



## Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,120,441	\$ 1,302,203,769
2	CLP HOLDINGS	27,666,743	\$ 2,206,422,754
3	HK & CHINA GAS	231,684,778	\$ 3,591,114,059
4	WHARF HOLDINGS	32,207,930	\$ 992,004,244
5	HSBC HOLDINGS	8,603,701	\$ 695,179,041
6	POWER ASSETS	13,017,855	\$ 866,338,250
8	PCCW	31,245,128	\$ 144,040,040
10	HANG LUNG GROUP	2,340,537	\$ 71,152,325
11	HANG SENG BANK	6,747,756	\$ 1,309,064,664
12	HENDERSON LAND	14,978,579	\$ 800,605,048
14	HYSAN DEV	3,328,959	\$ 145,309,060
16	SHK PPT	11,534,162	\$ 1,550,191,373
17	NEW WORLD DEV	100,299,373	\$ 1,243,712,225
19	SWIRE PACIFIC A	12,658,913	\$ 955,114,986
20	WHEELLOCK	2,379,679	\$ 141,233,949
23	BANK OF E ASIA	48,621,299	\$ 1,648,262,036
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	33,219,418	\$ 1,969,911,487
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,330,604	\$ 21,930,768
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	682,971	\$ 3,107,518
38	FIRST TRACTOR	13,984,269	\$ 48,665,256
39	CH BEIDAHUANG	6,800,000	\$ 2,448,000
41	GREAT EAGLE H	338,707	\$ 14,107,147
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	5,170,245	\$ 62,249,750
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	249,000	\$ 8,192,100
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	562,000	\$ 17,871,600
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	15,668,000	\$ 4,622,060
66	MTR CORPORATION	58,484,686	\$ 2,704,916,728
69	SHANGRI-LA ASIA	22,641,826	\$ 403,024,503
71	MIRAMAR HOTEL	178,001	\$ 2,858,696



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	8,415,544	\$ 38,963,969
83	SINO LAND	15,120,709	\$ 218,040,624
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	2,438,286	\$ 8,485,235
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	25,051,105	\$ 506,032,321
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	7,040,081	\$ 20,697,838
116	CHOW SANG SANG	319,000	\$ 5,914,260
119	POLY PROPERTY	63,208,888	\$ 280,015,374
123	YUEXIU PROPERTY	14,535,952	\$ 22,530,726
127	CHINESE EST H	1,297,145	\$ 17,770,887
129	ASIA STANDARD	562,000	\$ 1,174,580
133	CHINA MERCHANTS	34,000	\$ 448,800
135	KUNLUN ENERGY	250,737,352	\$ 1,988,347,201
136	HENG TEN NET	764,175,856	\$ 301,849,463
142	FIRST PACIFIC	5,212,846	\$ 28,566,396
144	CHINA MER PORT	25,676,908	\$ 535,363,532
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	17,172,962	\$ 717,829,812
151	WANT WANT CHINA	669,610,440	\$ 4,285,506,816
152	SHENZHEN INT'L	1,799,297	\$ 28,176,991
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	43,300,000	\$ 4,849,600
165	CHINA EB LTD	9,609,140	\$ 167,199,036
168	TSINGTAO BREW	24,366,875	\$ 1,135,496,375
171	SILVER GRANT	644,000	\$ 927,360
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	99,475,533	\$ 2,740,550,934
177	JIANGSU EXPRESS	11,854,000	\$ 142,010,920
178	SA SA INT'L	31,449,901	\$ 98,123,691
179	JOHNSON ELEC H	1,091,500	\$ 33,727,350
182	CONCORD NE	28,198,388	\$ 9,587,452
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	543,000	\$ 2,921,340
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	33,106,042	\$ 25,822,713
198	SMI HOLDINGS	32,323,325	\$ 132,525,633
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,218,617	\$ 213,871,914
207	JOY CITY PPT	6,371,150	\$ 8,091,361
215	HUTCHTEL HK	105,197,913	\$ 329,269,468
217	CHINA CHENGTONG	1,690,040	\$ 836,570
218	SHENWANHONGYUAN	8,305,000	\$ 21,842,150
220	U-PRESID CHINA	18,069,003	\$ 119,255,420
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,434,000	\$ 1,964,580
232	AVIC INT'L	81,570,536	\$ 40,377,415
235	CHINA STRATEGIC	143,250,000	\$ 14,754,750
241	ALI HEALTH	383,565,020	\$ 1,568,780,932
242	SHUN TAK HOLD	2,443,666	\$ 7,893,041
245	CN MINSHENG FIN	28,470,247	\$ 9,679,884
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	654,069	\$ 7,966,560
256	CITYCHAMP	20,292,000	\$ 38,960,640
257	CHINA EB INT'L	165,800,605	\$ 1,837,070,703
258	TOMSON GROUP	747,046	\$ 2,517,545
259	YEEBO (INT'L H)	1,462,000	\$ 5,497,120
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	39,841,859	\$ 454,197,193
268	KINGDEE INT'L	202,244,839	\$ 889,877,292
270	GUANGDONG INV	10,096,000	\$ 104,796,480
272	SHUI ON LAND	110,977,047	\$ 251,917,897
273	MASON GP HOLD	73,486,001	\$ 9,259,236
285	BYD ELECTRONIC	78,173,347	\$ 1,432,135,717
288	WH GROUP	105,613,513	\$ 955,802,293
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	28,450,033	\$ 879,106,020
293	CATHAY PAC AIR	56,521,565	\$ 711,041,288
297	SINOFERT	97,240,313	\$ 130,302,019
303	VTECH HOLDINGS	1,343,953	\$ 137,217,601
308	CHINA TRAVEL HK	13,118,072	\$ 36,730,602
315	SMARTONE TELE	30,825,133	\$ 289,139,748
316	OOIL	3,911,555	\$ 294,540,092
317	COMEC	13,184,836	\$ 163,228,270



