



## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1          | CKH HOLDINGS    | 13,759,266                                     | \$ 1,345,656,215                             |
| 2          | CLP HOLDINGS    | 19,257,214                                     | \$ 1,552,131,448                             |
| 3          | HK & CHINA GAS  | 270,791,173                                    | \$ 4,170,184,064                             |
| 4          | WHARF HOLDINGS  | 38,852,827                                     | \$ 2,680,845,063                             |
| 5          | HSBC HOLDINGS   | 48,924,384                                     | \$ 3,082,236,192                             |
| 6          | POWER ASSETS    | 13,725,387                                     | \$ 922,346,006                               |
| 8          | PCCW            | 90,898,595                                     | \$ 408,134,692                               |
| 10         | HANG LUNG GROUP | 9,433,750                                      | \$ 316,974,000                               |
| 11         | HANG SENG BANK  | 15,980,758                                     | \$ 2,515,371,309                             |
| 12         | HENDERSON LAND  | 10,591,332                                     | \$ 511,561,336                               |
| 14         | HYSAN DEV       | 7,618,097                                      | \$ 271,204,253                               |
| 16         | SHK PPT         | 15,966,937                                     | \$ 1,825,020,899                             |
| 17         | NEW WORLD DEV   | 41,209,394                                     | \$ 402,615,779                               |
| 19         | SWIRE PACIFIC A | 10,167,896                                     | \$ 790,553,914                               |
| 20         | WHEELLOCK       | 7,083,284                                      | \$ 435,621,966                               |
| 23         | BANK OF E ASIA  | 56,660,828                                     | \$ 1,830,144,744                             |
| 25         | CHEVALIER INT'L | 0  | \$ 0   |
| 26         | CHINA MOTOR BUS | 0  | \$ 0   |
| 27         | GALAXY ENT      | 63,622,479                                     | \$ 2,646,695,126                             |
| 28         | TIAN AN         | 0  | \$ 0   |
| 31         | CHINA AEROSPACE | 25,447,126                                     | \$ 26,973,954                                |
| 32         | CROSS-HAR(HOLD) | 0  | \$ 0   |
| 34         | KOWLOON DEV     | 0  | \$ 0   |
| 35         | FE CONSORT INTL | 0  | \$ 0   |
| 38         | FIRST TRACTOR   | 4,114,000                                      | \$ 19,911,760                                |
| 39         | CH BEIDAHUANG   | 17,749,714                                     | \$ 7,543,628                                 |
| 41         | GREAT EAGLE H   | 339,377  | \$ 12,455,136                                |
| 43         | C.P. POKPHAND   | 0  | \$ 0   |
| 44         | HAECO           | 0  | \$ 0   |
| 45         | HK&S HOTELS     | 1,623,301                                      | \$ 14,739,573                                |
| 50         | HK FERRY (HOLD) | 0  | \$ 0   |
| 51         | HARBOUR CENTRE  | 0  | \$ 0   |
| 52         | FAIRWOOD HOLD   | 673,500  | \$ 19,901,925                                |
| 53         | GUOCO GROUP     | 0  | \$ 0   |
| 54         | HOPEWELL HOLD   | 1,142,500                                      | \$ 33,361,000                                |
| 56         | ALLIED PPT (HK) | 0  | \$ 0   |
| 62         | TRANSPORT INT'L | 0  | \$ 0   |
| 64         | GET NICE        | 2,488,000                                      | \$ 684,200                                   |
| 66         | MTR CORPORATION | 59,550,004                                     | \$ 2,557,672,672                             |
| 69         | SHANGRI-LA ASIA | 15,726,087                                     | \$ 162,922,261                               |
| 71         | MIRAMAR HOTEL   | 0  | \$ 0   |



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| 75         | Y.T. REALTY     | 0  | \$ 0   |
| 78         | REGAL INT'L     | 0  | \$ 0   |
| 81         | CH OVS G OCEANS | 653,000  | \$ 2,801,370                                 |
| 83         | SINO LAND       | 28,211,819                                     | \$ 390,451,575                               |
| 85         | CHINA ELECTRON  | 24,167,900                                     | \$ 38,910,319                                |
| 86         | SUN HUNG KAI CO | 1,287,259                                      | \$ 6,487,785                                 |
| 88         | TAI CHEUNG HOLD | 167,000  | \$ 1,177,350                                 |
| 95         | LVGEM CHINA     | 0  | \$ 0   |
| 100        | CLEAR MEDIA     | 0  | \$ 0   |
| 101        | HANG LUNG PPT   | 33,317,043                                     | \$ 689,662,790                               |
| 102        | SUMMIT ASCENT   | 59,731,574                                     | \$ 149,926,251                               |
| 105        | ASSO INT HOTELS | 0  | \$ 0   |
| 107        | SICHUAN EXPRESS | 11,703,000                                     | \$ 39,790,200                                |
| 109        | GOODRESOURCES   | 22,657,500                                     | \$ 9,742,725                                 |
| 116        | CHOW SANG SANG  | 392,000  | \$ 7,024,640                                 |
| 119        | POLY PROPERTY   | 108,167,435                                    | \$ 375,340,999                               |
| 123        | YUEXIU PROPERTY | 39,356,800                                     | \$ 53,131,680                                |
| 127        | CHINESE EST H   | 0  | \$ 0   |
| 133        | CHINA MERCHANTS | 194,000  | \$ 2,487,080                                 |
| 135        | KUNLUN ENERGY   | 276,918,554                                    | \$ 1,977,198,476                             |
| 139        | CH SOFT POWER   | 7,854,000                                      | \$ 1,288,056                                 |
| 142        | FIRST PACIFIC   | 11,608,716                                     | \$ 62,919,241                                |
| 144        | CHINA MER PORT  | 12,251,446                                     | \$ 270,144,384                               |
| 147        | IB SETTLEMENT   | 0  | \$ 0   |
| 148        | KINGBOARD CHEM  | 913,800  | \$ 24,763,980                                |
| 151        | WANT WANT CHINA | 747,592,336                                    | \$ 3,857,576,454                             |
| 152        | SHENZHEN INT'L  | 10,352,831                                     | \$ 121,128,123                               |
| 158        | MELBOURNE ENT   | 0  | \$ 0   |
| 163        | EMPEROR INT'L   | 1,172,000                                      | \$ 2,883,120                                 |
| 164        | CHINA BAOLI TEC | 8,475,000                                      | \$ 1,864,500                                 |
| 165        | CHINA EB LTD    | 14,689,947                                     | \$ 237,095,745                               |
| 168        | TSINGTAO BREW   | 29,685,185                                     | \$ 1,055,308,327                             |
| 171        | SILVER GRANT    | 1,584,000                                      | \$ 1,821,600                                 |
| 173        | K. WAH INT'L    | 598,194  | \$ 3,080,699                                 |
| 175        | GEELY AUTO      | 618,115,051                                    | \$ 7,590,452,826                             |
| 177        | JIANGSU EXPRESS | 18,631,051                                     | \$ 201,215,351                               |
| 178        | SA SA INT'L     | 36,283,641                                     | \$ 111,753,614                               |
| 179        | JOHNSON ELEC H  | 2,167,706                                      | \$ 53,542,338                                |
| 182        | CONCORD NE      | 60,170,000                                     | \$ 24,368,850                                |
| 186        | GRANDE HOLDINGS | 0  | \$ 0   |
| 194        | LIU CHONG HING  | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

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|------------|-----------------|--|--|
| 196        | HONGHUA GROUP   | 15,529,300                                     | \$ 13,665,784                                |
| 198        | SMI HOLDINGS    | 249,559,936                                    | \$ 184,674,353                               |
| 200        | MELCO INT'L DEV | 27,532,537                                     | \$ 361,777,536                               |
| 202        | EVERCHINA INT'L | 0  | \$ 0   |
| 207        | JOY CITY PPT    | 45,784,000                                     | \$ 52,193,760                                |
| 215        | HUTCHTEL HK     | 29,732,000                                     | \$ 69,572,880                                |
| 217        | CHINA CHENGTONG | 1,266,163                                      | \$ 709,051                                   |
| 218        | SHENWANHONGYUAN | 7,695,000                                      | \$ 26,316,900                                |
| 220        | U-PRESID CHINA  | 68,024,148                                     | \$ 374,132,814                               |
| 225        | POKFULAM        | 0  | \$ 0   |
| 230        | MINMETALS LAND  | 0  | \$ 0   |
| 232        | AVIC INT'L      | 43,794,000                                     | \$ 20,364,210                                |
| 235        | CHINA STRATEGIC | 193,443,258                                    | \$ 29,403,375                                |
| 241        | ALI HEALTH      | 77,348,522                                     | \$ 293,924,384                               |
| 242        | SHUN TAK HOLD   | 28,514,595                                     | \$ 78,415,136                                |
| 243        | QPL INT'L       | 0  | \$ 0   |
| 245        | CN MINSHENG FIN | 34,628,531                                     | \$ 20,777,119                                |
| 247        | TST PROPERTIES  | 0  | \$ 0   |
| 251        | SEA HOLDINGS    | 458,000  | \$ 8,097,440                                 |
| 256        | CITYCHAMP       | 10,860,000                                     | \$ 18,787,800                                |
| 257        | CHINA EB INT'L  | 126,017,711                                    | \$ 1,343,348,799                             |
| 258        | TOMSON GROUP    | 0  | \$ 0   |
| 261        | CCT LAND        | 0  | \$ 0   |
| 266        | TIAN TECK LAND  | 0  | \$ 0   |
| 267        | CITIC           | 102,733,915                                    | \$ 1,138,291,778                             |
| 268        | KINGDEE INT'L   | 208,953,833                                    | \$ 702,084,879                               |
| 270        | GUANGDONG INV   | 17,751,652                                     | \$ 203,433,932                               |
| 272        | SHUI ON LAND    | 60,552,290                                     | \$ 110,205,168                               |
| 273        | MASON FIN       | 56,707,335                                     | \$ 6,578,051                                 |
