



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	16,968,796	\$ 1,682,456,123
2	CLP HOLDINGS	31,052,599	\$ 2,462,471,101
3	HK & CHINA GAS	224,418,844	\$ 3,321,398,891
4	WHARF HOLDINGS	13,938,032	\$ 1,041,867,892
5	HSBC HOLDINGS	62,377,653	\$ 4,715,750,567
6	POWER ASSETS	15,788,624	\$ 1,065,732,120
8	PCCW	54,296,454	\$ 233,474,752
10	HANG LUNG GROUP	4,195,015	\$ 114,733,660
11	HANG SENG BANK	9,222,532	\$ 1,696,945,888
12	HENDERSON LAND	10,624,510	\$ 562,036,579
14	HYSAN DEV	4,864,786	\$ 186,564,543
16	SHK PPT	8,568,959	\$ 1,096,826,752
17	NEW WORLD DEV	85,150,554	\$ 1,020,103,637
19	SWIRE PACIFIC A	8,942,097	\$ 692,118,308
20	WHEELLOCK	2,179,558	\$ 121,510,359
23	BANK OF E ASIA	49,684,195	\$ 1,686,778,420
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	45,018,635	\$ 2,428,755,358
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	22,178,490	\$ 22,400,275
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,443,899	\$ 24,293,499
39	CH BEIDAHUANG	6,896,000	\$ 2,620,480
41	GREAT EAGLE H	158,707	\$ 6,951,367
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,858,738
45	HK&S HOTELS	5,835,877	\$ 73,181,898
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	637,000	\$ 19,778,850
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	807,000	\$ 24,169,650
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	4,510,000	\$ 1,465,750
66	MTR CORPORATION	66,198,998	\$ 2,969,025,060
69	SHANGRI-LA ASIA	22,782,629	\$ 365,889,022
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	6,512,344	\$ 28,979,931
83	SINO LAND	23,174,799	\$ 316,567,754
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,338,350
95	LVGEM CHINA	3,122,286	\$ 7,087,589
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	15,034,144	\$ 270,313,909
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	5,378,000	\$ 16,564,240
116	CHOW SANG SANG	453,000	\$ 7,836,900
119	POLY PROPERTY	63,536,650	\$ 234,450,239
123	YUEXIU PROPERTY	7,183,609	\$ 10,775,414
127	CHINESE EST H	1,688,000	\$ 22,146,560
129	ASIA STANDARD	1,956,000	\$ 4,048,920
133	CHINA MERCHANTS	34,000	\$ 453,560
135	KUNLUN ENERGY	264,187,553	\$ 1,891,582,879
136	HENG TEN NET	939,061,611	\$ 366,234,028
142	FIRST PACIFIC	10,750,907	\$ 64,505,442
144	CHINA MER PORT	18,854,366	\$ 449,676,629
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	4,876,590	\$ 233,344,832
151	WANT WANT CHINA	727,373,902	\$ 4,786,120,275
152	SHENZHEN INT'L	7,819,532	\$ 116,667,417
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	65,650,000	\$ 8,994,050
165	CHINA EB LTD	18,733,665	\$ 345,448,783
168	TSINGTAO BREW	17,800,418	\$ 568,723,355
171	SILVER GRANT	1,470,000	\$ 1,675,800
173	K. WAH INT'L	1,058,409	\$ 4,773,425
175	GEELY AUTO	102,346,547	\$ 2,476,786,437
177	JIANGSU EXPRESS	10,764,088	\$ 127,016,238
178	SA SA INT'L	70,933,325	\$ 203,578,643
179	JOHNSON ELEC H	593,500	\$ 19,852,575
182	CONCORD NE	33,458,388	\$ 12,714,187
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	33,534,000	\$ 24,479,820
198	SMI HOLDINGS	28,409,400	\$ 115,626,258
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	10,112,436	\$ 218,428,618
207	JOY CITY PPT	5,899,150	\$ 7,904,861
215	HUTCHTEL HK	81,769,505	\$ 228,136,919
217	CHINA CHENGTONG	1,690,040	\$ 980,223
218	SHENWANHONGYUAN	8,856,805	\$ 25,241,894
220	U-PRESID CHINA	17,318,000	\$ 118,628,300
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	3,246,000	\$ 3,732,900
232	AVIC INT'L	58,166,000	\$ 34,899,600
235	CHINA STRATEGIC	159,859,430	\$ 18,383,834
241	ALI HEALTH	354,451,915	\$ 1,449,708,332
242	SHUN TAK HOLD	5,709,666	\$ 18,841,898
245	CN MINSHENG FIN	28,080,247	\$ 11,793,704
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 4,406,917