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	21,397,817	\$ 92,866,526
322	TINGYI	126,237,047	\$ 1,918,803,114
323	MAANSHAN IRON	80,263,005	\$ 309,012,569
327	PAX GLOBAL	110,273,378	\$ 404,703,297
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	119,643,499	\$ 500,109,826
332	YUANHENG GAS	1,308,000	\$ 1,203,360
336	HUABAO INTL	3,021,361	\$ 16,708,126
337	GREENLAND HK	1,329,000	\$ 4,585,050
338	SHANGHAI PEICHEM	37,735,768	\$ 179,244,898
341	CAFE DE CORAL H	3,807,028	\$ 81,089,696
342	NEWOCEAN ENERGY	45,834,822	\$ 98,086,519
345	VITASOY INT'L	1,856,000	\$ 37,676,800
347	ANGANG STEEL	70,854,692	\$ 520,781,986
354	CHINASOFT INT'L	118,048,291	\$ 638,641,254
358	JIANGXI COPPER	63,417,230	\$ 820,618,956
363	SHANGHAI IND H	825,000	\$ 18,768,750
368	SINOTRANS SHIP	36,355,551	\$ 78,527,990
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	174,219,160	\$ 1,057,510,301
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	14,664,000	\$ 10,704,720
382	WELLING HOLDING	700,000	\$ 1,407,000
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	98,259,595	\$ 2,171,537,050
386	SINOPEC CORP	91,696,038	\$ 571,266,317
388	HKEX	21,829,210	\$ 5,527,155,972
390	CHINA RAILWAY	114,510,507	\$ 685,917,937
392	BEIJING ENT	4,485,796	\$ 208,813,804
400	COGOBUY	18,111,000	\$ 79,326,180
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	76,532,441	\$ 371,182,339
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	283,479,035	\$ 109,139,428
425	MINTH GROUP	9,799,321	\$ 478,206,865
433	NORTH MINING	128,990,715	\$ 23,218,329
434	BOYAA	1,542,942	\$ 5,014,562
435	SUNLIGHT REIT	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
439	KUANGCHI	33,658,163	\$ 84,818,571
440	DAH SING	737,340	\$ 38,710,350
451	GCL NEWENERGY	149,087,543	\$ 90,943,401
460	SIHUAN PHARM	147,749,677	\$ 452,114,012
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	986,000	\$ 5,708,940
480	HKR INT'L	1,523,200	\$ 7,494,144
486	RUSAL	12,778,000	\$ 75,645,760
488	LAI SUN DEV	920,700	\$ 12,760,902
489	DONGFENG GROUP	49,084,982	\$ 463,853,080
493	GOME RETAIL	1,688,871,544	\$ 1,621,316,682
494	LI & FUNG	287,103,332	\$ 1,194,349,861
497	CSI PROPERTIES	3,000,000	\$ 1,230,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	49,297,581	\$ 215,430,429
511	TVB	13,475,732	\$ 374,625,350
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,412,000	\$ 4,405,440
520	XIABUXIABU	0	\$ 0
521	CWT INT'L	55,744,000	\$ 21,461,440
522	ASM PACIFIC	24,076,520	\$ 2,624,340,680
525	GUANGSHEN RAIL	39,620,900	\$ 222,669,458
526	LISI GP HOLD	2,684,000	\$ 3,220,800
530	GOLDIN FIN HOLD	5,488,000	\$ 22,006,880
535	GEMDALE PPT	41,312,000	\$ 38,420,160
538	AJISEN (CHINA)	480,000	\$ 1,809,600
546	FUFENG GROUP	40,429,000	\$ 211,443,670
547	DIGITAL DOMAIN	422,360,000	\$ 80,670,760
548	SHENZHENEXPRESS	2,858,300	\$ 22,752,068
551	YUE YUEN IND	1,796,248	\$ 53,887,440
552	CHINACOMSERVICE	15,084,000	\$ 76,928,400
563	SH IND URBAN	17,968,000	\$ 38,631,200
570	TRAD CHI MED	60,901,066	\$ 274,054,797
576	ZHEJIANGEXPRESS	9,676,663	\$ 86,702,900
579	JNCEC	15,260,000	\$ 31,740,800
580	SUN.KING ELEC	1,784,000	\$ 3,122,000
581	CHINA ORIENTAL	7,188,000	\$ 43,415,520
586	CONCH VENTURE	20,481,352	\$ 392,832,331
588	BEIJING N STAR	1,668,000	\$ 4,887,240
590	LUK FOOK HOLD	2,681,000	\$ 88,473,000
598	SINOTRANS	35,261,541	\$ 141,398,779



