



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	15,018,063	\$ 1,458,253,917
2	CLP HOLDINGS	18,520,242	\$ 1,511,251,747
3	HK & CHINA GAS	246,271,583	\$ 3,807,358,673
4	WHARF HOLDINGS	31,427,896	\$ 2,066,384,162
5	HSBC HOLDINGS	32,515,933	\$ 2,162,309,545
6	POWER ASSETS	16,663,418	\$ 1,181,436,336
8	PCCW	66,795,068	\$ 292,562,398
10	HANG LUNG GROUP	7,567,997	\$ 238,391,906
11	HANG SENG BANK	15,122,179	\$ 2,443,744,126
12	HENDERSON LAND	12,424,547	\$ 601,348,075
14	HYSAN DEV	7,542,645	\$ 274,929,410
16	SHK PPT	16,941,455	\$ 1,975,373,653
17	NEW WORLD DEV	46,016,636	\$ 434,857,210
19	SWIRE PACIFIC A	7,360,148	\$ 544,650,952
20	WHEELLOCK	7,771,196	\$ 462,386,162
23	BANK OF E ASIA	55,159,099	\$ 1,773,365,033
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	62,006,537	\$ 2,511,264,749
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,862,000	\$ 24,339,240
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	294,000	\$ 2,496,060
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	5,342,000	\$ 21,421,420
39	CH BEIDAHUANG	26,213,714	\$ 10,485,486
41	GREAT EAGLE H	158,707	\$ 6,094,349
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,583,301	\$ 14,518,870
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	588,000	\$ 18,345,600
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,781,000	\$ 51,292,800
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,450,000	\$ 661,500
66	MTR CORPORATION	54,180,830	\$ 2,443,555,433
69	SHANGRI-LA ASIA	12,500,446	\$ 141,255,040
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	1,728,000	\$ 6,255,360
83	SINO LAND	24,227,569	\$ 314,958,397
85	CHINA ELECTRON	24,723,900	\$ 34,860,699
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	175,000	\$ 1,310,750
95	LVGEM CHINA	2,368,000	\$ 4,807,040
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	24,721,920	\$ 475,155,302
102	SUMMIT ASCENT	71,613,010	\$ 142,509,890
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	10,595,800	\$ 34,754,224
109	GOODRESOURCES	22,817,500	\$ 9,127,000
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	89,945,797	\$ 262,641,727
123	YUEXIU PROPERTY	58,144,200	\$ 70,935,924
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,231,000
135	KUNLUN ENERGY	305,664,921	\$ 2,084,634,761
139	CH SOFT POWER	30,351,470	\$ 7,193,298
142	FIRST PACIFIC	12,244,251	\$ 72,853,293
144	CHINA MER PORT	11,433,151	\$ 246,956,062
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	799,800	\$ 22,714,320
151	WANT WANT CHINA	777,137,474	\$ 4,142,142,736
152	SHENZHEN INT'L	6,900,983	\$ 88,470,602
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	2,698,000	\$ 6,313,320
164	CHINA BAOLI TEC	16,136,160	\$ 3,211,096
165	CHINA EB LTD	24,216,000	\$ 409,734,720
168	TSINGTAO BREW	25,441,376	\$ 854,830,234
171	SILVER GRANT	1,896,000	\$ 2,237,280
173	K. WAH INT'L	1,272,767	\$ 6,236,558
175	GEELY AUTO	665,334,155	\$ 6,826,328,430
177	JIANGSU EXPRESS	19,949,267	\$ 218,643,966
178	SA SA INT'L	28,695,238	\$ 96,129,047
179	JOHNSON ELEC H	2,155,206	\$ 51,293,903
182	CONCORD NE	74,060,000	\$ 26,661,600
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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196	HONGHUA GROUP	18,982,347	\$ 14,806,231
198	SMI HOLDINGS	254,139,936	\$ 172,815,156
200	MELCO INT'L DEV	19,487,819	\$ 304,789,489
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	40,252,000	\$ 47,497,360
215	HUTCHTEL HK	28,464,000	\$ 66,036,480
217	CHINA CHENGTONG	1,266,163	\$ 633,082
218	SHENWANHONGYUAN	8,045,000	\$ 23,330,500
220	U-PRESID CHINA	90,321,753	\$ 487,737,466
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	50,942,000	\$ 22,414,480
235	CHINA STRATEGIC	198,328,308	\$ 28,559,276
241	ALI HEALTH	108,828,000	\$ 316,689,480
242	SHUN TAK HOLD	36,405,232	\$ 100,842,493
