



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,332,132	\$ 1,263,886,114
2	CLP HOLDINGS	19,117,598	\$ 1,562,863,637
3	HK & CHINA GAS	253,424,563	\$ 3,999,039,604
4	WHARF HOLDINGS	32,871,468	\$ 2,213,893,370
5	HSBC HOLDINGS	42,295,194	\$ 2,679,400,540
6	POWER ASSETS	14,118,779	\$ 967,136,362
8	PCCW	81,903,458	\$ 375,936,872
10	HANG LUNG GROUP	8,672,040	\$ 297,017,370
11	HANG SENG BANK	16,583,552	\$ 2,588,692,467
12	HENDERSON LAND	11,334,454	\$ 567,289,423
14	HYSAN DEV	7,426,847	\$ 269,594,546
16	SHK PPT	16,721,843	\$ 1,994,915,870
17	NEW WORLD DEV	43,594,882	\$ 433,333,127
19	SWIRE PACIFIC A	8,198,019	\$ 621,819,741
20	WHEELLOCK	7,508,907	\$ 476,815,595
23	BANK OF E ASIA	53,504,450	\$ 1,682,714,953
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	61,231,874	\$ 2,648,278,551
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	25,396,000	\$ 27,935,600
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	4,734,000	\$ 21,113,640
39	CH BEIDAHUANG	26,677,714	\$ 11,471,417
41	GREAT EAGLE H	158,707	\$ 6,165,767
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,587,801	\$ 14,448,989
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	691,500	\$ 21,540,225
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,417,500	\$ 41,036,625
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,488,000	\$ 671,760
66	MTR CORPORATION	52,254,284	\$ 2,333,153,781
69	SHANGRI-LA ASIA	12,338,031	\$ 144,108,202
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	1,534,000	\$ 6,949,020
83	SINO LAND	24,436,146	\$ 328,910,525
85	CHINA ELECTRON	24,031,900	\$ 37,489,764
86	SUN HUNG KAI CO	934,000	\$ 4,875,480
88	TAI CHEUNG HOLD	167,000	\$ 1,202,400
95	LVGEM CHINA	2,024,000	\$ 3,865,840
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	27,500,171	\$ 570,628,548
102	SUMMIT ASCENT	70,772,307	\$ 152,160,460
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	10,901,686	\$ 39,355,086
109	GOODRESOURCES	23,357,500	\$ 9,459,788
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	96,381,315	\$ 325,768,845
123	YUEXIU PROPERTY	54,893,400	\$ 77,399,694
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,262,040
135	KUNLUN ENERGY	322,750,271	\$ 2,404,489,519
139	CH SOFT POWER	11,690,000	\$ 2,980,950
142	FIRST PACIFIC	10,979,075	\$ 63,568,844
144	CHINA MER PORT	11,676,141	\$ 265,048,401
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	870,300	\$ 25,064,640
151	WANT WANT CHINA	767,214,243	\$ 4,227,350,479
152	SHENZHEN INT'L	9,009,154	\$ 117,119,002
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	1,172,000	\$ 2,859,680
164	CHINA BAOLI TEC	15,800,000	\$ 3,349,600
165	CHINA EB LTD	22,465,948	\$ 390,458,176
168	TSINGTAO BREW	29,341,185	\$ 1,002,001,468
171	SILVER GRANT	1,748,000	\$ 2,272,400
173	K. WAH INT'L	1,146,194	\$ 6,074,828
175	GEELY AUTO	609,164,091	\$ 6,469,322,646
177	JIANGSU EXPRESS	18,274,972	\$ 205,410,685
178	SA SA INT'L	35,078,202	\$ 108,391,644
179	JOHNSON ELEC H	2,126,706	\$ 51,785,291
182	CONCORD NE	69,080,000	\$ 26,250,400
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	15,870,300	\$ 13,013,646
198	SMI HOLDINGS	265,971,936	\$ 178,201,197
200	MELCO INT'L DEV	26,036,225	\$ 406,165,110
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	45,764,000	\$ 55,374,440
215	HUTCHTEL HK	31,140,000	\$ 71,622,000
217	CHINA CHENGTONG	1,266,163	\$ 626,751
218	SHENWANHONGYUAN	7,990,000	\$ 24,928,800
220	U-PRESID CHINA	88,094,864	\$ 482,759,855
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	48,796,000	\$ 21,958,200
235	CHINA STRATEGIC	206,137,776	\$ 30,096,115
241	ALI HEALTH	88,673,161	\$ 281,980,652
242	SHUN TAK HOLD	34,719,231	\$ 99,991,385
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	35,798,531	\$ 18,973,221
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 9,013,440
256	CITYCHAMP	11,280,000	\$ 18,273,600
257	CHINA EB INT'L	124,475,224	\$ 1,334,374,401
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	104,954,183	\$ 1,154,496,013
268	KINGDEE INT'L	225,287,655	\$ 709,656,113
270	GUANGDONG INV	28,626,669	\$ 338,367,228
272	SHUI ON LAND	57,674,129	\$ 102,083,208
273	MASON FIN	67,573,775	\$ 8,379,148
275	MASTER GLORY GP	57,820,000	\$ 11,968,740
283	GOLDIN PPT	4,594,000	\$ 36,246,660
285	BYD ELECTRONIC	64,920,748	\$ 773,855,316
286	CS HEALTH	0	\$ 0
288	WH GROUP	41,120,828	\$ 282,911,297
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,641,952	\$ 479,039,547
293	CATHAY PAC AIR	98,192,151	\$ 1,074,222,132
297	SINOFERT	152,279,347	\$ 161,416,108
299	NEW SPORTS	125,660,000	\$ 8,544,880
303	VTECH HOLDINGS	3,875,253	\$ 382,681,234
308	CHINA TRAVEL HK	160,292,491	\$ 367,069,804



