



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,133,730	\$ 1,253,614,529
2	CLP HOLDINGS	20,630,592	\$ 1,697,897,722
3	HK & CHINA GAS	258,673,717	\$ 4,112,912,100
4	WHARF HOLDINGS	33,253,018	\$ 2,194,699,188
5	HSBC HOLDINGS	39,804,248	\$ 2,517,618,686
6	POWER ASSETS	13,227,267	\$ 909,374,606
8	PCCW	81,160,123	\$ 374,959,768
10	HANG LUNG GROUP	8,893,040	\$ 307,254,532
11	HANG SENG BANK	16,354,417	\$ 2,575,820,678
12	HENDERSON LAND	10,032,840	\$ 491,609,160
14	HYSAN DEV	7,315,847	\$ 268,857,377
16	SHK PPT	15,149,625	\$ 1,772,506,125
17	NEW WORLD DEV	43,344,647	\$ 420,009,629
19	SWIRE PACIFIC A	8,926,404	\$ 695,366,872
20	WHEELLOCK	7,455,870	\$ 474,938,919
23	BANK OF E ASIA	54,051,529	\$ 1,726,946,352
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	63,390,538	\$ 2,744,810,295
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	25,923,304	\$ 27,219,469
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	4,396,000	\$ 20,001,800
39	CH BEIDAHUANG	23,117,714	\$ 9,247,086
41	GREAT EAGLE H	158,707	\$ 5,991,189
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,587,801	\$ 14,210,819
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	703,000	\$ 21,617,250
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,680,500	\$ 49,406,700
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,488,000	\$ 671,760
66	MTR CORPORATION	54,048,947	\$ 2,364,641,431
69	SHANGRI-LA ASIA	12,058,031	\$ 135,291,108
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	1,250,000	\$ 5,075,000
83	SINO LAND	26,849,522	\$ 363,005,537
85	CHINA ELECTRON	23,855,900	\$ 38,169,440
86	SUN HUNG KAI CO	1,287,296	\$ 6,423,607
88	TAI CHEUNG HOLD	167,000	\$ 1,217,430
95	LVGEM CHINA	0	\$ 0
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	31,138,161	\$ 636,775,392
102	SUMMIT ASCENT	68,291,496	\$ 152,972,951
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	11,361,200	\$ 39,764,200
109	GOODRESOURCES	23,237,500	\$ 9,875,938
116	CHOW SANG SANG	235,000	\$ 4,530,800
119	POLY PROPERTY	100,126,815	\$ 323,409,612
123	YUEXIU PROPERTY	41,924,200	\$ 55,759,186
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,273,680
135	KUNLUN ENERGY	324,236,023	\$ 2,571,191,662
139	CH SOFT POWER	8,708,000	\$ 2,568,860
142	FIRST PACIFIC	11,124,003	\$ 66,076,578
144	CHINA MER PORT	10,894,124	\$ 244,028,378
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	1,279,800	\$ 38,394,000
151	WANT WANT CHINA	768,060,070	\$ 4,316,497,593
152	SHENZHEN INT'L	9,436,740	\$ 117,959,250
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	2,152,000	\$ 5,380,000
164	CHINA BAOLI TEC	8,500,000	\$ 1,836,000
165	CHINA EB LTD	18,231,948	\$ 312,495,589
168	TSINGTAO BREW	29,759,185	\$ 1,041,571,475
171	SILVER GRANT	1,614,000	\$ 1,872,240
173	K. WAH INT'L	1,096,194	\$ 5,820,790
175	GEELY AUTO	587,093,991	\$ 6,481,517,661
177	JIANGSU EXPRESS	18,905,051	\$ 209,846,066
178	SA SA INT'L	35,332,400	\$ 109,177,116
179	JOHNSON ELEC H	2,147,706	\$ 51,652,329
182	CONCORD NE	68,950,000	\$ 26,201,000
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	15,870,300	\$ 13,013,646
198	SMI HOLDINGS	267,787,936	\$ 192,807,314
200	MELCO INT'L DEV	26,512,847	\$ 379,133,712
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	48,426,000	\$ 55,205,640
215	HUTCHTEL HK	32,445,966	\$ 74,950,181
217	CHINA CHENGTONG	1,266,163	\$ 683,728
218	SHENWANHONGYUAN	7,775,000	\$ 25,890,750
220	U-PRESID CHINA	87,765,803	\$ 484,467,233
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	47,946,000	\$ 21,575,700
235	CHINA STRATEGIC	207,510,986	\$ 30,919,137
241	ALI HEALTH	85,342,522	\$ 288,457,724
242	SHUN TAK HOLD	32,665,954	\$ 93,097,969
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	36,118,531	\$ 19,142,821