256	CITYCHAMP	21,048,000	\$ 40,622,640
257	CHINA EB INT'L	185,746,967	\$ 2,043,216,637
258	TOMSON GROUP	761,046	\$ 2,754,987
259	YEEBO (INT'L H)	740,000	\$ 2,967,400
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	35,425,947	\$ 405,981,353
268	KINGDEE INT'L	181,715,229	\$ 770,472,571
270	GUANGDONG INV	18,066,385	\$ 206,318,117
272	SHUI ON LAND	59,658,564	\$ 119,317,128
273	MASON GP HOLD	133,636,001	\$ 17,372,680
285	BYD ELECTRONIC	76,159,924	\$ 1,553,662,450
288	WH GROUP	122,614,169	\$ 961,295,085
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	27,347,153	\$ 608,474,154
293	CATHAY PAC AIR	76,470,747	\$ 1,009,413,860
297	SINOFERT	98,598,528	\$ 128,178,086
303	VTECH HOLDINGS	2,005,055	\$ 225,568,688
308	CHINA TRAVEL HK	16,993,072	\$ 48,090,394
315	SMARTONE TELE	39,497,928	\$ 385,499,777
316	OOIL	4,552,381	\$ 343,021,908
317	COMEC	12,516,951	\$ 165,223,753



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	18,772,582	\$ 89,545,216
322	TINGYI	161,353,374	\$ 2,020,144,242
323	MAANSHAN IRON	115,690,748	\$ 424,585,045
327	PAX GLOBAL	101,868,883	\$ 404,419,466
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	121,320,479	\$ 570,206,251
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,994,611	\$ 14,703,540
337	GREENLAND HK	6,578,003	\$ 23,943,931
338	SHANGHAI PECEM	39,815,931	\$ 184,347,761
341	CAFE DE CORAL H	1,841,515	\$ 43,920,133
342	NEWOCEAN ENERGY	44,798,372	\$ 88,700,777
345	VITASOY INT'L	3,925,913	\$ 72,236,799
347	ANGANG STEEL	63,132,656	\$ 434,352,673
354	CHINASOFT INT'L	114,608,623	\$ 522,615,321
358	JIANGXI COPPER	62,722,993	\$ 777,765,113
363	SHANGHAI IND H	795,000	\$ 18,762,000
368	SINOTRANS SHIP	40,004,500	\$ 84,809,540
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	173,566,193	\$ 1,159,422,169
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	13,576,000	\$ 14,390,560
382	WELLING HOLDING	786,400	\$ 1,242,512
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	73,080,762	\$ 1,706,435,793
386	SINOPEC CORP	190,593,810	\$ 1,094,008,469
388	HKEX	26,858,433	\$ 6,021,660,679
390	CHINA RAILWAY	84,803,056	\$ 528,323,039
392	BEIJING ENT	4,666,196	\$ 213,478,467
400	COGOBUY	23,728,000	\$ 112,945,280
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	72,341,560	\$ 326,260,436
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,935,280
419	HUAYI TENCENT	228,755,788	\$ 100,652,547
425	MINTH GROUP	12,099,328	\$ 533,580,365
433	NORTH MINING	119,433,008	\$ 18,750,982
434	BOYAA	2,776,194	\$ 9,272,488
435	SUNLIGHT REIT	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
439	KUANGCHI	44,448,163	\$ 128,899,673
440	DAH SING	509,740	\$ 26,633,915
451	GCL NEWENERGY	146,283,969	\$ 78,993,343
460	SIHUAN PHARM	172,940,361	\$ 482,503,607
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,149,312	\$ 15,494,615
480	HKR INT'L	1,458,400	\$ 7,364,920
486	RUSAL	4,527,000	\$ 24,536,340
488	LAI SUN DEV	506,100	\$ 7,105,644
489	DONGFENG GROUP	77,268,696	\$ 808,230,560
493	GOME RETAIL	1,941,020,256	\$ 1,902,199,851
494	LI & FUNG	281,560,720	\$ 1,084,008,772
497	CSI PROPERTIES	3,000,000	\$ 1,200,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	30,448,604	\$ 150,720,590
511	TVB	13,571,410	\$ 403,070,877
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,742,000	\$ 5,661,500
520	XIABUXIABU	808,500	\$ 9,702,000
521	HNA HOLDING	54,924,000	\$ 25,265,040
522	ASM PACIFIC	25,848,170	\$ 3,024,235,890
525	GUANGSHEN RAIL	66,290,900	\$ 294,994,505
526	LISI GP HOLD	2,204,000	\$ 2,490,520
530	GOLDIN FIN HOLD	7,504,000	\$ 30,316,160
535	GEMDALE PPT	33,212,000	\$ 26,569,600
538	AJISEN (CHINA)	0	\$ 0
546	FUFENG GROUP	43,951,000	\$ 243,049,030
547	DIGITAL DOMAIN	282,485,639	\$ 64,124,240