| 275        | MASTER GLORY GP | 38,010,000                                     | \$ 9,236,430                                 |
| 283        | GOLDIN PPT      | 4,588,000                                      | \$ 33,538,280                                |
| 285        | BYD ELECTRONIC  | 51,105,048                                     | \$ 516,160,985                               |
| 286        | CS HEALTH       | 0  | \$ 0   |
| 288        | WH GROUP        | 49,731,924                                     | \$ 330,717,295                               |
| 289        | WING ON CO      | 0  | \$ 0   |
| 291        | CHINA RES BEER  | 21,493,070                                     | \$ 387,305,121                               |
| 293        | CATHAY PAC AIR  | 98,146,246                                     | \$ 1,107,089,655                             |
| 297        | SINOFERT        | 129,075,282                                    | \$ 152,308,833                               |
| 299        | NEW SPORTS      | 121,760,000                                    | \$ 9,984,320                                 |
| 303        | VTECH HOLDINGS  | 3,584,338                                      | \$ 332,626,566                               |
| 308        | CHINA TRAVEL HK | 131,820,493                                    | \$ 307,141,749                               |



## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

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|------------|------------------|--|--|
| 315        | SMARTONE TELE    | 9,636,214                                      | \$ 96,747,589                                |
| 316        | OOIL             | 4,641,867                                      | \$ 191,477,014                               |
| 317        | COMEC            | 3,883,534                                      | \$ 62,058,873                                |
| 321        | TEXWINCA HOLD    | 12,965,977                                     | \$ 70,664,575                                |
| 322        | TINGYI           | 231,789,660                                    | \$ 2,144,054,355                             |
| 323        | MAANSHAN IRON    | 123,266,555                                    | \$ 352,542,347                               |
| 327        | PAX GLOBAL       | 86,308,446                                     | \$ 447,077,750                               |
| 330        | ESPRIT HOLDINGS  | 53,120,140                                     | \$ 359,623,348                               |
| 332        | YUANHENG GAS     | 0  | \$ 0   |
| 336        | HUABAO INTL      | 4,615,185                                      | \$ 21,183,699                                |
| 337        | GREENLAND HK     | 18,502,741                                     | \$ 40,521,003                                |
| 338        | SHANGHAI PEICHEM | 101,697,999                                    | \$ 448,488,176                               |
| 340        | CHINA MINING     | 0  | \$ 0   |
| 341        | CAFE DE CORAL H  | 3,907,000                                      | \$ 100,019,200                               |
| 342        | NEWOCEAN ENERGY  | 50,682,476                                     | \$ 125,692,540                               |
| 345        | VITASOY INT'L    | 9,710,804                                      | \$ 144,496,764                               |
| 346        | YANCHANG PETRO   | 2,700,000                                      | \$ 523,800                                   |
| 347        | ANGANG STEEL     | 114,116,036                                    | \$ 644,755,603                               |
| 354        | CHINASOFT INT'L  | 65,088,367                                     | \$ 294,199,419                               |
| 358        | JIANGXI COPPER   | 52,713,531                                     | \$ 665,244,761                               |
| 363        | SHANGHAI IND H   | 1,025,863                                      | \$ 23,748,728                                |
| 368        | SINOTRANS SHIP   | 63,930,695                                     | \$ 116,353,865                               |
| 369        | WING TAI PPT     | 0  | \$ 0   |
| 371        | BJ ENT WATER     | 187,484,873                                    | \$ 1,093,036,810                             |
| 373        | ALLIED GROUP     | 0  | \$ 0   |
| 376        | YUNFENG FIN      | 0  | \$ 0   |
| 378        | FDG KINETIC      | 7,778,000                                      | \$ 8,944,700                                 |
| 382        | WELLING HOLDING  | 0  | \$ 0   |
| 383        | CHINA MED&HCARE  | 0  | \$ 0   |
| 384        | CHINA GAS HOLD   | 76,651,910                                     | \$ 981,144,448                               |
| 386        | SINOPEC CORP     | 74,637,361                                     | \$ 462,751,638                               |
| 388        | HKEX             | 40,732,768                                     | \$ 7,999,915,635                             |
| 390        | CHINA RAILWAY    | 130,021,004                                    | \$ 985,559,210                               |
| 392        | BEIJING ENT      | 12,794,778                                     | \$ 529,064,070                               |
| 400        | COGOBUY          | 30,702,358                                     | \$ 355,533,306                               |
| 404        | HSIN CHONG GP    | 11,360,264                                     | \$ 4,316,900                                 |
| 405        | YUEXIU REIT      | 7,920,000                                      | \$ 36,194,400                                |
| 410        | SOHO CHINA       | 67,072,973                                     | \$ 296,462,541                               |
| 412        | CHINA INNO FIN   | 8,285,323                                      | \$ 2,692,730                                 |
| 416        | BANKOFJINZHOU    | 805,000  | \$ 7,309,400                                 |
| 419        | HUAYI TENCENT    | 113,863,328                                    | \$ 45,545,331                                |



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|------------|-----------------|--|--|
| 425        | MINTH GROUP     | 8,182,525                                      | \$ 263,886,431                               |
| 433        | NORTH MINING    | 96,485,270                                     | \$ 13,411,453                                |
| 434        | BOYAA           | 2,552,981                                      | \$ 12,101,130                                |
| 435        | SUNLIGHT REIT   | 0  | \$ 0   |
| 439        | KUANGCHI        | 69,685,227                                     | \$ 233,445,510                               |
| 440        | DAH SING        | 2,367,311                                      | \$ 138,842,790                               |
| 451        | GCL NEWENERGY   | 118,220,251                                    | \$ 54,381,315                                |
| 460        | SIHUAN PHARM    | 53,800,044                                     | \$ 144,722,118                               |
| 467        | UNITEDENERGY GP | 0  | \$ 0   |
| 468        | GAPACK          | 1,767,000                                      | \$ 7,068,000                                 |
| 472        | NEW SILKROAD    | 0  | \$ 0   |
| 480        | HKR INT'L       | 0  | \$ 0   |
| 486        | RUSAL           | 0  | \$ 0   |
| 488        | LAI SUN DEV     | 11,233,424                                     | \$ 2,280,385                                 |
| 489        | DONGFENG GROUP  | 67,275,328                                     | \$ 607,496,212                               |
| 493        | GOME            | 1,475,108,246                                  | \$ 1,534,112,576                             |
| 494        | LI & FUNG       | 687,861,459                                    | \$ 2,551,966,013                             |
| 497        | CSI PROPERTIES  | 0  | \$ 0   |
| 506        | CHINA FOODS     | 3,948,000                                      | \$ 13,620,600                                |
| 511        | TVB             | 7,129,093                                      | \$ 236,329,433                               |
| 512        | CHINAGRANDPHARM | 0  | \$ 0   |
| 517        | COSCO SHIP INTL | 774,000  | \$ 2,662,560                                 |
| 519        | APPLIED DEV     | 540,000  | \$ 356,400                                   |
| 521        | HNA HOLDING     | 21,594,000                                     | \$ 6,046,320                                 |
| 522        | ASM PACIFIC     | 24,147,690                                     | \$ 2,511,359,760                             |
| 525        | GUANGSHEN RAIL  | 8,659,600                                      | \$ 43,211,404                                |
| 526        | LISI GP HOLD    | 0  | \$ 0   |
| 530        | GOLDIN FIN HOLD | 2,104,000                                      | \$ 6,417,200                                 |
| 535        | GEMDALE PPT     | 0  | \$ 0   |
| 538        | AJISEN (CHINA)  | 275,000  | \$ 860,750                                   |
| 543        | PACIFIC ONLINE  | 339,000  | \$ 657,660                                   |
| 546        | FUFENG GROUP    | 67,115,802                                     | \$ 404,708,286                               |
| 547        | DIGITAL DOMAIN  | 155,665,761                                    | \$ 68,492,935                                |
| 548        | SHENZHENEXPRESS | 1,070,000                                      | \$ 7,564,900                                 |
| 551        | YUE YUEN IND    | 7,877,056                                      | \$ 220,163,715                               |
| 552        | CHINACOMSERVICE | 11,224,543                                     | \$ 57,694,151                                |
| 553        | NANJING PANDA   | 480,000  | \$ 3,292,800                                 |
| 563        | SH IND URBAN    | 12,627,557                                     | \$ 25,128,838                                |
| 566        | HANERGY TFP     | 233,428,779                                    | \$ 912,706,526                               |
| 570        | TRAD CHI MED    | 136,574,199                                    | \$ 606,389,444                               |
| 576        | ZHEJIANGEXPRESS | 2,312,000                                      | \$ 21,663,440                                |



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|------------|-----------------|--|--|
| 577        | THE 13 HOLDINGS | 6,384,000                                      | \$ 13,278,720                                |
| 579        | JNCEC           | 16,580,000                                     | \$ 40,455,200                                |
| 582        | LANDING INTL    | 66,145,000                                     | \$ 3,505,685                                 |
| 586        | CONCH VENTURE   | 26,264,008                                     | \$ 401,314,042                               |
| 587        | HUA HAN HEALTH  | 211,770,848                                    | \$ 112,238,549                               |
| 588        | BEIJING N STAR  | 1,648,000                                      | \$ 4,927,520                                 |
