



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	18,798,906	\$ 1,908,088,959
2	CLP HOLDINGS	30,551,359	\$ 2,457,856,832
3	HK & CHINA GAS	217,717,617	\$ 3,243,992,493
4	WHARF HOLDINGS	9,838,903	\$ 710,860,742
5	HSBC HOLDINGS	52,949,692	\$ 4,050,651,438
6	POWER ASSETS	16,203,223	\$ 1,098,578,519
8	PCCW	50,728,043	\$ 219,145,146
10	HANG LUNG GROUP	2,863,960	\$ 80,906,870
11	HANG SENG BANK	10,122,966	\$ 1,925,388,133
12	HENDERSON LAND	9,878,367	\$ 518,614,268
14	HYSAN DEV	4,482,662	\$ 170,117,023
16	SHK PPT	6,935,011	\$ 915,421,452
17	NEW WORLD DEV	85,342,267	\$ 1,024,107,204
19	SWIRE PACIFIC A	8,373,686	\$ 641,424,348
20	WHEELLOCK	2,132,790	\$ 118,796,403
23	BANK OF E ASIA	49,174,719	\$ 1,723,573,901
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	42,543,534	\$ 2,248,425,772
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	20,704,490	\$ 20,911,535
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,521,992	\$ 25,305,329
39	CH BEIDAHUANG	19,480,000	\$ 7,305,000
41	GREAT EAGLE H	158,707	\$ 6,665,694
43	C.P. POKPHAND	0	\$ 0
44	HAECO	36,008	\$ 1,912,025
45	HK&S HOTELS	5,597,379	\$ 70,526,975
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	846,500	\$ 27,257,300
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	864,000	\$ 27,043,200
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	64,363,742	\$ 2,899,586,577
69	SHANGRI-LA ASIA	14,902,155	\$ 220,253,851
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	5,103,344	\$ 24,189,851
83	SINO LAND	22,331,603	\$ 316,662,131
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,283,250
95	LVGEM CHINA	3,122,286	\$ 7,243,704
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	17,062,890	\$ 320,782,332
102	SUMMIT ASCENT	71,743,540	\$ 83,939,942
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,700,500	\$ 21,173,580
116	CHOW SANG SANG	406,000	\$ 6,975,080
119	POLY PROPERTY	67,093,949	\$ 261,666,401
123	YUEXIU PROPERTY	15,655,609	\$ 23,483,414
127	CHINESE EST H	1,046,000	\$ 14,476,640
129	ASIA STANDARD	2,040,000	\$ 4,386,000
133	CHINA MERCHANTS	34,000	\$ 456,280
135	KUNLUN ENERGY	280,637,758	\$ 2,068,300,276
136	HENGTEN NET	937,638,824	\$ 379,743,724
142	FIRST PACIFIC	11,260,984	\$ 68,692,002
144	CHINA MER PORT	24,500,422	\$ 611,285,529
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	3,618,790	\$ 158,683,942
151	WANT WANT CHINA	733,823,632	\$ 4,432,294,737
152	SHENZHEN INT'L	8,086,667	\$ 124,858,138
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	66,225,000	\$ 9,668,850
165	CHINA EB LTD	19,389,889	\$ 350,569,193
168	TSINGTAO BREW	18,730,175	\$ 583,444,951
171	SILVER GRANT	1,340,000	\$ 1,527,600
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	95,925,574	\$ 2,522,842,596
177	JIANGSU EXPRESS	15,451,696	\$ 190,982,963
178	SA SA INT'L	72,159,149	\$ 202,045,617
179	JOHNSON ELEC H	686,375	\$ 21,140,350
182	CONCORD NE	30,608,388	\$ 12,090,313
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	38,705,162	\$ 28,641,820
198	SMI HOLDINGS	28,341,546	\$ 115,350,092
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,090,439	\$ 196,808,004
207	JOY CITY PPT	5,945,150	\$ 8,501,565
215	HUTCHTEL HK	74,891,505	\$ 216,436,449
217	CHINA CHENGTONG	1,690,040	\$ 980,223
218	SHENWANHONGYUAN	8,721,805	\$ 25,206,016
220	U-PRESID CHINA	19,248,000	\$ 138,393,120
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	2,758,000	\$ 3,226,860
232	AVIC INT'L	49,616,000	\$ 25,800,320
235	CHINA STRATEGIC	161,944,430	\$ 18,785,554
241	ALI HEALTH	346,409,915	\$ 1,378,711,462
242	SHUN TAK HOLD	9,485,666	\$ 32,630,691
245	CN MINSHENG FIN	33,967,895	\$ 14,436,355
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 3,916,147