548	SHENZHENEXPRESS	2,274,000	\$ 18,260,220
551	YUE YUEN IND	5,660,519	\$ 174,060,959
552	CHINACOMSERVICE	11,150,000	\$ 53,074,000
563	SH IND URBAN	22,725,557	\$ 38,178,936
570	TRAD CHI MED	62,505,052	\$ 281,897,785
576	ZHEJIANGEXPRESS	11,741,540	\$ 107,200,260
579	JNCEC	8,294,000	\$ 18,080,920
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	13,272,000	\$ 78,039,360
586	CONCH VENTURE	22,679,860	\$ 360,609,774
588	BEIJING N STAR	2,050,000	\$ 5,945,000
590	LUK FOOK HOLD	2,727,000	\$ 83,855,250
598	SINOTRANS	12,204,580	\$ 46,621,496



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603	CHINA OIL & GAS	8,520,000	\$ 4,600,800
604	SHENZHEN INVEST	29,755,409	\$ 102,656,161
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,770,000	\$ 6,672,900
607	FULLSHARE	764,769,847	\$ 2,569,626,686
622	ENERCHINA HOLD	138,640,774	\$ 21,905,242
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,576,980
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	33,821,000	\$ 55,466,440
656	FOSUN INTL	98,649,243	\$ 1,876,308,602
658	C TRANSMISSION	21,296,478	\$ 183,788,605
659	NWS HOLDINGS	4,637,031	\$ 73,914,274
665	HAITONG INT'L	174,300,297	\$ 768,664,310
669	TECHTRONIC IND	6,945,458	\$ 314,629,247
670	CHINA EAST AIR	99,381,429	\$ 401,500,973
672	ZHONGAN REALEST	0	\$ 0
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,361,506	\$ 24,771,484
683	KERRY PPT	16,348,651	\$ 577,924,813
686	PANDA GREEN	112,693,457	\$ 126,216,672
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	36,700,333	\$ 921,178,358
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	11,027,450	\$ 141,592,458
696	TRAVELSKY TECH	6,420,971	\$ 130,345,711
698	TONGDA GROUP	597,680,490	\$ 1,279,036,249
699	CAR INC	72,365,884	\$ 497,877,282
700	TENCENT	112,526,971	\$ 41,409,925,328
702	SINO OIL & GAS	79,562,515	\$ 11,695,690
707	CO-PROSPERITY	0	\$ 0
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 31,612,480
710	BOE VARITRONIX	0	\$ 0
715	CHINA OCEANWIDE	31,049,253	\$ 19,561,029
716	SINGAMAS CONT	12,268,000	\$ 20,364,880
717	EMPEROR CAPITAL	21,320,207	\$ 14,071,337
718	TAI UNITED HOLD	1,335,000	\$ 1,642,050
721	C FIN INT INV	55,353,584	\$ 13,118,799
728	CHINA TELECOM	71,276,896	\$ 278,692,663
729	FDG EVEHICLES	449,033,897	\$ 152,671,525



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732	TRULY INT'L	128,750,070	\$ 395,262,715
735	CP CLEAN ENERGY	1,251,403	\$ 5,931,650
737	HOPEWELL INFR	3,518,500	\$ 17,064,725
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	66,601,277	\$ 178,491,422
751	SKYWORTHDIGITAL	131,961,952	\$ 492,218,081
752	PICO FAR EAST	412,000	\$ 1,371,960
753	AIR CHINA	35,231,614	\$ 271,283,428
754	HOPSON DEV HOLD	1,526,000	\$ 12,253,780
762	CHINA UNICOM	313,317,112	\$ 3,690,875,579
763	ZTE	50,647,126	\$ 1,367,472,402
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,811,005	\$ 97,752,278
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	135,924,852	\$ 122,332,367
798	CEOVU	2,116,000	\$ 1,587,000
799	IGG	94,785,862	\$ 963,024,358
806	VALUE PARTNERS	81,372,906	\$ 656,679,351
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,766,000	\$ 18,532,200
813	SHIMAO PROPERTY	8,022,701	\$ 132,535,021
815	CHI SILVER GP	16,070,114	\$ 42,425,101
816	HUADIAN FUXIN	54,692,163	\$ 113,212,777
817	CHINA JINMAO	134,831,282	\$ 486,740,928
818	HI SUN TECH	12,109,181	\$ 21,312,159
819	TIANNENG POWER	32,169,531	\$ 237,732,834
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	18,909,548	\$ 1,231,011,575
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	7,122,052	\$ 25,283,285
836	CHINA RES POWER	22,443,760	\$ 330,821,022
838	EVA HOLDINGS	0	\$ 0
845	GLORIOUS PPT H	25,059,000	\$ 21,300,150