| 590        | LUK FOOK HOLD   | 6,058,213                                      | \$ 148,123,308                               |
| 598        | SINOTRANS       | 44,335,186                                     | \$ 160,050,021                               |
| 603        | CHINA OIL & GAS | 7,200,000                                      | \$ 4,248,000                                 |
| 604        | SHENZHEN INVEST | 14,280,311                                     | \$ 50,980,710                                |
| 606        | CHINA AGRI      | 36,703,445                                     | \$ 141,675,298                               |
| 607        | FULLSHARE       | 384,258,671                                    | \$ 1,306,479,481                             |
| 621        | TAUNG GOLD      | 7,590,000                                      | \$ 584,430                                   |
| 622        | ENERCHINA HOLD  | 28,596,928                                     | \$ 7,864,155                                 |
| 631        | SANY INT'L      | 10,811,100                                     | \$ 17,513,982                                |
| 633        | CH ALL ACCESS   | 13,100,000                                     | \$ 31,440,000                                |
| 636        | KERRY LOG NET   | 0  | \$ 0   |
| 639        | SHOUGANG RES    | 63,347,288                                     | \$ 96,287,878                                |
| 651        | CHI OCEAN IND   | 374,412,928                                    | \$ 73,759,347                                |
| 656        | FOSUN INTL      | 114,906,106                                    | \$ 1,381,171,394                             |
| 658        | C TRANSMISSION  | 29,236,060                                     | \$ 254,938,443                               |
| 659        | NWS HOLDINGS    | 1,154,396                                      | \$ 16,461,687                                |
| 665        | HAITONG INT'L   | 109,694,343                                    | \$ 511,175,638                               |
| 669        | TECHTRONIC IND  | 18,541,342                                     | \$ 591,468,810                               |
| 670        | CHINA EAST AIR  | 152,092,303                                    | \$ 725,480,285                               |
| 678        | GENTING HK      | 0  | \$ 0   |
| 680        | NAN HAI CORP    | 38,200,000                                     | \$ 9,550,000                                 |
| 683        | KERRY PPT       | 12,131,466                                     | \$ 331,795,595                               |
| 686        | UNITED PV       | 63,910,873                                     | \$ 58,158,894                                |
| 687        | HKICIM GROUP    | 0  | \$ 0   |
| 688        | CHINA OVERSEAS  | 53,523,890                                     | \$ 1,271,192,388                             |
| 693        | TAN CHONG INT'L | 0  | \$ 0   |
| 694        | BEIJING AIRPORT | 21,064,401                                     | \$ 192,317,981                               |
| 696        | TRAVELSKY TECH  | 8,970,120                                      | \$ 165,409,013                               |
| 697        | SHOUGANG INT'L  | 10,296,880                                     | \$ 2,677,189                                 |
| 698        | TONGDA GROUP    | 329,878,645                                    | \$ 923,660,206                               |
| 699        | CAR INC         | 105,622,691                                    | \$ 771,045,644                               |
| 700        | TENCENT         | 114,273,420                                    | \$ 25,734,374,184                            |
| 702        | SINO OIL & GAS  | 85,730,000                                     | \$ 16,031,510                                |
| 708        | EVERG HEALTH    | 0  | \$ 0   |
| 709        | GIORDANO INT'L  | 7,712,978                                      | \$ 33,242,935                                |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

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| 715        | CHINA OCEANWIDE | 22,144,045                                     | \$ 17,050,915                                |
| 716        | SINGAMAS CONT   | 0  | \$ 0   |
| 717        | EMPEROR CAPITAL | 18,600,000                                     | \$ 12,834,000                                |
| 718        | TAI UNITED HOLD | 0  | \$ 0   |
| 721        | C FIN INT INV   | 56,939,109                                     | \$ 22,206,253                                |
| 726        | CM DRAWIN TECH  | 0  | \$ 0   |
| 727        | CROWNICORP      | 1,268,000                                      | \$ 1,876,640                                 |
| 728        | CHINA TELECOM   | 55,003,784                                     | \$ 205,714,152                               |
| 729        | FDG EVEHICLES   | 440,404,869                                    | \$ 147,535,631                               |
| 732        | TRULY INT'L     | 155,193,959                                    | \$ 439,198,904                               |
| 735        | C P NEW ENERGY  | 5,862,121                                      | \$ 29,486,469                                |
| 737        | HOPEWELL INFR   | 11,447,869                                     | \$ 47,394,178                                |
| 750        | SINGYES SOLAR   | 49,488,543                                     | \$ 180,633,182                               |
| 751        | SKYWORTHDIGITAL | 92,379,724                                     | \$ 493,307,726                               |
| 752        | PICO FAR EAST   | 526,000  | \$ 1,620,080                                 |
| 753        | AIR CHINA       | 63,345,111                                     | \$ 416,177,379                               |
| 754        | HOPSON DEV HOLD | 1,817,648                                      | \$ 13,305,183                                |
| 762        | CHINA UNICOM    | 251,982,647                                    | \$ 2,635,738,488                             |
| 763        | ZTE             | 34,413,117                                     | \$ 523,079,378                               |
| 775        | CKLIFE SCIENCES | 0  | \$ 0   |
| 777        | NETDRAGON       | 10,529,396                                     | \$ 249,020,215                               |
| 778        | FORTUNE REIT    | 0  | \$ 0   |
| 787        | GLOBAL BRANDS   | 69,367,568                                     | \$ 63,124,487                                |
| 798        | CEOVU           | 0  | \$ 0   |
| 799        | IGG             | 25,425,870                                     | \$ 256,292,770                               |
| 801        | GOLDEN MEDITECH | 10,494,522                                     | \$ 13,328,043                                |
| 806        | VALUE PARTNERS  | 76,692,804                                     | \$ 585,166,095                               |
| 808        | PROSPERITY REIT | 0  | \$ 0   |
| 811        | XINHUA WINSHARE | 2,042,000                                      | \$ 14,784,080                                |
| 813        | SHIMAO PROPERTY | 17,300,538                                     | \$ 231,135,188                               |
| 815        | CHI SILVER GP   | 35,616,572                                     | \$ 54,849,521                                |
| 816        | HUADIAN FUXIN   | 28,864,732                                     | \$ 54,265,696                                |
| 817        | CHINA JINMAO    | 44,798,918                                     | \$ 118,269,144                               |
| 818        | HI SUN TECH     | 22,547,181                                     | \$ 31,566,053                                |
| 819        | TIANNENG POWER  | 30,198,274                                     | \$ 237,962,399                               |
| 820        | HSBCDRAGON FUND | 0  | \$ 0   |
| 823        | LINK REIT       | 31,432,445                                     | \$ 1,664,347,963                             |
| 826        | TIANGONG INT'L  | 1,410,000                                      | \$ 1,269,000                                 |
| 829        | SHENGUAN HLDGS  | 0  | \$ 0   |
| 831        | CR ASIA         | 0  | \$ 0   |
| 832        | CENTRAL CHINA   | 0  | \$ 0   |



## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 836        | CHINA RES POWER | 19,325,396                                     | \$ 277,126,179                               |
| 845        | GLORIOUS PPT H  | 22,294,000                                     | \$ 20,287,540                                |
| 848        | MAOYE INT'L     | 5,438,517                                      | \$ 4,350,814                                 |
| 853        | MICROPORT       | 0  | \$ 0   |
| 855        | CHINA WATER     | 27,582,520                                     | \$ 139,015,901                               |
| 856        | VST HOLDINGS    | 0  | \$ 0   |
| 857        | PETROCHINA      | 119,354,583                                    | \$ 689,869,490                               |
| 861        | DC HOLDINGS     | 73,862,967                                     | \$ 517,040,769                               |
| 867        | CMS             | 41,997,629                                     | \$ 587,966,806                               |
| 868        | XINYI GLASS     | 114,194,369                                    | \$ 808,496,133                               |
| 874        | BAIYUNSHAN PH   | 3,104,080                                      | \$ 74,653,124                                |
| 878        | SOUNDWILL HOLD  | 0  | \$ 0   |
| 880        | SJM HOLDINGS    | 52,612,500                                     | \$ 330,932,625                               |
| 881        | ZHONGSHENG HLDG | 19,590,298                                     | \$ 218,627,726                               |
| 882        | TIANJIN DEV     | 2,188,000                                      | \$ 8,948,920                                 |
| 883        | CNOOC           | 208,249,343                                    | \$ 1,924,223,929                             |
| 884        | CIFI HOLD GP    | 39,376,047                                     | \$ 125,215,829                               |
| 885        | RENTIAN TECH    | 25,971,589                                     | \$ 11,427,499                                |
| 895        | DONGJIANG ENV   | 3,877,804                                      | \$ 52,350,354                                |
| 902        | HUANENG POWER   | 264,339,828                                    | \$ 1,408,931,283                             |
| 903        | TPV TECHNOLOGY  | 0  | \$ 0   |
| 904        | CHINA GREEN     | 2,216,000                                      | \$ 157,336                                   |
| 906        | COFCO PACKAGING | 1,112,000                                      | \$ 4,336,800                                 |
| 911        | QIANHAI HEALTH  | 121,904,917                                    | \$ 11,459,062                                |
| 914        | ANHUI CONCH     | 213,465,734                                    | \$ 5,913,000,832                             |