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
315	SMARTONE TELE	10,008,939	\$ 101,690,820
316	OOIL	4,434,870	\$ 169,855,521
317	COMEC	8,348,800	\$ 142,764,480
321	TEXWINCA HOLD	12,861,907	\$ 66,881,916
322	TINGYI	226,331,300	\$ 2,326,685,764
323	MAANSHAN IRON	142,830,555	\$ 401,353,860
327	PAX GLOBAL	96,893,371	\$ 452,492,043
330	ESPRIT HOLDINGS	48,491,463	\$ 296,282,839
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,048,000	\$ 13,716,000
337	GREENLAND HK	18,667,741	\$ 50,216,223
338	SHANGHAI PECEM	84,604,761	\$ 376,491,186
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,861,000	\$ 99,806,850
342	NEWOCEAN ENERGY	48,747,461	\$ 118,456,330
345	VITASOY INT'L	10,631,407	\$ 168,188,859
346	YANCHANG PETRO	0	\$ 0
347	ANGANG STEEL	115,500,594	\$ 632,943,255
354	CHINASOFT INT'L	58,297,359	\$ 257,091,353
358	JIANGXI COPPER	45,065,977	\$ 561,522,073
363	SHANGHAI IND H	661,000	\$ 15,665,700
368	SINOTRANS SHIP	57,639,700	\$ 103,751,460
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	186,733,806	\$ 1,174,555,640
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,946,000	\$ 6,540,600
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	71,164,930	\$ 925,144,090
386	SINOPEC CORP	122,692,313	\$ 781,550,034
388	HKEX	38,592,204	\$ 7,494,606,017
390	CHINA RAILWAY	131,848,674	\$ 907,118,877
392	BEIJING ENT	13,992,278	\$ 562,489,576
400	COGOBUY	30,948,658	\$ 337,340,372
404	HSIN CHONG GP	11,523,431	\$ 4,033,201
405	YUEXIU REIT	7,721,000	\$ 35,671,020
410	SOHO CHINA	60,237,189	\$ 260,224,656
412	CHINA INNO FIN	12,455,323	\$ 3,923,427
416	BANKOFJINZHOU	805,000	\$ 7,285,250
419	HUAYI TENCENT	119,973,328	\$ 44,989,998



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
425	MINTH GROUP	7,710,525	\$ 230,544,698
433	NORTH MINING	88,873,107	\$ 14,397,443
434	BOYAA	3,259,981	\$ 12,453,127
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	70,323,000	\$ 244,020,810
440	DAH SING	2,389,984	\$ 141,128,555
451	GCL NEWENERGY	110,623,509	\$ 46,461,874
460	SIHUAN PHARM	59,045,000	\$ 197,800,750
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	2,992,000	\$ 11,698,720
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	3,218,000	\$ 12,324,940
488	LAI SUN DEV	6,060,000	\$ 1,290,780
489	DONGFENG GROUP	58,420,328	\$ 482,551,909
493	GOME	1,381,606,746	\$ 1,450,687,083
494	LI & FUNG	676,181,987	\$ 2,224,638,737
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	5,606,000	\$ 17,210,420
511	TVB	6,724,848	\$ 204,771,622
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,782,000	\$ 5,987,520
519	APPLIED DEV	660,000	\$ 435,600
521	HNA HOLDING	30,754,000	\$ 8,918,660
522	ASM PACIFIC	24,772,734	\$ 2,596,182,523
525	GUANGSHEN RAIL	11,974,200	\$ 58,673,580
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	4,886,000	\$ 14,364,840
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	0	\$ 0
543	PACIFIC ONLINE	339,000	\$ 640,710
546	FUFENG GROUP	60,879,139	\$ 378,668,245
547	DIGITAL DOMAIN	161,515,761	\$ 52,492,622
548	SHENZHENEXPRESS	1,376,000	\$ 10,237,440
551	YUE YUEN IND	8,573,086	\$ 272,624,135
552	CHINACOMSERVICE	8,890,000	\$ 43,472,100
553	NANJING PANDA	480,000	\$ 3,201,600
563	SH IND URBAN	8,582,000	\$ 14,932,680
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	106,296,199	\$ 459,199,580
576	ZHEJIANGEXPRESS	20,220,000	\$ 194,112,000