853	MICROPORT	11,275,684	\$ 89,979,958
855	CHINA WATER	54,606,312	\$ 326,545,746
856	VSTECs	350,000	\$ 1,330,000
857	PETROCHINA	328,700,925	\$ 1,735,540,884
860	O LUXE HOLD	21,297,765	\$ 36,632,156
861	DC HOLDINGS	65,496,358	\$ 311,762,664
862	VISION VALUES	0	\$ 0
867	CMS	35,637,772	\$ 523,875,248



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868	XINYI GLASS	43,356,344	\$ 338,179,483
874	BAIYUNSHAN PH	1,976,400	\$ 51,880,500
877	O-NET TECH GP	38,313,311	\$ 205,742,480
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	103,721,644	\$ 689,748,933
881	ZHONGSHENG HLDG	33,673,898	\$ 532,047,588
882	TIANJIN DEV	2,322,000	\$ 9,357,660
883	CNOOC	105,945,161	\$ 1,150,564,448
884	CIFI HOLD GP	102,302,193	\$ 433,761,298
895	DONGJIANG ENV	3,940,354	\$ 47,363,055
902	HUANENG POWER	134,603,564	\$ 698,592,497
906	COFCO PACKAGING	8,715,338	\$ 55,168,090
914	CONCH CEMENT	86,708,651	\$ 2,783,347,697
915	DAOHE GLOBAL	2,695,000	\$ 6,494,950
916	CHINA LONGYUAN	141,327,159	\$ 806,978,078
921	HISENSE KELON	5,625,000	\$ 54,787,500
931	CHINA LNG	67,707,238	\$ 90,050,627
932	RM GROUP	6,960,000	\$ 47,815,200
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	11,066,100	\$ 56,990,415
939	CCB	999,225,723	\$ 6,844,696,203
941	CHINA MOBILE	31,068,693	\$ 2,449,766,443
950	LEE'S PHARM	632,577	\$ 4,484,971
951	CHAOWEI POWER	76,391,336	\$ 323,135,351
952	OCEANWIDE FIN	0	\$ 0
956	CHINA SUNTIEN	20,872,000	\$ 45,709,680
958	HN RENEWABLES	178,111,390	\$ 468,432,956
960	LONGFOR PPT	8,961,120	\$ 164,346,941
966	CHINA TAIPING	56,949,280	\$ 1,526,240,704
968	XINYI SOLAR	145,774,393	\$ 387,759,885
973	L'OCCITANE	1,728,249	\$ 25,370,695
976	CHIHO ENV	12,705,763	\$ 48,663,072
978	CHI MER LAND	19,866,000	\$ 29,401,680
980	LIANHUA	5,956,709	\$ 19,657,140
981	SMIC	550,981,677	\$ 7,636,606,043
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	14,819,632	\$ 40,605,792
992	LENOVO GROUP	1,041,257,639	\$ 4,737,722,257
993	HUARONG INT FIN	843,000	\$ 2,208,660
995	ANHUIEXPRESSWAY	382,000	\$ 2,471,540



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996	CARNIVAL GROUP	176,037,957	\$ 70,415,183
998	CITIC BANK	262,204,048	\$ 1,318,886,361
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,769,326	\$ 4,228,689
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,147,000	\$ 3,062,490
1030	FUTURE LAND	26,542,875	\$ 108,294,930
1031	KINGSTON FIN	124,948,952	\$ 810,918,698
1033	SINOPEC SSC	103,687,216	\$ 142,051,486
1038	CKI HOLDINGS	46,368,567	\$ 3,153,062,556
1044	HENGAN INT'L	38,446,828	\$ 2,923,881,269
1045	APT SATELLITE	1,558,114	\$ 5,671,535
1051	G-RESOURCES	38,229,106	\$ 3,975,827
1052	YUEXIUTRANSPORT	30,472,651	\$ 174,303,564
1055	CHINA SOUTH AIR	112,516,904	\$ 680,727,269
1060	ALI PICTURES	1,221,194,385	\$ 1,550,916,869
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	15,878,000	\$ 78,119,760
1066	WEIGAO GROUP	14,228,416	\$ 78,967,709
1068	YURUN FOOD	12,376,519	\$ 11,633,928
1070	TCL MULTIMEDIA	22,144,055	\$ 94,112,234
1071	HUADIAN POWER	49,460,398	\$ 161,735,501
1072	DONGFANG ELEC	4,478,510	\$ 35,514,584
1076	IMPERIAL PAC	219,440,855	\$ 27,868,989
1083	TOWNGAS CHINA	10,863,962	\$ 70,941,672
1086	GOODBABY INTL	5,747,000	\$ 24,079,930
1088	CHINA SHENHUA	20,664,386	\$ 400,062,513
1089	LEYOU TECH H	21,925,049	\$ 44,288,599
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	19,588,000	\$ 277,757,840
1098	ROAD KING INFRA	836,000	\$ 10,934,880
1099	SINOPHARM	39,721,906	\$ 1,342,600,423
1103	DASHENG AGR FIN	50,168,009	\$ 31,605,846
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	0	\$ 0
1108	LUOYANG GLASS	3,135,826	\$ 14,236,650
1109	CHINA RES LAND	63,670,654	\$ 1,508,994,500
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	6,930,500	\$ 278,606,100