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 8,976,800
256	CITYCHAMP	11,048,000	\$ 19,334,000
257	CHINA EB INT'L	117,412,424	\$ 1,235,178,700
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	103,070,531	\$ 1,139,960,073
268	KINGDEE INT'L	222,414,802	\$ 709,503,218
270	GUANGDONG INV	23,569,979	\$ 274,354,556
272	SHUI ON LAND	58,827,681	\$ 102,948,442
273	MASON FIN	67,051,515	\$ 7,912,079
275	MASTER GLORY GP	53,960,000	\$ 11,493,480
283	GOLDIN PPT	4,598,000	\$ 36,784,000
285	BYD ELECTRONIC	60,567,398	\$ 708,638,557
286	CS HEALTH	0	\$ 0
288	WH GROUP	31,826,197	\$ 216,736,402
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	23,540,197	\$ 444,438,919
293	CATHAY PAC AIR	96,025,502	\$ 1,079,326,642
297	SINOFERT	140,661,347	\$ 147,694,414
299	NEW SPORTS	128,260,000	\$ 8,721,680
303	VTECH HOLDINGS	3,701,653	\$ 358,505,093
308	CHINA TRAVEL HK	152,988,493	\$ 356,463,189



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
315	SMARTONE TELE	8,778,390	\$ 89,188,442
316	OOIL	5,034,349	\$ 207,415,179
317	COMEC	5,405,534	\$ 89,731,864
321	TEXWINCA HOLD	12,925,907	\$ 67,861,012
322	TINGYI	228,121,300	\$ 2,408,960,928
323	MAANSHAN IRON	139,982,555	\$ 410,148,886
327	PAX GLOBAL	93,145,800	\$ 465,729,000
330	ESPRIT HOLDINGS	49,876,201	\$ 314,220,066
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,982,000	\$ 13,568,100
337	GREENLAND HK	18,663,741	\$ 52,445,112
338	SHANGHAI PECEM	89,827,191	\$ 396,137,912
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,825,000	\$ 98,685,000
342	NEWOCEAN ENERGY	49,169,380	\$ 118,498,206
345	VITASOY INT'L	10,568,424	\$ 164,867,414
346	YANCHANG PETRO	0	\$ 0
347	ANGANG STEEL	106,312,010	\$ 603,852,217
354	CHINASOFT INT'L	59,916,000	\$ 272,617,800
358	JIANGXI COPPER	47,268,986	\$ 605,988,401
363	SHANGHAI IND H	680,000	\$ 16,082,000
368	SINOTRANS SHIP	59,273,695	\$ 112,027,284
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	188,666,220	\$ 1,181,050,537
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,604,000	\$ 6,388,560
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	71,642,930	\$ 948,552,393
386	SINOPEC CORP	147,369,772	\$ 965,272,007
388	HKEX	38,222,339	\$ 7,487,756,210
390	CHINA RAILWAY	131,342,274	\$ 935,156,991
392	BEIJING ENT	15,288,278	\$ 622,232,915
400	COGOBUY	30,850,658	\$ 346,144,383
404	HSIN CHONG GP	11,523,168	\$ 4,033,109
405	YUEXIU REIT	7,891,000	\$ 37,008,790
410	SOHO CHINA	61,825,189	\$ 259,665,794
412	CHINA INNO FIN	12,455,323	\$ 3,985,703
416	BANKOFJINZHOU	805,000	\$ 7,245,000
419	HUAYI TENCENT	118,993,328	\$ 46,407,398



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
425	MINTH GROUP	5,348,525	\$ 165,001,996
433	NORTH MINING	89,133,107	\$ 14,706,963
434	BOYAA	2,847,981	\$ 11,221,045
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	68,726,813	\$ 244,667,454
440	DAH SING	2,389,970	\$ 142,083,717
451	GCL NEWENERGY	109,188,842	\$ 44,767,425
460	SIHUAN PHARM	41,426,000	\$ 131,734,680
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	2,919,000	\$ 11,530,050
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	3,218,000	\$ 13,065,080
488	LAI SUN DEV	9,975,000	\$ 2,154,600
489	DONGFENG GROUP	54,689,328	\$ 461,577,928
493	GOME	1,400,927,746	\$ 1,527,011,243
494	LI & FUNG	677,446,641	\$ 2,255,897,315
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	3,602,000	\$ 11,022,120
511	TVB	6,638,948	\$ 200,164,282
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,596,000	\$ 5,314,680
519	APPLIED DEV	660,000	\$ 448,800
521	HNA HOLDING	22,894,000	\$ 7,669,490
522	ASM PACIFIC	24,538,946	\$ 2,640,390,590
525	GUANGSHEN RAIL	10,426,200	\$ 50,879,856
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	3,310,000	\$ 9,896,900
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	0	\$ 0