| 916        | CHINA LONGYUAN  | 63,161,113                                     | \$ 399,178,234                               |
| 921        | HISENSE KELON   | 1,204,500                                      | \$ 13,177,230                                |
| 931        | CHINA LNG       | 649,432,247                                    | \$ 110,403,482                               |
| 933        | BRIGHTOIL       | 54,491,400                                     | \$ 130,779,360                               |
| 934        | SINOPEC KANTONS | 22,692,000                                     | \$ 102,794,760                               |
| 939        | CCB             | 1,093,483,334                                  | \$ 6,987,358,504                             |
| 941        | CHINA MOBILE    | 13,677,032                                     | \$ 1,182,379,416                             |
| 950        | LEE'S PHARM     | 2,773,853                                      | \$ 19,416,971                                |
| 951        | CHAOWEI POWER   | 831,791  | \$ 5,498,139                                 |
| 952        | QUAM            | 0  | \$ 0   |
| 956        | CHINA SUNTIEN   | 31,044,094                                     | \$ 44,393,054                                |
| 958        | HN RENEWABLES   | 151,595,763                                    | \$ 413,856,433                               |
| 960        | LONGFOR PPT     | 28,534,822                                     | \$ 393,209,847                               |
| 963        | BLOOMAGE BIOTEC | 8,905,850                                      | \$ 98,320,584                                |
| 966        | CHINA TAIPING   | 40,933,545                                     | \$ 785,924,064                               |
| 968        | XINYI SOLAR     | 345,659,873                                    | \$ 871,062,880                               |





## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 973        | L'OCCITANE      | 1,782,389                                      | \$ 27,627,030                                |
| 976        | CHIHO-TIANDE    | 3,147,636                                      | \$ 15,517,845                                |
| 978        | CHI MER LAND    | 9,678,000                                      | \$ 12,678,180                                |
| 980        | LIANHUA         | 8,570,789                                      | \$ 30,512,009                                |
| 981        | SMIC            | 285,770,856                                    | \$ 2,886,285,646                             |
| 985        | NETMIND FIN     | 0  | \$ 0   |
| 988        | LOUDONG GN RES  | 0  | \$ 0   |
| 991        | DATANG POWER    | 47,891,807                                     | \$ 103,925,221                               |
| 992        | LENOVO GROUP    | 931,910,422                                    | \$ 4,575,680,172                             |
| 995        | ANHUIEXPRESSWAY | 354,000  | \$ 2,276,220                                 |
| 996        | CARNIVAL GROUP  | 187,840,000                                    | \$ 161,542,400                               |
| 998        | CITIC BANK      | 152,048,855                                    | \$ 796,736,000                               |
| 999        | I.T             | 0  | \$ 0   |
| 1003       | HUANXI MEDIA    | 630,000  | \$ 1,430,100                                 |
| 1019       | CONVOY          | 31,092,000                                     | \$ 6,902,424                                 |
| 1027       | CHINA JICHENG   | 99,010,000                                     | \$ 18,613,880                                |
| 1028       | C.BANNER        | 566,000  | \$ 1,613,100                                 |
| 1030       | FUTURE LAND     | 25,680,876                                     | \$ 63,431,764                                |
| 1031       | KINGSTON FIN    | 91,142,198                                     | \$ 240,615,403                               |
| 1033       | SINOPEC SSC     | 109,332,242                                    | \$ 168,371,653                               |
| 1038       | CKI HOLDINGS    | 22,050,903                                     | \$ 1,366,053,441                             |
| 1044       | HENGAN INT'L    | 53,195,973                                     | \$ 3,183,778,984                             |
| 1045       | APT SATELLITE   | 1,357,614                                      | \$ 5,566,217                                 |
| 1051       | G-RESOURCES     | 30,960,000                                     | \$ 4,427,280                                 |
| 1052       | YUEXIUTRANSPORT | 17,614,000                                     | \$ 102,865,760                               |
| 1053       | CHONGQING IRON  | 3,670,000                                      | \$ 8,220,800                                 |
| 1055       | CHINA SOUTH AIR | 133,563,531                                    | \$ 727,921,244                               |
| 1060       | ALI PICTURES    | 885,288,780                                    | \$ 1,265,962,955                             |
| 1063       | SUNCORP TECH    | 128,693,232                                    | \$ 7,335,514                                 |
| 1065       | TIANJIN CAPITAL | 2,997,923                                      | \$ 12,981,007                                |
| 1066       | WEIGAO GROUP    | 40,255,539                                     | \$ 216,172,244                               |
| 1068       | YURUN FOOD      | 75,566,913                                     | \$ 95,214,310                                |
| 1070       | TCL MULTIMEDIA  | 23,688,260                                     | \$ 96,411,218                                |
| 1071       | HUADIAN POWER   | 72,130,073                                     | \$ 248,848,752                               |
| 1072       | DONGFANG ELEC   | 4,708,656                                      | \$ 39,317,278                                |
| 1076       | IMPERIAL PAC    | 520,500,000                                    | \$ 80,157,000                                |
| 1083       | TOWNGAS CHINA   | 22,803,929                                     | \$ 101,477,484                               |
| 1086       | GOODBABY INTL   | 12,360,000                                     | \$ 44,990,400                                |
| 1088       | CHINA SHENHUA   | 53,308,876                                     | \$ 989,412,739                               |
| 1089       | LEYOU TECH H    | 54,650,000                                     | \$ 83,068,000                                |
| 1093       | CSPC PHARMA     | 40,673,561                                     | \$ 414,056,851                               |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1098       | ROAD KING INFRA | 188,000  | \$ 1,712,680                                 |
| 1099       | SINOPHARM       | 10,412,752                                     | \$ 390,998,838                               |
| 1101       | HUARONG ENERGY  | 26,755,100                                     | \$ 11,772,244                                |
| 1103       | DASHENG AGR FIN | 24,384,000                                     | \$ 18,775,680                                |
| 1108       | LUOYANG GLASS   | 3,032,000                                      | \$ 17,312,720                                |
| 1109       | CHINA RES LAND  | 40,574,520                                     | \$ 910,897,974                               |
| 1111       | CHONG HING BANK | 0  | \$ 0   |
| 1112       | BIOSTIME        | 27,568,100                                     | \$ 690,580,905                               |
| 1113       | CK PROPERTY     | 7,026,784                                      | \$ 380,851,693                               |
| 1114       | BRILLIANCE CHI  | 182,763,949                                    | \$ 2,335,723,268                             |
| 1115       | TIBET WATER     | 17,693,000                                     | \$ 59,979,270                                |
| 1117       | CH MODERN D     | 40,947,170                                     | \$ 76,571,208                                |
| 1122       | QINGLING MOTORS | 502,000  | \$ 1,280,100                                 |
| 1128       | WYNN MACAU      | 149,042,480                                    | \$ 2,319,100,989                             |
| 1129       | WATER INDUSTRY  | 8,680,000                                      | \$ 13,106,800                                |
| 1133       | HARBIN ELECTRIC | 2,684,000                                      | \$ 10,923,880                                |
| 1136       | TCC INT'L HOLD  | 0  | \$ 0   |
| 1138       | COSCO SHIP ENGY | 17,068,445                                     | \$ 79,880,323                                |
| 1140       | OP FIN INV      | 592,000  | \$ 1,622,080                                 |
| 1141       | SKYWAY SEC      | 81,020,000                                     | \$ 25,926,400                                |
| 1157       | ZOOMLION        | 44,976,325                                     | \$ 199,694,883                               |
| 1165       | SFCE            | 63,703,211                                     | \$ 35,036,766                                |
| 1168       | SINOLINK HOLD   | 2,584,000                                      | \$ 2,248,080                                 |
| 1169       | HAIER ELEC      | 6,325,991                                      | \$ 112,982,199                               |
| 1171       | YANZHOU COAL    | 93,864,902                                     | \$ 580,085,094                               |
| 1176       | ZHUGUANG HOLD   | 5,972,000                                      | \$ 4,479,000                                 |
| 1177       | SINO BIOPHARM   | 220,221,071                                    | \$ 1,495,301,072                             |
| 1186       | CHINA RAIL CONS | 200,162,373                                    | \$ 2,361,916,001                             |
| 1188       | HYBRID KINETIC  | 179,450,485                                    | \$ 35,351,746                                |
| 1192       | TITAN PETROCHEM | 22,600,000                                     | \$ 2,486,000                                 |
| 1193       | CHINA RES GAS   | 59,959,532                                     | \$ 1,660,879,036                             |
| 1194       | MUNSUN CAPITAL  | 145,908,901                                    | \$ 29,035,871                                |
| 1196       | REALORD GROUP   | 4,994,000                                      | \$ 24,820,180                                |
| 1199       | COSCO SHIP PORT | 32,019,019                                     | \$ 279,526,036                               |
| 1205       | CITIC RESOURCES | 58,044,192                                     | \$ 61,526,844                                |
| 1206       | TECHNOVATOR     | 19,742,822                                     | \$ 60,610,464                                |
| 1207       | SRE GROUP       | 0  | \$ 0   |
| 1208       | MMG             | 81,112,188                                     | \$ 236,847,589                               |
| 1211       | BYD COMPANY     | 117,746,379                                    | \$ 5,257,375,822                             |
