



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,838,992	\$ 1,441,608,073
2	CLP HOLDINGS	18,119,159	\$ 1,486,676,996
3	HK & CHINA GAS	245,023,306	\$ 3,807,662,175
4	WHARF HOLDINGS	32,165,120	\$ 2,137,372,224
5	HSBC HOLDINGS	38,019,862	\$ 2,442,776,134
6	POWER ASSETS	15,295,026	\$ 1,070,651,820
8	PCCW	66,186,401	\$ 290,558,300
10	HANG LUNG GROUP	7,979,463	\$ 258,933,574
11	HANG SENG BANK	16,074,151	\$ 2,534,893,613
12	HENDERSON LAND	12,753,011	\$ 628,723,442
14	HYSAN DEV	7,464,077	\$ 273,931,626
16	SHK PPT	15,711,527	\$ 1,833,535,201
17	NEW WORLD DEV	45,249,578	\$ 438,468,411
19	SWIRE PACIFIC A	7,381,001	\$ 552,836,975
20	WHEELLOCK	7,871,874	\$ 477,429,158
23	BANK OF E ASIA	55,311,277	\$ 1,781,023,119
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	65,342,611	\$ 2,829,335,056
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,058,000	\$ 25,982,640
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	5,180,000	\$ 21,756,000
39	CH BEIDAHUANG	26,593,714	\$ 10,770,454
41	GREAT EAGLE H	158,707	\$ 6,126,090
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,583,301	\$ 14,455,538
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	579,500	\$ 17,500,900
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,596,500	\$ 47,416,050
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,450,000	\$ 661,500
66	MTR CORPORATION	53,396,884	\$ 2,392,180,403
69	SHANGRI-LA ASIA	12,296,992	\$ 136,988,491
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	1,093,000	\$ 4,361,070
83	SINO LAND	24,047,190	\$ 316,941,964
85	CHINA ELECTRON	24,163,900	\$ 35,279,294
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	175,000	\$ 1,281,000
95	LVGEM CHINA	2,080,000	\$ 4,160,000
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	26,332,267	\$ 537,178,247
102	SUMMIT ASCENT	70,121,060	\$ 140,943,331
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	10,980,086	\$ 37,442,093
109	GOODRESOURCES	22,917,500	\$ 9,167,000
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	92,437,835	\$ 294,876,694
123	YUEXIU PROPERTY	49,381,800	\$ 65,183,976
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,223,240
135	KUNLUN ENERGY	318,764,465	\$ 2,240,914,189
139	CH SOFT POWER	25,802,000	\$ 5,934,460
142	FIRST PACIFIC	13,057,670	\$ 78,215,443
144	CHINA MER PORT	11,639,065	\$ 258,969,196
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	893,800	\$ 25,071,090
151	WANT WANT CHINA	770,191,954	\$ 4,313,074,942
152	SHENZHEN INT'L	7,419,983	\$ 96,904,978
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	1,172,000	\$ 2,812,800
164	CHINA BAOLI TEC	15,975,000	\$ 3,258,900
165	CHINA EB LTD	24,920,000	\$ 443,576,000
168	TSINGTAO BREW	26,949,376	\$ 943,228,160
171	SILVER GRANT	1,872,000	\$ 2,246,400
173	K. WAH INT'L	1,247,767	\$ 6,163,969
175	GEELY AUTO	627,248,330	\$ 6,586,107,465
177	JIANGSU EXPRESS	18,433,267	\$ 211,613,905
178	SA SA INT'L	27,548,331	\$ 91,735,942
179	JOHNSON ELEC H	2,141,206	\$ 51,281,884
182	CONCORD NE	69,610,000	\$ 25,755,700
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	19,262,347	\$ 15,217,254
198	SMI HOLDINGS	248,819,936	\$ 169,197,556
200	MELCO INT'L DEV	20,753,184	\$ 332,050,944
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	40,832,000	\$ 49,815,040
215	HUTCHTEL HK	28,904,000	\$ 66,479,200
217	CHINA CHENGTONG	1,266,163	\$ 614,089
218	SHENWANHONGYUAN	7,970,000	\$ 23,910,000
220	U-PRESID CHINA	88,138,778	\$ 481,237,728
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	50,804,000	\$ 22,099,740
235	CHINA STRATEGIC	198,187,776	\$ 29,529,979
241	ALI HEALTH	102,357,161	\$ 310,142,198
242	SHUN TAK HOLD	36,003,068	\$ 102,248,713
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	29,248,531	\$ 12,723,111