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577	THE 13 HOLDINGS	8,055,000	\$ 18,768,150
579	JNCEC	20,131,058	\$ 48,918,471
582	LANDING INTL	68,515,000	\$ 3,699,810
586	CONCH VENTURE	23,490,023	\$ 366,914,159
587	HUA HAN HEALTH	211,770,848	\$ 112,238,549
588	BEIJING N STAR	3,838,000	\$ 12,089,700
590	LUK FOOK HOLD	6,964,076	\$ 192,208,498
598	SINOTRANS	74,158,186	\$ 273,643,706
603	CHINA OIL & GAS	7,200,000	\$ 4,248,000
604	SHENZHEN INVEST	12,792,311	\$ 47,331,551
606	CHINA AGRI	24,299,002	\$ 94,280,128
607	FULLSHARE	531,118,592	\$ 1,662,401,193
621	TAUNG GOLD	9,690,000	\$ 736,440
622	ENERCHINA HOLD	38,527,773	\$ 8,861,388
631	SANY INT'L	10,677,258	\$ 16,976,840
633	CH ALL ACCESS	12,942,000	\$ 29,378,340
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	70,745,288	\$ 102,580,668
651	CHI OCEAN IND	382,934,343	\$ 73,523,394
656	FOSUN INTL	103,646,176	\$ 1,208,514,412
658	C TRANSMISSION	31,784,436	\$ 262,857,286
659	NWS HOLDINGS	2,116,724	\$ 31,539,188
665	HAITONG INT'L	115,516,725	\$ 508,273,590
669	TECHTRONIC IND	14,733,688	\$ 478,108,176
670	CHINA EAST AIR	136,401,216	\$ 608,349,423
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	77,150,000	\$ 20,830,500
683	KERRY PPT	11,283,347	\$ 338,500,410
686	UNITED PV	70,123,207	\$ 78,537,992
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	45,634,089	\$ 1,063,274,274
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	18,485,401	\$ 205,187,951
696	TRAVELSKY TECH	10,935,870	\$ 216,748,943
697	SHOUGANG INT'L	7,068,880	\$ 1,802,564
698	TONGDA GROUP	270,908,200	\$ 709,779,484
699	CAR INC	106,066,435	\$ 771,102,982
700	TENCENT	116,896,453	\$ 26,956,322,062
702	SINO OIL & GAS	85,945,000	\$ 15,985,770
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	13,444,978	\$ 56,334,458



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715	CHINA OCEANWIDE	21,946,045	\$ 16,898,455
716	SINGAMAS CONT	1,131,513	\$ 1,437,022
717	EMPEROR CAPITAL	19,254,974	\$ 12,515,733
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	57,261,827	\$ 21,473,185
726	CM DRAWIN TECH	0	\$ 0
727	CROWNICORP	1,288,000	\$ 1,867,600
728	CHINA TELECOM	107,484,366	\$ 421,338,715
729	FDG EVEHICLES	482,554,869	\$ 159,243,107
732	TRULY INT'L	166,558,140	\$ 428,054,420
735	C P NEW ENERGY	4,841,621	\$ 24,450,186
737	HOPEWELL INFR	9,810,869	\$ 43,364,041
750	SINGYES SOLAR	49,259,543	\$ 166,497,255
751	SKYWORTHDIGITAL	96,414,767	\$ 439,651,338
752	PICO FAR EAST	508,000	\$ 1,559,560
753	AIR CHINA	51,303,111	\$ 353,478,435
754	HOPSON DEV HOLD	1,466,000	\$ 11,170,920
762	CHINA UNICOM	237,343,198	\$ 2,525,331,627
763	ZTE	39,443,622	\$ 544,321,984
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,930,826	\$ 197,817,796
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	71,230,865	\$ 63,395,470
798	CEOVU	0	\$ 0
799	IGG	39,700,870	\$ 414,477,083
801	GOLDEN MEDITECH	9,031,460	\$ 11,650,583
806	VALUE PARTNERS	78,945,259	\$ 575,510,938
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,633,000	\$ 11,463,660
813	SHIMAO PROPERTY	12,189,106	\$ 161,627,546
815	CHI SILVER GP	35,417,949	\$ 54,189,462
816	HUADIAN FUXIN	96,229,477	\$ 165,514,700
817	CHINA JINMAO	53,988,918	\$ 139,291,408
818	HI SUN TECH	17,738,181	\$ 23,414,399
819	TIANNENG POWER	32,806,274	\$ 231,284,232
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	37,766,405	\$ 2,107,365,399
826	TIANGONG INT'L	1,410,000	\$ 1,184,400
829	SHENGUAN HLDGS	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0