| 1212       | LIFESTYLE INT'L | 1,014,500                                      | \$ 10,916,020                                |
| 1219       | TENWOW INT'L    | 1,907,000                                      | \$ 3,203,760                                 |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1221       | SINO HOTELS     | 0  | \$ 0   |
| 1224       | C C LAND        | 0  | \$ 0   |
| 1230       | YASHILI INT'L   | 27,246,000                                     | \$ 41,958,840                                |
| 1233       | TIMES PPT       | 403,000  | \$ 2,329,340                                 |
| 1234       | CHINA LILANG    | 278,000  | \$ 1,492,860                                 |
| 1236       | NATIONAL AGRI   | 37,849,202                                     | \$ 45,797,534                                |
| 1238       | POWERLONG       | 4,986,607                                      | \$ 16,306,205                                |
| 1243       | WANG ON PPT     | 0  | \$ 0   |
| 1250       | BE CLEAN ENERGY | 0  | \$ 0   |
| 1253       | GREENLAND BROAD | 27,948,000                                     | \$ 39,127,200                                |
| 1270       | LANGHAM-SS      | 451,500  | \$ 1,476,405                                 |
| 1282       | CHINA GOLDJOY   | 105,490,891                                    | \$ 74,898,533                                |
| 1288       | ABC             | 573,613,821                                    | \$ 2,059,273,617                             |
| 1293       | BAOXIN AUTO     | 10,030,680                                     | \$ 39,119,652                                |
| 1297       | SINOSOFT TECH   | 18,954,790                                     | \$ 43,406,469                                |
| 1299       | AIA             | 48,235,441                                     | \$ 2,416,595,594                             |
| 1302       | LIFETECH SCI    | 35,696,827                                     | \$ 74,963,337                                |
| 1303       | HUILI RES       | 452,000  | \$ 461,040                                   |
| 1308       | SITC            | 6,173,500                                      | \$ 32,349,140                                |
| 1310       | HKBN            | 8,089,476                                      | \$ 71,187,389                                |
| 1313       | CHINARES CEMENT | 45,193,068                                     | \$ 202,916,875                               |
| 1315       | VISION FAME     | 99,868,000                                     | \$ 102,864,040                               |
| 1316       | NEXTEER         | 6,703,996                                      | \$ 78,973,073                                |
| 1317       | MAPLELEAF EDU   | 41,056,000                                     | \$ 240,177,600                               |
| 1321       | CHINA NEWCITY   | 0  | \$ 0   |
| 1330       | DYNAGREEN ENV   | 3,796,000                                      | \$ 17,157,920                                |
| 1332       | CHINA TOUYUN    | 3,280,000                                      | \$ 902,000                                   |
| 1333       | CHINA ZHONGWANG | 87,780,800                                     | \$ 317,766,496                               |
| 1336       | NCI             | 18,889,294                                     | \$ 700,792,807                               |
| 1339       | PICC GROUP      | 93,723,558                                     | \$ 308,350,506                               |
| 1345       | PIONEER PHARM   | 890,400  | \$ 2,644,488                                 |
| 1347       | HUA HONG SEMI   | 497,000  | \$ 5,566,400                                 |
| 1349       | FUDANZHANGJIANG | 1,991,000                                      | \$ 13,359,610                                |
| 1358       | PW MEDTECH      | 3,602,000                                      | \$ 6,879,820                                 |
| 1359       | CHINA CINDA     | 255,389,491                                    | \$ 796,815,212                               |
| 1361       | 361 DEGREES     | 14,504,249                                     | \$ 40,756,940                                |
| 1363       | CTEG            | 159,677,393                                    | \$ 298,596,725                               |
| 1366       | JIANGNAN GP     | 32,244,000                                     | \$ 33,533,760                                |
| 1368       | XTEP INT'L      | 28,062,686                                     | \$ 87,555,580                                |
| 1371       | CHINA LOTSYN    | 342,039,405                                    | \$ 82,089,457                                |
| 1375       | CC SECURITIES   | 36,162,640                                     | \$ 153,691,220                               |



## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1378       | CHINAHONGQIAO   | 101,141,536                                    | \$ 713,047,829                               |
| 1381       | CANVEST ENV     | 25,200,005                                     | \$ 118,944,024                               |
| 1382       | PACIFICTEXTILES | 17,581,778                                     | \$ 154,192,193                               |
| 1385       | SHANGHAI FUDAN  | 0  | \$ 0   |
| 1387       | RENHE COMM      | 757,616,323                                    | \$ 153,038,497                               |
| 1398       | ICBC            | 144,088,654                                    | \$ 743,497,455                               |
| 1415       | COWELL          | 13,612,000                                     | \$ 33,893,880                                |
| 1426       | SPRING REIT     | 0  | \$ 0   |
| 1428       | BRIGHT SMART    | 9,406,000                                      | \$ 25,584,320                                |
| 1432       | CHINA SHENGMU   | 14,753,000                                     | \$ 29,653,530                                |
| 1448       | FU SHOU YUAN    | 27,708,195                                     | \$ 136,324,319                               |
| 1456       | GUOLIAN SEC     | 0  | \$ 0   |
| 1462       | GOLD-FIN HLDG   | 0  | \$ 0   |
| 1476       | HENGTOU SEC     | 0  | \$ 0   |
| 1508       | CHINA RE        | 96,861,375                                     | \$ 177,256,316                               |
| 1509       | HARMONICARE     | 2,112,000                                      | \$ 8,828,160                                 |
| 1513       | LIVZON PHARMA   | 0  | \$ 0   |
| 1515       | CRPHOENIXHEALTH | 37,245,173                                     | \$ 393,309,027                               |
| 1528       | RS MACALLINE    | 2,861,200                                      | \$ 26,036,920                                |
| 1530       | 3SBIO           | 80,757,252                                     | \$ 773,654,474                               |
| 1548       | GENSCRIPT BIO   | 446,000  | \$ 1,931,180                                 |
| 1558       | HEC PHARM       | 0  | \$ 0   |
| 1566       | CHINA ANIMATION | 4,851,120                                      | \$ 15,960,185                                |
| 1578       | BANK OF TIANJIN | 0  | \$ 0   |
| 1579       | YIHAI INTL      | 280,000  | \$ 904,400                                   |
| 1585       | YADEA           | 0  | \$ 0   |
| 1589       | CNLP            | 3,027,000                                      | \$ 9,232,350                                 |
| 1599       | UCD             | 85,000   | \$ 425,000                                   |
| 1600       | TIAN LUN GAS    | 0  | \$ 0   |
| 1616       | SILVERMAN HL    | 4,068,228                                      | \$ 4,678,462                                 |
| 1618       | MCC             | 40,839,508                                     | \$ 125,377,290                               |
| 1622       | REDCO PPT       | 1,842,800                                      | \$ 5,454,688                                 |
| 1628       | YUZHOU PPT      | 7,363,400                                      | \$ 25,550,998                                |
| 1636       | CMRU            | 4,296,000                                      | \$ 13,274,640                                |
| 1658       | PSBC            | 112,299,286                                    | \$ 541,282,559                               |
| 1661       | WISDOM SPORTS   | 25,869,000                                     | \$ 56,653,110                                |
| 1666       | TONG REN TANG   | 2,486,169                                      | \$ 35,502,493                                |
| 1668       | CHINASOUTHCITY  | 111,885,313                                    | \$ 186,848,473                               |
| 1680       | MACAU LEGEND    | 61,142,693                                     | \$ 86,822,624                                |
| 1681       | CONSUN PHARMA   | 255,000  | \$ 1,142,400                                 |
| 1685       | BOER POWER      | 19,737,550                                     | \$ 57,633,646                                |



## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1717       | AUSNUTRIA       | 0  | \$ 0   |
| 1728       | ZHENGTONGAUTO   | 44,302,784                                     | \$ 218,412,725                               |
| 1766       | CRRC            | 384,118,653                                    | \$ 3,038,378,545                             |
| 1776       | GF SEC          | 43,774,091                                     | \$ 736,280,211                               |
| 1777       | FANTASIA        | 2,499,000                                      | \$ 3,123,750                                 |
| 1778       | COLOUR LIFE     | 291,800  | \$ 1,482,344                                 |
| 1786       | CRCCE           | 4,995,500                                      | \$ 18,733,125                                |
| 1788       | GUOTAI JUNAN I  | 141,414,728                                    | \$ 378,991,471                               |
| 1798       | DATANG RENEW    | 1,318,000                                      | \$ 1,067,580                                 |
| 1799       | XINTE ENERGY    | 0  | \$ 0   |
| 1800       | CHINA COMM CONS | 95,154,363                                     | \$ 1,086,662,825                             |
| 1803       | BJ SPORTS & ENT | 260,000  | \$ 431,600                                   |
| 1811       | CGN NEW ENERGY  | 17,573,600                                     | \$ 22,318,472                                |
| 1812       | CHENMING PAPER  | 4,928,250                                      | \$ 48,099,720                                |
| 1813       | KWG PROPERTY    | 30,713,991                                     | \$ 188,276,765                               |
| 1816       | CGN POWER       | 612,939,680                                    | \$ 1,532,349,200                             |
| 1818       | ZHAOJIN MINING  | 77,624,631                                     | \$ 589,947,196                               |
| 1828       | DCH HOLDINGS    | 4,438,000                                      | \$ 15,355,480                                |
| 1829       | CMEC            | 5,076,000                                      | \$ 28,882,440                                |
