



## Aggregated Reportable Short Positions of Specified Shares as of 09/12/2016

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,011,686	\$ 1,302,386,214
2	CLP HOLDINGS	25,830,519	\$ 1,923,082,140
3	HK & CHINA GAS	309,581,025	\$ 4,377,475,694
4	WHARF HOLDINGS	73,289,539	\$ 4,082,227,322
5	HSBC HOLDINGS	20,226,472	\$ 1,329,890,534
6	POWER ASSETS	10,730,759	\$ 774,224,262
11	HANG SENG BANK	13,406,454	\$ 1,984,155,192
12	HENDERSON LAND	11,567,158	\$ 495,652,720
16	SHK PPT	17,136,917	\$ 1,802,803,668
17	NEW WORLD DEV	90,197,887	\$ 791,937,448
19	SWIRE PACIFIC A	10,135,240	\$ 782,440,528
23	BANK OF E ASIA	85,777,230	\$ 2,809,204,283
27	GALAXY ENT	53,836,503	\$ 1,884,277,605
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
64	GET NICE	6,528,000	\$ 1,795,200
66	MTR CORPORATION	47,731,033	\$ 1,856,737,184
83	SINO LAND	25,775,975	\$ 313,951,376
86	SUN HUNG KAI CO	2,333,426	\$ 11,107,108
101	HANG LUNG PPT	54,933,741	\$ 970,129,866
109	GOODRESOURCES	21,877,500	\$ 10,829,363
133	CHINA MERCHANTS	90,000	\$ 1,042,200
135	KUNLUN ENERGY	190,651,850	\$ 1,113,406,804
144	CHINA MER PORT	24,995,549	\$ 499,411,069
151	WANT WANT CHINA	915,749,257	\$ 4,551,273,807
165	CHINA EB LTD	12,642,792	\$ 194,193,285
168	TSINGTAO BREW	39,498,679	\$ 1,222,484,115
218	SHENWANHONGYUAN	7,030,000	\$ 27,346,700
227	FIRST SHANGHAI	512,000	\$ 629,760
267	CITIC	113,795,774	\$ 1,349,617,880
273	MASON FIN	19,360,000	\$ 3,446,080
293	CATHAY PAC AIR	151,158,514	\$ 1,575,071,716
373	ALLIED GROUP	0	\$ 0
378	FDG KINETIC	1,844,000	\$ 2,415,640
386	SINOPEC CORP	67,850,713	\$ 377,249,964
388	HKEX	40,752,300	\$ 7,852,968,210
390	CHINA RAILWAY	158,915,025	\$ 1,063,141,517
416	BANKOFJINZHOU	1,087,000	\$ 8,924,270
440	DAH SING	1,850,236	\$ 99,172,650
489	DONGFENG GROUP	58,488,989	\$ 471,421,251
494	LI & FUNG	636,125,197	\$ 2,181,909,426



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530	GOLDIN FIN HOLD	0	\$ 0
622	ENERCHINA HOLD	19,611,000	\$ 6,275,520
665	HAITONG INT'L	80,392,523	\$ 389,099,811
688	CHINA OVERSEAS	40,205,061	\$ 904,613,873
700	TENCENT	129,371,252	\$ 24,347,669,626
717	EMPEROR CAPITAL	7,248,000	\$ 5,218,560
721	C FIN INT INV	11,900,000	\$ 5,355,000
728	CHINA TELECOM	65,329,057	\$ 246,290,545
753	AIR CHINA	57,846,408	\$ 300,801,322
762	CHINA UNICOM	240,074,863	\$ 2,328,726,171
806	VALUE PARTNERS	58,287,041	\$ 388,191,693
823	LINK REIT	37,374,069	\$ 2,025,674,540
836	CHINA RES POWER	35,463,045	\$ 447,543,628
857	PETROCHINA	61,490,258	\$ 334,507,004
883	CNOOC	207,916,003	\$ 2,133,218,191
902	HUANENG POWER	290,560,804	\$ 1,446,992,804
914	ANHUI CONCH	207,005,299	\$ 4,740,421,347
916	CHINA LONGYUAN	64,783,913	\$ 409,434,330
931	CHINA LNG	542,115,937	\$ 101,917,796
939	CCB	356,311,067	\$ 2,095,109,074
941	CHINA MOBILE	27,189,959	\$ 2,273,080,572
966	CHINA TAIPING	30,990,187	\$ 537,989,646
992	LENOVO GROUP	705,958,391	\$ 3,374,481,109
998	CITIC BANK	141,764,088	\$ 731,502,694
1031	KINGSTON FIN	96,830,973	\$ 350,528,122
1038	CKI HOLDINGS	13,659,970	\$ 889,264,047
1044	HENGAN INT'L	58,911,777	\$ 3,587,727,219
1088	CHINA SHENHUA	131,661,026	\$ 2,125,008,960
1099	SINOPHARM	15,640,684	\$ 531,001,222
1109	CHINA RES LAND	24,954,702	\$ 474,638,432
1111	CHONG HING BANK	0	\$ 0
1113	CK PROPERTY	10,337,309	\$ 547,360,512
1141	SKYWAY SEC	44,650,000	\$ 8,215,600
1186	CHINA RAIL CONS	194,280,027	\$ 2,047,711,485
1211	BYD COMPANY	129,807,071	\$ 5,646,607,589
1288	ABC	519,968,315	\$ 1,710,695,756
1299	AIA	40,972,447	\$ 1,790,495,934
1336	NCI	26,599,866	\$ 1,046,704,727
1339	PICC GROUP	93,612,757	\$ 305,177,588
1359	CHINA CINDA	163,967,837	\$ 447,632,195
1375	CC SECURITIES	8,753,640	\$ 39,653,989