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836	CHINA RES POWER	20,725,300	\$ 300,931,356
845	GLORIOUS PPT H	20,822,000	\$ 19,780,900
848	MAOYE INT'L	7,986,517	\$ 6,948,270
853	MICROPORT	0	\$ 0
855	CHINA WATER	27,426,000	\$ 143,712,240
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	127,955,727	\$ 728,068,087
861	DC HOLDINGS	73,667,813	\$ 490,627,635
867	CMS	43,501,565	\$ 597,711,503
868	XINYI GLASS	120,143,937	\$ 828,993,165
874	BAIYUNSHAN PH	2,156,000	\$ 49,480,200
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	54,600,233	\$ 404,041,724
881	ZHONGSHENG HLDG	27,605,014	\$ 307,519,856
882	TIANJIN DEV	2,688,000	\$ 13,171,200
883	CNOOC	143,694,677	\$ 1,355,040,804
884	CIFI HOLD GP	41,840,535	\$ 128,868,848
885	RENTIAN TECH	27,580,000	\$ 11,997,300
895	DONGJIANG ENV	4,150,636	\$ 55,535,510
902	HUANENG POWER	256,566,092	\$ 1,382,891,236
903	TPV TECHNOLOGY	0	\$ 0
904	CHINA GREEN	5,392,000	\$ 345,088
906	COFCO PACKAGING	0	\$ 0
911	QIANHAI HEALTH	132,524,385	\$ 11,132,048
914	ANHUI CONCH	209,100,425	\$ 5,938,452,070
916	CHINA LONGYUAN	62,339,640	\$ 384,635,579
921	HISENSE KELON	1,523,900	\$ 16,244,774
931	CHINA LNG	656,627,895	\$ 113,596,626
933	BRIGHTOIL	48,236,922	\$ 103,709,382
934	SINOPEC KANTONS	25,168,578	\$ 109,483,314
939	CCB	1,048,247,851	\$ 6,562,031,547
941	CHINA MOBILE	16,277,417	\$ 1,375,441,737
950	LEE'S PHARM	2,335,930	\$ 17,122,367
951	CHAOWEI POWER	5,625,791	\$ 27,678,892
952	QUAM	0	\$ 0
956	CHINA SUNTIEN	28,157,094	\$ 47,585,489
958	HN RENEWABLES	201,485,763	\$ 539,981,845
960	LONGFOR PPT	25,869,201	\$ 355,960,206
963	BLOOMAGE BIOTEC	6,638,350	\$ 86,298,550
966	CHINA TAIPING	33,955,169	\$ 625,454,213
968	XINYI SOLAR	357,358,255	\$ 900,542,803



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973	L'OCCITANE	2,028,352	\$ 32,007,395
976	CHIHO-TIANDE	6,979,636	\$ 33,502,253
978	CHI MER LAND	6,726,000	\$ 9,618,180
980	LIANHUA	8,090,168	\$ 29,933,622
981	SMIC	344,502,725	\$ 3,176,315,125
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
991	DATANG POWER	59,172,009	\$ 143,787,982
992	LENOVO GROUP	799,189,232	\$ 4,059,881,299
995	ANHUIEXPRESSWAY	304,000	\$ 1,903,040
996	CARNIVAL GROUP	180,765,223	\$ 144,612,178
998	CITIC BANK	146,051,651	\$ 741,942,387
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,150,000	\$ 4,859,000
1019	CONVOY	51,481,176	\$ 10,450,679
1027	CHINA JICHENG	80,320,000	\$ 15,260,800
1028	C.BANNER	495,000	\$ 1,465,200
1030	FUTURE LAND	19,530,000	\$ 44,333,100
1031	KINGSTON FIN	111,943,198	\$ 285,455,155
1033	SINOPEC SSC	115,334,242	\$ 177,614,733
1038	CKI HOLDINGS	25,933,420	\$ 1,635,102,131
1044	HENGAN INT'L	55,979,833	\$ 3,297,212,164
1045	APT SATELLITE	1,644,114	\$ 7,069,690
1051	G-RESOURCES	37,203,000	\$ 4,985,202
1052	YUEXIUTRANSPORT	21,362,000	\$ 128,172,000
1053	CHONGQING IRON	3,337,200	\$ 7,041,492
1055	CHINA SOUTH AIR	137,669,459	\$ 757,182,025
1060	ALI PICTURES	941,523,578	\$ 1,242,811,123
1063	SUNCORP TECH	110,643,232	\$ 6,085,378
1065	TIANJIN CAPITAL	2,107,924	\$ 10,370,986
1066	WEIGAO GROUP	35,493,027	\$ 195,566,579
1068	YURUN FOOD	77,700,072	\$ 93,240,086
1070	TCL MULTIMEDIA	23,706,260	\$ 98,618,042
1071	HUADIAN POWER	76,079,654	\$ 255,627,637
1072	DONGFANG ELEC	10,861,711	\$ 78,638,788
1076	IMPERIAL PAC	556,475,712	\$ 77,350,124
1083	TOWNGAS CHINA	25,446,194	\$ 117,052,492
1086	GOODBABY INTL	12,156,172	\$ 44,491,590
1088	CHINA SHENHUA	35,154,542	\$ 635,594,119
1089	LEYOU TECH H	63,435,000	\$ 102,130,350
1093	CSPC PHARMA	53,257,561	\$ 545,357,425