| 1833       | INTIME          | 30,223,454                                     | \$ 294,074,207                               |
| 1836       | STELLA HOLDINGS | 5,304,663                                      | \$ 67,581,407                                |
| 1848       | CALC            | 1,431,500                                      | \$ 14,744,450                                |
| 1862       | JINGRUI HLDGS   | 0  | \$ 0   |
| 1880       | BELLE INT'L     | 65,447,846                                     | \$ 336,401,928                               |
| 1881       | REGAL REIT      | 0  | \$ 0   |
| 1882       | HAITIAN INT'L   | 3,204,000                                      | \$ 64,080,000                                |
| 1883       | CITIC TELECOM   | 1,818,000                                      | \$ 4,308,660                                 |
| 1886       | HUIYUAN JUICE   | 0  | \$ 0   |
| 1888       | KB LAMINATES    | 825,000  | \$ 7,738,500                                 |
| 1893       | SINOMA          | 10,162,149                                     | \$ 26,218,344                                |
| 1898       | CHINA COAL      | 169,507,204                                    | \$ 689,894,320                               |
| 1899       | XINGDA INT'L    | 13,728,489                                     | \$ 48,049,712                                |
| 1910       | SAMSONITE       | 33,860,438                                     | \$ 943,013,198                               |
| 1913       | PRADA           | 13,611,963                                     | \$ 419,929,059                               |
| 1918       | SUNAC           | 214,385,929                                    | \$ 2,113,845,260                             |
| 1919       | COSCO SHIP HOLD | 175,891,963                                    | \$ 620,898,629                               |
| 1928       | SANDS CHINA LTD | 87,490,694                                     | \$ 3,066,548,825                             |
| 1929       | CHOW TAI FOOK   | 20,344,226                                     | \$ 147,088,754                               |
| 1958       | BAIC MOTOR      | 33,152,000                                     | \$ 284,444,160                               |
| 1963       | BCQ             | 0  | \$ 0   |
| 1966       | CHINA SCE PPT   | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1970       | IMAX CHINA      | 8,246,604                                      | \$ 324,503,867                               |
| 1972       | SWIREPROPERTIES | 16,459,162                                     | \$ 404,895,385                               |
| 1980       | TIANGE          | 14,435,494                                     | \$ 87,479,094                                |
| 1988       | MINSHENG BANK   | 376,521,951                                    | \$ 3,230,558,340                             |
| 1999       | MAN WAH HLDGS   | 9,471,600                                      | \$ 58,913,352                                |
| 2005       | SSY GROUP       | 23,485,524                                     | \$ 65,994,322                                |
| 2006       | JINJIANG HOTELS | 17,966,000                                     | \$ 39,704,860                                |
| 2007       | COUNTRY GARDEN  | 396,583,189                                    | \$ 2,827,638,138                             |
| 2008       | PHOENIX TV      | 10,890,000                                     | \$ 15,790,500                                |
| 2009       | BBMG            | 27,790,500                                     | \$ 98,100,465                                |
| 2014       | OZNER WATER     | 33,225,000                                     | \$ 68,775,750                                |
| 2016       | CZBANK          | 0  | \$ 0   |
| 2018       | AAC TECH        | 43,218,774                                     | \$ 4,235,439,852                             |
| 2020       | ANTA SPORTS     | 64,426,383                                     | \$ 1,391,609,873                             |
| 2038       | FIH             | 1,841,000                                      | \$ 5,688,690                                 |
| 2039       | CIMC            | 6,382,600                                      | \$ 82,973,800                                |
| 2066       | SHENGJINGBANK   | 1,445,000                                      | \$ 10,461,800                                |
| 2099       | CHINAGOLDINTL   | 0  | \$ 0   |
| 2111       | BEST PACIFIC    | 0  | \$ 0   |
| 2128       | CHINA LESSO     | 18,484,000                                     | \$ 122,918,600                               |
| 2136       | LIFESTYLE CHI   | 0  | \$ 0   |
| 2168       | YINGDE GASES    | 8,435,000                                      | \$ 52,803,100                                |
| 2186       | LUYE PHARMA     | 122,693,143                                    | \$ 595,061,744                               |
| 2196       | FOSUN PHARMA    | 18,502,847                                     | \$ 535,657,421                               |
| 2199       | REGINA MIRACLE  | 25,645,632                                     | \$ 160,541,656                               |
| 2200       | HOSA INT'L      | 8,064,090                                      | \$ 20,321,507                                |
| 2202       | CHINA VANKE     | 50,513,327                                     | \$ 1,146,652,523                             |
| 2208       | GOLDWIND        | 40,082,037                                     | \$ 521,868,122                               |
| 2211       | UNI HEALTH      | 33,061,111                                     | \$ 9,422,417                                 |
| 2226       | HONWORLD GP     | 119,500  | \$ 538,945                                   |
| 2233       | WESTCHINACEMENT | 132,639,250                                    | \$ 149,882,353                               |
| 2238       | GAC GROUP       | 89,828,416                                     | \$ 1,140,820,883                             |
| 2255       | HAICHANG HLDG   | 9,056,129                                      | \$ 15,485,981                                |
| 2277       | HUARONG INV     | 0  | \$ 0   |
| 2280       | HC INTL         | 26,060,000                                     | \$ 190,238,000                               |
| 2282       | MGM CHINA       | 45,264,306                                     | \$ 710,649,604                               |
| 2298       | COSMO LADY      | 75,114,536                                     | \$ 177,270,305                               |
| 2300       | AMVIG HOLDINGS  | 0  | \$ 0   |
| 2313       | SHENZHOU INTL   | 71,579,902                                     | \$ 3,657,732,992                             |
| 2314       | LEE & MAN PAPER | 12,780,729                                     | \$ 78,729,291                                |
| 2318       | PING AN         | 888,501,015                                    | \$ 38,960,769,508                            |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 2319       | MENGNU DAIRY     | 52,427,463                                     | \$ 800,043,085                               |
| 2322       | NOBLE CENTURY    | 0  | \$ 0   |
| 2323       | CHINA HKBRIDGE   | 0  | \$ 0   |
| 2326       | BEP INT'L HOLD   | 18,625,240                                     | \$ 7,356,970                                 |
| 2328       | PICC P&C         | 26,408,373                                     | \$ 329,048,328                               |
| 2329       | GUORUI PPT       | 4,062,000                                      | \$ 10,073,760                                |
| 2331       | LI NING          | 61,488,568                                     | \$ 295,145,126                               |
| 2333       | GREATWALL MOTOR  | 505,738,335                                    | \$ 4,602,218,849                             |
| 2338       | WEICHAI POWER    | 189,857,364                                    | \$ 2,601,045,887                             |
| 2342       | COMBA            | 2,418,000                                      | \$ 3,215,940                                 |
| 2343       | PACIFIC BASIN    | 164,375,835                                    | \$ 271,220,128                               |
| 2348       | DAWNRAYS PHARMA  | 502,000  | \$ 2,269,040                                 |
| 2355       | BAOYE GROUP      | 0  | \$ 0   |
| 2356       | DAHSHING BANKING | 1,338,239                                      | \$ 20,475,057                                |
| 2357       | AVICHINA         | 91,366,018                                     | \$ 487,894,536                               |
| 2369       | COOLPAD GROUP    | 75,269,093                                     | \$ 54,193,747                                |
| 2378       | PRU              | 0  | \$ 0   |
| 2380       | CHINA POWER      | 94,888,726                                     | \$ 283,717,291                               |
| 2382       | SUNNY OPTICAL    | 35,015,537                                     | \$ 2,018,645,708                             |
| 2383       | TOM GROUP        | 1,873,003                                      | \$ 3,465,056                                 |
| 2386       | SINOPEC SEG      | 8,401,153                                      | \$ 67,125,212                                |
| 2388       | BOC HONG KONG    | 46,952,114                                     | \$ 1,521,248,494                             |
| 2389       | BJ ENT M&H       | 23,526,000                                     | \$ 12,939,300                                |
| 2393       | YESTAR HEALTH    | 12,955,468                                     | \$ 51,951,427                                |
| 2488       | LAUNCH TECH      | 458,500  | \$ 5,593,700                                 |
| 2588       | BOC AVIATION     | 2,186,700                                      | \$ 94,356,105                                |
| 2600       | CHALCO           | 615,236,517                                    | \$ 2,362,508,225                             |
| 2601       | CPIC             | 22,229,087                                     | \$ 633,528,980                               |
| 2607       | SH PHARMA        | 7,686,221                                      | \$ 161,410,641                               |
| 2608       | SUNSHINE 100     | 0  | \$ 0   |
| 2628       | CHINA LIFE       | 150,680,389                                    | \$ 3,616,329,336                             |
| 2638       | HKELECTRIC-SS    | 208,154,884                                    | \$ 1,450,839,541                             |
| 2666       | UNI MEDICAL      | 19,322,260                                     | \$ 145,303,395                               |
| 2669       | CHINA OVS PPT    | 94,990,129                                     | \$ 141,535,292                               |
| 2678       | TEXHONG TEXTILE  | 1,392,595                                      | \$ 15,262,841                                |
| 2686       | AAG ENERGY       | 0  | \$ 0   |
| 2688       | ENN ENERGY       | 10,989,982                                     | \$ 486,856,203                               |