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	34,048,531	\$ 14,470,626
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 8,885,200
256	CITYCHAMP	12,244,000	\$ 21,182,120
257	CHINA EB INT'L	108,917,936	\$ 1,088,090,181
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	88,507,903	\$ 984,207,881
268	KINGDEE INT'L	216,402,075	\$ 685,994,578
270	GUANGDONG INV	42,551,820	\$ 488,494,894
272	SHUI ON LAND	60,405,830	\$ 100,877,736
273	MASON FIN	79,334,585	\$ 9,044,143
275	MASTER GLORY GP	62,620,000	\$ 13,150,200
283	GOLDIN PPT	3,544,000	\$ 30,159,440
285	BYD ELECTRONIC	60,077,784	\$ 768,995,635
286	CS HEALTH	0	\$ 0
288	WH GROUP	85,111,232	\$ 577,905,265
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,405,759	\$ 451,506,542
293	CATHAY PAC AIR	106,026,617	\$ 1,181,136,513
297	SINOFERT	169,171,347	\$ 169,171,347
299	NEW SPORTS	106,120,000	\$ 4,775,400
303	VTECH HOLDINGS	3,649,905	\$ 368,640,405
308	CHINA TRAVEL HK	169,642,491	\$ 374,909,905



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
315	SMARTONE TELE	11,012,118	\$ 116,067,724
316	OOIL	6,821,786	\$ 270,483,815
317	COMEC	14,054,800	\$ 196,486,104
321	TEXWINCA HOLD	12,641,907	\$ 66,117,174
322	TINGYI	223,364,206	\$ 2,150,997,304
323	MAANSHAN IRON	130,206,067	\$ 326,817,228
327	PAX GLOBAL	98,153,750	\$ 468,193,388
330	ESPRIT HOLDINGS	50,069,128	\$ 283,891,956
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,743,000	\$ 15,608,310
337	GREENLAND HK	19,957,841	\$ 49,096,289
338	SHANGHAI PECEM	72,733,464	\$ 314,208,564
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,865,000	\$ 98,171,000
342	NEWOCEAN ENERGY	49,164,663	\$ 113,078,725
345	VITASOY INT'L	10,233,407	\$ 153,501,105
346	YANCHANG PETRO	0	\$ 0
347	ANGANG STEEL	115,665,708	\$ 567,918,626
354	CHINASOFT INT'L	55,471,359	\$ 249,621,116
358	JIANGXI COPPER	51,733,924	\$ 597,009,483
363	SHANGHAI IND H	948,000	\$ 22,325,400
368	SINOTRANS SHIP	56,367,500	\$ 92,442,700
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	170,547,568	\$ 977,237,565
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,120,000	\$ 5,785,600
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	68,220,042	\$ 811,818,500
386	SINOPEC CORP	86,815,776	\$ 522,630,972
388	HKEX	39,252,565	\$ 7,430,510,555
390	CHINA RAILWAY	129,116,400	\$ 835,383,108
392	BEIJING ENT	10,744,241	\$ 393,239,221
400	COGOBUY	31,862,658	\$ 341,567,694
404	HSIN CHONG GP	11,523,525	\$ 4,033,234
405	YUEXIU REIT	7,241,000	\$ 33,091,370
410	SOHO CHINA	72,454,191	\$ 301,409,435
412	CHINA INNO FIN	12,455,323	\$ 4,047,980
416	BANKOFJINZHOU	805,000	\$ 7,261,100
419	HUAYI TENCENT	127,603,328	\$ 47,213,231



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
425	MINTH GROUP	12,460,325	\$ 358,857,360
433	NORTH MINING	90,454,082	\$ 14,291,745
434	BOYAA	5,950,981	\$ 22,494,708
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	69,561,000	\$ 209,378,610
440	DAH SING	2,110,227	\$ 125,347,484
451	GCL NEWENERGY	122,535,149	\$ 49,014,060
460	SIHUAN PHARM	85,931,195	\$ 300,759,183
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,459,000	\$ 13,801,410
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	74,521,333	\$ 619,272,277
493	GOME	1,415,632,746	\$ 1,458,101,728
494	LI & FUNG	697,265,810	\$ 2,259,141,224
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	10,048,000	\$ 29,541,120
511	TVB	6,545,048	\$ 195,696,935
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,882,000	\$ 6,285,880
519	APPLIED DEV	680,000	\$ 435,200
521	HNA HOLDING	54,064,000	\$ 14,056,640
522	ASM PACIFIC	25,292,518	\$ 2,938,990,592
525	GUANGSHEN RAIL	22,315,000	\$ 93,499,850
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	4,266,000	\$ 12,371,400
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	221,000	\$ 722,670