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1398	ICBC	168,744,068	\$ 818,408,730
1456	GUOLIAN SEC	160,000	\$ 672,000
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	39,144,000	\$ 72,024,960
1578	BANK OF TIANJIN	0	\$ 0
1658	PSBC	31,983,016	\$ 136,247,648
1766	CRRC	397,493,431	\$ 2,973,250,864
1776	GF SEC	66,087,306	\$ 1,175,032,301
1788	GUOTAI JUNAN I	99,166,021	\$ 261,798,295
1800	CHINA COMM CONS	143,080,297	\$ 1,293,445,885
1816	CGN POWER	726,449,434	\$ 1,678,098,193
1880	BELLE INT'L	109,856,107	\$ 510,830,898
1928	SANDS CHINA LTD	61,286,154	\$ 2,117,436,621
1963	BCQ	0	\$ 0
1988	MINSHENG BANK	456,738,084	\$ 4,110,642,756
2016	CZBANK	0	\$ 0
2018	AAC TECH	42,876,711	\$ 2,924,191,690
2066	SHENGJINGBANK	0	\$ 0
2202	CHINA VANKE	56,632,046	\$ 1,214,757,387
2318	PING AN	857,605,755	\$ 36,276,723,437
2319	MENGNU DAIRY	92,904,724	\$ 1,490,191,773
2328	PICC P&C	11,101,504	\$ 144,985,642
2333	GREATWALL MOTOR	346,233,824	\$ 2,655,613,430
2356	DAHSING BANKING	999,597	\$ 14,794,036
2378	PRU	0	\$ 0
2388	BOC HONG KONG	60,834,140	\$ 1,785,482,009
2601	CPIC	19,312,841	\$ 582,282,156
2628	CHINA LIFE	254,249,707	\$ 5,644,343,495
2666	UNI MEDICAL	10,630,995	\$ 75,480,065
2799	CHINA HUARONG	226,939,792	\$ 667,202,988
2888	STANCHART	6,356,500	\$ 412,219,025
3328	BANKCOMM	128,513,021	\$ 778,788,907
3360	FE HORIZON	36,927,986	\$ 261,080,861
3618	CQRC BANK	44,580,098	\$ 218,442,480
3866	BQD	0	\$ 0
3898	CRRC TIMES ELEC	34,588,940	\$ 1,445,817,692
3908	CICC	8,676,959	\$ 95,446,549
3968	CM BANK	139,888,129	\$ 2,747,402,854
3988	BANK OF CHINA	168,394,203	\$ 606,219,131
6030	CITIC SEC	113,307,319	\$ 1,942,087,448
6138	HARBIN BANK	807,000	\$ 1,872,240



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6818	CEB BANK	71,997,711	\$ 266,391,531
6837	HAITONG SEC	119,890,007	\$ 1,726,416,101
6878	DIFFER GROUP	1,092,000	\$ 622,440
6881	CGS	140,152,829	\$ 1,070,767,614
6886	HTSC	30,037,739	\$ 504,634,015
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	53,024,000	\$ 40,298,240