256	CITYCHAMP	20,174,000	\$ 37,321,900
257	CHINA EB INT'L	169,667,143	\$ 1,822,225,116
258	TOMSON GROUP	761,046	\$ 2,815,870
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	36,111,175	\$ 416,722,960
268	KINGDEE INT'L	181,452,608	\$ 787,504,319
270	GUANGDONG INV	17,126,897	\$ 191,478,708
272	SHUI ON LAND	62,629,142	\$ 124,631,993
273	MASON GP HOLD	134,296,001	\$ 15,444,040
275	MASTER GLORY GP	67,450,000	\$ 6,745,000
285	BYD ELECTRONIC	62,782,899	\$ 1,503,650,431
288	WH GROUP	132,316,101	\$ 1,033,388,749
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	26,544,648	\$ 583,982,256
293	CATHAY PAC AIR	80,207,350	\$ 1,069,966,049
297	SINOFERT	113,939,515	\$ 203,951,732
303	VTECH HOLDINGS	1,584,855	\$ 180,039,528
308	CHINA TRAVEL HK	23,685,072	\$ 69,397,261
315	SMARTONE TELE	41,137,378	\$ 394,918,829
316	OOIL	5,402,558	\$ 397,898,397
317	COMEC	12,978,742	\$ 181,961,963



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	17,056,581	\$ 81,871,589
322	TINGYI	164,499,579	\$ 1,973,994,948
323	MAANSHAN IRON	90,444,015	\$ 351,827,218
327	PAX GLOBAL	99,521,883	\$ 397,092,313
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	110,621,262	\$ 500,008,104
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,707,647	\$ 13,294,547
337	GREENLAND HK	3,109,400	\$ 9,794,610
338	SHANGHAI PECEM	45,456,552	\$ 220,464,277
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	1,669,515	\$ 40,485,739
342	NEWOCEAN ENERGY	43,579,646	\$ 90,645,664
345	VITASOY INT'L	3,982,479	\$ 71,206,725
347	ANGANG STEEL	60,498,086	\$ 430,141,391
354	CHINASOFT INT'L	107,971,823	\$ 488,032,640
358	JIANGXI COPPER	57,544,546	\$ 742,324,643
363	SHANGHAI IND H	1,293,000	\$ 31,937,100
368	SINOTRANS SHIP	50,756,028	\$ 115,723,744
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	164,225,293	\$ 1,060,895,393
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	11,412,000	\$ 14,036,760
382	WELLING HOLDING	674,400	\$ 1,085,784
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	77,586,226	\$ 1,881,465,981
386	SINOPEC CORP	202,862,906	\$ 1,162,404,451
388	HKEX	26,758,933	\$ 5,892,317,047
390	CHINA RAILWAY	64,042,657	\$ 423,962,389
392	BEIJING ENT	6,908,532	\$ 331,609,536
400	COGOBUY	28,140,000	\$ 137,886,000
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	71,149,011	\$ 326,573,960
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,443,250
419	HUAYI TENCENT	198,351,162	\$ 86,282,755
425	MINTH GROUP	12,540,029	\$ 530,443,227
433	NORTH MINING	125,983,008	\$ 20,031,298
434	BOYAA	2,878,194	\$ 9,382,912



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,075,354	\$ 151,795,512
440	DAH SING	487,340	\$ 26,218,892
451	GCL NEWENERGY	132,405,378	\$ 70,174,850
460	SIHUAN PHARM	182,765,751	\$ 511,744,103
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,490,312	\$ 17,277,044
480	HKR INT'L	1,832,800	\$ 9,420,592
486	RUSAL	0	\$ 0
488	LAI SUN DEV	443,700	\$ 6,415,902
489	DONGFENG GROUP	84,715,083	\$ 916,617,198
493	GOME RETAIL	1,944,385,038	\$ 1,905,497,337
494	LI & FUNG	296,532,748	\$ 1,206,888,284
497	CSI PROPERTIES	3,000,000	\$ 1,170,000
506	CHINA FOODS	30,188,470	\$ 146,414,080
508	DINGYI GP INV	12,463,315	\$ 5,733,125
511	TVB	11,047,302	\$ 295,515,329
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,640,000	\$ 5,330,000
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	52,224,000	\$ 19,584,000
522	ASM PACIFIC	30,175,248	\$ 3,536,539,066
525	GUANGSHEN RAIL	64,796,900	\$ 294,177,926
526	LISI GP HOLD	1,636,000	\$ 1,963,200
530	GOLDIN FIN HOLD	11,238,000	\$ 43,266,300
535	GEMDALE PPT	71,044,000	\$ 60,387,400
538	AJISEN (CHINA)	595,846	\$ 2,025,876
546	FUFENG GROUP	42,563,000	\$ 226,860,790
547	DIGITAL DOMAIN	282,015,639	\$ 66,273,675