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 8,958,480
256	CITYCHAMP	12,114,000	\$ 20,957,220
257	CHINA EB INT'L	116,696,831	\$ 1,227,650,662
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	87,526,919	\$ 987,303,646
268	KINGDEE INT'L	218,400,275	\$ 718,536,905
270	GUANGDONG INV	48,121,868	\$ 579,387,291
272	SHUI ON LAND	57,331,378	\$ 99,183,284
273	MASON FIN	78,073,775	\$ 9,290,779
275	MASTER GLORY GP	61,890,000	\$ 12,625,560
283	GOLDIN PPT	4,606,000	\$ 39,012,820
285	BYD ELECTRONIC	60,692,248	\$ 721,023,906
286	CS HEALTH	0	\$ 0
288	WH GROUP	60,981,507	\$ 423,821,474
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	25,069,759	\$ 469,305,888
293	CATHAY PAC AIR	103,523,399	\$ 1,159,462,069
297	SINOFERT	166,119,347	\$ 172,764,121
299	NEW SPORTS	105,230,000	\$ 7,260,870
303	VTECH HOLDINGS	3,637,421	\$ 358,467,840
308	CHINA TRAVEL HK	170,158,491	\$ 382,856,605



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
315	SMARTONE TELE	10,687,636	\$ 116,495,232
316	OOIL	6,205,870	\$ 257,543,605
317	COMEC	12,198,133	\$ 189,315,024
321	TEXWINCA HOLD	12,813,907	\$ 66,888,595
322	TINGYI	223,626,587	\$ 2,234,029,604
323	MAANSHAN IRON	130,971,555	\$ 344,455,190
327	PAX GLOBAL	96,937,693	\$ 467,239,680
330	ESPRIT HOLDINGS	48,169,863	\$ 290,945,973
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,854,000	\$ 16,418,040
337	GREENLAND HK	18,265,941	\$ 45,847,512
338	SHANGHAI PECEM	71,685,464	\$ 311,831,768
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,865,000	\$ 97,784,500
342	NEWOCEAN ENERGY	48,360,633	\$ 113,647,488
345	VITASOY INT'L	10,007,407	\$ 153,913,920
346	YANCHANG PETRO	0	\$ 0
347	ANGANG STEEL	117,213,330	\$ 613,025,716
354	CHINASOFT INT'L	55,265,359	\$ 258,641,880
358	JIANGXI COPPER	46,535,669	\$ 564,943,022
363	SHANGHAI IND H	908,000	\$ 22,336,800
368	SINOTRANS SHIP	55,305,500	\$ 96,784,625
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	173,786,052	\$ 1,035,764,870
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,608,000	\$ 6,168,800
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	69,789,781	\$ 851,435,328
386	SINOPEC CORP	105,880,228	\$ 667,045,436
388	HKEX	38,221,565	\$ 7,323,251,854
390	CHINA RAILWAY	128,308,543	\$ 846,836,384
392	BEIJING ENT	11,323,778	\$ 430,303,564
400	COGOBUY	31,340,658	\$ 351,015,370
404	HSIN CHONG GP	11,523,431	\$ 4,033,201
405	YUEXIU REIT	7,241,000	\$ 33,163,780
410	SOHO CHINA	68,754,689	\$ 291,519,881
412	CHINA INNO FIN	12,455,323	\$ 4,234,810
416	BANKOFJINZHOU	805,000	\$ 7,261,100
419	HUAYI TENCENT	126,843,328	\$ 46,932,031



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
425	MINTH GROUP	13,112,525	\$ 378,951,973
433	NORTH MINING	89,894,082	\$ 14,383,053
434	BOYAA	5,292,981	\$ 21,013,135
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	69,568,000	\$ 215,660,800
440	DAH SING	2,255,340	\$ 133,065,060
451	GCL NEWENERGY	118,455,149	\$ 47,974,335
460	SIHUAN PHARM	82,509,195	\$ 285,481,815
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,522,000	\$ 14,193,660
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	73,790,328	\$ 603,604,883
493	GOME	1,408,515,746	\$ 1,493,026,691
494	LI & FUNG	659,441,334	\$ 2,149,778,749
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	7,632,000	\$ 22,896,000
511	TVB	6,545,048	\$ 195,696,935
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,842,000	\$ 6,152,280
519	APPLIED DEV	1,300,000	\$ 858,000
521	HNA HOLDING	46,024,000	\$ 12,656,600
522	ASM PACIFIC	24,873,701	\$ 2,880,374,576
525	GUANGSHEN RAIL	18,561,000	\$ 78,327,420
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	4,218,000	\$ 12,527,460
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	221,000	\$ 758,030
543	PACIFIC ONLINE	647,000	\$ 1,216,360
546	FUFENG GROUP	16,615,930	\$ 87,898,270