543	PACIFIC ONLINE	669,000	\$ 1,257,720
546	FUFENG GROUP	18,492,422	\$ 97,085,216
547	DIGITAL DOMAIN	157,775,761	\$ 53,643,759
548	SHENZHENEXPRESS	1,360,000	\$ 9,696,800
551	YUE YUEN IND	6,447,539	\$ 201,807,971
552	CHINACOMSERVICE	6,050,000	\$ 26,378,000
553	NANJING PANDA	216,000	\$ 1,075,680
563	SH IND URBAN	10,242,200	\$ 16,797,208
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	98,065,781	\$ 448,160,619
576	ZHEJIANGEXPRESS	25,847,200	\$ 248,133,120



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577	THE 13 HOLDINGS	8,934,500	\$ 18,851,795
579	JNCEC	23,827,058	\$ 53,134,339
582	LANDING INTL	241,020,000	\$ 21,691,800
586	CONCH VENTURE	24,465,501	\$ 363,068,035
587	HUA HAN HEALTH	211,770,848	\$ 112,238,549
588	BEIJING N STAR	4,958,000	\$ 14,874,000
590	LUK FOOK HOLD	4,006,537	\$ 112,183,036
598	SINOTRANS	92,836,149	\$ 317,499,630
603	CHINA OIL & GAS	7,200,000	\$ 4,104,000
604	SHENZHEN INVEST	9,924,455	\$ 33,643,902
606	CHINA AGRI	19,671,951	\$ 71,999,341
607	FULLSHARE	699,580,437	\$ 2,378,573,486
621	TAUNG GOLD	8,630,000	\$ 569,580
622	ENERCHINA HOLD	51,282,966	\$ 11,077,121
631	SANY INT'L	11,005,329	\$ 16,618,047
633	CH ALL ACCESS	12,810,000	\$ 28,310,100
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	52,959,288	\$ 69,376,667
651	CHI OCEAN IND	396,844,095	\$ 78,178,287
656	FOSUN INTL	95,823,854	\$ 1,100,057,844
658	C TRANSMISSION	31,042,231	\$ 244,612,780
659	NWS HOLDINGS	964,035	\$ 14,094,192
665	HAITONG INT'L	120,821,900	\$ 497,786,228
669	TECHTRONIC IND	11,586,446	\$ 397,994,420
670	CHINA EAST AIR	113,828,609	\$ 475,803,586
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	90,350,000	\$ 23,942,750
683	KERRY PPT	10,726,487	\$ 307,850,177
686	UNITED PV	94,014,836	\$ 109,057,210
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	51,237,778	\$ 1,122,107,338
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	13,188,370	\$ 143,753,233
696	TRAVELSKY TECH	10,648,370	\$ 220,953,678
697	SHOUGANG INT'L	6,216,880	\$ 1,516,919
698	TONGDA GROUP	250,931,556	\$ 727,701,512
699	CAR INC	105,329,000	\$ 772,061,570
700	TENCENT	119,801,638	\$ 29,207,639,344
702	SINO OIL & GAS	89,785,000	\$ 17,148,935
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	6,952,978	\$ 28,924,388



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715	CHINA OCEANWIDE	20,352,755	\$ 15,671,621
716	SINGAMAS CONT	496,000	\$ 575,360
717	EMPEROR CAPITAL	20,394,974	\$ 12,848,834
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	64,651,827	\$ 19,395,548
726	CM DRAWIN TECH	0	\$ 0
727	CROWNICORP	1,288,000	\$ 1,790,320
728	CHINA TELECOM	122,645,213	\$ 455,013,740
729	FDG EVEHICLES	477,809,869	\$ 167,233,454
732	TRULY INT'L	176,445,050	\$ 460,521,581
735	C P NEW ENERGY	5,244,121	\$ 26,430,370
737	HOPEWELL INFR	8,485,269	\$ 37,844,300
750	SINGYES SOLAR	44,027,973	\$ 157,179,864
751	SKYWORTHDIGITAL	101,115,763	\$ 443,898,200
752	PICO FAR EAST	508,000	\$ 1,564,640
753	AIR CHINA	52,189,523	\$ 354,366,861
754	HOPSON DEV HOLD	1,330,000	\$ 9,576,000
762	CHINA UNICOM	207,579,067	\$ 2,084,093,833
763	ZTE	44,092,195	\$ 631,400,232
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,415,541	\$ 188,087,341
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	74,512,865	\$ 79,728,766
798	CEOVU	0	\$ 0
799	IGG	40,543,870	\$ 444,360,815
801	GOLDEN MEDITECH	6,200,057	\$ 7,440,068
806	VALUE PARTNERS	78,651,293	\$ 552,132,077
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,955,000	\$ 20,005,350
813	SHIMAO PROPERTY	17,301,106	\$ 205,537,139
815	CHI SILVER GP	40,608,449	\$ 61,724,842
816	HUADIAN FUXIN	181,748,952	\$ 327,148,114
817	CHINA JINMAO	65,373,270	\$ 154,280,917
818	HI SUN TECH	15,749,181	\$ 20,473,935
819	TIANNENG POWER	42,283,373	\$ 285,835,601
