NCI	19,079,353	\$ 712,613,835
1337	RAZER	107,601,584	\$ 134,501,980

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	201,308,431	\$ 704,579,509
1347	HUA HONG SEMI	19,811,344	\$ 306,679,605
1359	CHINA CINDA	288,984,908	\$ 603,978,458
1360	MEGAEXPO HLDG	990,000	\$ 3,098,700
1361	361 DEGREES	18,887,500	\$ 37,397,250
1363	CTEG	72,962,868	\$ 26,266,632
1368	XTEP INT'L	13,737,690	\$ 59,896,328
1375	CC SECURITIES	18,893,583	\$ 36,842,487
1378	CHINAHONGQIAO	52,011,813	\$ 261,619,419
1381	CANVEST ENV	19,405,729	\$ 80,533,775
1382	PACIFICTEXTILES	6,377,105	\$ 48,465,998
1383	SUNCITY GROUP	7,657,500	\$ 8,882,700
1385	SHANGHAI FUDAN	443,425	\$ 3,777,981
1387	RENHE COMM	45,688,181	\$ 12,107,368
1398	ICBC	352,278,379	\$ 1,912,871,598
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	24,272,899	\$ 154,618,367
1458	ZHOU HEI YA	48,653,525	\$ 197,046,776
1462	GOLD-FIN HLDG	8,473,957	\$ 14,914,164
1475	NISSIN FOODS	231,000	\$ 815,430
1478	Q TECH	22,041,721	\$ 104,698,175
1508	CHINA RE	103,244,265	\$ 160,028,611
1513	LIVZON PHARMA	1,930,339	\$ 51,829,602
1515	CR MEDICAL	19,051,302	\$ 108,592,421
1518	NC HEALTHCARE	112,500	\$ 730,125
1528	RS MACALLINE	1,405,400	\$ 10,934,012
1530	3SBIO	56,586,812	\$ 680,173,480
1548	GENSCRIPT BIO	60,348,972	\$ 864,197,279
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,617,200	\$ 71,187,840
1566	CHINA ANIMATION	6,633,274	\$ 17,777,174
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	267,000	\$ 787,650
1573	CH UNIENERGY	180,000	\$ 1,944,000
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	25,964,982	\$ 572,527,853
1585	YADEA	17,556,000	\$ 47,225,640
1599	UCD	565,000	\$ 1,440,750
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	5,501,001	\$ 6,381,161
1617	NANFANG COMM	0	\$ 0
1618	MCC	36,581,209	\$ 73,528,230
1622	REDCO GROUP	20,190,813	\$ 74,100,284
1628	YUZHOU PPT	22,991,900	\$ 70,815,052
1636	CMRU	2,508,000	\$ 11,035,200
1638	KAISA GROUP	43,699,947	\$ 93,954,886
1658	PSBC	1,048,153,047	\$ 4,863,430,138
1660	ZHAOBANGJI PPT	488,000	\$ 4,928,800
1666	TONG REN TANG	12,088,919	\$ 127,900,763
1668	CHINASOUTHCITY	23,357,748	\$ 28,730,030
1680	MACAU LEGEND	8,581,000	\$ 11,755,970
1681	CONSUN PHARMA	5,619,435	\$ 31,862,196
1686	SUNEVISION	4,512,951	\$ 20,759,575
1697	SDITC	0	\$ 0
1700	SPRINGLAND	746,000	\$ 1,156,300
1717	AUSNUTRIA	10,086,316	\$ 94,609,644
1727	HEBEI CONS	284,000	\$ 1,178,600
1728	ZHENGTONGAUTO	207,141,439	\$ 840,994,242
1766	CRRC	84,360,987	\$ 615,835,205
1773	TIANLI EDU	0	\$ 0
1776	GF SEC	45,356,715	\$ 541,559,177
1777	FANTASIA	13,515,000	\$ 12,298,650
1778	COLOUR LIFE	14,355,477	\$ 60,580,113
1787	SD GOLD	0	\$ 0
1788	GUOTAI JUNAN I	221,864,936	\$ 323,922,807
1789	AK MEDICAL	5,783,118	\$ 30,650,525
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	50,159,608	\$ 393,752,923
1803	BJ SPORTS & ENT	4,065,000	\$ 10,284,450
1806	HUIFU PAYMENT	0	\$ 0
1810	XIAOMI-W	213,370,384	\$ 2,761,012,769
1811	CGN NEW ENERGY	1,184,000	\$ 1,290,560
1812	CHENMING PAPER	6,942,750	\$ 33,741,765
1813	KWG GROUP	43,743,841	\$ 269,899,499
1816	CGN POWER	554,537,605	\$ 1,086,893,706
1818	ZHAOJIN MINING	90,565,622	\$ 630,336,729
1828	DCH HOLDINGS	1,714,000	\$ 5,107,720
1829	CMEC	8,091,706	\$ 31,962,239