548	SHENZHENEXPRESS	2,100,363	\$ 17,096,955
551	YUE YUEN IND	6,892,957	\$ 213,337,019
552	CHINACOMSERVICE	6,946,000	\$ 31,882,140
563	SH IND URBAN	21,986,000	\$ 37,815,920
570	TRAD CHI MED	64,913,852	\$ 281,076,979
576	ZHEJIANGEXPRESS	12,913,508	\$ 127,972,864
579	JNCEC	9,294,000	\$ 20,539,740
580	SUN.KING ELEC	314,000	\$ 571,480
581	CHINA ORIENTAL	10,716,000	\$ 58,938,000
586	CONCH VENTURE	23,423,360	\$ 368,215,219
588	BEIJING N STAR	2,330,000	\$ 6,966,700
590	LUK FOOK HOLD	2,774,095	\$ 84,193,783



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598	SINOTRANS	14,925,121	\$ 58,207,972
603	CHINA OIL & GAS	8,520,000	\$ 4,686,000
604	SHENZHEN INVEST	23,910,298	\$ 85,598,867
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,208,000	\$ 4,409,200
607	FULLSHARE	831,189,948	\$ 2,709,679,230
622	ENERCHINA HOLD	135,911,938	\$ 22,017,734
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,521,680
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	33,679,000	\$ 61,969,360
651	CHI OCEAN IND	320,970,000	\$ 19,258,200
656	FOSUN INTL	116,353,823	\$ 2,180,470,643
658	C TRANSMISSION	21,061,671	\$ 182,394,071
659	NWS HOLDINGS	3,937,173	\$ 59,923,773
665	HAITONG INT'L	167,764,529	\$ 766,683,898
669	TECHTRONIC IND	6,446,747	\$ 283,334,531
670	CHINA EAST AIR	98,963,972	\$ 395,855,888
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,111,506	\$ 23,485,646
683	KERRY PPT	16,043,434	\$ 559,113,675
686	PANDA GREEN	116,573,104	\$ 139,887,725
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	34,848,674	\$ 893,868,488
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	9,764,938	\$ 121,866,426
696	TRAVELSKY TECH	6,876,422	\$ 132,027,302
697	SHOUGANG INT'L	24,207,040	\$ 5,591,826
698	TONGDA GROUP	670,959,108	\$ 1,482,819,629
699	CAR INC	74,360,821	\$ 539,115,952
700	TENCENT	108,035,185	\$ 37,769,100,676
702	SINO OIL & GAS	80,882,515	\$ 12,375,025
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 32,682,880
715	CHINA OCEANWIDE	33,510,227	\$ 21,781,648
716	SINGAMAS CONT	13,834,000	\$ 24,209,500
717	EMPEROR CAPITAL	23,342,207	\$ 15,639,279
718	TAI UNITED HOLD	1,505,000	\$ 1,971,550
721	C FIN INT INV	58,293,584	\$ 13,057,763
728	CHINA TELECOM	62,031,696	\$ 249,367,418
729	FDG EVEHICLES	454,398,897	\$ 168,127,592



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732	TRULY INT'L	127,875,047	\$ 438,611,411
735	CP CLEAN ENERGY	1,128,903	\$ 5,452,601
737	HOPEWELL INFR	4,073,000	\$ 20,935,220
750	SINGYES SOLAR	64,027,544	\$ 182,478,500
751	SKYWORTHDIGITAL	94,556,205	\$ 364,986,951
752	PICO FAR EAST	412,000	\$ 1,363,720
753	AIR CHINA	50,232,471	\$ 337,059,880
754	HOPSON DEV HOLD	1,264,000	\$ 10,288,960
762	CHINA UNICOM	278,549,997	\$ 3,125,330,966
763	ZTE	36,236,162	\$ 972,940,950
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,709,505	\$ 98,116,407
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	90,751,666	\$ 81,676,499
798	CEOVU	0	\$ 0
799	IGG	92,650,981	\$ 1,004,336,634
806	VALUE PARTNERS	80,773,302	\$ 657,494,678
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,596,000	\$ 17,912,400
813	SHIMAO PROPERTY	8,835,702	\$ 151,974,074
815	CHI SILVER GP	17,904,084	\$ 46,192,537
816	HUADIAN FUXIN	64,802,163	\$ 132,844,434
817	CHINA JINMAO	150,267,282	\$ 587,545,073
818	HI SUN TECH	11,236,181	\$ 20,449,849
819	TIANNENG POWER	32,805,550	\$ 249,978,291
823	LINK REIT	19,003,542	\$ 1,261,835,189
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	7,998,243	\$ 30,153,376
836	CHINA RES POWER	30,336,260	\$ 422,280,739
838	EVA HOLDINGS	378,000	\$ 400,680
845	GLORIOUS PPT H	25,918,000	\$ 21,771,120
853	MICROPORT	11,284,684	\$ 89,713,238
855	CHINA WATER	51,998,312	\$ 311,989,872