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	33,306,161	\$ 1,855,153,168
826	TIANGONG INT'L	1,880,000	\$ 1,410,000
829	SHENGUAN HLDGS	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0



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836	CHINA RES POWER	21,349,030	\$ 292,481,711
845	GLORIOUS PPT H	18,494,000	\$ 16,089,780
848	MAOYE INT'L	12,014,517	\$ 9,851,904
853	MICROPORT	0	\$ 0
855	CHINA WATER	29,276,245	\$ 149,308,850
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	182,316,564	\$ 955,338,795
861	DC HOLDINGS	70,454,766	\$ 433,296,811
867	CMS	41,781,409	\$ 564,049,022
868	XINYI GLASS	145,346,801	\$ 1,007,253,331
874	BAIYUNSHAN PH	1,730,000	\$ 36,676,000
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	48,789,664	\$ 345,430,821
881	ZHONGSHENG HLDG	29,589,784	\$ 318,977,872
882	TIANJIN DEV	3,992,000	\$ 19,001,920
883	CNOOC	152,660,177	\$ 1,346,462,761
884	CIFI HOLD GP	39,298,047	\$ 103,353,864
885	RENTIAN TECH	28,170,000	\$ 11,690,550
895	DONGJIANG ENV	4,356,312	\$ 53,844,016
902	HUANENG POWER	234,175,979	\$ 1,222,398,610
903	TPV TECHNOLOGY	2,183,000	\$ 4,889,920
904	CHINA GREEN	5,392,000	\$ 204,896
906	COFCO PACKAGING	0	\$ 0
911	QIANHAI HEALTH	125,877,109	\$ 10,573,677
914	ANHUI CONCH	205,286,583	\$ 5,224,543,537
916	CHINA LONGYUAN	70,412,640	\$ 410,505,691
921	HISENSE KELON	1,496,000	\$ 15,917,440
931	CHINA LNG	663,328,546	\$ 106,795,896
933	BRIGHTOIL	48,212,022	\$ 100,763,126
934	SINOPEC KANTONS	35,315,877	\$ 138,791,397
939	CCB	1,019,107,331	\$ 6,277,701,159
941	CHINA MOBILE	17,851,805	\$ 1,504,907,162
950	LEE'S PHARM	1,329,031	\$ 10,220,248
951	CHAOWEI POWER	13,010,791	\$ 61,280,826
952	QUAM	0	\$ 0
956	CHINA SUNTIEN	28,442,094	\$ 43,800,825
958	HN RENEWABLES	252,989,763	\$ 693,191,951
960	LONGFOR PPT	24,134,181	\$ 308,434,833
963	BLOOMAGE BIOTEC	6,235,850	\$ 82,936,805
966	CHINA TAIPING	34,323,634	\$ 643,911,374
968	XINYI SOLAR	352,979,095	\$ 843,620,037



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973	L'OCCITANE	1,671,661	\$ 25,643,280
976	CHIHO-TIANDE	10,281,636	\$ 41,846,259
978	CHI MER LAND	6,726,000	\$ 9,012,840
980	LIANHUA	8,118,329	\$ 22,731,321
981	SMIC	361,839,395	\$ 3,415,763,889
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
991	DATANG POWER	54,261,807	\$ 124,259,538
992	LENOVO GROUP	796,370,045	\$ 3,894,249,520
995	ANHUIEXPRESSWAY	214,000	\$ 1,292,560
996	CARNIVAL GROUP	170,798,223	\$ 136,638,578
998	CITIC BANK	272,328,942	\$ 1,296,285,764
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,747,278	\$ 6,071,484
1019	CONVOY	53,623,324	\$ 10,885,535
1027	CHINA JICHENG	80,320,000	\$ 15,180,480
1028	C.BANNER	416,000	\$ 1,231,360
1030	FUTURE LAND	22,838,000	\$ 48,644,940
1031	KINGSTON FIN	119,581,155	\$ 315,694,249
1033	SINOPEC SSC	132,496,242	\$ 172,245,115
1038	CKI HOLDINGS	35,953,354	\$ 2,416,065,389
1044	HENGAN INT'L	56,910,837	\$ 3,243,917,709
1045	APT SATELLITE	1,799,614	\$ 7,378,417
1051	G-RESOURCES	48,408,000	\$ 6,438,264
1052	YUEXIUTRANSPORT	22,655,913	\$ 134,123,005
1053	CHONGQING IRON	5,927,200	\$ 6,519,920
1055	CHINA SOUTH AIR	123,559,643	\$ 649,923,722
1060	ALI PICTURES	914,497,777	\$ 1,143,122,221
1063	SUNCORP TECH	113,253,232	\$ 5,209,649
1065	TIANJIN CAPITAL	7,267,923	\$ 35,467,464
1066	WEIGAO GROUP	29,304,345	\$ 167,327,810
1068	YURUN FOOD	81,799,336	\$ 90,797,263
1070	TCL MULTIMEDIA	24,362,260	\$ 94,281,946
1071	HUADIAN POWER	79,943,654	\$ 253,421,383
1072	DONGFANG ELEC	10,851,256	\$ 73,680,028
1076	IMPERIAL PAC	560,695,712	\$ 75,133,225
1083	TOWNGAS CHINA	25,934,713	\$ 120,855,763
1086	GOODBABY INTL	12,945,828	\$ 45,698,773
1088	CHINA SHENHUA	46,959,921	\$ 837,764,991
1089	LEYOU TECH H	43,645,000	\$ 71,577,800
1093	CSPC PHARMA	54,835,737	\$ 598,806,248



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	173,000	\$ 1,899,540