| 2689       | ND PAPER         | 90,679,612                                     | \$ 783,471,848                               |
| 2698       | WEIQIAO TEXTILE  | 0  | \$ 0   |
| 2727       | SH ELECTRIC      | 327,871,770                                    | \$ 1,337,716,822                             |
| 2768       | JIAYUAN INTL     | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2777       | R&F PROPERTIES  | 56,927,676                                     | \$ 741,198,342                               |
| 2778       | CHAMPION REIT   | 9,187,000                                      | \$ 43,362,640                                |
| 2799       | CHINA HUARONG   | 202,733,709                                    | \$ 654,829,880                               |
| 2800       | TRACKER FUND    | 13,923,950                                     | \$ 343,921,565                               |
| 2801       | ISHARES CHINA   | 0  | \$ 0   |
| 2802       | ISHARES EM ASIA | 0  | \$ 0   |
| 2805       | VG FTSE ASIAXJ  | 0  | \$ 0   |
| 2808       | EFUND CG BOND   | 0  | \$ 0   |
| 2811       | HT CSI300 ETF   | 0  | \$ 0   |
| 2816       | X DBCSI300REAL  | 0  | \$ 0   |
| 2817       | WISE HKLMCONS   | 0  | \$ 0   |
| 2819       | ABF HK IDX ETF  | 0  | \$ 0   |
| 2821       | ABF PAIF        | 0  | \$ 0   |
| 2822       | CSOP A50 ETF    | 59,850,556                                     | \$ 699,054,494                               |
| 2823       | X ISHARES A50   | 114,139,078                                    | \$ 1,287,488,800                             |
| 2824       | LIPPO HK&M PROP | 0  | \$ 0   |
| 2825       | WISECSIHK100ETF | 0  | \$ 0   |
| 2827       | X WISECSI300ETF | 0  | \$ 0   |
| 2828       | HS H ETF        | 1,828,500                                      | \$ 193,089,600                               |
| 2829       | X ISHARES A FIN | 0  | \$ 0   |
| 2832       | BOSERA FA50     | 0  | \$ 0   |
| 2833       | HS HSI ETF      | 0  | \$ 0   |
| 2834       | ISHARESND100    | 0  | \$ 0   |
| 2835       | MR KOSPI200 ETF | 0  | \$ 0   |
| 2836       | ISHARES INDIA   | 0  | \$ 0   |
| 2838       | HS FCI50 ETF    | 0  | \$ 0   |
| 2839       | WISE HKLMREAL   | 0  | \$ 0   |
| 2840       | SPDR GOLD TRUST | 0  | \$ 0   |
| 2843       | AMUNDI A50      | 0  | \$ 0   |
| 2844       | X DBCSI300FIN   | 0  | \$ 0   |
| 2846       | ISHARESCSI300   | 0  | \$ 0   |
| 2847       | ISHARESFS100    | 0  | \$ 0   |
| 2848       | DBMSCIKOREA     | 0  | \$ 0   |
| 2866       | COSCO SHIP DEV  | 211,700,869                                    | \$ 372,593,529                               |
| 2868       | BJ CAPITAL LAND | 9,689,800                                      | \$ 36,627,444                                |
| 2869       | GREENTOWN SER   | 26,982,000                                     | \$ 78,787,440                                |
| 2877       | SHINEWAY PHARM  | 3,087,000                                      | \$ 26,486,460                                |
| 2880       | DALIAN PORT     | 51,057,600                                     | \$ 73,522,944                                |
| 2883       | CHINA OILFIELD  | 166,684,876                                    | \$ 1,268,471,906                             |
| 2888       | STANCHART       | 3,118,107                                      | \$ 219,202,922                               |
| 2899       | ZIJIN MINING    | 146,656,464                                    | \$ 450,235,344                               |





Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3005       | X DBCSI300IND   | 0  | \$ 0   |
| 3007       | DBFXIC50        | 0  | \$ 0   |
| 3008       | C-SHS CSI 300   | 0  | \$ 0   |
| 3009       | X DBMSCIEM      | 0  | \$ 0   |
| 3010       | ISHARES AXJ     | 0  | \$ 0   |
| 3012       | AMUNDI HK35     | 0  | \$ 0   |
| 3013       | X DBMSCIASIAHDY | 0  | \$ 0   |
| 3015       | X DBNIFTY50     | 0  | \$ 0   |
| 3016       | DBMSCIPHIL      | 0  | \$ 0   |
| 3017       | X DBCSI300ENER  | 0  | \$ 0   |
| 3019       | X DBMSCIWORLD   | 0  | \$ 0   |
| 3020       | X DBMSCIUSA     | 0  | \$ 0   |
| 3021       | CSOP MSCI T50   | 0  | \$ 0   |
| 3024       | WISE SSE50ETF   | 0  | \$ 0   |
| 3025       | X DBCSI300CONDI | 0  | \$ 0   |
| 3026       | X DBAUDCASH     | 0  | \$ 0   |
| 3027       | X DBMSCIRUSSIA  | 0  | \$ 0   |
| 3035       | X DBMSCIEMASIA  | 0  | \$ 0   |
| 3036       | DBMSCITAIWAN    | 0  | \$ 0   |
| 3040       | MR MSCI CN ETF  | 0  | \$ 0   |
| 3041       | VALUE KOREA ETF | 0  | \$ 0   |
| 3043       | DBMSCIPACEXJ    | 0  | \$ 0   |
| 3045       | X DBMSCIINDIA   | 0  | \$ 0   |
| 3046       | VALUE CHINA ETF | 0  | \$ 0   |
| 3048       | DBMSCIBRAZIL    | 0  | \$ 0   |
| 3049       | X DBCSI300      | 0  | \$ 0   |
| 3052       | X DBCSI300UTIL  | 0  | \$ 0   |
| 3054       | MR ASIA CON ETF | 0  | \$ 0   |
| 3055       | DBMSCICHINA     | 0  | \$ 0   |
| 3056       | MR GL CON ETF   | 0  | \$ 0   |
| 3057       | X DBCSI300HEAL  | 0  | \$ 0   |
| 3060       | VALUE TW ETF    | 0  | \$ 0   |
| 3061       | X DBCSI300BANK  | 0  | \$ 0   |
| 3062       | X DBCSI300MAT   | 0  | \$ 0   |
| 3063       | X DBCSI300TRANS | 0  | \$ 0   |
| 3065       | DBMSCISG        | 0  | \$ 0   |
| 3070       | PING AN HKDIV   | 0  | \$ 0   |
| 3073       | SPDR GREATCHINA | 0  | \$ 0   |
| 3074       | ISHARESMSCITW   | 0  | \$ 0   |
| 3081       | VALUEGOLD ETF   | 0  | \$ 0   |
| 3082       | DBMSCIMALAY     | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3084       | VALUE JAPAN ETF | 0  | \$ 0   |
| 3085       | VGFTSEASIAJHDY  | 0  | \$ 0   |
| 3086       | BMO NASDAQ100   | 0  | \$ 0   |
| 3087       | X DBFTSEVIET    | 0  | \$ 0   |
| 3092       | DBMSCITHAI      | 0  | \$ 0   |
| 3095       | VALUE A SHARE   | 0  | \$ 0   |
| 3097       | F MR OIL ETF    | 0  | \$ 0   |
| 3099       | X DBMSCIINDO    | 0  | \$ 0   |
| 3100       | EFUND CSI100    | 0  | \$ 0   |
| 3101       | VG FTSE DEV EUR | 0  | \$ 0   |
| 3102       | XIE GARY ETF    | 0  | \$ 0   |
| 3105       | X DBMSCIBANGLA  | 0  | \$ 0   |
| 3106       | X DBMSCIPAKIST  | 0  | \$ 0   |
| 3107       | C-SHS CSI STA   | 0  | \$ 0   |
| 3110       | MR HS HDIVY ETF | 0  | \$ 0   |
| 3115       | ISHARESHSI      | 0  | \$ 0   |
| 3116       | XIE GOLD MINERS | 0  | \$ 0   |
| 3118       | HGI MSCI CN A   | 0  | \$ 0   |
| 3120       | EFUND CES 120   | 0  | \$ 0   |
| 3121       | BMO AP REAL EST | 0  | \$ 0   |
| 3122       | CSOP CNSTBOND   | 0  | \$ 0   |
| 3124       | F SAM HSIF      | 0  | \$ 0   |
| 3126       | VG FTSE JAPAN   | 0  | \$ 0   |
| 3127       | MR CSI300 ETF   | 0  | \$ 0   |
| 3128       | HS AINDTOPETF   | 0  | \$ 0   |
| 3129       | CSOP 300SMART   | 0  | \$ 0   |
| 3132       | C-SHS CSI HLC   | 0  | \$ 0   |
| 3134       | F SAM HSIFRF    | 0  | \$ 0   |
| 3135       | F CSOP OIL ETF  | 0  | \$ 0   |
| 3136       | HGI MSCIA50     | 0  | \$ 0   |
| 3137       | CSOP CES A80    | 0  | \$ 0   |
| 3140       | VG S&P 500      | 0  | \$ 0   |
| 3141       | BMO ASIA IGBOND | 0  | \$ 0   |
| 3143       | BMO HK BANKS    | 0  | \$ 0   |
| 3145       | BMO ASIA HIGHDV | 0  | \$ 0   |
| 3146       | ISHARESDAX      | 0  | \$ 0   |
| 3147       | CSOP CHINEXT    | 0  | \$ 0   |
| 3149       | CSOP MSCI A I   | 0  | \$ 0   |
| 3150       | HGI CSI500      | 0  | \$ 0   |
| 3153       | MR A HEALTH ETF | 0  | \$ 0   |
| 3155       | ISHARESES50     | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3156       | GFI MSCI A I    | 0  | \$ 0   |
| 3157       | CAM SCSMALLCAP  | 0  | \$ 0   |
| 3160       | BMO JAPAN HDG   | 0  | \$ 0   |
| 3161       | XIE CHIMER ETF  | 0  | \$ 0   |
| 3162       | ISHARESMSCIAI   | 0  | \$ 0   |
| 3165       | BMO EU QLTY HDG | 0  | \$ 0   |
| 3167       | CSOP NEW CHINA  | 0  | \$ 0   |
| 3170       | ISHARESXS200    | 0  | \$ 0   |
| 3171       | COMSTAGE DAX    | 0  | \$ 0   |
| 3175       | F SAMSUNG OIL   | 0  | \$ 0   |
| 3177       | COMSTAGE DIVDAX | 0  | \$ 0   |
| 3180       | CAM CES A80     | 0  | \$ 0   |
| 3188       | CAM CSI300      | 35,330,970                                     | \$ 1,418,538,446                             |
| 3199       | CSOP 5YCVBOND   | 0  | \$ 0   |
| 3301       | RONSHINECHINA   | 2,006,000                                      | \$ 12,196,480                                |
| 3308       | GOLDEN EAGLE    | 32,455,157                                     | \$ 382,970,853                               |
| 3311       | CHINA STATE CON | 52,259,004                                     | \$ 742,077,857                               |
| 3315       | GOLDPAC GROUP   | 805,000  | \$ 2,117,150                                 |
| 3323       | CNBM            | 148,654,996                                    | \$ 810,169,728                               |
| 3328       | BANKCOMM        | 82,188,567                                     | \$ 500,528,373                               |
| 3331       | VINDA INT'L     | 0  | \$ 0   |
| 3333       | EVERGRANDE      | 492,222,508                                    | \$ 3,263,435,228                             |
| 3336       | JU TENG INTL    | 2,016,000                                      | \$ 6,431,040                                 |
| 3337       | ANTON OILFIELD  | 17,078,000                                     | \$ 16,224,100                                |
| 3339       | LONKING         | 29,922,400                                     | \$ 70,916,088                                |
| 3360       | FE HORIZON      | 29,960,190                                     | \$ 223,802,619                               |
| 3368       | PARKSON GROUP   | 47,339,318                                     | \$ 46,392,532                                |
| 3369       | QHD PORT        | 1,480,000                                      | \$ 3,048,800                                 |
| 3377       | SINO-OCEAN GP   | 24,728,392                                     | \$ 102,622,827                               |
| 3378       | XIAMEN PORT     | 3,106,000                                      | \$ 5,249,140                                 |
| 3380       | LOGAN PPT       | 15,822,953                                     | \$ 61,551,287                                |
| 3382       | TIANJINPORT DEV | 2,420,000                                      | \$ 3,242,800                                 |
| 3383       | AGILE GROUP     | 94,651,718                                     | \$ 686,224,956                               |
| 3389       | HENGDELI        | 17,510,976                                     | \$ 20,487,842                                |
| 3393       | WASION GROUP    | 19,352,889                                     | \$ 77,992,143                                |
| 3396       | LEGENDHOLDING   | 1,254,510                                      | \$ 25,592,004                                |
| 3606       | FUYAO GLASS     | 29,810,629                                     | \$ 819,792,298                               |
| 3618       | CQRC BANK       | 43,646,665                                     | \$ 232,636,724                               |
| 3633       | ZHONGYU GAS     | 0  | \$ 0   |
| 3636       | POLY CULTURE    | 121,900  | \$ 2,296,596                                 |
| 3639       | YIDA CHINA      | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3669       | YONGDA AUTO     | 2,805,000                                      | \$ 18,793,500                                |