1113	CK ASSET	1,133,000	\$ 75,401,150
1114	BRILLIANCE CHI	108,070,963	\$ 2,135,482,229



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1115	TIBET WATER	69,787,244	\$ 235,183,012
1117	CH MODERN D	54,340,162	\$ 89,117,866
1122	QINGLING MOTORS	480,000	\$ 1,257,600
1128	WYNN MACAU	54,263,783	\$ 1,115,120,741
1129	WATER INDUSTRY	7,644,984	\$ 12,996,473
1131	AGRITRADE RES	0	\$ 0
1133	HARBIN ELECTRIC	5,411,001	\$ 19,750,154
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	10,374,627	\$ 45,544,613
1140	OP FIN INV	484,000	\$ 1,064,800
1141	CMBC CAPITAL	292,479,075	\$ 198,885,771
1157	ZOOMLION	117,200,460	\$ 446,533,753
1166	SOLARTECH INT'L	0	\$ 0
1168	SINOLINK HOLD	97,340,000	\$ 114,861,200
1169	HAIER ELEC	2,234,000	\$ 48,254,400
1171	YANZHOU COAL	73,456,894	\$ 555,334,119
1176	ZHUGUANG HOLD	3,194,000	\$ 3,385,640
1177	SINO BIOPHARM	80,620,090	\$ 793,301,686
1186	CHINA RAIL CONS	93,439,323	\$ 906,361,433
1193	CHINA RES GAS	25,993,750	\$ 730,424,375
1196	REALORD GROUP	5,258,000	\$ 27,131,280
1199	COSCO SHIP PORT	35,590,070	\$ 323,513,736
1205	CITIC RESOURCES	53,333,837	\$ 46,400,438
1206	TECHNOVATOR	13,422,000	\$ 33,152,340
1208	MMG	74,575,244	\$ 270,708,136
1211	BYD COMPANY	116,878,771	\$ 7,947,756,428
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	2,385,273	\$ 4,174,228
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,828,033	\$ 10,082,497
1230	YASHILI INT'L	25,995,000	\$ 43,931,550
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	15,399,690	\$ 124,583,492
1234	CHINA LILANG	448,000	\$ 2,813,440
1238	POWERLONG	1,442,000	\$ 5,494,020
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	26,198,848	\$ 6,680,706
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	13,992,000	\$ 19,588,800
1257	CEB GREENTECH	0	\$ 0
1258	CHINFMINING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 2,028,000
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	113,276,000	\$ 66,832,840
1288	ABC	82,542,846	\$ 302,106,816
1293	GRAND BAOXIN	24,531,358	\$ 100,823,881
1297	SINOSOFT TECH	19,716,722	\$ 47,320,133
1299	AIA	57,360,328	\$ 3,455,959,762
1300	TRIGIANT	1,121,647	\$ 1,177,729
1302	LIFETECH SCI	82,840,438	\$ 157,396,832
1308	SITC	16,731,498	\$ 125,318,920
1310	HKBN	16,445,117	\$ 146,690,444
1313	CHINARES CEMENT	21,238,000	\$ 107,039,520
1315	VISION FAME	48,212,000	\$ 19,284,800
1316	NEXTEER	8,987,266	\$ 137,145,679
1317	MAPLELEAF EDU	21,057,650	\$ 177,726,566
1330	DYNAGREEN ENV	1,433,000	\$ 6,462,830
1332	CHINA TOUYUN	5,670,000	\$ 2,324,700
1333	CHINA ZHONGWANG	114,900,668	\$ 481,433,799
1336	NCI	13,907,545	\$ 686,337,346
1339	PICC GROUP	50,252,276	\$ 202,516,672
1347	HUA HONG SEMI	3,544,000	\$ 54,577,600
1359	CHINA CINDA	193,783,644	\$ 592,977,951
1360	MEGAEXPO HLDG	5,673,323	\$ 10,382,181
1361	361 DEGREES	16,877,000	\$ 52,318,700
1363	CTEG	254,429,678	\$ 297,682,723
1368	XTEP INT'L	49,023,600	\$ 137,266,080
1375	CC SECURITIES	16,382,166	\$ 58,648,154
1378	CHINAHONGQIAO	47,905,300	\$ 598,816,250
1381	CANVEST ENV	17,813,117	\$ 77,130,797
1382	PACIFICTEXTILES	14,038,586	\$ 117,643,351
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	404,920,153	\$ 75,315,148
1398	ICBC	627,666,468	\$ 3,922,915,425
1415	COWELL	11,608,000	\$ 43,413,920
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	16,770,112	\$ 42,763,786
1432	CHINA SHENGMU	36,892,000	\$ 48,328,520
1448	FU SHOU YUAN	2,725,000	\$ 15,069,250
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	20,971,000	\$ 156,233,950



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1462	GOLD-FIN HLDG	0	\$ 0
1478	Q TECH	7,964,000	\$ 134,750,880
1508	CHINA RE	16,912,096	\$ 29,596,168
1509	HARMONICARE	3,490,000	\$ 10,400,200