1099	SINOPHARM	10,561,424	\$ 355,919,989
1101	HUARONG ENERGY	26,719,238	\$ 10,420,503
1103	DASHENG AGR FIN	17,928,000	\$ 13,446,000
1108	LUOYANG GLASS	3,540,000	\$ 17,346,000
1109	CHINA RES LAND	34,317,868	\$ 688,073,253
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	25,704,845	\$ 628,483,460
1113	CK PROPERTY	10,028,012	\$ 563,574,274
1114	BRILLIANCE CHI	191,178,439	\$ 2,485,319,707
1115	TIBET WATER	19,445,000	\$ 63,196,250
1117	CH MODERN D	57,628,505	\$ 92,205,608
1122	QINGLING MOTORS	502,000	\$ 1,255,000
1128	WYNN MACAU	136,294,630	\$ 2,205,247,113
1129	WATER INDUSTRY	9,188,240	\$ 16,263,185
1133	HARBIN ELECTRIC	1,612,000	\$ 7,237,880
1136	TCC INT'L HOLD	2,494,000	\$ 8,803,820
1138	COSCO SHIP ENGY	36,414,445	\$ 152,940,669
1140	OP FIN INV	880,000	\$ 2,384,800
1141	SKYWAY SEC	62,090,000	\$ 22,973,300
1157	ZOOMLION	52,207,817	\$ 182,205,281
1165	SFCE	61,359,984	\$ 31,293,592
1168	SINOLINK HOLD	2,722,000	\$ 2,286,480
1169	HAIER ELEC	3,916,630	\$ 70,499,340
1171	YANZHOU COAL	84,821,334	\$ 525,892,271
1176	ZHUGUANG HOLD	6,456,000	\$ 6,972,480
1177	SINO BIOPHARM	192,822,338	\$ 1,205,139,613
1186	CHINA RAIL CONS	187,573,329	\$ 2,025,791,953
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1192	TITAN PETROCHEM	43,980,000	\$ 3,606,360
1193	CHINA RES GAS	48,097,532	\$ 1,226,487,066
1194	MUNSUN CAPITAL	214,728,320	\$ 33,497,618
1196	REALORD GROUP	5,400,000	\$ 24,084,000
1199	COSCO SHIP PORT	37,642,508	\$ 317,326,342
1205	CITIC RESOURCES	52,472,192	\$ 49,848,582
1206	TECHNOVATOR	23,678,000	\$ 68,666,200
1207	SRE GROUP	0	\$ 0
1208	MMG	84,558,445	\$ 194,484,424
1211	BYD COMPANY	112,755,561	\$ 4,910,504,682
1212	LIFESTYLE INT'L	901,500	\$ 9,646,050
1219	TENWOW INT'L	3,072,000	\$ 4,915,200



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	2,062,993	\$ 4,022,836
1230	YASHILI INT'L	32,307,000	\$ 42,645,240
1233	TIMES PPT	347,000	\$ 1,787,050
1234	CHINA LILANG	346,000	\$ 1,736,920
1236	NATIONAL AGRI	35,030,202	\$ 41,685,940
1238	POWERLONG	4,465,371	\$ 15,584,145
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	31,300,000	\$ 51,332,000
1270	LANGHAM-SS	0	\$ 0
1282	CHINA GOLDJOY	103,896,000	\$ 60,259,680
1288	ABC	470,858,120	\$ 1,643,294,839
1293	BAOXIN AUTO	15,434,972	\$ 51,861,506
1297	SINOSOFT TECH	31,790,054	\$ 77,885,632
1299	AIA	56,803,822	\$ 3,101,488,681
1302	LIFETECH SCI	36,044,391	\$ 69,205,231
1303	HUILI RES	0	\$ 0
1308	SITC	3,439,505	\$ 19,192,438
1310	HKBN	11,322,000	\$ 92,840,400
1313	CHINARES CEMENT	56,398,046	\$ 220,516,360
1315	VISION FAME	98,988,000	\$ 93,048,720
1316	NEXTEER	14,557,996	\$ 173,531,312
1317	MAPLELEAF EDU	48,516,000	\$ 317,779,800
1321	CHINA NEWCITY	0	\$ 0
1330	DYNAGREEN ENV	2,569,000	\$ 11,046,700
1332	CHINA TOUYUN	0	\$ 0
1333	CHINA ZHONGWANG	118,216,000	\$ 404,298,720
1336	NCI	10,178,976	\$ 377,640,010
1339	PICC GROUP	93,790,973	\$ 293,565,745
1345	PIONEER PHARM	572,400	\$ 1,745,820
1347	HUA HONG SEMI	0	\$ 0
1349	FUDANZHANGJIANG	3,256,317	\$ 20,449,671
1358	PW MEDTECH	3,402,000	\$ 6,259,680
1359	CHINA CINDA	148,742,823	\$ 420,942,189
1361	361 DEGREES	17,387,249	\$ 43,815,867
1363	CTEG	184,277,393	\$ 265,359,446
1366	JIANGNAN GP	52,664,000	\$ 51,610,720
1368	XTEP INT'L	36,006,686	\$ 109,460,325
1371	CHINA LOTSYN	274,635,877	\$ 62,891,616
1375	CC SECURITIES	36,275,640	\$ 143,288,778



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	10,926,188	\$ 46,436,299
1382	PACIFICTEXTILES	17,148,245	\$ 150,047,144
1385	SHANGHAI FUDAN	66,000	\$ 395,340
1387	RENHE COMM	761,895,323	\$ 152,379,065
1398	ICBC	108,303,362	\$ 537,184,676
1415	COWELL	13,576,000	\$ 32,310,880
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	18,848,000	\$ 42,596,480
1432	CHINA SHENGMU	23,156,000	\$ 35,428,680
1448	FU SHOU YUAN	19,420,195	\$ 95,741,561
1456	GUOLIAN SEC	0	\$ 0