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	345,000	\$ 4,002,000
1099	SINOPHARM	10,759,225	\$ 385,180,255
1101	HUARONG ENERGY	26,719,238	\$ 11,489,272
1103	DASHENG AGR FIN	25,544,000	\$ 20,435,200
1108	LUOYANG GLASS	3,218,000	\$ 17,731,180
1109	CHINA RES LAND	32,364,923	\$ 736,301,998
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	24,959,100	\$ 640,200,915
1113	CK PROPERTY	8,750,460	\$ 483,900,438
1114	BRILLIANCE CHI	169,040,438	\$ 2,075,816,579
1115	TIBET WATER	22,564,000	\$ 71,302,240
1117	CH MODERN D	60,735,324	\$ 101,427,991
1122	QINGLING MOTORS	502,000	\$ 1,255,000
1128	WYNN MACAU	135,497,778	\$ 2,373,921,071
1129	WATER INDUSTRY	7,584,000	\$ 11,376,000
1133	HARBIN ELECTRIC	2,024,000	\$ 10,322,400
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	29,362,859	\$ 127,728,437
1140	OP FIN INV	1,088,000	\$ 3,079,040
1141	SKYWAY SEC	71,750,000	\$ 27,265,000
1157	ZOOMLION	60,140,813	\$ 239,961,844
1165	SFCE	64,568,542	\$ 37,449,754
1168	SINOLINK HOLD	2,680,000	\$ 2,251,200
1169	HAIER ELEC	4,568,912	\$ 82,240,416
1171	YANZHOU COAL	88,359,875	\$ 633,540,304
1176	ZHUGUANG HOLD	6,222,000	\$ 5,973,120
1177	SINO BIOPHARM	190,340,196	\$ 1,210,563,647
1186	CHINA RAIL CONS	201,305,784	\$ 2,246,572,549
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1192	TITAN PETROCHEM	35,900,000	\$ 4,128,500
1193	CHINA RES GAS	49,316,945	\$ 1,319,228,279
1194	MUNSUN CAPITAL	143,586,901	\$ 22,399,557
1196	REALORD GROUP	5,254,000	\$ 23,117,600
1199	COSCO SHIP PORT	32,252,508	\$ 273,823,793
1205	CITIC RESOURCES	66,266,192	\$ 65,603,530
1206	TECHNOVATOR	21,660,000	\$ 63,897,000
1207	SRE GROUP	0	\$ 0
1208	MMG	70,420,278	\$ 189,430,548
1211	BYD COMPANY	111,005,121	\$ 5,133,986,846
1212	LIFESTYLE INT'L	980,000	\$ 10,838,800
1219	TENWOW INT'L	3,431,000	\$ 5,832,700



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	9,417,938	\$ 19,024,235
1230	YASHILI INT'L	27,065,000	\$ 40,056,200
1233	TIMES PPT	0	\$ 0
1234	CHINA LILANG	0	\$ 0
1236	NATIONAL AGRI	37,194,202	\$ 44,261,100
1238	POWERLONG	5,024,371	\$ 16,781,399
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	31,744,000	\$ 45,076,480
1270	LANGHAM-SS	0	\$ 0
1282	CHINA GOLDJOY	108,372,000	\$ 71,525,520
1288	ABC	504,273,218	\$ 1,795,212,656
1293	BAOXIN AUTO	10,567,972	\$ 39,524,215
1297	SINOSOFT TECH	27,434,273	\$ 65,842,255
1299	AIA	59,182,394	\$ 2,950,242,341
1302	LIFETECH SCI	36,695,405	\$ 74,491,672
1303	HUILI RES	416,000	\$ 403,520
1308	SITC	5,654,300	\$ 31,664,080
1310	HKBN	7,007,027	\$ 59,769,940
1313	CHINARES CEMENT	42,669,268	\$ 187,744,779
1315	VISION FAME	99,540,000	\$ 106,507,800
1316	NEXTEER	11,353,996	\$ 125,575,196
1317	MAPLELEAF EDU	45,045,000	\$ 275,224,950
1321	CHINA NEWCITY	0	\$ 0
1330	DYNAGREEN ENV	2,891,000	\$ 13,500,970
1332	CHINA TOUYUN	3,440,000	\$ 963,200
1333	CHINA ZHONGWANG	93,124,800	\$ 317,555,568
1336	NCI	14,690,782	\$ 530,337,230
1339	PICC GROUP	91,346,420	\$ 290,481,616
1345	PIONEER PHARM	572,400	\$ 1,854,576
1347	HUA HONG SEMI	246,000	\$ 2,607,600
1349	FUDANZHANGJIANG	2,626,000	\$ 16,753,880
1358	PW MEDTECH	4,021,000	\$ 7,519,270
1359	CHINA CINDA	180,834,261	\$ 537,077,755
1361	361 DEGREES	18,533,249	\$ 51,707,765
1363	CTEG	177,815,393	\$ 277,392,013
1366	JIANGNAN GP	42,500,000	\$ 45,050,000
1368	XTEP INT'L	36,952,189	\$ 115,290,830
1371	CHINA LOTSYN	289,083,405	\$ 73,716,268
1375	CC SECURITIES	47,704,640	\$ 193,203,792