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
603	CHINA OIL & GAS	9,367,936	\$ 6,932,273
604	SHENZHEN INVEST	43,282,534	\$ 147,593,441
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	12,767,043	\$ 44,812,321
607	FULLSHARE	787,508,453	\$ 2,819,280,262
622	ENERCHINA HOLD	21,244,822	\$ 13,596,686
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	0	\$ 0
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	25,875,000	\$ 46,057,500
656	FOSUN INTL	77,105,287	\$ 1,421,821,492
658	C TRANSMISSION	23,500,478	\$ 261,795,325
659	NWS HOLDINGS	0	\$ 0
665	HAITONG INT'L	154,908,065	\$ 720,322,502
669	TECHTRONIC IND	8,685,070	\$ 447,281,105
670	CHINA EAST AIR	119,202,385	\$ 743,822,882
672	ZHONGAN REALEST	29,305,792	\$ 27,840,502
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	108,168,384	\$ 26,393,086
683	KERRY PPT	11,953,740	\$ 440,495,319
686	PANDA GREEN	128,643,274	\$ 136,361,870
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	31,071,495	\$ 868,448,285
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	9,617,747	\$ 125,030,711
696	TRAVELSKY TECH	7,111,224	\$ 178,491,722
698	TONGDA GROUP	450,826,115	\$ 910,668,752
699	CAR INC	72,135,504	\$ 471,766,196
700	TENCENT	104,867,578	\$ 45,428,634,790
702	SINO OIL & GAS	68,651,327	\$ 9,611,186
707	CO-PROSPERITY	10,988,000	\$ 4,395,200
708	EVERG HEALTH	7,645,000	\$ 24,387,550
709	GIORDANO INT'L	7,706,711	\$ 31,597,515
710	BOE VARITRONIX	1,856,812	\$ 9,581,150
715	CHINA OCEANWIDE	30,601,253	\$ 18,054,739
716	SINGAMAS CONT	11,528,000	\$ 18,444,800
717	EMPEROR CAPITAL	24,994,847	\$ 14,996,908
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	44,783,360	\$ 10,703,223
728	CHINA TELECOM	173,474,855	\$ 647,061,209
729	FDG EVEHICLES	551,386,986	\$ 190,228,510



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732	TRULY INT'L	137,037,754	\$ 465,928,364
735	CP CLEAN ENERGY	617,000	\$ 2,702,460
737	HOPEWELL INFR	2,057,500	\$ 9,937,725
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	40,745,677	\$ 144,647,153
751	SKYWORTHDIGITAL	174,724,368	\$ 648,227,405
752	PICO FAR EAST	412,000	\$ 1,277,200
753	AIR CHINA	22,375,000	\$ 221,288,750
754	HOPSON DEV HOLD	2,006,000	\$ 16,910,580
762	CHINA UNICOM	274,762,486	\$ 2,978,425,348
763	ZTE	74,656,531	\$ 2,228,497,450
772	CHINA LIT	1,570,800	\$ 139,958,280
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	6,729,781	\$ 153,102,518
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	188,609,547	\$ 128,254,492
798	CEOVU	1,564,000	\$ 1,126,080
799	IGG	91,789,884	\$ 776,542,419
806	VALUE PARTNERS	75,130,360	\$ 607,053,309
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,692,364	\$ 17,177,282
813	SHIMAO PROPERTY	8,336,678	\$ 169,651,397
815	CHI SILVER GP	16,459,583	\$ 39,173,808
816	HUADIAN FUXIN	25,948,163	\$ 50,598,918
817	CHINA JINMAO	109,747,109	\$ 435,696,023
818	HI SUN TECH	11,445,181	\$ 19,456,808
819	TIANNENG POWER	30,909,531	\$ 229,966,911
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	18,495,244	\$ 1,368,648,056
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	3,515,000	\$ 12,970,350
836	CHINA RES POWER	31,729,009	\$ 457,532,310
838	EVA HOLDINGS	378,000	\$ 449,820
845	GLORIOUS PPT H	27,025,000	\$ 21,620,000
853	MICROPORT	16,208,904	\$ 127,726,164
855	CHINA WATER	34,992,067	\$ 241,095,342
856	VSTECs	848,000	\$ 3,739,680
857	PETROCHINA	164,901,018	\$ 956,425,904
860	O LUXE HOLD	28,951,765	\$ 45,164,753
861	DC HOLDINGS	68,631,483	\$ 351,393,193
862	VISION VALUES	0	\$ 0