543	PACIFIC ONLINE	339,000	\$ 644,100
546	FUFENG GROUP	62,628,802	\$ 389,551,148
547	DIGITAL DOMAIN	156,345,761	\$ 60,193,118
548	SHENZHENEXPRESS	1,540,000	\$ 11,057,200
551	YUE YUEN IND	8,083,006	\$ 252,189,787
552	CHINACOMSERVICE	8,530,000	\$ 41,541,100
553	NANJING PANDA	480,000	\$ 3,182,400
563	SH IND URBAN	9,226,200	\$ 16,976,208
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	115,720,199	\$ 504,540,068
576	ZHEJIANGEXPRESS	16,266,000	\$ 152,087,100



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577	THE 13 HOLDINGS	7,674,004	\$ 18,033,909
579	JNCEC	18,023,058	\$ 43,796,031
582	LANDING INTL	89,760,000	\$ 4,667,520
586	CONCH VENTURE	23,517,573	\$ 368,285,193
587	HUA HAN HEALTH	211,770,848	\$ 112,238,549
588	BEIJING N STAR	4,188,897	\$ 13,613,915
590	LUK FOOK HOLD	7,103,020	\$ 177,220,349
598	SINOTRANS	59,187,186	\$ 211,298,254
603	CHINA OIL & GAS	7,200,000	\$ 4,176,000
604	SHENZHEN INVEST	11,227,311	\$ 38,734,223
606	CHINA AGRI	26,768,002	\$ 107,072,008
607	FULLSHARE	510,036,754	\$ 1,723,924,229
621	TAUNG GOLD	9,690,000	\$ 746,130
622	ENERCHINA HOLD	34,633,773	\$ 8,554,542
631	SANY INT'L	11,365,758	\$ 17,957,898
633	CH ALL ACCESS	12,838,000	\$ 29,270,640
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	72,793,288	\$ 112,101,664
651	CHI OCEAN IND	379,846,722	\$ 72,170,877
656	FOSUN INTL	102,295,210	\$ 1,215,267,095
658	C TRANSMISSION	33,849,169	\$ 278,917,153
659	NWS HOLDINGS	1,892,049	\$ 25,996,753
665	HAITONG INT'L	118,031,554	\$ 540,584,517
669	TECHTRONIC IND	15,629,799	\$ 504,842,508
670	CHINA EAST AIR	137,268,296	\$ 627,316,113
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	42,284,190	\$ 11,416,731
683	KERRY PPT	11,453,381	\$ 335,011,394
686	UNITED PV	68,628,471	\$ 65,883,332
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	46,206,487	\$ 1,060,438,877
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	19,543,427	\$ 184,880,819
696	TRAVELSKY TECH	10,855,070	\$ 219,272,414
697	SHOUGANG INT'L	9,132,880	\$ 2,374,549
698	TONGDA GROUP	275,444,200	\$ 743,699,340
699	CAR INC	108,323,663	\$ 792,929,213
700	TENCENT	117,041,796	\$ 26,591,896,051
702	SINO OIL & GAS	85,840,000	\$ 16,137,920
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	13,806,978	\$ 57,989,308



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715	CHINA OCEANWIDE	21,834,045	\$ 16,812,215
716	SINGAMAS CONT	0	\$ 0
717	EMPEROR CAPITAL	19,158,974	\$ 12,644,923
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	57,121,827	\$ 21,991,903
726	CM DRAWIN TECH	0	\$ 0
727	CROWNICORP	1,288,000	\$ 1,816,080
728	CHINA TELECOM	92,510,366	\$ 358,940,220
729	FDG EVEHICLES	463,554,869	\$ 150,655,332
732	TRULY INT'L	166,607,278	\$ 449,839,651
735	C P NEW ENERGY	5,388,121	\$ 26,886,724
737	HOPEWELL INFR	10,787,869	\$ 45,956,322
750	SINGYES SOLAR	50,961,543	\$ 179,384,631
751	SKYWORTHDIGITAL	92,004,986	\$ 469,225,429
752	PICO FAR EAST	508,000	\$ 1,595,120
753	AIR CHINA	57,013,972	\$ 401,378,363
754	HOPSON DEV HOLD	1,466,000	\$ 10,863,060
762	CHINA UNICOM	243,638,807	\$ 2,670,281,325
763	ZTE	39,023,230	\$ 549,447,078
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	9,061,396	\$ 206,146,759
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	71,165,568	\$ 61,914,044
798	CEOVU	0	\$ 0
799	IGG	37,701,870	\$ 395,869,635
801	GOLDEN MEDITECH	9,334,882	\$ 11,575,254
806	VALUE PARTNERS	77,733,557	\$ 581,447,006
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,708,000	\$ 12,075,560
813	SHIMAO PROPERTY	12,938,106	\$ 167,677,854
815	CHI SILVER GP	35,764,185	\$ 55,434,487
816	HUADIAN FUXIN	85,837,477	\$ 142,490,212
817	CHINA JINMAO	55,232,918	\$ 141,396,270
818	HI SUN TECH	18,296,181	\$ 25,248,730
819	TIANNENG POWER	30,808,274	\$ 221,203,407