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	15,968,300	\$ 566,874,650
1836	STELLA HOLDINGS	5,452,669	\$ 44,166,619
1848	CALC	225,500	\$ 1,844,590
1862	JINGRUI HLDGS	6,974,000	\$ 12,832,160
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,505,615	\$ 128,496,129
1883	CITIC TELECOM	4,564,259	\$ 11,958,359
1888	KB LAMINATES	18,882,919	\$ 125,760,241
1898	CHINA COAL	39,609,936	\$ 133,881,584
1899	XINGDA INT'L	15,894,896	\$ 34,809,822
1910	SAMSONITE	38,069,765	\$ 898,446,454
1913	PRADA	8,469,302	\$ 257,043,316
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	195,189,119	\$ 4,655,260,488
1919	COSCO SHIP HOLD	163,809,890	\$ 507,810,659
1928	SANDS CHINA LTD	113,026,983	\$ 3,650,771,551
1929	CHOW TAI FOOK	3,760,400	\$ 26,586,028
1958	BAIC MOTOR	43,687,760	\$ 196,594,920
1963	BCQ	5,694,709	\$ 26,594,291
1966	CHINA SCE GROUP	6,840,673	\$ 18,948,664
1970	IMAX CHINA	6,621,442	\$ 125,807,398
1972	SWIREPROPERTIES	9,066,004	\$ 262,914,116
1980	TIANGE	5,826,596	\$ 25,170,895
1986	TSAKER CHEM	226,000	\$ 1,344,700
1988	MINSHENG BANK	272,741,383	\$ 1,598,264,504
1997	WHARF REIC	22,790,893	\$ 1,150,940,097
1999	MAN WAH HLDGS	85,073,876	\$ 279,893,052
2001	NEW HIGHER EDU	18,144,711	\$ 81,651,200
2005	SSY GROUP	29,479,193	\$ 235,833,544
2006	JINJIANG HOTELS	4,222,000	\$ 8,823,980
2007	COUNTRY GARDEN	302,622,525	\$ 2,762,943,653
2009	BBMG	217,375,064	\$ 523,873,904
2014	OZNER WATER	43,644,000	\$ 78,559,200
2016	CZBANK	0	\$ 0
2018	AAC TECH	73,041,101	\$ 3,907,698,904
2020	ANTA SPORTS	38,477,230	\$ 1,358,246,219
2038	FIH	168,085,292	\$ 151,276,763
2039	CIMC	24,348,440	\$ 196,004,942
2066	SHENGJINGBANK	530,000	\$ 1,908,000
2083	NATURE HOME	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2098	ZALL SMARTCOM	4,871,000	\$ 29,031,160
2099	CHINAGOLDINTL	642,600	\$ 6,811,560
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	3,160,411	\$ 12,799,665
2136	LIFESTYLE CHI	13,553,106	\$ 41,608,035
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	191,471,797	\$ 1,210,101,757
2196	FOSUN PHARMA	28,675,846	\$ 774,247,842
2198	CHINA SANJIANG	2,126,200	\$ 4,294,924
2199	REGINA MIRACLE	12,607,817	\$ 63,669,476
2202	CHINA VANKE	53,160,794	\$ 1,411,419,081
2208	GOLDWIND	9,300,994	\$ 73,291,833
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	9,618,442	\$ 43,763,911
2233	WESTCHINACEMENT	15,864,756	\$ 19,355,002
2238	GAC GROUP	151,583,106	\$ 1,230,854,821
2255	HAICHANG HLDG	4,847,000	\$ 7,464,380
2268	YOUYUAN HLDGS	13,416,919	\$ 32,737,282
2269	WUXI BIO	33,315,508	\$ 2,192,160,426
2280	HC GROUP	27,724,448	\$ 136,127,040
2282	MGM CHINA	102,351,237	\$ 1,215,932,696
2283	TK GROUP HLDG	226,000	\$ 983,100
2298	COSMO LADY	18,962,664	\$ 58,973,885
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	260,000	\$ 1,570,400
2313	SHENZHOU INTL	17,726,850	\$ 1,661,892,188
2314	LEE & MAN PAPER	35,195,948	\$ 241,444,203
2318	PING AN	1,260,750,622	\$ 97,014,760,363
2319	MENGNIU DAIRY	65,445,382	\$ 1,636,134,550
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	107,186,834	\$ 875,716,434
2329	GUORUI PPT	12,397,268	\$ 23,430,837
2331	LI NING	54,321,335	\$ 439,459,600
2333	GREATWALL MOTOR	626,315,498	\$ 3,018,840,700
2338	WEICHAJ POWER	177,700,088	\$ 1,498,011,742