856	VSTECs	350,000	\$ 1,120,000
857	PETROCHINA	333,366,464	\$ 1,670,165,985
860	O LUXE HOLD	16,861,765	\$ 27,147,442
861	DC HOLDINGS	63,739,244	\$ 302,124,017
867	CMS	36,736,444	\$ 551,046,660
868	XINYI GLASS	39,012,344	\$ 306,246,900
874	BAIYUNSHAN PH	1,734,372	\$ 39,803,837
877	O-NET TECH GP	45,304,009	\$ 260,045,012



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	96,389,521	\$ 661,232,114
881	ZHONGSHENG HLDG	28,936,201	\$ 495,387,761
882	TIANJIN DEV	2,380,000	\$ 9,591,400
883	CNOOC	123,007,726	\$ 1,225,156,951
884	CIFI HOLD GP	102,382,490	\$ 449,459,131
885	RENTIAN TECH	16,860,000	\$ 6,322,500
887	EMPEROR WATCH&J	31,340,000	\$ 12,536,000
895	DONGJIANG ENV	3,771,950	\$ 47,752,887
902	HUANENG POWER	183,073,234	\$ 897,058,847
906	COFCO PACKAGING	4,684,238	\$ 24,779,619
914	CONCH CEMENT	85,896,341	\$ 2,954,834,130
915	DAOHE GLOBAL	2,393,000	\$ 5,982,500
916	CHINA LONGYUAN	131,678,209	\$ 817,721,678
921	HISENSE KELON	4,492,000	\$ 47,076,160
931	CHINA LNG	73,333,238	\$ 99,733,204
932	RM GROUP	4,280,000	\$ 29,703,200
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	12,612,600	\$ 66,342,276
939	CCB	980,345,510	\$ 6,793,794,384
941	CHINA MOBILE	36,105,617	\$ 2,863,175,428
950	LEE'S PHARM	663,077	\$ 4,422,724
951	CHAOWEI POWER	71,487,576	\$ 293,813,937
956	CHINA SUNTIEN	22,983,000	\$ 53,550,390
958	HN RENEWABLES	175,318,176	\$ 482,124,984
960	LONGFOR PPT	9,179,292	\$ 178,078,265
963	BLOOMAGE BIOTEC	1,390,850	\$ 22,587,404
966	CHINA TAIPING	42,193,506	\$ 1,037,960,248
968	XINYI SOLAR	150,571,071	\$ 415,576,156
973	L'OCCITANE	0	\$ 0
976	CHIHO ENV	13,191,399	\$ 56,195,360
978	CHI MER LAND	20,247,000	\$ 30,572,970
980	LIANHUA	6,926,709	\$ 21,542,065
981	SMIC	547,366,089	\$ 5,714,501,969
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	23,466,913	\$ 59,136,621
992	LENOVO GROUP	1,048,057,234	\$ 4,789,621,559
993	HUARONG INT FIN	831,000	\$ 2,401,590
995	ANHUIEXPRESSWAY	326,000	\$ 1,965,780
996	CARNIVAL GROUP	175,320,459	\$ 70,128,184
998	CITIC BANK	217,027,613	\$ 1,113,351,655



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,425,520	\$ 8,632,310
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	285,846,000	\$ 54,882,432
1028	C.BANNER	1,147,000	\$ 3,073,960
1030	FUTURE LAND	19,992,875	\$ 75,573,068
1031	KINGSTON FIN	126,174,952	\$ 701,532,733
1033	SINOPEC SSC	112,341,545	\$ 149,414,255
1038	CKI HOLDINGS	44,892,430	\$ 3,081,865,320
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	39,061,188	\$ 2,970,603,347
1045	APT SATELLITE	1,614,114	\$ 6,004,504
1051	G-RESOURCES	37,482,106	\$ 3,935,621
1052	YUEXIUTRANSPORT	30,338,651	\$ 173,840,470
1055	CHINA SOUTH AIR	125,828,908	\$ 685,767,549
1060	ALI PICTURES	1,232,696,260	\$ 1,565,524,250
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,542,000	\$ 73,437,100
1066	WEIGAO GROUP	16,076,416	\$ 91,635,571
1068	YURUN FOOD	11,484,841	\$ 11,025,447
1070	TCL MULTIMEDIA	23,137,055	\$ 97,638,372
1071	HUADIAN POWER	52,167,098	\$ 163,804,688
1072	DONGFANG ELEC	3,536,510	\$ 27,443,318
1076	IMPERIAL PAC	218,020,855	\$ 29,214,795
1083	TOWNGAS CHINA	9,460,355	\$ 56,762,130
1086	GOODBABY INTL	7,478,000	\$ 32,080,620
1088	CHINA SHENHUA	25,076,134	\$ 473,437,410
1089	LEYOU TECH H	25,071,101	\$ 47,384,381
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	29,985,561	\$ 401,806,517
1098	ROAD KING INFRA	741,000	\$ 9,588,540
1099	SINOPHARM	31,846,700	\$ 1,106,672,825
1103	DASHENG AGR FIN	45,347,999	\$ 29,476,199
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	3,565,978	\$ 16,296,519
1109	CHINA RES LAND	58,879,885	\$ 1,419,005,229
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,431,000	\$ 338,504,650