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	37,735,874	\$ 2,116,982,531
826	TIANGONG INT'L	1,410,000	\$ 1,269,000
829	SHENGUAN HLDGS	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0



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836	CHINA RES POWER	19,952,396	\$ 283,324,023
845	GLORIOUS PPT H	22,112,000	\$ 20,121,920
848	MAOYE INT'L	6,649,517	\$ 6,117,556
853	MICROPORT	0	\$ 0
855	CHINA WATER	27,426,000	\$ 147,277,620
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	114,031,280	\$ 659,100,798
861	DC HOLDINGS	73,161,120	\$ 501,153,672
867	CMS	44,078,065	\$ 618,856,033
868	XINYI GLASS	114,840,438	\$ 792,399,022
874	BAIYUNSHAN PH	2,294,080	\$ 52,993,248
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	52,097,127	\$ 361,554,061
881	ZHONGSHENG HLDG	26,012,090	\$ 290,294,924
882	TIANJIN DEV	2,352,000	\$ 9,925,440
883	CNOOC	160,725,972	\$ 1,533,325,773
884	CIFI HOLD GP	39,726,535	\$ 118,782,340
885	RENTIAN TECH	27,330,000	\$ 11,888,550
895	DONGJIANG ENV	4,090,536	\$ 54,485,940
902	HUANENG POWER	271,660,092	\$ 1,439,798,488
903	TPV TECHNOLOGY	0	\$ 0
904	CHINA GREEN	5,392,000	\$ 345,088
906	COFCO PACKAGING	288,000	\$ 1,284,480
911	QIANHAI HEALTH	131,563,602	\$ 11,972,288
914	ANHUI CONCH	211,087,135	\$ 5,868,222,353
916	CHINA LONGYUAN	50,087,161	\$ 308,036,040
921	HISENSE KELON	1,773,300	\$ 19,860,960
931	CHINA LNG	654,586,078	\$ 117,170,908
933	BRIGHTOIL	48,247,641	\$ 108,557,192
934	SINOPEC KANTONS	23,648,887	\$ 103,818,614
939	CCB	1,054,937,144	\$ 6,572,258,407
941	CHINA MOBILE	16,940,132	\$ 1,448,381,286
950	LEE'S PHARM	2,313,430	\$ 17,651,471
951	CHAOWEI POWER	3,843,791	\$ 20,679,596
952	QUAM	0	\$ 0
956	CHINA SUNTIEN	29,108,094	\$ 48,901,598
958	HN RENEWABLES	183,885,763	\$ 496,491,560
960	LONGFOR PPT	24,373,605	\$ 328,068,723
963	BLOOMAGE BIOTEC	7,050,850	\$ 82,212,911
966	CHINA TAIPING	34,992,205	\$ 651,554,857
968	XINYI SOLAR	357,203,928	\$ 907,297,977



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973	L'OCCITANE	1,718,025	\$ 27,385,319
976	CHIHO-TIANDE	6,807,636	\$ 30,225,904
978	CHI MER LAND	8,220,000	\$ 10,521,600
980	LIANHUA	7,401,968	\$ 26,499,045
981	SMIC	329,008,530	\$ 3,165,062,059
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
991	DATANG POWER	56,547,309	\$ 133,451,649
992	LENOVO GROUP	828,873,999	\$ 4,475,919,595
995	ANHUIEXPRESSWAY	306,000	\$ 1,921,680
996	CARNIVAL GROUP	188,715,223	\$ 154,746,483
998	CITIC BANK	145,978,292	\$ 748,868,638
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,900,000	\$ 4,313,000
1019	CONVOY	51,792,763	\$ 10,617,516
1027	CHINA JICHENG	96,620,000	\$ 18,744,280
1028	C.BANNER	547,000	\$ 1,630,060
1030	FUTURE LAND	18,556,000	\$ 43,049,920
1031	KINGSTON FIN	110,057,198	\$ 280,645,855
1033	SINOPEC SSC	113,344,242	\$ 179,083,902
1038	CKI HOLDINGS	25,137,205	\$ 1,578,616,474
1044	HENGAN INT'L	56,257,842	\$ 3,341,715,815
1045	APT SATELLITE	1,569,614	\$ 6,435,417
1051	G-RESOURCES	32,121,000	\$ 4,368,456
1052	YUEXIUTRANSPORT	19,990,000	\$ 118,540,700
1053	CHONGQING IRON	3,355,200	\$ 7,180,128
1055	CHINA SOUTH AIR	141,211,459	\$ 802,081,087
1060	ALI PICTURES	929,498,633	\$ 1,292,003,100
1063	SUNCORP TECH	115,733,232	\$ 6,481,061
1065	TIANJIN CAPITAL	1,967,923	\$ 9,544,427
1066	WEIGAO GROUP	37,161,027	\$ 204,385,649
1068	YURUN FOOD	77,339,072	\$ 95,127,059
1070	TCL MULTIMEDIA	23,617,260	\$ 97,775,456
1071	HUADIAN POWER	72,554,797	\$ 236,528,638
1072	DONGFANG ELEC	9,811,911	\$ 73,098,737
1076	IMPERIAL PAC	553,575,712	\$ 74,732,721
1083	TOWNGAS CHINA	25,209,929	\$ 112,184,184
1086	GOODBABY INTL	11,771,172	\$ 44,612,742
1088	CHINA SHENHUA	38,449,496	\$ 705,163,757
1089	LEYOU TECH H	61,255,000	\$ 100,458,200
1093	CSPC PHARMA	47,513,561	\$ 477,986,424