547	DIGITAL DOMAIN	156,625,761	\$ 55,602,145
548	SHENZHENEXPRESS	1,342,000	\$ 9,514,780
551	YUE YUEN IND	7,497,770	\$ 230,556,428
552	CHINACOMSERVICE	7,970,000	\$ 35,307,100
553	NANJING PANDA	216,000	\$ 1,248,480
563	SH IND URBAN	8,856,000	\$ 14,878,080
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	98,273,781	\$ 448,128,441
576	ZHEJIANGEXPRESS	24,735,200	\$ 239,436,736



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577	THE 13 HOLDINGS	8,364,000	\$ 19,237,200
579	JNCEC	23,125,058	\$ 54,575,137
582	LANDING INTL	161,980,000	\$ 10,690,680
586	CONCH VENTURE	23,702,001	\$ 367,381,016
587	HUA HAN HEALTH	211,770,848	\$ 112,238,549
588	BEIJING N STAR	4,072,000	\$ 12,256,720
590	LUK FOOK HOLD	4,285,525	\$ 121,923,186
598	SINOTRANS	90,283,935	\$ 315,993,773
603	CHINA OIL & GAS	7,200,000	\$ 4,104,000
604	SHENZHEN INVEST	9,786,455	\$ 34,546,186
606	CHINA AGRI	19,433,951	\$ 74,820,711
607	FULLSHARE	668,837,207	\$ 1,685,469,762
621	TAUNG GOLD	8,740,000	\$ 646,760
622	ENERCHINA HOLD	51,222,483	\$ 11,268,946
631	SANY INT'L	11,350,329	\$ 17,252,500
633	CH ALL ACCESS	12,862,000	\$ 28,425,020
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	53,911,288	\$ 77,093,142
651	CHI OCEAN IND	394,509,095	\$ 74,562,219
656	FOSUN INTL	97,221,420	\$ 1,145,268,328
658	C TRANSMISSION	35,286,231	\$ 266,763,906
659	NWS HOLDINGS	2,634,565	\$ 38,517,340
665	HAITONG INT'L	120,291,838	\$ 507,631,556
669	TECHTRONIC IND	10,569,249	\$ 353,012,917
670	CHINA EAST AIR	130,354,556	\$ 531,846,588
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	86,850,000	\$ 23,015,250
683	KERRY PPT	10,391,654	\$ 302,397,131
686	UNITED PV	88,172,836	\$ 105,807,403
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	44,519,510	\$ 1,006,140,926
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	15,104,370	\$ 165,845,983
696	TRAVELSKY TECH	9,744,220	\$ 199,756,510
697	SHOUGANG INT'L	6,544,880	\$ 1,616,585
698	TONGDA GROUP	239,031,556	\$ 731,436,561
699	CAR INC	105,784,000	\$ 776,454,560
700	TENCENT	117,483,493	\$ 28,595,482,196
702	SINO OIL & GAS	88,835,000	\$ 16,700,980
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	6,952,978	\$ 29,063,448



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715	CHINA OCEANWIDE	20,800,755	\$ 16,016,581
716	SINGAMAS CONT	493,513	\$ 651,437
717	EMPEROR CAPITAL	20,394,974	\$ 13,664,633
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	63,521,827	\$ 18,738,939
726	CM DRAWIN TECH	0	\$ 0
727	CROWNICORP	1,288,000	\$ 1,803,200
728	CHINA TELECOM	120,083,145	\$ 456,315,951
729	FDG EVEHICLES	474,459,869	\$ 170,805,553
732	TRULY INT'L	166,004,254	\$ 438,251,231
735	C P NEW ENERGY	5,137,121	\$ 24,401,325
737	HOPEWELL INFR	8,524,769	\$ 38,361,461
750	SINGYES SOLAR	43,657,974	\$ 158,915,025
751	SKYWORTHDIGITAL	99,181,492	\$ 448,300,344
752	PICO FAR EAST	508,000	\$ 1,600,200
753	AIR CHINA	55,877,111	\$ 384,993,295
754	HOPSON DEV HOLD	1,342,000	\$ 9,957,640
762	CHINA UNICOM	207,150,857	\$ 2,079,794,604
763	ZTE	43,743,271	\$ 656,149,065
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,557,066	\$ 193,389,692
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	70,542,865	\$ 64,899,436
798	CEOVU	0	\$ 0
799	IGG	42,772,870	\$ 506,430,781
801	GOLDEN MEDITECH	6,169,847	\$ 7,650,610
806	VALUE PARTNERS	78,604,259	\$ 565,950,665
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,526,000	\$ 17,454,660
813	SHIMAO PROPERTY	16,094,606	\$ 201,182,575
815	CHI SILVER GP	38,333,949	\$ 54,817,547
816	HUADIAN FUXIN	168,899,278	\$ 304,018,700
817	CHINA JINMAO	60,778,270	\$ 153,161,240
818	HI SUN TECH	15,758,181	\$ 20,643,217
819	TIANNENG POWER	40,135,373	\$ 273,321,890
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	35,620,177	\$ 1,992,948,903