1513	LIVZON PHARMA	422,807	\$ 23,169,824
1515	CRPHOENIXHEALTH	38,604,882	\$ 392,997,699
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	1,506,200	\$ 14,459,520
1530	3SBIO	81,207,867	\$ 1,174,265,757
1548	GENSCRIPT BIO	11,870,000	\$ 118,225,200
1551	GRCB	0	\$ 0
1558	HEC PHARM	62,600	\$ 1,408,500
1566	CHINA ANIMATION	9,519,000	\$ 26,938,770
1569	MINSHENG EDU	1,202,000	\$ 1,959,260
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	346,000	\$ 2,574,240
1585	YADEA	7,484,000	\$ 20,431,320
1589	CNLP	13,037,000	\$ 35,069,530
1599	UCD	293,000	\$ 1,300,920
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,778,426	\$ 29,106,560
1618	MCC	44,660,650	\$ 115,224,477
1622	REDCO PPT	5,062,000	\$ 20,804,820
1628	YUZHOU PPT	8,788,186	\$ 33,219,343
1636	CMRU	2,436,000	\$ 7,381,080
1658	PSBC	84,072,389	\$ 392,618,057
1666	TONG REN TANG	19,716,874	\$ 217,674,289
1668	CHINASOUTHCITY	169,566,018	\$ 352,697,317
1680	MACAU LEGEND	62,898,561	\$ 78,623,201
1681	CONSUN PHARMA	668,000	\$ 4,809,600
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	55,704,500	\$ 445,078,955
1766	CRRC	221,348,976	\$ 1,677,825,238
1776	GF SEC	16,269,523	\$ 268,772,520
1777	FANTASIA	5,820,000	\$ 6,518,400
1778	COLOUR LIFE	9,702,800	\$ 47,058,580
1786	CRCCE	13,484,000	\$ 33,979,680



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1788	GUOTAI JUNAN I	228,900,013	\$ 574,539,033
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	71,804,706	\$ 675,682,283
1803	BJ SPORTS & ENT	672,500	\$ 1,506,400
1811	CGN NEW ENERGY	12,256,000	\$ 14,094,400
1812	CHENMING PAPER	7,479,500	\$ 100,225,300
1813	KWG PROPERTY	51,618,643	\$ 392,817,873
1816	CGN POWER	591,265,305	\$ 1,353,997,548
1818	ZHAOJIN MINING	60,886,714	\$ 391,501,571
1828	DCH HOLDINGS	4,411,000	\$ 16,188,370
1829	CMEC	9,463,392	\$ 45,045,746
1836	STELLA HOLDINGS	4,892,641	\$ 62,234,394
1848	CALC	3,898,214	\$ 32,744,998
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,482,000	\$ 175,452,900
1883	CITIC TELECOM	878,259	\$ 1,993,648
1886	HUIYUAN JUICE	8,335,500	\$ 20,171,910
1888	KB LAMINATES	10,897,000	\$ 150,160,660
1893	SINOMA	4,906,749	\$ 25,809,500
1898	CHINA COAL	236,894,159	\$ 831,498,498
1899	XINGDA INT'L	19,845,180	\$ 58,741,733
1910	SAMSONITE	20,708,671	\$ 698,917,646
1913	PRADA	20,190,595	\$ 538,079,357
1918	SUNAC	143,546,251	\$ 5,325,565,912
1919	COSCO SHIP HOLD	166,692,082	\$ 670,102,170
1928	SANDS CHINA LTD	97,309,953	\$ 3,683,181,721
1929	CHOW TAI FOOK	9,172,038	\$ 77,228,560
1958	BAIC MOTOR	54,594,238	\$ 496,807,566
1963	BCQ	343,000	\$ 2,184,910
1966	CHINA SCE PPT	13,420,000	\$ 47,238,400
1970	IMAX CHINA	17,146,960	\$ 389,235,992
1972	SWIREPROPERTIES	11,737,055	\$ 312,205,663
1980	TIANGE	6,428,000	\$ 37,218,120
1988	MINSHENG BANK	355,333,599	\$ 2,665,001,993
1999	MAN WAH HLDGS	41,869,576	\$ 311,090,950
2001	NEW HIGHER EDU	0	\$ 0
2005	SSY GROUP	4,576,000	\$ 17,434,560
2006	JINJIANG HOTELS	12,330,498	\$ 35,388,529
2007	COUNTRY GARDEN	282,716,993	\$ 3,437,838,635
2008	PHOENIX TV	7,828,000	\$ 8,767,360



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2009	BBMG	182,089,863	\$ 699,225,074
2014	OZNER WATER	38,727,000	\$ 95,268,420
2016	CZBANK	0	\$ 0
2018	AAC TECH	27,366,427	\$ 3,823,089,852
2020	ANTA SPORTS	35,984,316	\$ 1,243,258,118
2038	FIH	57,458,598	\$ 134,453,119
2039	CIMC	2,285,200	\$ 36,106,160
2066	SHENGJINGBANK	2,135,000	\$ 13,108,900
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	365,300	\$ 4,595,474
2111	BEST PACIFIC	14,081,352	\$ 64,351,779
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	7,219,000	\$ 38,549,460
2136	LIFESTYLE CHI	354,500	\$ 925,245
2186	LUYE PHARMA	198,279,265	\$ 1,035,017,763