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	188,000	\$ 1,864,960
1099	SINOPHARM	10,438,825	\$ 372,144,111
1101	HUARONG ENERGY	26,771,238	\$ 11,377,776
1103	DASHENG AGR FIN	26,376,000	\$ 20,309,520
1108	LUOYANG GLASS	3,154,000	\$ 17,630,860
1109	CHINA RES LAND	29,905,937	\$ 665,407,098
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	25,133,100	\$ 655,973,910
1113	CK PROPERTY	7,311,570	\$ 392,265,731
1114	BRILLIANCE CHI	171,872,438	\$ 2,234,341,694
1115	TIBET WATER	24,208,000	\$ 76,981,440
1117	CH MODERN D	59,952,531	\$ 98,921,676
1122	QINGLING MOTORS	502,000	\$ 1,265,040
1128	WYNN MACAU	129,873,111	\$ 2,231,220,047
1129	WATER INDUSTRY	7,796,000	\$ 11,538,080
1133	HARBIN ELECTRIC	2,498,000	\$ 12,065,340
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	27,646,859	\$ 120,540,305
1140	OP FIN INV	1,088,000	\$ 3,024,640
1141	SKYWAY SEC	73,590,000	\$ 25,388,550
1157	ZOOMLION	64,020,075	\$ 272,085,319
1165	SFCE	70,315,211	\$ 40,782,822
1168	SINOLINK HOLD	2,594,000	\$ 2,204,900
1169	HAIER ELEC	4,663,782	\$ 86,559,794
1171	YANZHOU COAL	101,478,284	\$ 719,481,034
1176	ZHUGUANG HOLD	5,632,000	\$ 5,068,800
1177	SINO BIOPHARM	202,649,194	\$ 1,317,219,761
1186	CHINA RAIL CONS	200,599,267	\$ 2,322,939,512
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1192	TITAN PETROCHEM	31,540,000	\$ 2,933,220
1193	CHINA RES GAS	52,065,945	\$ 1,447,433,271
1194	MUNSUN CAPITAL	136,666,901	\$ 24,463,375
1196	REALORD GROUP	4,682,000	\$ 21,443,560
1199	COSCO SHIP PORT	32,999,186	\$ 277,193,162
1205	CITIC RESOURCES	67,210,192	\$ 69,226,498
1206	TECHNOVATOR	20,587,363	\$ 61,762,089
1207	SRE GROUP	0	\$ 0
1208	MMG	72,705,200	\$ 208,663,924
1211	BYD COMPANY	114,706,350	\$ 5,213,403,608
1212	LIFESTYLE INT'L	1,001,500	\$ 10,756,110
1219	TENWOW INT'L	3,046,000	\$ 5,208,660



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	2,250,938	\$ 4,591,914
1230	YASHILI INT'L	26,925,000	\$ 40,387,500
1233	TIMES PPT	0	\$ 0
1234	CHINA LILANG	0	\$ 0
1236	NATIONAL AGRI	37,194,202	\$ 44,261,100
1238	POWERLONG	4,559,371	\$ 14,453,206
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	31,148,000	\$ 44,541,640
1270	LANGHAM-SS	451,500	\$ 1,498,980
1282	CHINA GOLDJOY	103,892,113	\$ 71,685,558
1288	ABC	527,038,969	\$ 1,881,529,119
1293	BAOXIN AUTO	9,318,632	\$ 34,478,938
1297	SINOSOFT TECH	26,034,463	\$ 65,867,191
1299	AIA	52,977,003	\$ 2,630,308,199
1302	LIFETECH SCI	35,100,956	\$ 69,850,902
1303	HUILI RES	432,000	\$ 419,040
1308	SITC	5,465,300	\$ 29,840,538
1310	HKBN	7,347,527	\$ 63,776,534
1313	CHINARES CEMENT	42,577,268	\$ 191,171,933
1315	VISION FAME	98,546,000	\$ 107,415,140
1316	NEXTEER	8,910,996	\$ 102,120,014
1317	MAPLELEAF EDU	44,752,000	\$ 277,014,880
1321	CHINA NEWCITY	0	\$ 0
1330	DYNAGREEN ENV	2,931,000	\$ 13,980,870
1332	CHINA TOUYUN	3,440,000	\$ 946,000
1333	CHINA ZHONGWANG	92,217,200	\$ 319,993,684
1336	NCI	15,312,887	\$ 559,686,020
1339	PICC GROUP	91,522,420	\$ 292,871,744
1345	PIONEER PHARM	572,400	\$ 1,814,508
1347	HUA HONG SEMI	293,000	\$ 3,158,540
1349	FUDANZHANGJIANG	2,770,000	\$ 17,090,900
1358	PW MEDTECH	4,012,000	\$ 7,662,920
1359	CHINA CINDA	183,714,300	\$ 549,305,757
1361	361 DEGREES	17,490,249	\$ 50,022,112
1363	CTEG	170,437,393	\$ 257,360,463
1366	JIANGNAN GP	39,420,000	\$ 42,573,600
1368	XTEP INT'L	35,146,186	\$ 113,522,181
1371	CHINA LOTSYN	307,843,405	\$ 78,500,068
1375	CC SECURITIES	46,823,640	\$ 194,318,106



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	20,136,397	\$ 92,023,334
1382	PACIFICTEXTILES	17,569,145	\$ 151,621,721
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	760,180,323	\$ 148,995,343
1398	ICBC	116,851,331	\$ 585,425,168
1415	COWELL	13,346,000	\$ 32,564,240
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	15,146,000	\$ 38,167,920