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	64,886,000	\$ 113,550,500
1509	HARMONICARE	1,687,000	\$ 6,629,910
1513	LIVZON PHARMA	61,930	\$ 3,053,149
1515	CRPHOENIXHEALTH	57,352,555	\$ 563,202,090
1528	RS MACALLINE	1,324,000	\$ 10,923,000
1530	3SBIO	76,549,677	\$ 773,151,738
1548	GENSCRIPT BIO	861,538	\$ 3,049,845
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	5,605,120	\$ 18,272,691
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	810,000	\$ 1,344,600
1589	CNLP	11,969,000	\$ 34,111,650
1599	UCD	85,000	\$ 410,550
1600	TIAN LUN GAS	0	\$ 0
1616	SILVERMAN HL	3,402,228	\$ 3,402,228
1618	MCC	30,852,450	\$ 85,769,811
1622	REDCO PPT	2,036,800	\$ 5,988,192
1628	YUZHOU PPT	8,045,400	\$ 27,595,722
1636	CMRU	2,732,000	\$ 8,168,680
1658	PSBC	122,268,672	\$ 595,448,433
1661	WISDOM SPORTS	41,103,000	\$ 66,586,860
1666	TONG REN TANG	5,646,800	\$ 72,730,784
1668	CHINASOUTHCITY	120,754,715	\$ 182,339,620
1680	MACAU LEGEND	51,358,693	\$ 72,929,344
1681	CONSUN PHARMA	238,000	\$ 1,273,300
1685	BOER POWER	22,197,550	\$ 59,933,385



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	44,541,000	\$ 180,836,460
1766	CRRC	354,501,852	\$ 2,602,043,594
1776	GF SEC	48,887,780	\$ 756,782,834
1777	FANTASIA	6,966,000	\$ 7,941,240
1778	COLOUR LIFE	2,125,800	\$ 9,481,068
1786	CRCCE	5,702,000	\$ 19,842,960
1788	GUOTAI JUNAN I	182,293,498	\$ 426,566,785
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	80,771,143	\$ 841,635,310
1803	BJ SPORTS & ENT	580,000	\$ 986,000
1811	CGN NEW ENERGY	26,913,600	\$ 30,143,232
1812	CHENMING PAPER	4,329,800	\$ 37,885,750
1813	KWG PROPERTY	30,819,706	\$ 171,357,565
1816	CGN POWER	565,245,036	\$ 1,305,716,033
1818	ZHAOJIN MINING	82,160,738	\$ 521,720,686
1828	DCH HOLDINGS	5,244,000	\$ 17,619,840
1829	CMEC	7,949,000	\$ 45,468,280
1833	INTIME	13,653,849	\$ 134,900,028
1836	STELLA HOLDINGS	5,258,246	\$ 71,827,640
1848	CALC	807,000	\$ 7,521,240
1862	JINGRUI HLDGS	0	\$ 0
1880	BELLE INT'L	42,788,776	\$ 259,299,983
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,942,351	\$ 93,410,434
1883	CITIC TELECOM	6,759,000	\$ 16,018,830
1886	HUIYUAN JUICE	3,014,000	\$ 8,107,660
1888	KB LAMINATES	800,500	\$ 7,524,700
1893	SINOMA	16,165,616	\$ 40,899,008
1898	CHINA COAL	170,977,635	\$ 601,841,275
1899	XINGDA INT'L	13,366,451	\$ 43,173,637
1910	SAMSONITE	30,730,588	\$ 926,527,228
1913	PRADA	11,959,986	\$ 419,197,509
1918	SUNAC	244,327,104	\$ 2,413,951,788
1919	COSCO SHIP HOLD	148,044,988	\$ 481,146,211
1928	SANDS CHINA LTD	97,548,487	\$ 3,394,687,348
1929	CHOW TAI FOOK	15,889,815	\$ 139,194,779
1958	BAIC MOTOR	54,990,594	\$ 402,531,148
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1970	IMAX CHINA	7,160,397	\$ 261,712,510
1972	SWIREPROPERTIES	25,013,438	\$ 636,591,997
1980	TIANGE	22,618,228	\$ 128,245,353
1988	MINSHENG BANK	520,719,506	\$ 3,816,873,979
1999	MAN WAH HLDGS	8,097,191	\$ 51,093,275
2005	SSY GROUP	14,227,511	\$ 42,682,533
2006	JINJIANG HOTELS	45,588,498	\$ 100,294,696
2007	COUNTRY GARDEN	363,934,416	\$ 2,627,606,484
2008	PHOENIX TV	10,556,000	\$ 13,828,360
2009	BBMG	235,318,433	\$ 915,388,704
2014	OZNER WATER	33,245,000	\$ 66,157,550
2016	CZBANK	0	\$ 0
2018	AAC TECH	48,630,888	\$ 5,208,368,105
2020	ANTA SPORTS	72,878,652	\$ 1,581,466,748
2038	FIH	9,802,000	\$ 26,073,320
2039	CIMC	5,503,427	\$ 69,893,523
2066	SHENGJINGBANK	1,952,500	\$ 12,964,600
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	222,000	\$ 1,516,260
2128	CHINA LESSO	19,074,000	\$ 116,923,620
2136	LIFESTYLE CHI	0	\$ 0
2168	YINGDE GASES	0	\$ 0
2186	LUYE PHARMA	143,535,903	\$ 677,489,462
2196	FOSUN PHARMA	18,784,347	\$ 555,077,454
2199	REGINA MIRACLE	24,173,000	\$ 142,378,970
2200	HOSA INT'L	8,402,090	\$ 20,669,141
2202	CHINA VANKE	47,233,028	\$ 917,265,404