1113	CK ASSET	1,134,104	\$ 75,644,737
1114	BRILLIANCE CHI	110,578,045	\$ 2,382,956,870
1115	TIBET WATER	93,816,914	\$ 281,450,742



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	52,365,162	\$ 87,973,472
1122	QINGLING MOTORS	480,000	\$ 1,272,000
1128	WYNN MACAU	62,856,781	\$ 1,282,278,332
1129	WATER INDUSTRY	6,904,000	\$ 10,701,200
1133	HARBIN ELECTRIC	5,623,001	\$ 20,973,794
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	9,467,414	\$ 41,561,947
1140	OP FIN INV	488,000	\$ 1,063,840
1141	CMBC CAPITAL	293,489,075	\$ 187,833,008
1157	ZOOMLION	102,401,860	\$ 387,079,031
1165	SFCE	60,949,553	\$ 31,693,768
1168	SINOLINK HOLD	86,412,000	\$ 111,471,480
1169	HAIER ELEC	4,598,340	\$ 96,335,223
1171	YANZHOU COAL	65,238,246	\$ 507,553,554
1176	ZHUGUANG HOLD	3,314,000	\$ 3,512,840
1177	SINO BIOPHARM	72,104,589	\$ 646,057,117
1185	CHINA ENERGINE	3,395,555	\$ 1,629,866
1186	CHINA RAIL CONS	97,387,568	\$ 975,823,431
1193	CHINA RES GAS	25,357,507	\$ 737,903,454
1196	REALORD GROUP	5,250,000	\$ 25,725,000
1199	COSCO SHIP PORT	37,956,664	\$ 339,332,576
1205	CITIC RESOURCES	51,441,837	\$ 48,355,327
1206	TECHNOVATOR	13,480,000	\$ 31,543,200
1208	MMG	74,676,337	\$ 274,808,920
1211	BYD COMPANY	114,771,221	\$ 8,699,658,552
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	1,400,273	\$ 2,646,516
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	6,385,033	\$ 11,173,808
1230	YASHILI INT'L	27,368,000	\$ 47,346,640
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	16,411,690	\$ 138,678,781
1234	CHINA LILANG	955,737	\$ 6,221,848
1238	POWERLONG	2,632,000	\$ 10,475,360
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	12,831,428	\$ 2,656,106
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	16,580,000	\$ 23,046,200
1270	LANGHAM-SS	600,000	\$ 2,010,000
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	134,241,987	\$ 72,490,673
1288	ABC	67,602,026	\$ 246,747,395
1293	GRAND BAOXIN	19,418,688	\$ 87,578,283
1297	SINOSOFT TECH	19,545,162	\$ 49,058,357
1299	AIA	55,398,941	\$ 3,335,016,248
1300	TRIGIANT	1,121,647	\$ 1,177,729
1302	LIFETECH SCI	86,976,438	\$ 166,994,761
1308	SITC	14,250,920	\$ 100,041,458
1310	HKBN	18,726,089	\$ 148,497,886
1313	CHINARES CEMENT	26,332,000	\$ 139,296,280
1315	VISION FAME	55,884,000	\$ 21,794,760
1316	NEXTEER	10,863,000	\$ 167,724,720
1317	MAPLELEAF EDU	27,735,650	\$ 249,066,137
1330	DYNAGREEN ENV	2,125,000	\$ 10,136,250
1332	CHINA TOUYUN	5,760,000	\$ 1,872,000
1333	CHINA ZHONGWANG	110,274,651	\$ 449,920,576
1336	NCI	17,656,997	\$ 863,427,153
1339	PICC GROUP	37,486,201	\$ 139,448,668
1347	HUA HONG SEMI	2,685,000	\$ 35,817,900
1349	FUDANZHANGJIANG	1,225,000	\$ 5,096,000
1358	PW MEDTECH	2,092,000	\$ 3,870,200
1359	CHINA CINDA	215,800,392	\$ 666,823,211
1360	MEGAEXPO HLDG	4,748,323	\$ 9,021,814
1361	361 DEGREES	21,851,000	\$ 65,553,000
1363	CTEG	220,376,676	\$ 282,082,145
1366	JIANGNAN GP	39,673,984	\$ 23,010,911
1368	XTEP INT'L	54,934,100	\$ 140,631,296
1375	CC SECURITIES	18,054,166	\$ 68,244,747
1378	CHINAHONGQIAO	66,739,766	\$ 470,515,350
1381	CANVEST ENV	17,336,000	\$ 73,851,360
1382	PACIFICTEXTILES	14,378,586	\$ 120,780,122
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	442,736,653	\$ 81,463,544
1398	ICBC	729,601,021	\$ 4,596,486,432
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	15,396,112	\$ 39,568,008
1432	CHINA SHENGMU	36,892,000	\$ 49,066,360
1448	FU SHOU YUAN	3,716,000	\$ 19,694,800
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	20,685,500	\$ 159,278,350
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	11,564,000	\$ 179,704,560
1508	CHINA RE	16,195,096	\$ 28,827,271
1509	HARMONICARE	3,595,945	\$ 11,111,470
1513	LIVZON PHARMA	499,007	\$ 25,299,655