1432	CHINA SHENGMU	15,022,000	\$ 29,743,560
1448	FU SHOU YUAN	24,357,195	\$ 118,375,968
1456	GUOLIAN SEC	0	\$ 0
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	98,841,149	\$ 178,902,480
1509	HARMONICARE	1,931,000	\$ 7,724,000
1513	LIVZON PHARMA	51,000	\$ 2,381,700
1515	CRPHOENIXHEALTH	55,251,000	\$ 560,245,140
1528	RS MACALLINE	2,283,200	\$ 19,841,008
1530	3SBIO	76,644,684	\$ 737,321,860
1548	GENSCRIPT BIO	0	\$ 0
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	4,847,120	\$ 15,704,669
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	386,000	\$ 1,447,500
1585	YADEA	792,000	\$ 1,314,720
1589	CNLP	8,179,000	\$ 23,391,940
1599	UCD	85,000	\$ 438,600
1600	TIAN LUN GAS	0	\$ 0
1616	SILVERMAN HL	3,900,228	\$ 4,212,246
1618	MCC	53,269,450	\$ 174,191,102
1622	REDCO PPT	2,228,800	\$ 6,730,976
1628	YUZHOU PPT	5,585,000	\$ 18,821,450
1636	CMRU	3,808,000	\$ 11,690,560
1658	PSBC	163,869,322	\$ 804,598,371
1661	WISDOM SPORTS	33,981,000	\$ 61,845,420
1666	TONG REN TANG	4,017,169	\$ 54,472,812
1668	CHINASOUTHCITY	112,419,000	\$ 176,497,830
1680	MACAU LEGEND	50,558,693	\$ 73,815,692
1681	CONSUN PHARMA	255,000	\$ 1,328,550
1685	BOER POWER	22,976,550	\$ 57,900,906



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	40,856,284	\$ 190,390,283
1766	CRRC	374,421,943	\$ 2,886,793,181
1776	GF SEC	49,287,291	\$ 801,411,352
1777	FANTASIA	4,859,000	\$ 6,316,700
1778	COLOUR LIFE	758,800	\$ 3,551,184
1786	CRCCE	5,673,000	\$ 20,706,450
1788	GUOTAI JUNAN I	160,828,643	\$ 397,246,748
1798	DATANG RENEW	624,000	\$ 505,440
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	81,990,731	\$ 934,694,333
1803	BJ SPORTS & ENT	577,500	\$ 981,750
1811	CGN NEW ENERGY	21,191,600	\$ 26,277,584
1812	CHENMING PAPER	8,444,000	\$ 81,991,240
1813	KWG PROPERTY	19,060,664	\$ 109,789,425
1816	CGN POWER	596,974,650	\$ 1,444,678,653
1818	ZHAOJIN MINING	89,931,300	\$ 634,914,978
1828	DCH HOLDINGS	4,764,000	\$ 16,149,960
1829	CMEC	6,660,000	\$ 40,226,400
1833	INTIME	21,487,651	\$ 211,653,362
1836	STELLA HOLDINGS	5,406,679	\$ 70,178,693
1848	CALC	586,000	\$ 5,637,320
1862	JINGRUI HLDGS	0	\$ 0
1880	BELLE INT'L	56,551,573	\$ 281,626,834
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	1,646,000	\$ 30,813,120
1883	CITIC TELECOM	3,670,000	\$ 8,734,600
1886	HUIYUAN JUICE	537,000	\$ 1,406,940
1888	KB LAMINATES	1,732,000	\$ 17,978,160
1893	SINOMA	10,952,149	\$ 30,337,453
1898	CHINA COAL	166,814,224	\$ 693,947,172
1899	XINGDA INT'L	12,970,000	\$ 41,244,600
1910	SAMSONITE	32,377,963	\$ 932,485,334
1913	PRADA	14,364,789	\$ 498,458,178
1918	SUNAC	225,294,213	\$ 2,649,459,945
1919	COSCO SHIP HOLD	160,290,944	\$ 578,650,308
1928	SANDS CHINA LTD	95,227,214	\$ 3,590,065,968
1929	CHOW TAI FOOK	16,331,705	\$ 128,040,567
1958	BAIC MOTOR	41,749,500	\$ 354,870,750
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1970	IMAX CHINA	8,682,832	\$ 334,723,174
1972	SWIREPROPERTIES	19,868,146	\$ 491,736,614
1980	TIANGE	19,958,046	\$ 111,565,477
1988	MINSHENG BANK	406,207,918	\$ 3,310,594,532
1999	MAN WAH HLDGS	9,057,423	\$ 57,514,636
2005	SSY GROUP	22,141,721	\$ 69,967,838
2006	JINJIANG HOTELS	23,670,000	\$ 48,996,900
2007	COUNTRY GARDEN	374,444,292	\$ 2,770,887,761
2008	PHOENIX TV	10,556,000	\$ 15,306,200
2009	BBMG	116,430,144	\$ 535,578,662
2014	OZNER WATER	33,276,000	\$ 66,219,240
2016	CZBANK	0	\$ 0
2018	AAC TECH	51,839,147	\$ 4,709,586,505
2020	ANTA SPORTS	70,919,340	\$ 1,567,317,414
2038	FIH	7,812,854	\$ 21,016,577
2039	CIMC	6,720,927	\$ 90,463,677
2066	SHENGJINGBANK	1,445,000	\$ 10,158,350
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	17,927,000	\$ 122,979,220
2136	LIFESTYLE CHI	0	\$ 0
2168	YINGDE GASES	8,130,000	\$ 48,780,000
2186	LUYE PHARMA	129,145,399	\$ 626,355,185