2196	FOSUN PHARMA	19,519,705	\$ 784,692,141
2198	CHINA SANJIANG	1,283,000	\$ 4,644,460
2199	REGINA MIRACLE	17,392,662	\$ 132,358,158
2200	HOSA INT'L	5,732,000	\$ 13,240,920
2202	CHINA VANKE	15,688,533	\$ 440,063,351
2208	GOLDWIND	41,079,857	\$ 400,939,404
2233	WESTCHINACEMENT	49,286,000	\$ 59,143,200
2238	GAC GROUP	32,340,149	\$ 626,752,088
2255	HAICHANG HLDG	5,108,000	\$ 9,194,400
2268	YOUYUAN HLDGS	0	\$ 0
2269	WUXI BIO	0	\$ 0
2277	HUARONG INV	0	\$ 0
2280	HC INTL	25,256,062	\$ 167,952,812
2282	MGM CHINA	142,179,796	\$ 2,587,672,287
2298	COSMO LADY	63,847,664	\$ 192,819,945
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	10,044,611	\$ 672,988,937
2314	LEE & MAN PAPER	6,539,395	\$ 63,301,344
2318	PING AN	1,402,610,896	\$ 99,164,590,347
2319	MENGNU DAIRY	35,621,397	\$ 758,735,756
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	136,199,399	\$ 22,745,300
2328	PICC P&C	28,006,576	\$ 464,909,162
2329	GUORUI PPT	0	\$ 0
2331	LI NING	45,569,074	\$ 320,806,281



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2333	GREATWALL MOTOR	474,891,633	\$ 4,592,202,091
2338	WEICHAI POWER	168,904,238	\$ 1,618,102,600
2342	COMBA	28,443,592	\$ 40,958,772
2343	PACIFIC BASIN	229,320,932	\$ 394,432,003
2345	SHANGHAI PRIME	0	\$ 0
2348	DAWNRAYS PHARMA	851,800	\$ 3,935,316
2355	BAOYE GROUP	0	\$ 0
2356	DAHsing BANKING	1,150,932	\$ 19,865,086
2357	AVICHINA	149,695,128	\$ 666,143,320
2362	JINCHUAN INTL	23,355,860	\$ 26,859,239
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	273,854,154	\$ 676,419,760
2382	SUNNY OPTICAL	22,010,595	\$ 2,606,054,448
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	35,482,177	\$ 233,827,546
2388	BOC HONG KONG	37,683,389	\$ 1,386,748,715
2389	BJ ENT M&H	35,801,363	\$ 15,215,579
2393	YESTAR HEALTH	5,220,000	\$ 17,069,400
2588	BOC AVIATION	594,295	\$ 24,930,675
2600	CHALCO	535,609,386	\$ 3,224,368,504
2601	CPIC	8,788,980	\$ 338,815,179
2607	SH PHARMA	18,395,849	\$ 360,558,640
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	0	\$ 0
2628	CHINA LIFE	68,396,317	\$ 1,863,799,638
2638	HKELECTRIC-SS	275,355,622	\$ 1,979,806,922
2662	CAMSING INTL	0	\$ 0
2666	UNI MEDICAL	33,742,773	\$ 258,132,213
2669	CHINA OVS PPT	44,701,588	\$ 84,486,001
2678	TEXHONG TEXTILE	4,063,000	\$ 43,230,320
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	49,588,018	\$ 2,868,666,841
2689	ND PAPER	63,558,532	\$ 908,887,008
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	192,962,181	\$ 675,367,634
2768	JIAYUAN INTL	3,896,000	\$ 22,168,240
2777	R&F PROPERTIES	22,005,088	\$ 373,646,394
2778	CHAMPION REIT	6,281,000	\$ 35,864,510
2799	CHINA HUARONG	181,346,482	\$ 674,608,913
2800	TRACKER FUND	8,027,600	\$ 231,194,880



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	83,930,270	\$ 1,263,989,866
2823	ISHARES A50	186,407,606	\$ 2,714,094,743
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	5,150,620	\$ 605,197,850
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	65,745,255	\$ 113,739,291
2868	BJ CAPITAL LAND	4,654,000	\$ 19,500,260
2869	GREENTOWN SER	11,932,000	\$ 60,853,200
2877	SHINEWAY PHARM	4,341,000	\$ 31,559,070
2880	DALIAN PORT	43,811,288	\$ 59,583,352
2883	CHINA OILFIELD	144,858,038	\$ 1,025,594,909
2888	STANCHART	7,202,534	\$ 536,948,910
2899	ZIJIN MINING	226,468,007	\$ 606,934,259
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	30,823,864	\$ 1,479,545,472
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	2,693,500	\$ 23,810,540
3308	GOLDEN EAGLE	23,103,754	\$ 217,868,400
3311	CHINA STATE CON	60,107,482	\$ 655,171,554
3320	CHINARES PHARMA	6,456,364	\$ 60,560,694
3323	CNBM	264,211,170	\$ 1,717,372,605
3328	BANKCOMM	157,058,061	\$ 915,648,496
3329	BOCOM INTL	0	\$ 0