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867	CMS	34,082,134	\$ 647,560,546
868	XINYI GLASS	40,840,788	\$ 443,530,958
874	BAIYUNSHAN PH	1,394,400	\$ 32,977,560
877	O-NET TECH GP	33,062,009	\$ 177,542,988
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	123,346,957	\$ 894,265,438
881	ZHONGSHENG HLDG	32,699,207	\$ 593,163,615
882	TIANJIN DEV	3,554,000	\$ 13,363,040
883	CNOOC	87,388,704	\$ 1,062,646,641
884	CIFI HOLD GP	39,000,943	\$ 216,065,224
895	DONGJIANG ENV	3,512,125	\$ 42,005,015
902	HUANENG POWER	176,357,004	\$ 901,184,290
906	COFCO PACKAGING	4,856,227	\$ 29,137,362
914	CONCH CEMENT	46,724,427	\$ 1,880,658,187
915	DAOHE GLOBAL	2,695,000	\$ 5,363,050
916	CHINA LONGYUAN	123,596,406	\$ 687,196,017
921	HISENSE KELON	5,970,000	\$ 57,013,500
931	CHINA LNG	83,255,238	\$ 112,394,571
932	RM GROUP	39,696,000	\$ 46,444,320
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	9,590,906	\$ 49,201,348
939	CCB	1,080,936,085	\$ 8,225,923,607
941	CHINA MOBILE	23,756,097	\$ 1,854,163,371
950	LEE'S PHARM	559,525	\$ 4,616,081
951	CHAOWEI POWER	76,395,547	\$ 332,320,629
952	OCEANWIDE FIN	1,399,896	\$ 1,623,879
956	CHINA SUNTIEN	24,449,000	\$ 50,609,430
958	HN RENEWABLES	217,084,491	\$ 562,248,832
960	LONGFOR PPT	7,677,867	\$ 179,662,088
966	CHINA TAIPING	39,124,469	\$ 1,185,471,411
968	XINYI SOLAR	141,276,313	\$ 469,037,359
973	L'OCCITANE	8,110,853	\$ 117,607,369
976	CHIHO ENV	12,482,049	\$ 53,547,990
978	CHI MER LAND	13,489,219	\$ 21,312,966
980	LIANHUA	4,723,709	\$ 13,509,808
981	SMIC	524,080,846	\$ 6,530,047,341
988	LOUDONG GN RES	6,918,000	\$ 2,455,890
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	77,933,672	\$ 190,158,160
992	LENOVO GROUP	1,010,240,220	\$ 4,586,490,599
993	HUARONG INT FIN	0	\$ 0





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995	ANHUIEXPRESSWAY	777,300	\$ 5,060,223
996	CARNIVAL GROUP	126,506,708	\$ 48,072,549
998	CITIC BANK	253,927,713	\$ 1,279,795,674
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,679,326	\$ 2,838,061
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,150,000	\$ 2,875,000
1030	FUTURE LAND	39,522,957	\$ 233,185,446
1031	KINGSTON FIN	196,847,625	\$ 1,594,465,763
1033	SINOPEC SSC	102,909,216	\$ 139,956,534
1038	CKI HOLDINGS	40,617,586	\$ 2,743,717,934
1044	HENGAN INT'L	31,479,099	\$ 2,617,487,082
1045	APT SATELLITE	1,732,114	\$ 7,067,025
1051	G-RESOURCES	43,842,106	\$ 4,428,053
1052	YUEXIUTRANSPORT	30,181,751	\$ 174,450,521
1055	CHINA SOUTH AIR	101,787,987	\$ 859,090,610
1060	ALI PICTURES	1,242,133,831	\$ 1,341,504,537
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	15,256,000	\$ 72,771,120
1066	WEIGAO GROUP	11,856,205	\$ 66,987,558
1068	YURUN FOOD	18,260,000	\$ 17,894,800
1070	TCL MULTIMEDIA	26,395,089	\$ 96,606,026
1071	HUADIAN POWER	48,037,595	\$ 141,710,905
1072	DONGFANG ELEC	2,999,171	\$ 20,124,437
1076	IMPERIAL PAC	234,960,855	\$ 25,375,772
1083	TOWNGAS CHINA	10,240,861	\$ 63,595,747
1086	GOODBABY INTL	4,878,000	\$ 21,511,980
1088	CHINA SHENHUA	19,454,750	\$ 418,277,125
1089	LEYOU TECH H	11,237,442	\$ 19,777,898
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	22,678,565	\$ 395,967,745
1098	ROAD KING INFRA	614,000	\$ 8,510,040
1099	SINOPHARM	46,196,058	\$ 1,577,595,381
1103	DASHENG AGR FIN	62,224,009	\$ 39,201,126
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	1,012,000	\$ 1,781,120
1108	LUOYANG GLASS	4,159,826	\$ 17,720,859
1109	CHINA RES LAND	54,994,951	\$ 1,363,874,785
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,725,945	\$ 386,297,250
1113	CK ASSET	2,431,917	\$ 170,234,190