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	18,207,397	\$ 83,207,804
1382	PACIFICTEXTILES	18,244,219	\$ 157,447,610
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	760,108,323	\$ 150,501,448
1398	ICBC	81,549,155	\$ 409,376,758
1415	COWELL	13,306,000	\$ 31,934,400
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	17,360,000	\$ 42,011,200
1432	CHINA SHENGMU	15,513,000	\$ 31,801,650
1448	FU SHOU YUAN	24,458,195	\$ 123,513,885
1456	GUOLIAN SEC	0	\$ 0
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	92,202,000	\$ 166,885,620
1509	HARMONICARE	1,777,000	\$ 7,445,630
1513	LIVZON PHARMA	71,800	\$ 3,220,230
1515	CRPHOENIXHEALTH	57,915,055	\$ 591,891,862
1528	RS MACALLINE	1,610,200	\$ 13,654,496
1530	3SBIO	77,548,177	\$ 759,196,653
1548	GENSCRIPT BIO	0	\$ 0
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	5,046,120	\$ 16,450,351
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	306,000	\$ 1,107,720
1585	YADEA	810,000	\$ 1,336,500
1589	CNLP	11,465,000	\$ 31,987,350
1599	UCD	85,000	\$ 424,150
1600	TIAN LUN GAS	0	\$ 0
1616	SILVERMAN HL	3,866,228	\$ 4,214,189
1618	MCC	52,996,450	\$ 169,588,640
1622	REDCO PPT	1,932,800	\$ 5,991,680
1628	YUZHOU PPT	4,950,000	\$ 17,671,500
1636	CMRU	3,568,000	\$ 10,953,760
1658	PSBC	155,237,842	\$ 759,113,047
1661	WISDOM SPORTS	38,757,000	\$ 65,111,760
1666	TONG REN TANG	4,589,169	\$ 61,403,081
1668	CHINASOUTHCITY	109,639,000	\$ 175,422,400
1680	MACAU LEGEND	51,555,693	\$ 74,755,755
1681	CONSUN PHARMA	255,000	\$ 1,364,250
1685	BOER POWER	22,588,550	\$ 57,374,917



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	37,660,000	\$ 178,131,800
1766	CRRC	369,161,843	\$ 2,835,162,954
1776	GF SEC	49,204,491	\$ 792,192,305
1777	FANTASIA	5,316,000	\$ 7,176,600
1778	COLOUR LIFE	1,070,800	\$ 5,011,344
1786	CRCCE	5,664,500	\$ 20,788,715
1788	GUOTAI JUNAN I	166,530,388	\$ 406,334,147
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	83,684,435	\$ 928,897,229
1803	BJ SPORTS & ENT	577,500	\$ 1,022,175
1811	CGN NEW ENERGY	22,415,600	\$ 28,243,656
1812	CHENMING PAPER	5,908,800	\$ 59,206,176
1813	KWG PROPERTY	24,650,777	\$ 152,095,294
1816	CGN POWER	548,647,373	\$ 1,333,213,116
1818	ZHAOJIN MINING	86,858,800	\$ 637,543,592
1828	DCH HOLDINGS	4,252,000	\$ 14,456,800
1829	CMEC	6,262,000	\$ 38,198,200
1833	INTIME	17,086,151	\$ 167,956,864
1836	STELLA HOLDINGS	5,314,225	\$ 69,084,925
1848	CALC	226,000	\$ 2,119,880
1862	JINGRUI HLDGS	0	\$ 0
1880	BELLE INT'L	56,394,573	\$ 297,199,400
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	1,617,351	\$ 29,532,829
1883	CITIC TELECOM	2,531,000	\$ 6,352,810
1886	HUIYUAN JUICE	537,000	\$ 1,347,870
1888	KB LAMINATES	2,412,500	\$ 23,087,625
1893	SINOMA	12,068,149	\$ 34,514,906
1898	CHINA COAL	160,387,634	\$ 662,400,928
1899	XINGDA INT'L	16,414,040	\$ 52,032,507
1910	SAMSONITE	34,355,963	\$ 1,022,089,899
1913	PRADA	15,027,389	\$ 506,423,009
1918	SUNAC	232,950,213	\$ 2,753,471,518
1919	COSCO SHIP HOLD	168,499,486	\$ 593,118,191
1928	SANDS CHINA LTD	97,003,486	\$ 3,613,379,854
1929	CHOW TAI FOOK	13,108,305	\$ 109,585,430
1958	BAIC MOTOR	56,991,365	\$ 449,091,956
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1970	IMAX CHINA	8,781,272	\$ 324,468,000
1972	SWIREPROPERTIES	21,062,434	\$ 539,198,310
1980	TIANGE	22,148,494	\$ 113,621,774
1988	MINSHENG BANK	413,377,604	\$ 3,360,759,921
1999	MAN WAH HLDGS	9,753,947	\$ 61,644,945
2005	SSY GROUP	19,233,230	\$ 59,046,016
2006	JINJIANG HOTELS	26,530,498	\$ 56,244,656
2007	COUNTRY GARDEN	375,752,093	\$ 2,908,321,200
2008	PHOENIX TV	10,556,000	\$ 14,778,400
2009	BBMG	192,439,087	\$ 856,353,937
2014	OZNER WATER	33,291,000	\$ 63,918,720
2016	CZBANK	0	\$ 0
2018	AAC TECH	51,427,811	\$ 4,911,355,951
2020	ANTA SPORTS	71,478,540	\$ 1,583,249,661
2038	FIH	7,021,854	\$ 19,029,224
2039	CIMC	6,258,927	\$ 87,124,264
2066	SHENGJINGBANK	1,445,000	\$ 9,826,000
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	17,896,000	\$ 117,397,760
2136	LIFESTYLE CHI	0	\$ 0
2168	YINGDE GASES	8,130,000	\$ 48,780,000
2186	LUYE PHARMA	136,538,437	\$ 641,730,654
2196	FOSUN PHARMA	14,707,347	\$ 416,217,920
2199	REGINA MIRACLE	24,257,000	\$ 143,358,870
2200	HOSA INT'L	8,230,090	\$ 20,492,924
2202	CHINA VANKE	50,432,927	\$ 1,086,829,577
2208	GOLDWIND	42,285,037	\$ 457,524,100
2211	UNI HEALTH	32,750,111	\$ 8,515,029
2226	HONWORLD GP	119,500	\$ 516,240
2233	WESTCHINACEMENT	132,391,093	\$ 150,925,846
2238	GAC GROUP	91,046,555	\$ 1,063,423,762
2255	HAICHANG HLDG	9,036,129	\$ 15,361,419
2277	HUARONG INV	0	\$ 0
2280	HC INTL	26,772,000	\$ 187,404,000
2282	MGM CHINA	54,656,948	\$ 964,148,563
2298	COSMO LADY	77,803,664	\$ 182,838,610
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	70,495,288	\$ 3,457,793,876
2314	LEE & MAN PAPER	15,304,433	\$ 95,652,706
2318	PING AN	971,112,462	\$ 41,126,612,766