2196	FOSUN PHARMA	14,787,847	\$ 419,974,855
2199	REGINA MIRACLE	23,971,000	\$ 144,784,840
2200	HOSA INT'L	7,986,090	\$ 19,965,225
2202	CHINA VANKE	50,964,627	\$ 1,095,739,481
2208	GOLDWIND	42,910,837	\$ 490,899,975
2211	UNI HEALTH	32,750,111	\$ 8,842,530
2226	HONWORLD GP	119,500	\$ 537,750
2233	WESTCHINACEMENT	134,183,250	\$ 155,652,570
2238	GAC GROUP	90,021,558	\$ 1,103,664,301
2255	HAICHANG HLDG	9,238,129	\$ 15,797,201
2277	HUARONG INV	0	\$ 0
2280	HC INTL	26,650,000	\$ 187,882,500
2282	MGM CHINA	49,858,112	\$ 871,519,798
2298	COSMO LADY	75,886,664	\$ 188,198,927
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	71,534,454	\$ 3,605,336,482
2314	LEE & MAN PAPER	13,816,000	\$ 85,797,360
2318	PING AN	949,323,180	\$ 40,678,498,263



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	57,314,187	\$ 874,614,494
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	40,872,035	\$ 16,348,814
2328	PICC P&C	50,616,108	\$ 604,356,330
2329	GUORUI PPT	4,877,000	\$ 11,753,570
2331	LI NING	88,141,484	\$ 410,739,315
2333	GREATWALL MOTOR	544,895,565	\$ 4,920,406,952
2338	WEICHAI POWER	185,896,836	\$ 2,520,761,096
2342	COMBA	6,143,076	\$ 7,678,845
2343	PACIFIC BASIN	172,899,641	\$ 304,303,368
2348	DAWNRAYS PHARMA	678,000	\$ 3,098,460
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,069,539	\$ 16,321,165
2357	AVICHINA	101,202,178	\$ 555,599,957
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	105,570,872	\$ 306,155,529
2382	SUNNY OPTICAL	34,645,537	\$ 1,853,536,230
2383	TOM GROUP	1,861,003	\$ 3,442,856
2386	SINOPEC SEG	9,170,653	\$ 75,749,594
2388	BOC HONG KONG	47,796,495	\$ 1,517,538,716
2389	BJ ENT M&H	25,614,000	\$ 14,599,980
2393	YESTAR HEALTH	5,010,468	\$ 20,091,977
2488	LAUNCH TECH	442,000	\$ 5,525,000
2588	BOC AVIATION	1,836,600	\$ 77,137,200
2600	CHALCO	614,530,197	\$ 2,501,137,902
2601	CPIC	25,586,386	\$ 734,329,278
2607	SH PHARMA	8,264,621	\$ 168,598,268
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	114,233,830	\$ 2,690,206,697
2638	HKELECTRIC-SS	193,848,887	\$ 1,337,557,320
2666	UNI MEDICAL	22,428,260	\$ 158,567,798
2669	CHINA OVS PPT	92,247,034	\$ 131,913,259
2678	TEXHONG TEXTILE	2,033,095	\$ 20,818,893
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	13,724,509	\$ 627,210,061
2689	ND PAPER	82,173,898	\$ 699,299,872
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	330,580,608	\$ 1,289,264,371
2768	JIAYUAN INTL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2777	R&F PROPERTIES	59,696,445	\$ 776,053,785
2778	CHAMPION REIT	9,187,000	\$ 45,383,780
2799	CHINA HUARONG	198,051,309	\$ 655,549,833
2800	TRACKER FUND	10,245,577	\$ 251,528,915
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	65,725,900	\$ 768,993,030
2823	X ISHARES A50	112,960,478	\$ 1,280,971,821
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	1,841,400	\$ 190,584,900
2829	X ISHARES A FIN	0	\$ 0
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	184,314,014	\$ 328,078,945
2868	BJ CAPITAL LAND	11,375,800	\$ 42,204,218
2869	GREENTOWN SER	22,842,000	\$ 65,328,120
2877	SHINEWAY PHARM	2,699,000	\$ 23,481,300
2880	DALIAN PORT	52,107,200	\$ 76,076,512
2883	CHINA OILFIELD	149,224,072	\$ 1,146,040,873
2888	STANCHART	2,346,100	\$ 168,801,895
2899	ZIJIN MINING	160,115,928	\$ 486,752,421



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	1,844,040	\$ 161,261,298
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	32,344,641	\$ 1,311,575,193
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,244,500	\$ 18,947,880
3308	GOLDEN EAGLE	32,336,157	\$ 378,979,760
3311	CHINA STATE CON	46,175,820	\$ 652,926,095
3315	GOLDPAC GROUP	831,000	\$ 2,185,530
3323	CNBM	161,175,526	\$ 867,124,330
3328	BANKCOMM	101,744,454	\$ 601,309,723