1515	CRPHOENIXHEALTH	42,979,481	\$ 442,688,654
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	2,474,000	\$ 25,333,760
1530	3SBIO	81,294,838	\$ 1,143,005,422
1548	GENSCRIPT BIO	15,534,000	\$ 152,077,860
1558	HEC PHARM	151,600	\$ 3,274,560
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	9,088,000	\$ 27,354,880
1569	MINSHENG EDU	1,084,000	\$ 2,048,760
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	245,000	\$ 1,651,300
1585	YADEA	9,778,000	\$ 27,378,400
1589	CNLP	13,564,000	\$ 35,266,400
1599	UCD	395,000	\$ 1,880,200
1600	TIAN LUN GAS	600,000	\$ 3,480,000
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,752,426	\$ 29,441,309
1616	SILVERMAN HL	1,630,228	\$ 1,336,787
1618	MCC	53,119,750	\$ 140,236,140
1622	REDCO PPT	4,402,000	\$ 16,199,360
1628	YUZHOU PPT	13,434,000	\$ 55,079,400
1632	FOODWISE HLDG	384,000	\$ 4,070,400
1635	DZUG	0	\$ 0
1636	CMRU	2,436,000	\$ 7,454,160
1658	PSBC	100,669,595	\$ 466,100,225
1661	WISDOM SPORTS	34,688,000	\$ 40,584,960
1666	TONG REN TANG	17,327,499	\$ 185,404,239
1668	CHINASOUTHCITY	124,308,018	\$ 256,074,517
1680	MACAU LEGEND	61,270,561	\$ 77,200,907
1681	CONSUN PHARMA	668,000	\$ 4,435,520
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	51,870,446	\$ 434,155,633
1766	CRRC	230,175,066	\$ 1,707,898,990
1776	GF SEC	24,792,821	\$ 426,436,521



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	6,045,000	\$ 6,830,850
1778	COLOUR LIFE	9,769,800	\$ 47,481,228
1786	CRCCE	11,463,000	\$ 29,574,540
1788	GUOTAI JUNAN I	215,110,013	\$ 550,681,633
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	51,940,855	\$ 517,850,324
1803	BJ SPORTS & ENT	657,500	\$ 1,446,500
1811	CGN NEW ENERGY	13,366,000	\$ 16,172,860
1812	CHENMING PAPER	8,461,775	\$ 120,834,147
1813	KWG PROPERTY	47,677,479	\$ 391,908,877
1816	CGN POWER	592,929,271	\$ 1,334,090,860
1818	ZHAOJIN MINING	55,817,000	\$ 374,532,070
1828	DCH HOLDINGS	4,341,000	\$ 16,105,110
1829	CMEC	11,373,951	\$ 56,642,276
1836	STELLA HOLDINGS	3,062,641	\$ 36,690,439
1848	CALC	4,115,714	\$ 34,036,955
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,073,000	\$ 169,398,350
1883	CITIC TELECOM	1,677,259	\$ 3,824,151
1886	HUIYUAN JUICE	11,801,000	\$ 28,794,440
1888	KB LAMINATES	10,074,500	\$ 126,334,230
1893	SINOMA	2,798,000	\$ 15,389,000
1898	CHINA COAL	228,770,739	\$ 841,876,320
1899	XINGDA INT'L	21,707,586	\$ 67,727,668
1910	SAMSONITE	18,859,317	\$ 642,159,744
1913	PRADA	20,062,991	\$ 544,710,206
1918	SUNAC	147,171,999	\$ 5,805,935,361
1919	COSCO SHIP HOLD	196,684,000	\$ 806,404,400
1928	SANDS CHINA LTD	104,262,123	\$ 3,909,829,613
1929	CHOW TAI FOOK	14,320,867	\$ 122,443,413
1958	BAIC MOTOR	71,313,991	\$ 589,053,566
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	9,427,000	\$ 34,031,470
1970	IMAX CHINA	17,948,460	\$ 402,942,927
1972	SWIREPROPERTIES	12,209,221	\$ 337,584,961
1980	TIANGE	4,923,000	\$ 27,716,490
1988	MINSHENG BANK	353,114,725	\$ 2,620,111,260
1999	MAN WAH HLDGS	46,193,976	\$ 395,882,374
2005	SSY GROUP	3,159,519	\$ 12,322,124
2006	JINJIANG HOTELS	16,896,498	\$ 51,534,319



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	263,314,970	\$ 3,286,170,826
2008	PHOENIX TV	7,832,000	\$ 9,006,800
2009	BBMG	147,585,069	\$ 611,002,186
2014	OZNER WATER	38,098,000	\$ 96,768,920
2016	CZBANK	0	\$ 0
2018	AAC TECH	29,557,227	\$ 4,140,967,503
2020	ANTA SPORTS	38,756,316	\$ 1,271,207,165
2038	FIH	53,231,360	\$ 130,949,146
2039	CIMC	3,390,917	\$ 50,931,573
2066	SHENGJINGBANK	2,134,000	\$ 13,124,100
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	95,600	\$ 1,265,744
2111	BEST PACIFIC	14,058,000	\$ 62,558,100
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	5,542,000	\$ 29,649,700