826	TIANGONG INT'L	1,410,000	\$ 1,085,700
829	SHENGUAN HLDGS	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0



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836	CHINA RES POWER	19,585,030	\$ 274,582,121
845	GLORIOUS PPT H	19,193,000	\$ 17,465,630
848	MAOYE INT'L	11,514,517	\$ 9,672,194
853	MICROPORT	0	\$ 0
855	CHINA WATER	28,550,245	\$ 148,461,274
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	154,642,661	\$ 847,441,782
861	DC HOLDINGS	71,768,032	\$ 459,315,405
867	CMS	40,791,565	\$ 548,238,634
868	XINYI GLASS	144,868,572	\$ 999,593,147
874	BAIYUNSHAN PH	1,978,000	\$ 44,010,500
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	51,225,103	\$ 386,749,528
881	ZHONGSHENG HLDG	28,606,153	\$ 314,095,560
882	TIANJIN DEV	2,728,000	\$ 13,339,920
883	CNOOC	137,682,127	\$ 1,248,776,892
884	CIFI HOLD GP	40,424,047	\$ 113,591,572
885	RENTIAN TECH	27,870,000	\$ 12,123,450
895	DONGJIANG ENV	3,943,736	\$ 50,795,320
902	HUANENG POWER	228,749,090	\$ 1,228,382,613
903	TPV TECHNOLOGY	0	\$ 0
904	CHINA GREEN	5,392,000	\$ 194,112
906	COFCO PACKAGING	0	\$ 0
911	QIANHAI HEALTH	128,707,109	\$ 9,524,326
914	ANHUI CONCH	205,529,541	\$ 5,600,679,992
916	CHINA LONGYUAN	70,904,640	\$ 424,718,794
921	HISENSE KELON	1,711,800	\$ 18,624,384
931	CHINA LNG	657,607,895	\$ 107,190,087
933	BRIGHTOIL	48,083,922	\$ 101,457,075
934	SINOPEC KANTONS	32,209,877	\$ 127,873,212
939	CCB	1,018,769,231	\$ 6,438,621,540
941	CHINA MOBILE	16,930,420	\$ 1,404,378,339
950	LEE'S PHARM	1,591,270	\$ 12,284,604
951	CHAOWEI POWER	11,731,791	\$ 58,072,365
952	QUAM	0	\$ 0
956	CHINA SUNTIEN	28,831,094	\$ 47,571,305
958	HN RENEWABLES	246,055,763	\$ 669,271,675
960	LONGFOR PPT	21,635,921	\$ 291,652,215
963	BLOOMAGE BIOTEC	6,318,350	\$ 83,402,220
966	CHINA TAIPING	36,268,957	\$ 703,617,766
968	XINYI SOLAR	360,595,435	\$ 876,246,907



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973	L'OCCITANE	1,688,911	\$ 27,529,249
976	CHIHO-TIANDE	9,927,636	\$ 43,681,598
978	CHI MER LAND	6,726,000	\$ 9,752,700
980	LIANHUA	8,145,329	\$ 27,286,852
981	SMIC	356,195,482	\$ 3,512,087,453
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
991	DATANG POWER	50,307,807	\$ 116,714,112
992	LENOVO GROUP	789,037,399	\$ 3,929,406,247
995	ANHUIEXPRESSWAY	172,000	\$ 1,061,240
996	CARNIVAL GROUP	171,808,223	\$ 137,446,578
998	CITIC BANK	204,793,906	\$ 1,009,633,957
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,747,278	\$ 6,153,903
1019	CONVOY	54,103,176	\$ 10,928,842
1027	CHINA JICHENG	80,320,000	\$ 15,421,440
1028	C.BANNER	416,000	\$ 1,231,360
1030	FUTURE LAND	21,294,000	\$ 48,976,200
1031	KINGSTON FIN	113,181,155	\$ 304,457,307
1033	SINOPEC SSC	130,352,242	\$ 177,279,049
1038	CKI HOLDINGS	33,580,885	\$ 2,288,537,313
1044	HENGAN INT'L	58,114,085	\$ 3,382,239,747
1045	APT SATELLITE	1,821,614	\$ 7,687,211
1051	G-RESOURCES	46,722,000	\$ 6,400,914
1052	YUEXIUTRANSPORT	22,784,000	\$ 136,704,000
1053	CHONGQING IRON	3,531,200	\$ 4,378,688
1055	CHINA SOUTH AIR	130,639,406	\$ 676,712,123
1060	ALI PICTURES	900,852,777	\$ 1,135,074,499
1063	SUNCORP TECH	110,553,232	\$ 5,196,002
1065	TIANJIN CAPITAL	5,657,924	\$ 29,930,418
1066	WEIGAO GROUP	30,164,345	\$ 171,031,836
1068	YURUN FOOD	80,000,072	\$ 93,600,084
1070	TCL MULTIMEDIA	24,092,260	\$ 92,755,201
1071	HUADIAN POWER	78,279,654	\$ 256,757,265
1072	DONGFANG ELEC	11,309,656	\$ 77,584,240
1076	IMPERIAL PAC	563,695,712	\$ 76,098,921
1083	TOWNGAS CHINA	24,747,399	\$ 115,075,405
1086	GOODBABY INTL	11,867,000	\$ 41,890,510
1088	CHINA SHENHUA	45,207,986	\$ 820,072,866
1089	LEYOU TECH H	44,980,000	\$ 71,968,000
1093	CSPC PHARMA	57,579,561	\$ 621,859,259



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	337,000	\$ 3,888,980
1099	SINOPHARM	12,496,025	\$ 436,111,273