2208	GOLDWIND	36,862,034	\$ 408,431,337
2211	UNI HEALTH	31,656,111	\$ 7,850,716
2226	HONWORLD GP	119,500	\$ 500,705
2233	WESTCHINACEMENT	98,831,843	\$ 109,703,346
2238	GAC GROUP	126,829,928	\$ 1,623,423,078
2255	HAICHANG HLDG	5,935,000	\$ 10,148,850
2277	HUARONG INV	0	\$ 0
2280	HC INTL	27,518,000	\$ 189,599,020
2282	MGM CHINA	54,324,595	\$ 924,604,607
2298	COSMO LADY	77,554,664	\$ 177,600,181
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHO INTL	75,487,408	\$ 3,910,247,734
2314	LEE & MAN PAPER	21,153,161	\$ 127,553,561
2318	PING AN	986,879,624	\$ 42,386,479,851



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	65,806,870	\$ 942,354,378
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	41,792,035	\$ 16,298,894
2328	PICC P&C	56,441,355	\$ 694,228,667
2329	GUORUI PPT	6,198,000	\$ 14,255,400
2331	LI NING	100,540,026	\$ 512,754,133
2333	GREATWALL MOTOR	713,032,370	\$ 5,732,780,255
2338	WEICHAI POWER	163,846,827	\$ 1,959,608,051
2342	COMBA	6,145,347	\$ 7,005,696
2343	PACIFIC BASIN	209,216,304	\$ 297,087,152
2348	DAWNRAYS PHARMA	674,000	\$ 3,127,360
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	1,520,783	\$ 24,849,594
2357	AVICHINA	115,512,374	\$ 597,198,974
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	97,763,766	\$ 277,649,095
2382	SUNNY OPTICAL	32,042,751	\$ 2,005,876,213
2383	TOM GROUP	1,899,003	\$ 3,551,136
2386	SINOPEC SEG	7,354,153	\$ 54,200,108
2388	BOC HONG KONG	50,113,165	\$ 1,623,666,546
2389	BJ ENT M&H	30,174,000	\$ 17,199,180
2393	YESTAR HEALTH	5,335,468	\$ 20,648,261
2488	LAUNCH TECH	562,000	\$ 6,350,600
2588	BOC AVIATION	1,810,748	\$ 75,417,654
2600	CHALCO	596,440,629	\$ 2,111,399,827
2601	CPIC	19,139,992	\$ 532,091,778
2607	SH PHARMA	8,213,621	\$ 166,736,506
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	91,646,609	\$ 2,098,707,346
2638	HKELECTRIC-SS	190,321,249	\$ 1,343,668,018
2666	UNI MEDICAL	27,860,052	\$ 183,597,743
2669	CHINA OVS PPT	94,834,557	\$ 125,181,615
2678	TEXHONG TEXTILE	3,488,595	\$ 32,792,793
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	11,951,514	\$ 494,792,680
2689	ND PAPER	72,327,106	\$ 595,252,082
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	315,187,539	\$ 1,106,308,262
2768	JIAYUAN INTL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2777	R&F PROPERTIES	59,763,953	\$ 725,534,389
2778	CHAMPION REIT	9,187,000	\$ 46,118,740
2799	CHINA HUARONG	257,812,831	\$ 840,469,829
2800	TRACKER FUND	18,599,427	\$ 458,475,876
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	59,855,186	\$ 682,349,120
2823	X ISHARES A50	131,461,261	\$ 1,451,332,321
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	6,239,800	\$ 623,356,020
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	185,283,818	\$ 303,865,462
2868	BJ CAPITAL LAND	15,796,770	\$ 57,816,178
2869	GREENTOWN SER	17,384,000	\$ 51,804,320
2877	SHINEWAY PHARM	2,009,000	\$ 19,427,030
2880	DALIAN PORT	55,901,030	\$ 76,025,401
2883	CHINA OILFIELD	153,692,868	\$ 1,018,983,715
2888	STANCHART	1,731,050	\$ 123,077,655
2899	ZIJIN MINING	171,602,227	\$ 444,449,768
3005	X DBCSI300IND	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	1,835,120	\$ 162,041,096
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTQ HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	35,741,520	\$ 1,393,919,280
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	2,975,000	\$ 19,754,000
3308	GOLDEN EAGLE	31,246,957	\$ 368,714,093
3311	CHINA STATE CON	51,881,722	\$ 685,876,365
3315	GOLDPAC GROUP	663,000	\$ 1,876,290
3323	CNBM	202,864,689	\$ 918,977,041
3328	BANKCOMM	105,554,062	\$ 610,102,478
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	641,101,705	\$ 4,853,139,907
3336	JU TENG INTL	2,808,000	\$ 7,693,920
3337	ANTON OILFIELD	43,014,345	\$ 34,841,619
3339	LONKING	37,547,400	\$ 78,474,066