3331	VINDA INT'L	678,000	\$ 10,712,400



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3333	EVERGRANDE	216,154,617	\$ 6,311,714,816
3336	JU TENG INTL	7,034,000	\$ 18,569,760
3337	ANTON OILFIELD	67,225,257	\$ 59,158,226
3339	LONKING	43,212,700	\$ 149,948,069
3360	FE HORIZON	24,200,534	\$ 178,599,941
3369	QHD PORT	3,007,000	\$ 7,908,410
3377	SINO-OCEAN GP	38,773,031	\$ 199,681,110
3378	XIAMEN PORT	2,184,648	\$ 3,298,818
3380	LOGAN PPT	46,013,452	\$ 333,597,527
3382	TIANJINPORT DEV	0	\$ 0
3383	AGILE GROUP	79,960,290	\$ 929,138,570
3393	WASION GROUP	23,419,789	\$ 92,976,562
3396	LEGENDHOLDING	2,938,900	\$ 62,745,515
3606	FUYAO GLASS	29,345,130	\$ 892,091,952
3618	CQRC BANK	49,547,087	\$ 269,536,153
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	527,700	\$ 9,656,910
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	11,758,112	\$ 106,998,819
3698	HUIZHANG BANK	5,165,671	\$ 20,559,371
3708	YAT SING HLDG	395,000	\$ 979,600
3799	DALI FOODS	35,297,000	\$ 213,193,880
3800	GCL-POLY ENERGY	1,828,970,671	\$ 2,450,820,699
3808	SINOTRUK	74,202,500	\$ 701,955,650
3813	POU SHENG INT'L	96,011,986	\$ 137,297,140
3818	CHINA DONGXIANG	41,044,000	\$ 60,745,120
3836	HARMONY AUTO	37,500,975	\$ 171,379,456
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	23,578,000	\$ 106,808,340
3886	TOWN HEALTH	106,503,754	\$ 80,942,853
3888	KINGSOFT	80,619,584	\$ 1,652,701,472
3898	CRRC TIMES ELEC	40,602,179	\$ 1,875,820,670
3899	CIMC ENRIC	26,819,846	\$ 137,585,810
3900	GREENTOWN CHINA	80,368,524	\$ 784,396,794
3908	CICC	13,735,391	\$ 228,556,906
3918	NAGACORP	21,838,512	\$ 124,697,904
3933	UNITED LAB	139,874,970	\$ 946,953,547
3948	YITAI COAL	0	\$ 0
3958	DFZQ	2,876,400	\$ 22,953,672
3968	CM BANK	148,830,980	\$ 4,412,838,557
3969	CHINA CRSC	1,773,000	\$ 10,708,920



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	21,055,629	\$ 50,533,510
3988	BANK OF CHINA	170,682,394	\$ 655,420,393
3989	CAPITAL ENV	12,007,395	\$ 4,442,736
3993	CMOC	188,729,349	\$ 924,773,810
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	3,150,000	\$ 2,110,500
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	98,116,506	\$ 1,707,227,204
6066	CSC	7,028,000	\$ 50,179,920
6068	WISDOM EDU INTL	922,000	\$ 3,927,720
6099	CMSC	972,000	\$ 12,636,000
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	11,432,000	\$ 43,327,280
6178	EB SECURITIES	4,676,600	\$ 49,852,556
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,023,000	\$ 16,233,510
6808	SUNART RETAIL	170,388,104	\$ 1,347,769,903
6818	CEB BANK	116,037,270	\$ 422,375,663
6823	HKT-SS	52,541,815	\$ 501,774,333
6826	HAOHAI BIOTEC	9,100	\$ 341,705
6828	BG BLUE SKY	34,312,000	\$ 18,185,360
6837	HAITONG SEC	79,812,339	\$ 976,903,029
6858	HONMAGOLF	0	\$ 0
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	5,395,500	\$ 155,390,400
6881	CGS	124,209,527	\$ 842,140,593
6886	HTSC	41,393,952	\$ 696,246,273
6889	DYNAM JAPAN	367,800	\$ 4,501,872
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	7,346,000	\$ 4,995,280
8057	MADISON HLDG	1,120,000	\$ 2,016,000
8078	HMV DIGIT CHINA	4,660,000	\$ 1,151,020
8083	INNOVATIONPAY	46,800,866	\$ 19,656,364
8102	LI BAO GE GP	250,000	\$ 1,527,500
8137	HONBRIDGE	15,032,000	\$ 24,802,800
8138	TONGRENTANGCM	460,000	\$ 5,106,000
8158	CRMI	77,360,000	\$ 16,632,400
8207	CHONGSING HLDGS	63,544,000	\$ 66,085,760
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,356,000	\$ 4,149,360
8279	AGTECH HOLDINGS	8,840,000	\$ 11,668,800
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9170	ISHARESKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 03/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARES200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0