1101	HUARONG ENERGY	26,719,238	\$ 11,088,484
1103	DASHENG AGR FIN	25,224,000	\$ 19,422,480
1108	LUOYANG GLASS	3,510,000	\$ 17,901,000
1109	CHINA RES LAND	34,010,463	\$ 734,626,001
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	25,435,600	\$ 642,248,900
1113	CK PROPERTY	7,400,273	\$ 412,935,233
1114	BRILLIANCE CHI	181,820,438	\$ 2,370,938,512
1115	TIBET WATER	19,387,000	\$ 63,007,750
1117	CH MODERN D	57,556,662	\$ 96,695,192
1122	QINGLING MOTORS	502,000	\$ 1,290,140
1128	WYNN MACAU	128,306,233	\$ 2,194,036,584
1129	WATER INDUSTRY	8,056,240	\$ 14,178,982
1133	HARBIN ELECTRIC	1,512,000	\$ 7,197,120
1136	TCC INT'L HOLD	1,660,000	\$ 5,909,600
1138	COSCO SHIP ENGY	33,720,445	\$ 143,311,891
1140	OP FIN INV	1,264,000	\$ 3,615,040
1141	SKYWAY SEC	66,410,000	\$ 26,564,000
1157	ZOOMLION	45,905,453	\$ 169,391,122
1165	SFCE	61,521,545	\$ 29,530,342
1168	SINOLINK HOLD	2,722,000	\$ 2,286,480
1169	HAIER ELEC	5,275,691	\$ 95,278,979
1171	YANZHOU COAL	89,175,906	\$ 600,153,847
1176	ZHUGUANG HOLD	6,658,000	\$ 7,323,800
1177	SINO BIOPHARM	197,545,107	\$ 1,264,288,685
1186	CHINA RAIL CONS	186,689,616	\$ 2,031,183,022
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1192	TITAN PETROCHEM	41,880,000	\$ 3,811,080
1193	CHINA RES GAS	47,409,532	\$ 1,244,500,215
1194	MUNSUN CAPITAL	202,086,320	\$ 15,156,474
1196	REALORD GROUP	5,378,000	\$ 23,824,540
1199	COSCO SHIP PORT	36,938,508	\$ 314,716,088
1205	CITIC RESOURCES	52,594,192	\$ 49,438,540
1206	TECHNOVATOR	21,418,000	\$ 63,183,100
1207	SRE GROUP	0	\$ 0
1208	MMG	76,886,445	\$ 204,517,944
1211	BYD COMPANY	112,229,621	\$ 5,145,728,123
1212	LIFESTYLE INT'L	984,500	\$ 10,927,950
1219	TENWOW INT'L	3,115,000	\$ 5,077,450



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,889,000	\$ 11,778,000
1230	YASHILI INT'L	31,884,000	\$ 43,362,240
1233	TIMES PPT	431,000	\$ 2,336,020
1234	CHINA LILANG	293,000	\$ 1,435,700
1236	NATIONAL AGRI	37,194,202	\$ 44,261,100
1238	POWERLONG	4,395,371	\$ 16,087,058
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	31,912,000	\$ 52,654,800
1270	LANGHAM-SS	0	\$ 0
1282	CHINA GOLDJOY	106,588,000	\$ 65,018,680
1288	ABC	470,381,767	\$ 1,688,670,544
1293	BAOXIN AUTO	13,848,972	\$ 49,856,299
1297	SINOSOFT TECH	31,387,054	\$ 77,212,153
1299	AIA	56,795,908	\$ 3,058,459,646
1302	LIFETECH SCI	36,267,367	\$ 72,534,734
1303	HUILI RES	0	\$ 0
1308	SITC	3,880,505	\$ 21,614,413
1310	HKBN	9,806,595	\$ 82,473,464
1313	CHINARES CEMENT	48,450,046	\$ 206,397,196
1315	VISION FAME	99,082,000	\$ 94,127,900
1316	NEXTEER	14,548,996	\$ 176,333,832
1317	MAPLELEAF EDU	51,444,000	\$ 350,333,640
1321	CHINA NEWCITY	0	\$ 0
1330	DYNAGREEN ENV	2,881,000	\$ 12,935,690
1332	CHINA TOUYUN	2,960,000	\$ 858,400
1333	CHINA ZHONGWANG	101,502,400	\$ 358,303,472
1336	NCI	11,269,171	\$ 433,299,625
1339	PICC GROUP	92,298,728	\$ 296,278,917
1345	PIONEER PHARM	572,400	\$ 1,659,960
1347	HUA HONG SEMI	0	\$ 0
1349	FUDANZHANGJIANG	3,237,067	\$ 20,425,893
1358	PW MEDTECH	3,957,000	\$ 7,320,450
1359	CHINA CINDA	158,522,723	\$ 469,227,260
1361	361 DEGREES	18,508,249	\$ 48,491,612
1363	CTEG	179,683,393	\$ 260,540,920
1366	JIANGNAN GP	47,952,000	\$ 47,952,000
1368	XTEP INT'L	36,603,186	\$ 113,469,877
1371	CHINA LOTSYN	277,380,346	\$ 64,907,001
1375	CC SECURITIES	37,729,640	\$ 155,823,413



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	11,606,188	\$ 51,531,475
1382	PACIFICTEXTILES	18,014,219	\$ 155,462,710
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	760,923,323	\$ 151,423,741
1398	ICBC	96,015,745	\$ 487,759,985
1415	COWELL	13,415,000	\$ 33,269,200
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	18,718,000	\$ 43,051,400
1432	CHINA SHENGMU	16,802,000	\$ 27,387,260
1448	FU SHOU YUAN	20,449,195	\$ 101,223,515