3360	FE HORIZON	37,730,286	\$ 268,639,636
3368	PARKSON GROUP	42,056,910	\$ 44,580,325
3369	QHD PORT	3,036,500	\$ 6,589,205
3377	SINO-OCEAN GP	23,253,001	\$ 83,478,274
3378	XIAMEN PORT	2,644,000	\$ 4,071,760
3380	LOGAN PPT	15,862,953	\$ 67,576,180
3382	TIANJINPORT DEV	2,420,000	\$ 3,242,800
3383	AGILE GROUP	114,667,193	\$ 744,190,083
3389	HENGDELI	11,316,000	\$ 10,297,560
3393	WASION GROUP	46,054,889	\$ 174,087,480
3396	LEGENDHOLDING	2,692,986	\$ 61,804,029
3606	FUYAO GLASS	33,369,545	\$ 941,021,169
3618	CQRC BANK	47,344,865	\$ 243,352,606
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	321,600	\$ 6,496,320
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	14,838,000	\$ 104,904,660



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3709	KORADIOR	1,290,000	\$ 10,848,900
3788	CHINA HANKING	523,000	\$ 674,670
3799	DALI FOODS	61,263,500	\$ 281,199,465
3800	GCL-POLY ENERGY	2,058,543,210	\$ 1,955,616,050
3808	SINOTRUK	43,735,016	\$ 226,547,383
3813	POU SHENG INT'L	86,585,431	\$ 145,463,524
3818	CHINA DONGXIANG	39,983,913	\$ 59,975,870
3836	HARMONY AUTO	40,248,391	\$ 103,840,849
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	2,611,853	\$ 5,824,432
3886	TOWN HEALTH	87,057,034	\$ 107,950,722
3888	KINGSOFT	61,166,862	\$ 1,284,504,102
3898	CRRC TIMES ELEC	34,974,008	\$ 1,404,206,421
3899	CIMC ENRIC	19,598,132	\$ 83,880,005
3900	GREENTOWN CHINA	67,680,131	\$ 481,882,533
3908	CICC	5,762,196	\$ 65,919,522
3918	NAGACORP	10,252,246	\$ 43,469,523
3933	UNITED LAB	131,864,141	\$ 628,991,953
3948	YITAI COAL	0	\$ 0
3958	DFZQ	2,162,400	\$ 15,785,520
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	159,822,278	\$ 3,174,070,441
3969	CHINA CRSC	626,000	\$ 3,749,740
3983	CHINA BLUECHEM	28,286,430	\$ 59,118,639
3988	BANK OF CHINA	211,112,225	\$ 776,892,988
3989	CAPITAL ENV	19,511,824	\$ 4,487,720
3993	CMOC	174,036,617	\$ 400,284,219
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,780,080
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	93,728,414	\$ 1,471,536,100
6136	KANGDA ENV	3,630,000	\$ 6,425,100
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	534,200	\$ 5,897,568
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,266,000	\$ 13,880,500
6808	SUNART RETAIL	145,632,562	\$ 1,084,962,587
6818	CEB BANK	118,316,512	\$ 417,657,287
6823	HKT-SS	17,941,544	\$ 177,262,455
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	43,336,000	\$ 24,701,520
6837	HAITONG SEC	86,221,189	\$ 1,076,040,439
6839	YUNNAN WATER	303,000	\$ 1,145,340
6863	HUIZHAN DAIRY	424,797,767	\$ 178,415,062
6869	YOFC	6,286,000	\$ 95,170,040
6878	DIFFER GROUP	10,486,090	\$ 6,815,959
6881	CGS	105,062,605	\$ 704,970,080
6886	HTSC	38,963,238	\$ 555,615,774
6889	DYNAM JAPAN	191,600	\$ 2,965,968
6899	OURGAME	2,176,000	\$ 5,896,960
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7328	FISAMSUNGSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,607,733	\$ 4,509,666
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	11,096,000	\$ 9,431,600
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	9,900,000	\$ 2,970,000
8083	INNOVATIONPAY	41,992,146	\$ 20,366,191
8127	SEASON PACIFIC	0	\$ 0
8137	HONBRIDGE	2,454,000	\$ 2,036,820
8138	TONGRENTANGCM	245,000	\$ 2,739,100
8158	CRMI	24,235,000	\$ 6,785,800
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	111,048,000	\$ 113,268,960
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	724,000	\$ 2,794,640
8279	AGTECH HOLDINGS	32,132,000	\$ 46,591,400
8325	CHINA SMARTPAY	7,520,000	\$ 8,723,200
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	394,120	\$ 34,647,089
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARES KKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 05/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0