2136	LIFESTYLE CHI	354,500	\$ 942,970
2186	LUYE PHARMA	209,831,765	\$ 1,059,650,413
2196	FOSUN PHARMA	19,481,905	\$ 748,105,152
2198	CHINA SANJIANG	2,549,000	\$ 9,176,400
2199	REGINA MIRACLE	17,622,662	\$ 131,641,285
2200	HOSA INT'L	5,732,000	\$ 13,355,560
2202	CHINA VANKE	14,336,599	\$ 384,937,683
2208	GOLDWIND	35,739,413	\$ 392,418,755
2226	HONWORLD GP	269,000	\$ 1,043,720
2233	WESTCHINACEMENT	51,630,000	\$ 65,570,100
2238	GAC GROUP	28,678,781	\$ 577,877,437
2255	HAICHANG HLDG	5,302,000	\$ 10,285,880
2277	HUARONG INV	0	\$ 0
2280	HC INTL	29,049,474	\$ 182,140,202
2282	MGM CHINA	135,922,720	\$ 2,400,395,235
2298	COSMO LADY	65,345,958	\$ 220,869,338
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	7,568,987	\$ 494,254,851
2314	LEE & MAN PAPER	3,035,433	\$ 29,170,511
2318	PING AN	1,361,316,565	\$ 91,412,407,340
2319	MENGNU DAIRY	38,387,590	\$ 829,171,944
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	150,126,152	\$ 24,320,437
2328	PICC P&C	40,386,323	\$ 602,563,939
2329	GUORUI PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	34,373,402	\$ 234,426,602
2333	GREATWALL MOTOR	396,079,452	\$ 4,206,363,780
2338	WEICHAI POWER	169,192,191	\$ 1,480,431,671
2342	COMBA	21,729,592	\$ 31,725,204
2343	PACIFIC BASIN	217,833,827	\$ 407,349,256
2348	DAWNRAYS PHARMA	820,800	\$ 3,767,472
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	1,044,536	\$ 18,592,741
2357	AVICHINA	146,905,418	\$ 683,110,194
2362	JINCHUAN INTL	16,947,364	\$ 19,489,469
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	267,902,383	\$ 661,718,886
2382	SUNNY OPTICAL	19,457,937	\$ 2,231,825,374
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	30,071,830	\$ 200,278,388
2388	BOC HONG KONG	37,846,347	\$ 1,457,084,360
2389	BJ ENT M&H	38,748,803	\$ 16,080,753
2393	YESTAR HEALTH	6,940,468	\$ 22,209,498
2588	BOC AVIATION	590,945	\$ 24,819,690
2600	CHALCO	536,833,135	\$ 3,688,043,637
2601	CPIC	10,372,028	\$ 382,209,232
2607	SH PHARMA	7,697,531	\$ 153,796,669
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	62,647,556	\$ 1,541,129,878
2638	HKELECTRIC-SS	276,087,122	\$ 1,971,262,051
2666	UNI MEDICAL	23,412,870	\$ 157,802,744
2669	CHINA OVS PPT	52,340,865	\$ 97,877,418
2678	TEXHONG TEXTILE	5,494,000	\$ 59,664,840
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	47,757,835	\$ 2,827,263,832
2689	ND PAPER	66,414,093	\$ 984,256,858
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	184,801,357	\$ 659,740,844
2768	JIAYUAN INTL	3,672,000	\$ 20,563,200
2777	R&F PROPERTIES	23,303,578	\$ 427,387,621
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	233,397,482	\$ 877,574,532
2800	TRACKER FUND	6,825,500	\$ 201,010,975
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	89,674,933	\$ 1,311,047,520
2823	ISHARES A50	189,804,206	\$ 2,687,627,557
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	5,117,150	\$ 597,171,405
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	78,086,979	\$ 136,652,213
2868	BJ CAPITAL LAND	4,936,000	\$ 22,212,000
2869	GREENTOWN SER	14,332,000	\$ 69,796,840
2877	SHINEWAY PHARM	4,143,000	\$ 29,166,720
2880	DALIAN PORT	45,061,287	\$ 61,733,963
2883	CHINA OILFIELD	143,753,176	\$ 1,006,272,232
2888	STANCHART	4,600,302	\$ 357,673,481
2899	ZIJIN MINING	229,566,002	\$ 647,376,126
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARES K200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	33,357,766	\$ 1,579,490,220
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	2,977,000	\$ 27,001,390
3308	GOLDEN EAGLE	24,139,754	\$ 232,465,831
3311	CHINA STATE CON	53,400,296	\$ 607,695,368
3320	CHINARES PHARMA	7,250,364	\$ 66,775,852
3323	CNBM	280,269,105	\$ 1,872,197,621