1456	GUOLIAN SEC	0	\$ 0
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	73,318,000	\$ 131,239,220
1509	HARMONICARE	1,612,000	\$ 6,609,200
1513	LIVZON PHARMA	29,400	\$ 1,443,540
1515	CRPHOENIXHEALTH	57,235,555	\$ 571,783,194
1528	RS MACALLINE	1,360,400	\$ 11,318,528
1530	3SBIO	78,565,677	\$ 815,511,727
1548	GENSCRIPT BIO	383,698	\$ 1,327,595
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	5,320,120	\$ 17,343,591
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	810,000	\$ 1,352,700
1589	CNLP	12,104,000	\$ 34,133,280
1599	UCD	85,000	\$ 402,900
1600	TIAN LUN GAS	0	\$ 0
1616	SILVERMAN HL	3,598,228	\$ 3,706,175
1618	MCC	40,542,450	\$ 116,762,256
1622	REDCO PPT	2,068,800	\$ 6,309,840
1628	YUZHOU PPT	6,252,400	\$ 22,196,020
1636	CMRU	2,832,000	\$ 8,439,360
1658	PSBC	128,617,942	\$ 650,806,787
1661	WISDOM SPORTS	39,263,000	\$ 63,606,060
1666	TONG REN TANG	5,533,804	\$ 72,492,832
1668	CHINASOUTHCITY	115,133,000	\$ 175,002,160
1680	MACAU LEGEND	51,426,693	\$ 73,025,904
1681	CONSUN PHARMA	238,000	\$ 1,251,880
1685	BOER POWER	22,259,550	\$ 59,210,403



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	41,055,500	\$ 175,717,540
1766	CRRC	367,179,872	\$ 2,786,895,228
1776	GF SEC	49,526,771	\$ 797,381,013
1777	FANTASIA	6,459,000	\$ 7,944,570
1778	COLOUR LIFE	2,073,800	\$ 9,539,480
1786	CRCCE	5,487,412	\$ 20,303,424
1788	GUOTAI JUNAN I	180,706,417	\$ 440,923,657
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	84,016,143	\$ 898,972,730
1803	BJ SPORTS & ENT	577,500	\$ 1,016,400
1811	CGN NEW ENERGY	27,849,600	\$ 32,027,040
1812	CHENMING PAPER	4,257,250	\$ 38,102,388
1813	KWG PROPERTY	27,209,652	\$ 159,992,754
1816	CGN POWER	547,043,009	\$ 1,285,551,071
1818	ZHAOJIN MINING	78,346,287	\$ 529,620,900
1828	DCH HOLDINGS	4,935,000	\$ 16,581,600
1829	CMEC	6,739,000	\$ 39,086,200
1833	INTIME	14,457,151	\$ 142,402,937
1836	STELLA HOLDINGS	5,540,746	\$ 74,910,886
1848	CALC	794,500	\$ 7,365,015
1862	JINGRUI HLDGS	0	\$ 0
1880	BELLE INT'L	55,662,684	\$ 293,342,345
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,824,351	\$ 91,952,130
1883	CITIC TELECOM	5,781,000	\$ 13,874,400
1886	HUIYUAN JUICE	2,656,500	\$ 7,411,635
1888	KB LAMINATES	801,500	\$ 7,518,070
1893	SINOMA	13,746,149	\$ 37,526,987
1898	CHINA COAL	159,836,327	\$ 604,181,316
1899	XINGDA INT'L	13,376,040	\$ 41,733,245
1910	SAMSONITE	34,244,038	\$ 1,029,033,342
1913	PRADA	11,907,923	\$ 434,639,190
1918	SUNAC	222,297,930	\$ 2,254,101,010
1919	COSCO SHIP HOLD	155,562,710	\$ 528,913,214
1928	SANDS CHINA LTD	100,808,119	\$ 3,558,526,601
1929	CHOW TAI FOOK	15,187,645	\$ 129,702,488
1958	BAIC MOTOR	54,099,500	\$ 405,205,255
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1970	IMAX CHINA	7,109,228	\$ 260,197,745
1972	SWIREPROPERTIES	24,778,422	\$ 646,716,814
1980	TIANGE	22,560,530	\$ 127,918,205
1988	MINSHENG BANK	478,990,438	\$ 3,669,066,755
1999	MAN WAH HLDGS	8,257,947	\$ 53,263,758
2005	SSY GROUP	15,545,489	\$ 46,325,557
2006	JINJIANG HOTELS	38,932,498	\$ 88,376,770
2007	COUNTRY GARDEN	357,929,946	\$ 2,648,681,600
2008	PHOENIX TV	10,556,000	\$ 14,039,480
2009	BBMG	229,077,681	\$ 955,253,930
2014	OZNER WATER	33,292,000	\$ 64,919,400
2016	CZBANK	0	\$ 0
2018	AAC TECH	50,909,726	\$ 5,813,890,709
2020	ANTA SPORTS	73,010,110	\$ 1,595,270,904
2038	FIH	8,879,904	\$ 23,709,344
2039	CIMC	6,273,227	\$ 84,061,242
2066	SHENGJINGBANK	1,880,000	\$ 12,596,000
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	17,773,000	\$ 110,192,600
2136	LIFESTYLE CHI	0	\$ 0
2168	YINGDE GASES	4,493,500	\$ 26,781,260
2186	LUYE PHARMA	141,726,937	\$ 666,116,604
2196	FOSUN PHARMA	18,668,847	\$ 547,930,659
2199	REGINA MIRACLE	24,086,000	\$ 146,442,880
2200	HOSA INT'L	8,402,090	\$ 20,585,121