| 3709       | KORADIOR        | 1,271,000                                      | \$ 11,566,100                                |
| 3788       | CHINA HANKING   | 1,198,000                                      | \$ 1,545,420                                 |
| 3799       | DALI FOODS      | 69,049,000                                     | \$ 312,101,480                               |
| 3800       | GCL-POLY ENERGY | 2,099,863,572                                  | \$ 2,162,859,479                             |
| 3808       | SINOTRUK        | 31,081,400                                     | \$ 183,380,260                               |
| 3813       | POU SHENG INT'L | 63,024,575                                     | \$ 117,855,955                               |
| 3818       | CHINA DONGXIANG | 49,143,865                                     | \$ 75,190,113                                |
| 3836       | HARMONY AUTO    | 22,168,500                                     | \$ 71,382,570                                |
| 3866       | BQD             | 0  | \$ 0   |
| 3883       | CHINA AOYUAN    | 3,815,292                                      | \$ 9,156,701                                 |
| 3886       | TOWN HEALTH     | 64,916,702                                     | \$ 80,496,710                                |
| 3888       | KINGSOFT        | 60,078,955                                     | \$ 1,252,646,212                             |
| 3898       | CRRC TIMES ELEC | 32,017,259                                     | \$ 1,383,145,589                             |
| 3899       | CIMC ENRIC      | 28,434,132                                     | \$ 147,573,145                               |
| 3900       | GREENTOWN CHINA | 59,763,756                                     | \$ 530,702,153                               |
| 3908       | CICC            | 6,306,196                                      | \$ 74,539,237                                |
| 3918       | NAGACORP        | 4,890,246                                      | \$ 21,859,400                                |
| 3933       | UNITED LAB      | 137,422,582                                    | \$ 757,198,427                               |
| 3948       | YITAI COAL      | 0  | \$ 0   |
| 3958       | DFZQ            | 2,336,000                                      | \$ 18,150,720                                |
| 3966       | CH BAOFENG INTL | 0  | \$ 0   |
| 3968       | CM BANK         | 150,518,190                                    | \$ 3,175,933,809                             |
| 3969       | CHINA CRSC      | 3,382,030                                      | \$ 21,509,711                                |
| 3983       | CHINA BLUECHEM  | 36,086,430                                     | \$ 85,885,703                                |
| 3988       | BANK OF CHINA   | 240,914,018                                    | \$ 944,382,951                               |
| 3989       | CAPITAL ENV     | 16,230,429                                     | \$ 3,732,999                                 |
| 3993       | CMOC            | 148,731,547                                    | \$ 404,549,808                               |
| 3996       | CH ENERGY ENG   | 0  | \$ 0   |
| 3998       | BOSIDENG        | 4,484,000                                      | \$ 3,004,280                                 |
| 4332       | AMGEN-T         | 0  | \$ 0   |
| 4333       | CISCO-T         | 0  | \$ 0   |
| 4335       | INTEL-T         | 0  | \$ 0   |
| 4336       | APPL MATERIAL-T | 0  | \$ 0   |
| 4337       | STARBUCKS-T     | 0  | \$ 0   |
| 4338       | MICROSOFT-T     | 0  | \$ 0   |
| 4362       | ISHARES KOR-TR  | 0  | \$ 0   |
| 4363       | ISHARES TWN-TR  | 0  | \$ 0   |
| 6030       | CITIC SEC       | 93,262,453                                     | \$ 1,546,291,471                             |
| 6136       | KANGDA ENV      | 5,219,000                                      | \$ 11,116,470                                |
| 6138       | HARBIN BANK     | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 6139       | JINMAO HOTEL-SS | 0  | \$ 0   |
| 6166       | CHINA VAST      | 0  | \$ 0   |
| 6178       | EB SECURITIES   | 369,000  | \$ 4,479,660                                 |
| 6196       | BANKOFZHENGZHOU | 0  | \$ 0   |
| 6198       | QINGDAO PORT    | 0  | \$ 0   |
| 6808       | SUNART RETAIL   | 149,952,643                                    | \$ 1,099,152,873                             |
| 6818       | CEB BANK        | 120,033,835                                    | \$ 469,332,295                               |
| 6823       | HKT-SS          | 17,173,428                                     | \$ 172,764,686                               |
| 6826       | HAOHAI BIOTEC   | 0  | \$ 0   |
| 6828       | BG BLUE SKY     | 48,416,000                                     | \$ 28,081,280                                |
| 6837       | HAITONG SEC     | 82,243,847                                     | \$ 1,141,544,596                             |
| 6839       | YUNNAN WATER    | 235,000  | \$ 951,750                                   |
| 6863       | HUISHAN DAIRY   | 443,348,985                                    | \$ 186,206,574                               |
| 6869       | YOFC            | 548,500  | \$ 9,785,240                                 |
| 6878       | DIFFER GROUP    | 1,480,000                                      | \$ 1,450,400                                 |
| 6881       | CGS             | 105,215,518                                    | \$ 783,855,609                               |
| 6886       | HTSC            | 30,612,621                                     | \$ 475,720,130                               |
| 6889       | DYNAM JAPAN     | 193,800  | \$ 2,534,904                                 |
| 6899       | OURGAME         | 1,025,000                                      | \$ 3,403,000                                 |
| 7200       | FL2 CSOP HSI    | 0  | \$ 0   |
| 7202       | FL2CSOP NIFTY50 | 0  | \$ 0   |
| 7205       | FL2SAMSUNGHSI   | 0  | \$ 0   |
| 7210       | XL2 XIE CHIMER  | 0  | \$ 0   |
| 7221       | FL2CAMDXNHSI    | 0  | \$ 0   |
| 7222       | XL2 MR S&P500   | 0  | \$ 0   |
| 7225       | FL2 MR TOPIX    | 0  | \$ 0   |
| 7228       | FL2SAMSUNGHSCEI | 0  | \$ 0   |
| 7230       | FL2 MR HSCEI    | 0  | \$ 0   |
| 7231       | FL2 MR HSI      | 0  | \$ 0   |
| 7250       | FL2 SAMSUNGK200 | 0  | \$ 0   |
| 7255       | FL2SAMSUNGTOPIX | 0  | \$ 0   |
| 7261       | FL2CAMDXNNDQ100 | 0  | \$ 0   |
| 7267       | FL2CAMDXNHSCEI  | 0  | \$ 0   |
| 7288       | FL2 CSOP HSCEI  | 0  | \$ 0   |
| 7300       | FI CSOP HSI     | 0  | \$ 0   |
| 7302       | FI EFUND YT HSI | 0  | \$ 0   |
| 7311       | FI SAMSUNGTOPIX | 0  | \$ 0   |
| 7312       | FISAMSUNGHSI    | 0  | \$ 0   |
| 7315       | FI MR TOPIX     | 0  | \$ 0   |
| 7321       | FICAMDXNHSI     | 0  | \$ 0   |
| 7322       | XI MR S&P500    | 0  | \$ 0   |



## Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 7326       | FI SAMSUNGK200   | 0  | \$ 0   |
| 7328       | FISAMSUNGHSCEI   | 0  | \$ 0   |
| 7331       | FICAMDXNNDQ100   | 0  | \$ 0   |
| 7335       | FICSOP NIFTY50   | 0  | \$ 0   |
| 7336       | FI MR HSI        | 0  | \$ 0   |
| 7341       | FICAMDXNHSCEI    | 0  | \$ 0   |
| 7362       | FI MR HSCEI      | 0  | \$ 0   |
| 7388       | FI CSOP HSCEI    | 0  | \$ 0   |
| 8005       | YUXING INFOTECH  | 3,231,733                                      | \$ 4,718,330                                 |
| 8008       | SUNEVISION       | 0  | \$ 0   |
| 8032       | VIVA CHINA       | 8,536,000                                      | \$ 7,853,120                                 |
| 8058       | LUOXIN PHARMA    | 0  | \$ 0   |
| 8078       | HMV DIGIT CHINA  | 5,220,000                                      | \$ 1,748,700                                 |
| 8083       | INNOVATIONPAY    | 35,196,146                                     | \$ 19,357,880                                |
| 8127       | SEASON PACIFIC   | 0  | \$ 0   |
| 8137       | HONBRIDGE        | 1,876,000                                      | \$ 2,007,320                                 |
| 8138       | TONGRENTANGCM    | 0  | \$ 0   |
| 8158       | CRMI             | 22,195,000                                     | \$ 5,992,650                                 |
| 8193       | GC PRO SER       | 0  | \$ 0   |
| 8207       | CREDIT CHINA     | 88,004,000                                     | \$ 91,524,160                                |
| 8237       | LINK HOLDINGS    | 0  | \$ 0   |
| 8265       | POWERWELL PAC    | 0  | \$ 0   |
| 8279       | AGTECH HOLDINGS  | 30,352,000                                     | \$ 47,349,120                                |
| 8325       | CHINA SMARTPAY   | 6,920,000                                      | \$ 9,618,800                                 |
| 9010       | ISHARES AXJ-U    | 0  | \$ 0   |
| 9074       | ISHARESMSCITW-U  | 0  | \$ 0   |
| 9115       | ISHARESHSI-U     | 0  | \$ 0   |
| 9146       | ISHARESDAX-U     | 0  | \$ 0   |
| 9155       | ISHARESES50-U    | 0  | \$ 0   |
| 9170       | ISHARES KKS200-U | 0  | \$ 0   |
| 9834       | ISHARESND100-U   | 0  | \$ 0   |
| 9836       | ISHARES INDIA-U  | 0  | \$ 0   |
| 9846       | ISHARESCSI300-U  | 0  | \$ 0   |
| 9847       | ISHARESFS100-U   | 0  | \$ 0   |
| 80737      | HOPEWELL INFR-R  | 0  | \$ 0   |
| 82808      | EFUND CG BOND-R  | 0  | \$ 0   |
| 82811      | HT CSI300 ETF-R  | 0  | \$ 0   |
| 82822      | CSOP A50 ETF-R   | 0  | \$ 0   |
| 82828      | HS H ETF-R       | 0  | \$ 0   |
| 82832      | BOSERA FA50-R    | 0  | \$ 0   |
| 82833      | HS HSI ETF-R     | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 24/03/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 82834      | ISHARESND100-R  | 0  | \$ 0   |
| 82836      | ISHARES INDIA-R | 0  | \$ 0   |
| 82843      | AMUNDI A50-R    | 0  | \$ 0   |
| 82846      | ISHARESCSI300-R | 0  | \$ 0   |
| 82847      | ISHARESFS100-R  | 0  | \$ 0   |
| 83008      | C-SHS CSI 300-R | 0  | \$ 0   |
| 83010      | ISHARES AXJ-R   | 0  | \$ 0   |
| 83012      | AMUNDI HK35-R   | 0  | \$ 0   |
| 83074      | ISHARESMSCITW-R | 0  | \$ 0   |
| 83081      | VALUEGOLD ETF-R | 0  | \$ 0   |
| 83095      | VALUE A SHARE-R | 0  | \$ 0   |
| 83100      | EFUND CSI100-R  | 0  | \$ 0   |
| 83107      | C-SHS CSI STA-R | 0  | \$ 0   |
| 83115      | ISHARESHSI-R    | 0  | \$ 0   |
| 83118      | HGI MSCI CN A-R | 0  | \$ 0   |
| 83120      | EFUND CES 120-R | 0  | \$ 0   |
| 83122      | CSOP CNSTBOND-R | 0  | \$ 0   |
| 83127      | MR CSI300 ETF-R | 0  | \$ 0   |
| 83128      | HS AINDTOPETF-R | 0  | \$ 0   |
| 83129      | CSOP 300SMART-R | 0  | \$ 0   |
| 83132      | C-SHS CSI HLC-R | 0  | \$ 0   |
| 83136      | HGI MSCIA50-R   | 0  | \$ 0   |
| 83137      | CSOP CES A80-R  | 0  | \$ 0   |
| 83146      | ISHARESDAX-R    | 0  | \$ 0   |
| 83147      | CSOP CHINEXT-R  | 0  | \$ 0   |
| 83149      | CSOP MSCI A I-R | 0  | \$ 0   |
| 83150      | HGI CSI500-R    | 0  | \$ 0   |
| 83155      | ISHARESES50-R   | 0  | \$ 0   |
| 83156      | GFI MSCI A I-R  | 0  | \$ 0   |
| 83162      | ISHAREMSCIAI-R  | 0  | \$ 0   |
| 83168      | HS RMB GOLD ETF | 0  | \$ 0   |
| 83170      | ISHARESXS200-R  | 0  | \$ 0   |
| 83180      | CAM CES A80-R   | 0  | \$ 0   |
| 83188      | CAM CSI300-R    | 0  | \$ 0   |
| 83199      | CSOP 5YCGBOND-R | 0  | \$ 0   |
| 87001      | HUI XIAN REIT   | 0  | \$ 0   |