2342	COMBA	47,810,082	\$ 56,415,897
2343	PACIFIC BASIN	154,498,516	\$ 268,827,418
2348	DAWNRAYS PHARMA	405,000	\$ 724,950
2356	DAHSHING BANKING	1,170,282	\$ 17,226,551
2357	AVICHINA	123,513,373	\$ 721,318,098
2362	JINCHUAN INTL	29,724,995	\$ 21,401,996

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2378	PRU	0	\$ 0
2380	CHINA POWER	237,010,211	\$ 410,027,665
2382	SUNNY OPTICAL	47,975,308	\$ 3,595,749,335
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	10,114,192	\$ 71,203,912
2388	BOC HONG KONG	45,357,837	\$ 1,369,806,677
2389	BJ ENT M&H	24,013,608	\$ 7,564,287
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	7,516,788	\$ 471,678,447
2600	CHALCO	257,147,947	\$ 766,300,882
2601	CPIC	121,040,255	\$ 3,709,883,816
2607	SH PHARMA	13,156,085	\$ 254,175,562
2608	SUNSHINE 100	1,128,000	\$ 4,286,400
2611	GTJA	13,983,164	\$ 233,518,839
2628	CHINA LIFE	95,254,351	\$ 1,619,323,967
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	145,056,450	\$ 1,093,725,633
2662	CAMSING INTL	4,356,854	\$ 30,497,978
2666	UNI MEDICAL	48,918,625	\$ 314,057,573
2669	CHINA OVS PPT	25,661,828	\$ 54,403,075
2678	TEXHONG TEXTILE	188,000	\$ 1,791,640
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	16,459,156	\$ 1,133,212,891
2689	ND PAPER	53,966,870	\$ 425,798,604
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	192,008,026	\$ 526,101,991
2768	JIAYUAN INTL	52,732,113	\$ 754,069,216
2777	R&F PROPERTIES	60,724,347	\$ 710,474,860
2778	CHAMPION REIT	14,148,457	\$ 75,977,214
2779	CH XINHUA EDU	1,374,000	\$ 3,146,460
2799	CHINA HUARONG	524,044,102	\$ 838,470,563
2800	TRACKER FUND	46,524,500	\$ 1,223,594,350
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	157,866,499	\$ 1,982,803,227
2823	ISHARES A50	83,690,674	\$ 1,027,721,477
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,688,597	\$ 395,417,598
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	16,951,500	\$ 38,818,935
2866	COSCO SHIP DEV	238,936,998	\$ 210,264,558
2868	BJ CAPITAL LAND	11,825,687	\$ 34,767,520
2869	GREENTOWN SER	53,567,293	\$ 319,261,066
2877	SHINEWAY PHARM	6,671,841	\$ 66,718,410
2880	DALIAN PORT	7,889,600	\$ 8,520,768
2883	CHINA OILFIELD	150,884,015	\$ 1,074,294,187
2888	STANCHART	1,203,218	\$ 72,193,080
2899	ZIJIN MINING	108,025,380	\$ 307,872,333
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	53,939,404	\$ 2,033,515,531
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	10,960,913	\$ 105,115,156
3306	JNBY	3,713,000	\$ 54,581,100
3308	GOLDEN EAGLE	1,414,000	\$ 12,301,800
3309	C-MER EYE	3,880,620	\$ 22,352,371
3311	CHINA STATE CON	170,619,787	\$ 1,088,554,241
3319	A-LIVING	8,104,734	\$ 80,561,056
3320	CHINARES PHARMA	24,525,306	\$ 301,661,264
3323	CNBM	184,283,382	\$ 1,103,857,458
3328	BANKCOMM	103,360,486	\$ 616,028,497
3331	VINDA INT'L	1,791,685	\$ 22,109,393
3333	EVERGRANDE	326,061,200	\$ 6,201,684,024
3337	ANTON OILFIELD	39,027,500	\$ 41,759,425

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3339	LONKING	11,120,056	\$ 24,130,522
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	30,127,635	\$ 231,380,237
3369	QHD PORT	522,500	\$ 903,925
3377	SINO-OCEAN GP	21,662,448	\$ 72,785,825
3380	LOGAN PPT	49,704,542	\$ 415,529,971