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1114	BRILLIANCE CHI	118,339,452	\$ 2,467,377,574
1115	TIBET WATER	43,530,527	\$ 148,874,402
1117	CH MODERN D	48,192,162	\$ 71,324,400
1122	QINGLING MOTORS	480,000	\$ 1,195,200
1128	WYNN MACAU	43,920,499	\$ 1,029,935,702
1129	WATER INDUSTRY	6,642,000	\$ 11,291,400
1131	AGRITRADE RES	2,630,000	\$ 8,942,000
1133	HARBIN ELECTRIC	1,917,000	\$ 6,421,950
1138	COSCO SHIP ENGY	18,688,817	\$ 81,483,242
1140	OP FIN INV	0	\$ 0
1141	CMBC CAPITAL	308,799,075	\$ 172,927,482
1157	ZOOMLION	124,040,472	\$ 450,266,913
1166	SOLARTECH INT'L	14,351,628	\$ 10,189,656
1168	SINOLINK HOLD	71,851,494	\$ 81,910,703
1169	HAIER ELEC	18,802,168	\$ 422,108,672
1171	YANZHOU COAL	84,561,567	\$ 857,454,289
1176	ZHUGUANG HOLD	0	\$ 0
1177	SINO BIOPHARM	71,856,089	\$ 991,614,028
1186	CHINA RAIL CONS	95,423,103	\$ 888,389,089
1193	CHINA RES GAS	33,366,879	\$ 902,574,077
1196	REALORD GROUP	2,224,000	\$ 11,742,720
1199	COSCO SHIP PORT	37,178,039	\$ 300,770,336
1205	CITIC RESOURCES	48,599,018	\$ 38,393,224
1206	TECHNOVATOR	13,926,000	\$ 32,586,840
1208	MMG	56,584,987	\$ 239,354,495
1211	BYD COMPANY	128,598,384	\$ 8,989,027,042
1212	LIFESTYLE INT'L	594,167	\$ 6,143,687
1219	TENWOW INT'L	5,352,273	\$ 8,831,250
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,773,723	\$ 10,277,227
1230	YASHILI INT'L	24,147,000	\$ 36,220,500
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	9,258,463	\$ 73,141,858
1234	CHINA LILANG	472,000	\$ 3,034,960
1238	POWERLONG	1,022,000	\$ 4,159,540
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	16,565,715	\$ 4,307,086
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	10,984,000	\$ 13,949,680
1257	CEB GREENTECH	3,305,204	\$ 24,623,770
1258	CHINFMINING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	1,121,500	\$ 3,891,605
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	80,988,000	\$ 54,261,960
1288	ABC	87,519,494	\$ 342,201,222
1293	GRAND BAOXIN	25,038,263	\$ 99,902,669
1297	SINOSOFT TECH	17,455,933	\$ 41,370,561
1299	AIA	43,016,912	\$ 2,901,490,714
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	83,538,639	\$ 170,418,824
1308	SITC	11,197,335	\$ 88,235,000
1310	HKBN	6,231,000	\$ 61,562,280
1313	CHINARES CEMENT	9,508,000	\$ 53,815,280
1315	VISION FAME	56,076,000	\$ 17,944,320
1316	NEXTEER	25,812,544	\$ 482,694,573
1317	MAPLELEAF EDU	25,828,000	\$ 255,697,200
1330	DYNAGREEN ENV	2,036,250	\$ 9,264,938
1332	CHINA TOUYUN	5,760,000	\$ 1,468,800
1333	CHINA ZHONGWANG	105,453,857	\$ 452,397,047
1336	NCI	19,944,854	\$ 1,079,016,601
1339	PICC GROUP	120,367,424	\$ 493,506,438
1347	HUA HONG SEMI	1,289,000	\$ 23,434,020
1359	CHINA CINDA	164,335,277	\$ 481,502,362
1360	MEGAEXPO HLDG	2,408,323	\$ 5,587,309
1361	361 DEGREES	11,500,000	\$ 33,120,000
1363	CTEG	211,833,714	\$ 315,632,234
1368	XTEP INT'L	52,702,600	\$ 173,918,580
1375	CC SECURITIES	18,809,344	\$ 64,139,863
1378	CHINAHONGQIAO	49,209,320	\$ 463,551,794
1381	CANVEST ENV	11,055,490	\$ 50,302,480
1382	PACIFICTEXTILES	15,156,586	\$ 125,041,835
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	203,702,000	\$ 46,851,460
1398	ICBC	472,568,995	\$ 3,100,052,607
1415	COWELL	7,935,000	\$ 21,583,200
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	15,168,000	\$ 38,375,040
1432	CHINA SHENGMU	35,401,000	\$ 44,959,270
1448	FU SHOU YUAN	4,155,000	\$ 27,506,100
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	32,916,000	\$ 271,557,000