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	545,865,581	\$ 4,503,391,043
3336	JU TENG INTL	3,084,000	\$ 9,591,240
3337	ANTON OILFIELD	24,816,000	\$ 24,319,680
3339	LONKING	30,498,400	\$ 75,331,048
3360	FE HORIZON	37,572,190	\$ 266,762,549
3368	PARKSON GROUP	41,949,818	\$ 41,110,822
3369	QHD PORT	2,715,000	\$ 6,081,600
3377	SINO-OCEAN GP	24,689,622	\$ 94,561,252
3378	XIAMEN PORT	2,700,000	\$ 4,482,000
3380	LOGAN PPT	15,946,953	\$ 70,166,593
3382	TIANJINPORT DEV	2,420,000	\$ 3,630,000
3383	AGILE GROUP	102,651,509	\$ 718,560,563
3389	HENGDELI	16,262,976	\$ 20,166,090
3393	WASION GROUP	29,686,889	\$ 119,638,163
3396	LEGENDHOLDING	2,800,110	\$ 64,682,541
3606	FUYAO GLASS	31,304,928	\$ 815,493,374
3618	CQRC BANK	47,154,395	\$ 255,576,821
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	250,100	\$ 4,706,882
3639	YIDA CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3669	YONGDA AUTO	13,427,000	\$ 100,568,230
3709	KORADIOR	1,271,000	\$ 10,676,400
3788	CHINA HANKING	765,000	\$ 971,550
3799	DALI FOODS	71,477,500	\$ 325,222,625
3800	GCL-POLY ENERGY	2,144,194,012	\$ 2,187,077,892
3808	SINOTRUK	38,943,000	\$ 229,374,270
3813	POU SHENG INT'L	74,928,573	\$ 122,133,574
3818	CHINA DONGXIANG	35,732,565	\$ 53,241,522
3836	HARMONY AUTO	29,730,443	\$ 93,353,591
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	3,193,853	\$ 7,633,309
3886	TOWN HEALTH	77,811,545	\$ 96,486,316
3888	KINGSOFT	58,945,087	\$ 1,220,163,301
3898	CRRRC TIMES ELEC	29,322,259	\$ 1,222,738,200
3899	CIMC ENRIC	23,964,132	\$ 118,143,171
3900	GREENTOWN CHINA	69,283,455	\$ 531,404,100
3908	CICC	5,870,996	\$ 68,573,233
3918	NAGACORP	9,075,111	\$ 40,202,742
3933	UNITED LAB	140,103,722	\$ 714,528,982
3948	YITAI COAL	0	\$ 0
3958	DFZQ	1,748,800	\$ 13,430,784
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	153,186,896	\$ 3,132,672,023
3969	CHINA CRSC	3,316,030	\$ 21,255,752
3983	CHINA BLUECHEM	25,142,430	\$ 60,844,681
3988	BANK OF CHINA	220,563,478	\$ 822,701,773
3989	CAPITAL ENV	7,612,429	\$ 1,842,208
3993	CMOC	142,666,835	\$ 402,320,475
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 3,004,280
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	100,722,209	\$ 1,617,598,677
6136	KANGDA ENV	4,594,000	\$ 9,188,000
6138	HARBIN BANK	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	150,396	\$ 1,798,736
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	0	\$ 0
6808	SUNART RETAIL	151,948,191	\$ 1,186,715,372
6818	CEB BANK	130,102,312	\$ 489,184,693
6823	HKT-SS	16,979,371	\$ 171,831,235
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	46,344,000	\$ 26,416,080
6837	HAITONG SEC	87,182,341	\$ 1,128,139,493
6839	YUNNAN WATER	164,000	\$ 649,440
6863	HUIZHAN DAIRY	433,134,102	\$ 181,916,323
6869	YOFC	1,714,500	\$ 26,780,490
6878	DIFFER GROUP	2,316,000	\$ 1,922,280
6881	CGS	108,428,105	\$ 793,693,729
6886	HTSC	33,111,221	\$ 502,628,335
6889	DYNAM JAPAN	0	\$ 0
6899	OURGAME	1,287,000	\$ 3,590,730
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,379,733	\$ 4,731,626
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	16,280,000	\$ 14,652,000
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	5,540,000	\$ 1,689,700
8083	INNOVATIONPAY	32,104,146	\$ 17,978,322
8127	SEASON PACIFIC	0	\$ 0
8137	HONBRIDGE	1,996,000	\$ 1,956,080
8138	TONGRENTANGCM	0	\$ 0
8158	CRMI	23,190,000	\$ 6,145,350
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	111,828,000	\$ 116,301,120
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	436,000	\$ 1,425,720
8279	AGTECH HOLDINGS	31,056,000	\$ 48,136,800
8325	CHINA SMARTPAY	7,010,000	\$ 9,533,600
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 07/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YRGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0