2202	CHINA VANKE	46,539,617	\$ 918,692,040
2208	GOLDWIND	38,566,034	\$ 437,338,826
2211	UNI HEALTH	32,750,111	\$ 8,023,777
2226	HONWORLD GP	119,500	\$ 498,315
2233	WESTCHINACEMENT	99,005,843	\$ 115,836,836
2238	GAC GROUP	117,390,328	\$ 1,420,422,969
2255	HAICHANG HLDG	6,768,129	\$ 11,302,775
2277	HUARONG INV	0	\$ 0
2280	HC INTL	27,160,000	\$ 186,860,800
2282	MGM CHINA	54,457,630	\$ 964,989,204
2298	COSMO LADY	76,797,664	\$ 177,402,604
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHO INTL	74,339,687	\$ 3,806,191,974
2314	LEE & MAN PAPER	20,998,161	\$ 128,088,782
2318	PING AN	981,166,964	\$ 42,975,113,023



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	69,297,671	\$ 1,043,622,925
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	42,192,035	\$ 16,454,894
2328	PICC P&C	57,623,893	\$ 721,451,140
2329	GUORUI PPT	6,217,000	\$ 14,361,270
2331	LI NING	96,642,202	\$ 494,808,074
2333	GREATWALL MOTOR	714,401,463	\$ 6,029,548,348
2338	WEICHAI POWER	167,765,668	\$ 2,117,202,730
2342	COMBA	6,088,586	\$ 6,940,988
2343	PACIFIC BASIN	203,378,598	\$ 315,236,827
2348	DAWNRAYS PHARMA	502,000	\$ 2,289,120
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	697,997	\$ 10,916,673
2357	AVICHINA	116,088,020	\$ 602,496,824
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	90,524,806	\$ 262,521,937
2382	SUNNY OPTICAL	33,243,596	\$ 2,127,590,144
2383	TOM GROUP	1,893,003	\$ 3,539,916
2386	SINOPEC SEG	7,258,153	\$ 55,161,963
2388	BOC HONG KONG	49,656,638	\$ 1,589,012,416
2389	BJ ENT M&H	28,194,000	\$ 16,634,460
2393	YESTAR HEALTH	5,120,468	\$ 19,918,621
2488	LAUNCH TECH	530,000	\$ 5,830,000
2588	BOC AVIATION	1,855,148	\$ 76,988,642
2600	CHALCO	602,735,704	\$ 2,308,477,746
2601	CPIC	20,681,122	\$ 594,582,258
2607	SH PHARMA	8,130,421	\$ 167,486,673
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	95,266,391	\$ 2,253,050,147
2638	HKELECTRIC-SS	201,670,652	\$ 1,387,494,086
2666	UNI MEDICAL	26,870,426	\$ 178,688,333
2669	CHINA OVS PPT	92,218,699	\$ 124,495,244
2678	TEXHONG TEXTILE	3,462,095	\$ 32,647,556
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	11,023,514	\$ 465,192,291
2689	ND PAPER	73,765,174	\$ 619,627,462
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	322,200,351	\$ 1,182,475,288
2768	JIAYUAN INTL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2777	R&F PROPERTIES	53,508,533	\$ 700,961,782
2778	CHAMPION REIT	9,187,000	\$ 46,486,220
2799	CHINA HUARONG	253,886,831	\$ 832,748,806
2800	TRACKER FUND	26,607,800	\$ 659,873,440
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	67,168,341	\$ 775,122,655
2823	X ISHARES A50	126,407,861	\$ 1,410,711,729
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	6,385,600	\$ 658,355,360
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	187,684,818	\$ 317,187,342
2868	BJ CAPITAL LAND	12,811,800	\$ 48,428,604
2869	GREENTOWN SER	17,308,000	\$ 51,231,680
2877	SHINEWAY PHARM	2,009,000	\$ 18,904,690
2880	DALIAN PORT	55,201,030	\$ 76,177,421
2883	CHINA OILFIELD	155,256,868	\$ 1,114,744,312
2888	STANCHART	2,174,000	\$ 158,484,600
2899	ZIJIN MINING	164,136,960	\$ 451,376,640
3005	X DBCSI300IND	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	1,797,610	\$ 159,807,529
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	35,245,720	\$ 1,397,492,798
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,462,000	\$ 23,818,560
3308	GOLDEN EAGLE	31,331,957	\$ 370,970,371
3311	CHINA STATE CON	55,274,820	\$ 780,480,458
3315	GOLDPAC GROUP	621,000	\$ 1,831,950
3323	CNBM	170,794,358	\$ 884,714,774
3328	BANKCOMM	103,019,566	\$ 617,087,200
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	626,742,605	\$ 5,208,231,048
3336	JU TENG INTL	3,184,000	\$ 9,169,920
3337	ANTON OILFIELD	39,791,436	\$ 33,822,721