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1462	GOLD-FIN HLDG	4,534,000	\$ 13,103,260
1478	Q TECH	11,002,333	\$ 134,888,603
1508	CHINA RE	29,626,623	\$ 52,735,389
1509	HARMONICARE	2,662,000	\$ 8,385,300
1513	LIVZON PHARMA	658,079	\$ 40,866,706
1515	CRPHOENIXHEALTH	37,900,000	\$ 389,612,000
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	2,759,780	\$ 34,828,424
1530	3SBIO	110,532,355	\$ 1,845,890,329
1548	GENSCRIPT BIO	23,719,665	\$ 616,711,290
1551	GRCB	0	\$ 0
1558	HEC PHARM	444,400	\$ 13,087,580
1566	CHINA ANIMATION	13,175,625	\$ 39,658,631
1569	MINSHENG EDU	1,280,000	\$ 2,227,200
1573	CH UNIENERGY	236,000	\$ 2,647,920
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	342,000	\$ 2,814,660
1585	YADEA	10,884,000	\$ 29,277,960
1589	CNLP	12,471,000	\$ 29,556,270
1599	UCD	484,000	\$ 2,187,680
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	16,048,426	\$ 25,998,450
1618	MCC	45,543,387	\$ 110,214,997
1622	REDCO PPT	3,644,800	\$ 13,449,312
1628	YUZHOU PPT	11,686,187	\$ 52,938,427
1636	CMRU	2,436,000	\$ 11,619,720
1658	PSBC	56,482,000	\$ 244,567,060
1666	TONG REN TANG	20,851,419	\$ 233,535,893
1668	CHINASOUTHCITY	120,016,731	\$ 262,836,641
1680	MACAU LEGEND	62,220,133	\$ 72,175,354
1681	CONSUN PHARMA	1,280,223	\$ 9,755,299
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	63,992,807	\$ 512,582,384
1766	CRRC	211,971,154	\$ 1,818,712,501
1776	GF SEC	15,865,613	\$ 257,340,243
1777	FANTASIA	1,294,500	\$ 1,618,125
1778	COLOUR LIFE	9,210,800	\$ 50,014,644
1786	CRCCE	9,648,250	\$ 18,524,640



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1788	GUOTAI JUNAN I	220,166,835	\$ 565,828,766
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	80,828,516	\$ 749,280,343
1803	BJ SPORTS & ENT	382,500	\$ 1,078,650
1811	CGN NEW ENERGY	10,662,000	\$ 12,261,300
1812	CHENMING PAPER	5,667,919	\$ 81,051,242
1813	KWG PROPERTY	43,746,311	\$ 476,834,790
1816	CGN POWER	764,887,654	\$ 1,636,859,580
1818	ZHAOJIN MINING	61,672,468	\$ 381,752,577
1828	DCH HOLDINGS	4,101,999	\$ 15,054,336
1829	CMEC	5,312,392	\$ 26,668,208
1836	STELLA HOLDINGS	6,166,085	\$ 71,033,299
1848	CALC	3,942,500	\$ 32,446,775
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,333,000	\$ 200,408,650
1883	CITIC TELECOM	2,538,259	\$ 5,254,196
1886	HUIYUAN JUICE	8,733,000	\$ 18,775,950
1888	KB LAMINATES	22,413,000	\$ 286,886,400
1893	SINOMA	4,945,945	\$ 32,841,075
1898	CHINA COAL	45,698,089	\$ 165,884,063
1899	XINGDA INT'L	16,523,816	\$ 46,597,161
1910	SAMSONITE	14,342,491	\$ 503,421,434
1913	PRADA	26,257,144	\$ 768,021,462
1918	SUNAC	188,476,911	\$ 7,067,884,163
1919	COSCO SHIP HOLD	130,473,620	\$ 567,560,247
1928	SANDS CHINA LTD	79,716,154	\$ 3,140,816,468
1929	CHOW TAI FOOK	5,690,700	\$ 48,143,322
1958	BAIC MOTOR	27,967,127	\$ 288,620,751
1963	BCQ	1,415,100	\$ 9,070,791
1966	CHINA SCE PPT	13,865,000	\$ 51,023,200
1970	IMAX CHINA	13,003,288	\$ 325,732,364
1972	SWIREPROPERTIES	9,429,140	\$ 254,586,780
1980	TIANGE	7,520,000	\$ 48,729,600
1988	MINSHENG BANK	271,751,842	\$ 2,176,732,254
1997	WHARF REIC	3,246,394	\$ 174,980,637
1999	MAN WAH HLDGS	49,048,926	\$ 362,962,052
2001	NEW HIGHER EDU	0	\$ 0
2005	SSY GROUP	7,636,324	\$ 38,257,983
2006	JINJIANG HOTELS	16,624,000	\$ 49,040,800
2007	COUNTRY GARDEN	378,981,942	\$ 6,147,087,099