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	61,015,343	\$ 918,891,066
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	42,382,035	\$ 17,376,634
2328	PICC P&C	58,645,366	\$ 696,706,948
2329	GUORUI PPT	5,050,000	\$ 12,120,000
2331	LI NING	89,419,484	\$ 436,367,082
2333	GREATWALL MOTOR	613,567,015	\$ 5,589,595,507
2338	WEICHAI POWER	175,029,270	\$ 2,331,389,876
2342	COMBA	6,225,347	\$ 7,345,909
2343	PACIFIC BASIN	189,922,185	\$ 317,170,049
2348	DAWNRAYS PHARMA	502,000	\$ 2,269,040
2355	BAOYE GROUP	0	\$ 0
2356	DAHING BANKING	1,032,739	\$ 15,986,800
2357	AVICHINA	107,014,372	\$ 593,929,765
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	84,804,872	\$ 251,022,421
2382	SUNNY OPTICAL	32,643,208	\$ 1,805,169,402
2383	TOM GROUP	1,885,003	\$ 3,524,956
2386	SINOPEC SEG	8,034,153	\$ 62,827,076
2388	BOC HONG KONG	50,001,619	\$ 1,577,551,079
2389	BJ ENT M&H	29,082,000	\$ 16,576,740
2393	YESTAR HEALTH	4,815,468	\$ 19,165,563
2488	LAUNCH TECH	406,500	\$ 4,918,650
2588	BOC AVIATION	1,808,800	\$ 75,698,280
2600	CHALCO	602,341,177	\$ 2,343,107,179
2601	CPIC	24,797,796	\$ 704,257,406
2607	SH PHARMA	8,566,821	\$ 171,764,761
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	106,002,068	\$ 2,443,347,667
2638	HKELECTRIC-SS	189,584,887	\$ 1,323,302,511
2666	UNI MEDICAL	22,967,047	\$ 160,539,659
2669	CHINA OVS PPT	91,682,034	\$ 129,271,668
2678	TEXHONG TEXTILE	2,402,095	\$ 24,261,160
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,826,462	\$ 672,380,052
2689	ND PAPER	81,505,898	\$ 703,395,900
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	330,052,608	\$ 1,283,904,645
2768	JIAYUAN INTL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2777	R&F PROPERTIES	56,120,933	\$ 772,224,038
2778	CHAMPION REIT	9,187,000	\$ 46,486,220
2799	CHINA HUARONG	192,190,766	\$ 638,073,343
2800	TRACKER FUND	11,890,950	\$ 291,922,823
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	67,739,100	\$ 788,483,124
2823	X ISHARES A50	112,749,778	\$ 1,274,072,491
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,546,200	\$ 261,749,360
2829	X ISHARES A FIN	0	\$ 0
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	188,266,818	\$ 325,701,595
2868	BJ CAPITAL LAND	11,669,800	\$ 45,862,314
2869	GREENTOWN SER	19,942,000	\$ 57,233,540
2877	SHINEWAY PHARM	2,450,000	\$ 21,584,500
2880	DALIAN PORT	50,911,600	\$ 73,821,820
2883	CHINA OILFIELD	144,426,072	\$ 1,097,638,147
2888	STANCHART	1,888,050	\$ 132,352,305
2899	ZIJIN MINING	163,614,210	\$ 500,659,483