3328	BANKCOMM	118,863,985	\$ 716,749,830
3331	VINDA INT'L	953,117	\$ 13,686,760
3333	EVERGRANDE	202,819,618	\$ 6,398,958,948
3336	JU TENG INTL	10,132,000	\$ 29,180,160
3337	ANTON OILFIELD	72,589,602	\$ 64,604,746
3339	LONKING	32,091,700	\$ 116,492,871



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	24,556,340	\$ 183,190,296
3369	QHD PORT	2,832,000	\$ 7,844,640
3377	SINO-OCEAN GP	35,051,684	\$ 182,619,274
3378	XIAMEN PORT	2,448,648	\$ 3,917,837
3380	LOGAN PPT	48,772,452	\$ 393,105,963
3382	TIANJINPORT DEV	1,384,000	\$ 1,743,840
3383	AGILE GROUP	81,302,298	\$ 969,123,392
3389	HENGDELI	15,168,475	\$ 6,067,390
3393	WASION GROUP	29,127,789	\$ 117,093,712
3396	LEGENDHOLDING	2,820,400	\$ 56,972,080
3606	FUYAO GLASS	28,696,530	\$ 820,720,758
3618	CQRC BANK	49,281,087	\$ 255,768,842
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	461,300	\$ 8,727,796
3669	YONGDA AUTO	10,313,112	\$ 109,937,774
3698	HUIZHANG BANK	5,376,671	\$ 20,861,483
3708	YAT SING HLDG	655,000	\$ 1,644,050
3709	KORADIOR	1,381,000	\$ 8,396,480
3799	DALI FOODS	48,462,000	\$ 295,133,580
3800	GCL-POLY ENERGY	1,849,786,091	\$ 2,256,739,031
3808	SINOTRUK	61,746,500	\$ 724,903,910
3813	POU SHENG INT'L	96,856,798	\$ 141,410,925
3818	CHINA DONGXIANG	37,261,000	\$ 52,910,620
3836	HARMONY AUTO	29,557,976	\$ 140,991,546
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	21,873,000	\$ 93,616,440
3886	TOWN HEALTH	106,415,754	\$ 89,389,233
3888	KINGSOFT	66,280,155	\$ 1,312,347,069
3898	CRRC TIMES ELEC	37,038,262	\$ 1,770,428,924
3899	CIMC ENRIC	28,157,846	\$ 155,712,888
3900	GREENTOWN CHINA	76,683,165	\$ 759,930,165
3908	CICC	9,667,391	\$ 156,418,386
3918	NAGACORP	19,042,512	\$ 103,400,840
3933	UNITED LAB	172,589,428	\$ 1,164,978,639
3958	DFZQ	2,984,800	\$ 24,326,120
3968	CM BANK	141,461,639	\$ 4,300,433,826
3969	CHINA CRSC	1,914,000	\$ 12,019,920
3983	CHINA BLUECHEM	20,913,629	\$ 50,610,982
3988	BANK OF CHINA	79,540,945	\$ 321,345,418
3993	CMOC	165,648,252	\$ 866,340,358



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	96,328,940	\$ 1,741,627,235
6066	CSC	6,571,500	\$ 47,774,805
6068	WISDOM EDU INTL	516,000	\$ 2,466,480
6099	CMSC	1,944,550	\$ 25,901,406
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	12,590,000	\$ 51,115,400
6178	EB SECURITIES	5,383,000	\$ 58,674,700
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,023,000	\$ 16,868,340
6808	SUNART RETAIL	178,631,965	\$ 1,443,346,277
6818	CEB BANK	117,231,270	\$ 446,651,139
6823	HKT-SS	52,631,421	\$ 502,630,071
6826	HAOHAI BIOTEC	9,100	\$ 348,530
6828	BG BLUE SKY	30,600,000	\$ 15,606,000
6837	HAITONG SEC	73,391,539	\$ 942,347,361
6839	YUNNAN WATER	3,699,000	\$ 11,651,850
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	5,208,000	\$ 151,032,000
6881	CGS	115,204,287	\$ 797,213,666
6886	HTSC	42,840,226	\$ 753,131,173
6889	DYNAM JAPAN	381,400	\$ 4,759,872
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7228	FL2SAMSUNGHSCFI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,496,000	\$ 3,356,160
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,229,451	\$ 6,183,732
8057	MADISON HLDG	1,120,000	\$ 1,960,000
8078	HMV DIGIT CHINA	4,660,000	\$ 1,155,680
8083	INNOVATIONPAY	59,248,866	\$ 26,661,990
8095	BEIDA JADE BIRD	846,000	\$ 1,565,100
8102	LI BAO GE GP	250,000	\$ 1,680,000
8137	HONBRIDGE	4,840,000	\$ 8,276,400
8138	TONGRENTANGCM	463,000	\$ 4,981,880
8158	CRMI	69,130,000	\$ 15,830,770
8207	CHONGSING HLDGS	75,252,000	\$ 76,757,040
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,316,000	\$ 4,000,640
8279	AGTECH HOLDINGS	8,884,000	\$ 12,704,120
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 20/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0