3339	LONKING	41,400,400	\$ 89,838,868
3360	FE HORIZON	36,614,286	\$ 262,158,288
3368	PARKSON GROUP	38,232,910	\$ 37,850,581
3369	QHD PORT	2,759,500	\$ 6,484,825
3377	SINO-OCEAN GP	18,475,696	\$ 70,207,645
3378	XIAMEN PORT	2,408,000	\$ 3,732,400
3380	LOGAN PPT	17,010,953	\$ 75,868,850
3382	TIANJINPORT DEV	2,420,000	\$ 3,315,400
3383	AGILE GROUP	106,269,238	\$ 739,633,896
3389	HENGDELI	12,220,000	\$ 11,609,000
3393	WASION GROUP	42,994,889	\$ 162,950,629
3396	LEGENDHOLDING	2,651,886	\$ 61,523,755
3606	FUYAO GLASS	33,823,530	\$ 930,147,075
3618	CQRC BANK	44,180,865	\$ 236,367,628
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	273,800	\$ 5,626,590
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	14,284,000	\$ 103,273,320



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3709	KORADIOR	1,285,000	\$ 10,691,200
3788	CHINA HANKING	489,000	\$ 611,250
3799	DALI FOODS	61,707,000	\$ 283,235,130
3800	GCL-POLY ENERGY	2,116,330,910	\$ 1,989,351,055
3808	SINOTRUK	42,455,016	\$ 229,681,637
3813	POU SHENG INT'L	84,374,322	\$ 140,061,375
3818	CHINA DONGXIANG	38,007,913	\$ 56,251,711
3836	HARMONY AUTO	37,984,391	\$ 110,534,578
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	2,528,853	\$ 6,069,247
3886	TOWN HEALTH	84,858,893	\$ 104,376,438
3888	KINGSOFT	63,956,100	\$ 1,419,825,420
3898	CRRC TIMES ELEC	32,402,808	\$ 1,297,732,460
3899	CIMC ENRIC	20,150,132	\$ 91,481,599
3900	GREENTOWN CHINA	66,771,455	\$ 499,450,483
3908	CICC	6,230,596	\$ 71,651,854
3918	NAGACORP	12,878,677	\$ 55,507,098
3933	UNITED LAB	132,128,922	\$ 644,789,139
3948	YITAI COAL	0	\$ 0
3958	DFZQ	2,035,200	\$ 15,426,816
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	154,221,328	\$ 3,115,270,826
3969	CHINA CRSC	1,039,000	\$ 6,317,120
3983	CHINA BLUECHEM	28,468,430	\$ 63,484,599
3988	BANK OF CHINA	207,447,949	\$ 782,078,768
3989	CAPITAL ENV	18,369,824	\$ 4,206,690
3993	CMOC	166,660,803	\$ 394,986,103
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,824,920
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	94,439,929	\$ 1,541,259,641
6136	KANGDA ENV	3,630,000	\$ 6,570,300
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	304,996	\$ 3,513,554
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,028,000	\$ 8,395,920
6808	SUNART RETAIL	154,891,562	\$ 1,242,230,327
6818	CEB BANK	117,258,812	\$ 427,994,664
6823	HKT-SS	17,833,385	\$ 177,442,181
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	43,720,000	\$ 24,920,400
6837	HAITONG SEC	91,186,835	\$ 1,170,838,961
6839	YUNNAN WATER	209,000	\$ 790,020
6863	HUIZHAN DAIRY	433,076,569	\$ 181,892,159
6869	YOFC	6,281,500	\$ 102,137,190
6878	DIFFER GROUP	10,113,128	\$ 7,079,190
6881	CGS	103,608,605	\$ 735,621,096
6886	HTSC	37,700,621	\$ 568,525,365
6889	DYNAM JAPAN	164,800	\$ 2,472,000
6899	OURGAME	2,084,000	\$ 5,876,880
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,553,733	\$ 4,335,554
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	11,096,000	\$ 9,764,480
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	5,660,000	\$ 1,698,000
8083	INNOVATIONPAY	37,652,146	\$ 18,637,812
8127	SEASON PACIFIC	0	\$ 0
8137	HONBRIDGE	2,352,000	\$ 2,046,240
8138	TONGRENTANGCM	219,000	\$ 2,430,900
8158	CRMI	23,945,000	\$ 6,824,325
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	110,804,000	\$ 113,020,080
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	724,000	\$ 2,678,800
8279	AGTECH HOLDINGS	31,632,000	\$ 47,448,000
8325	CHINA SMARTPAY	7,610,000	\$ 9,208,100
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	384,120	\$ 33,998,461
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARES KKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	5,177,800	\$ 203,332,206
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0