3382	TIANJINPORT DEV	1,292,000	\$ 1,085,280
3383	AGILE GROUP	85,877,417	\$ 819,270,558
3393	WASION HOLDINGS	4,911,737	\$ 20,874,882
3396	LEGENDHOLDING	6,411,399	\$ 145,218,187
3606	FUYAO GLASS	19,867,648	\$ 504,638,259
3613	TONGRENTANGCM	232,000	\$ 3,271,200
3618	CQRC BANK	54,799,690	\$ 247,146,602
3633	ZHONGYU GAS	4,927,001	\$ 25,965,295
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	59,521,342	\$ 281,535,948
3690	MEITUAN-W	80,693,165	\$ 4,704,411,520
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	97,592,329	\$ 571,891,048
3800	GCL-POLY ENERGY	1,271,431,725	\$ 686,573,132
3808	SINOTRUK	194,789,399	\$ 2,317,993,848
3813	POU SHENG INT'L	42,962,350	\$ 64,013,902
3818	CHINA DONGXIANG	53,739,018	\$ 67,173,773
3836	HARMONY AUTO	51,733,500	\$ 158,821,845
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	28,484,000	\$ 135,299,000
3888	KINGSOFT	76,989,593	\$ 1,013,183,044
3898	CRRC TIMES ELEC	23,492,588	\$ 1,031,324,613
3899	CIMC ENRIC	12,512,000	\$ 85,832,320
3900	GREENTOWN CHINA	17,172,244	\$ 101,659,684
3908	CICC	93,066,760	\$ 1,382,972,054
3918	NAGACORP	3,900,000	\$ 30,615,000
3933	UNITED LAB	153,842,494	\$ 863,056,391
3948	YITAI COAL	0	\$ 0
3958	DFZQ	9,262,783	\$ 52,890,491
3968	CM BANK	169,572,508	\$ 5,383,927,129
3969	CHINA CRSC	49,814,982	\$ 280,956,498
3983	CHINA BLUECHEM	8,408,800	\$ 23,544,640
3988	BANK OF CHINA	174,379,870	\$ 587,660,162

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3993	CMOC	266,310,910	\$ 865,510,458
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	28,697,399	\$ 40,176,359
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	120,585,528	\$ 1,830,488,315
6060	ZA ONLINE	31,084,564	\$ 997,814,504
6066	CSC	11,700,500	\$ 57,332,450
6068	WISDOM EDU INTL	7,702,480	\$ 27,882,978
6088	FIT HON TENG	9,029,963	\$ 32,778,766
6098	CG SERVICES	34,601,413	\$ 392,380,023
6099	CMSC	5,555,938	\$ 59,670,774
6100	TALENT LIEPIN	0	\$ 0
6116	LA CHAPELLE	450,000	\$ 3,177,000
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	971,000	\$ 4,738,480
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	34,360,000	\$ 113,044,400
6178	EB SECURITIES	1,936,000	\$ 14,248,960
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,074,021	\$ 13,986,796
6808	SUNART RETAIL	159,338,580	\$ 1,448,387,692
6818	CEB BANK	87,242,044	\$ 303,602,313
6823	HKT-SS	13,714,119	\$ 151,403,874
6826	HAOHAI BIOTEC	19,200	\$ 840,960
6828	BG BLUE SKY	68,612,756	\$ 17,496,253
6837	HAITONG SEC	161,383,363	\$ 1,379,827,754
6858	HONMAGOLF	1,039,500	\$ 7,879,410
6868	TENFU	0	\$ 0
6869	YOFC	18,425,353	\$ 405,357,766
6878	DIFFER GROUP	0	\$ 0
6881	CGS	382,820,920	\$ 1,623,160,701
6886	HTSC	62,757,627	\$ 866,055,253
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,334,820
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	198,153,820	\$ 103,039,986
8137	HONBRIDGE	18,128,624	\$ 19,216,341
8207	CHONGSING HLDGS	596,352,253	\$ 161,015,108
8265	CHINA TRUSTFUL	2,152,000	\$ 4,454,640
8279	AGTECH HOLDINGS	8,811,423	\$ 3,921,083
8283	ZHENG LI HLDG	145,000	\$ 896,100
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	179,407	\$ 1,203,821
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 16/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0