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	1,141,620	\$ 99,891,750
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	31,299,661	\$ 1,269,201,254
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,969,500	\$ 25,206,325
3308	GOLDEN EAGLE	31,409,957	\$ 371,893,891
3311	CHINA STATE CON	47,187,820	\$ 664,404,506
3315	GOLDPAC GROUP	785,000	\$ 2,041,000
3323	CNBM	157,296,105	\$ 847,826,006
3328	BANKCOMM	98,980,086	\$ 587,941,711
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	572,387,605	\$ 4,739,369,369
3336	JU TENG INTL	4,080,000	\$ 12,076,800
3337	ANTON OILFIELD	28,532,000	\$ 26,249,440
3339	LONKING	31,307,400	\$ 76,390,056
3360	FE HORIZON	36,530,190	\$ 254,980,726
3368	PARKSON GROUP	40,817,818	\$ 40,409,640
3369	QHD PORT	2,689,500	\$ 6,750,645
3377	SINO-OCEAN GP	23,922,891	\$ 95,691,564
3378	XIAMEN PORT	2,342,000	\$ 3,864,300
3380	LOGAN PPT	19,652,951	\$ 91,189,693
3382	TIANJINPORT DEV	2,420,000	\$ 3,654,200
3383	AGILE GROUP	114,480,191	\$ 835,705,394
3389	HENGDELI	15,988,000	\$ 18,705,960
3393	WASION GROUP	35,794,889	\$ 139,242,118
3396	LEGENDHOLDING	3,424,100	\$ 79,439,120
3606	FUYAO GLASS	32,995,728	\$ 859,538,714
3618	CQRC BANK	46,364,395	\$ 251,758,665
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	304,600	\$ 5,610,732
3639	YIDA CHINA	0	\$ 0



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3669	YONGDA AUTO	12,779,500	\$ 92,395,785
3709	KORADIOR	1,283,000	\$ 10,713,050
3788	CHINA HANKING	746,000	\$ 954,880
3799	DALI FOODS	72,569,000	\$ 328,737,570
3800	GCL-POLY ENERGY	2,132,397,012	\$ 2,089,749,072
3808	SINOTRUK	40,445,000	\$ 224,874,200
3813	POU SHENG INT'L	78,987,322	\$ 131,118,955
3818	CHINA DONGXIANG	33,918,022	\$ 50,877,033
3836	HARMONY AUTO	37,008,919	\$ 113,617,381
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	2,528,853	\$ 6,094,536
3886	TOWN HEALTH	82,775,545	\$ 103,469,431
3888	KINGSOFT	58,858,630	\$ 1,197,773,121
3898	CRRRC TIMES ELEC	28,964,108	\$ 1,187,528,428
3899	CIMC ENRIC	24,030,132	\$ 118,228,249
3900	GREENTOWN CHINA	66,024,455	\$ 514,990,749
3908	CICC	5,884,596	\$ 68,614,389
3918	NAGACORP	6,919,111	\$ 31,066,808
3933	UNITED LAB	133,220,774	\$ 680,758,155
3948	YITAI COAL	0	\$ 0
3958	DFZQ	1,566,800	\$ 11,860,676
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	154,947,396	\$ 3,191,916,358
3969	CHINA CRSC	1,103,000	\$ 6,904,780
3983	CHINA BLUECHEM	25,806,430	\$ 59,354,789
3988	BANK OF CHINA	200,090,305	\$ 742,335,032
3989	CAPITAL ENV	7,612,429	\$ 1,849,820
3993	CMOC	130,252,570	\$ 341,261,733
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,869,760
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	101,456,429	\$ 1,627,361,121
6136	KANGDA ENV	6,361,000	\$ 12,213,120
6138	HARBIN BANK	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	150,396	\$ 1,786,704
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	0	\$ 0
6808	SUNART RETAIL	153,453,062	\$ 1,176,984,986
6818	CEB BANK	129,505,312	\$ 475,284,495
6823	HKT-SS	16,609,809	\$ 167,759,071
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	45,936,000	\$ 26,183,520
6837	HAITONG SEC	86,193,341	\$ 1,096,379,298
6839	YUNNAN WATER	164,000	\$ 647,800
6863	HUIZHAN DAIRY	433,134,102	\$ 181,916,323
6869	YOFC	3,308,513	\$ 53,267,059
6878	DIFFER GROUP	1,836,000	\$ 1,487,160
6881	CGS	107,796,605	\$ 775,057,590
6886	HTSC	36,075,821	\$ 541,137,315
6889	DYNAM JAPAN	0	\$ 0
6899	OURGAME	1,277,000	\$ 3,664,990
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,423,733	\$ 4,724,752
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	16,280,000	\$ 14,652,000
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	4,900,000	\$ 1,445,500
8083	INNOVATIONPAY	32,528,146	\$ 15,776,151
8127	SEASON PACIFIC	0	\$ 0
8137	HONBRIDGE	2,090,000	\$ 1,985,500
8138	TONGRENTANGCM	0	\$ 0
8158	CRMI	23,060,000	\$ 6,110,900
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	112,112,000	\$ 117,717,600
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	508,000	\$ 1,661,160
8279	AGTECH HOLDINGS	31,332,000	\$ 48,251,280
8325	CHINA SMARTPAY	7,170,000	\$ 9,894,600
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0



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82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YRGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0