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2008	PHOENIX TV	7,808,000	\$ 8,588,800
2009	BBMG	152,433,719	\$ 608,210,539
2014	OZNER WATER	39,483,000	\$ 96,733,350
2016	CZBANK	0	\$ 0
2018	AAC TECH	17,825,340	\$ 2,680,931,136
2020	ANTA SPORTS	25,028,736	\$ 893,525,875
2038	FIH	72,137,375	\$ 173,129,700
2039	CIMC	2,148,100	\$ 33,295,550
2066	SHENGJINGBANK	1,991,500	\$ 12,745,600
2098	ZALL GROUP	5,827,000	\$ 49,820,850
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	13,523,973	\$ 62,210,276
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	1,823,000	\$ 9,625,440
2136	LIFESTYLE CHI	354,500	\$ 822,440
2186	LUYE PHARMA	173,863,120	\$ 1,104,030,812
2196	FOSUN PHARMA	27,295,414	\$ 1,351,122,993
2198	CHINA SANJIANG	2,424,200	\$ 7,587,746
2199	REGINA MIRACLE	13,534,690	\$ 103,946,419
2200	HOSA INT'L	5,736,000	\$ 16,691,760
2202	CHINA VANKE	23,049,628	\$ 845,921,348
2208	GOLDWIND	53,869,003	\$ 732,618,441
2233	WESTCHINACEMENT	21,639,250	\$ 27,049,063
2238	GAC GROUP	99,131,628	\$ 1,875,570,402
2255	HAICHANG HLDG	6,708,000	\$ 11,739,000
2268	YOUYUAN HLDGS	9,421,130	\$ 30,524,461
2269	WUXI BIO	3,131,405	\$ 157,822,812
2277	HUARONG INV	0	\$ 0
2280	HC INTL	33,034,069	\$ 196,883,051
2282	MGM CHINA	96,401,203	\$ 2,130,466,586
2298	COSMO LADY	61,213,664	\$ 175,071,079
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	2,893,633	\$ 215,575,659
2314	LEE & MAN PAPER	37,837,810	\$ 347,729,474
2318	PING AN	1,415,665,174	\$ 116,438,460,562
2319	MENGNU DAIRY	28,955,773	\$ 648,609,315
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	NPE HOLDINGS	113,620,000	\$ 18,179,200
2328	PICC P&C	26,527,915	\$ 420,202,174
2329	GUORUI PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	46,038,382	\$ 303,392,937
2333	GREATWALL MOTOR	454,919,713	\$ 4,453,663,990
2338	WEICHAI POWER	192,971,443	\$ 1,759,899,560
2342	COMBA	36,966,606	\$ 58,037,571
2343	PACIFIC BASIN	240,313,983	\$ 439,774,589
2345	SHANGHAI PRIME	212,000	\$ 345,560
2348	DAWNRAYS PHARMA	788,000	\$ 3,616,920
2355	BAOYE GROUP	0	\$ 0
2356	DAHONG BANKING	1,247,332	\$ 21,953,043
2357	AVICHINA	151,278,776	\$ 645,960,374
2362	JINCHUAN INTL	11,950,832	\$ 14,340,998
2369	COOLPAD GROUP	63,020,148	\$ 45,374,507
2378	PRU	0	\$ 0
2380	CHINA POWER	98,003,325	\$ 201,886,850
2382	SUNNY OPTICAL	49,429,721	\$ 5,284,037,175
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	8,401,730	\$ 64,945,373
2388	BOC HONG KONG	35,732,073	\$ 1,402,483,865
2389	BJ ENT M&H	37,572,468	\$ 13,526,088
2393	YESTAR HEALTH	3,700,000	\$ 12,210,000
2588	BOC AVIATION	453,100	\$ 19,120,820
2600	CHALCO	504,713,403	\$ 3,063,610,356
2601	CPIC	103,047,547	\$ 3,915,806,786
2607	SH PHARMA	32,847,678	\$ 694,728,390
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	3,730,600	\$ 67,523,860
2628	CHINA LIFE	34,459,269	\$ 847,698,017
2638	HKELECTRIC-SS	295,784,854	\$ 2,111,903,858
2662	CAMSING INTL	1,590,099	\$ 10,319,743
2666	UNI MEDICAL	32,290,273	\$ 256,707,670
2669	CHINA OVS PPT	14,830,577	\$ 34,851,856
2678	TEXHONG TEXTILE	3,475,923	\$ 36,080,081
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	55,696,464	\$ 2,951,912,592
2689	ND PAPER	97,638,935	\$ 1,200,958,901
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	201,385,452	\$ 650,475,010
2768	JIAYUAN INTL	5,396,000	\$ 42,196,720
2777	R&F PROPERTIES	30,100,738	\$ 594,188,568
2778	CHAMPION REIT	5,854,000	\$ 33,777,580
2799	CHINA HUARONG	278,931,666	\$ 1,037,625,798



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2800	TRACKER FUND	12,316,542	\$ 381,196,975
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	73,942,300	\$ 1,186,034,492
2823	ISHARES A50	150,929,478	\$ 2,351,481,267
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HS H ETF	6,266,720	\$ 771,433,232
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	70,501,562	\$ 117,737,609
2868	BJ CAPITAL LAND	4,871,674	\$ 22,166,117
2869	GREENTOWN SER	16,166,000	\$ 98,450,940
2877	SHINEWAY PHARM	5,041,000	\$ 38,261,190
2880	DALIAN PORT	46,122,288	\$ 65,954,872
2883	CHINA OILFIELD	130,852,519	\$ 1,117,480,512
2888	STANCHART	5,733,996	\$ 480,222,165
2899	ZIJIN MINING	253,018,609	\$ 824,840,665
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0





Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHAREMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	29,970,233	\$ 1,531,478,906
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	2,679,000	\$ 24,753,960
3308	GOLDEN EAGLE	7,045,377	\$ 68,551,518
3311	CHINA STATE CON	91,751,442	\$ 1,042,296,381
3320	CHINARES PHARMA	60,357,334	\$ 633,752,007
3323	CNBM	329,196,144	\$ 2,600,649,538
3328	BANKCOMM	112,810,490	\$ 674,606,730
3329	BOCOM INTL	653,000	\$ 1,639,030
3331	VINDA INT'L	719,000	\$ 11,388,960
3333	EVERGRANDE	190,403,739	\$ 5,455,067,122
3336	JU TENG INTL	11,368,000	\$ 30,807,280



## Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	54,116,144	\$ 49,245,691
3339	LONKING	63,512,900	\$ 220,389,763
3360	FE HORIZON	23,392,641	\$ 168,193,089
3369	QHD PORT	2,306,500	\$ 6,112,225
3377	SINO-OCEAN GP	45,981,751	\$ 292,903,754
3378	XIAMEN PORT	2,282,451	\$ 3,492,150
3380	LOGAN PPT	33,473,283	\$ 285,861,837
3382	TIANJINPORT DEV	1,880,000	\$ 2,218,400
3383	AGILE GROUP	87,910,617	\$ 1,141,079,809
3393	WASION GROUP	18,989,789	\$ 78,617,726
3396	LEGENDHOLDING	3,940,100	\$ 110,716,810
3606	FUYAO GLASS	26,890,445	\$ 900,829,908
3618	CQRC BANK	49,177,197	\$ 285,719,515
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	540,300	\$ 8,536,740
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	6,599,468	\$ 62,562,957
3698	HUIZHANG BANK	4,398,000	\$ 18,119,760
3708	YAT SING HLDG	765,000	\$ 2,295,000
3799	DALI FOODS	2,906,500	\$ 20,200,175
3800	GCL-POLY ENERGY	1,088,518,692	\$ 1,523,926,169
3808	SINOTRUK	118,898,500	\$ 1,131,913,720
3813	POU SHENG INT'L	123,304,790	\$ 151,664,892
3818	CHINA DONGXIANG	36,459,000	\$ 53,594,730
3836	HARMONY AUTO	41,253,215	\$ 240,918,776
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	18,216,147	\$ 98,549,355
3886	TOWN HEALTH	106,533,754	\$ 73,508,290
3888	KINGSOFT	61,917,485	\$ 1,693,443,215
3898	CRRC TIMES ELEC	29,512,289	\$ 1,570,053,775
3899	CIMC ENRIC	14,883,909	\$ 102,698,972
3900	GREENTOWN CHINA	70,840,680	\$ 760,828,903
3908	CICC	25,766,172	\$ 438,540,247
3918	NAGACORP	16,489,906	\$ 106,854,591
3933	UNITED LAB	165,549,382	\$ 1,056,205,057
3948	YITAI COAL	0	\$ 0
3958	DFZQ	4,462,400	\$ 36,547,056
3968	CM BANK	120,844,675	\$ 3,939,536,405
3969	CHINA CRSC	5,472,000	\$ 34,528,320
3983	CHINA BLUECHEM	22,542,929	\$ 55,230,176
3988	BANK OF CHINA	75,640,584	\$ 304,075,148



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	271,889,963	\$ 1,411,108,908
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,912,000	\$ 3,487,520
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	112,379,896	\$ 1,901,467,840
6060	ZA ONLINE	6,544,900	\$ 483,013,620
6066	CSC	9,986,000	\$ 71,000,460
6068	WISDOM EDU INTL	638,000	\$ 3,170,860
6099	CMSC	4,907,250	\$ 61,733,205
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	20,193,000	\$ 80,570,070
6178	EB SECURITIES	3,280,800	\$ 32,381,496
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,426,000	\$ 18,980,040
6808	SUNART RETAIL	140,787,255	\$ 1,147,416,128
6818	CEB BANK	130,090,548	\$ 482,635,933
6823	HKT-SS	49,325,655	\$ 493,256,550
6826	HAOHAI BIOTEC	10,700	\$ 428,535
6828	BG BLUE SKY	33,811,522	\$ 18,934,452
6837	HAITONG SEC	93,493,312	\$ 1,114,440,279
6858	HONMAGOLF	159,000	\$ 1,209,990
6863	HUIZHAN DAIRY	337,127,610	\$ 141,593,596
6869	YOFC	6,329,500	\$ 239,571,575
6881	CGS	140,224,544	\$ 837,140,528
6886	HTSC	48,431,979	\$ 796,221,735
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,040,976	\$ 7,775,239
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	8,120,000	\$ 2,030,000
8083	INNOVATIONPAY	47,616,866	\$ 21,427,590
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	18,754,000	\$ 31,694,260
8138	TONGRENTANGCM	431,000	\$ 4,878,920
8158	CRMI	95,135,000	\$ 15,887,545
8207	CHONGSING HLDGS	103,216,000	\$ 106,312,480
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,524,000	\$ 5,013,960
8279	AGTECH HOLDINGS	8,884,000	\$ 10,749,640
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/01/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0