



## Aggregated Reportable Short Positions of Specified Shares as of 03/10/2014

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CHEUNG KONG	6,530,005	\$ 836,493,641
2	CLP HOLDINGS	33,488,956	\$ 2,096,408,646
3	HK & CHINA GAS	128,863,939	\$ 2,175,223,290
4	WHARF HOLDINGS	42,946,165	\$ 2,377,070,233
5	HSBC HOLDINGS	33,137,748	\$ 2,606,283,880
6	POWER ASSETS	8,560,689	\$ 594,111,817
11	HANG SENG BANK	17,596,471	\$ 2,215,395,699
12	HENDERSON LAND	35,052,974	\$ 1,770,175,187
13	HUTCHISON	14,170,149	\$ 1,353,249,230
16	SHK PPT	27,203,929	\$ 2,957,067,082
17	NEW WORLD DEV	52,227,831	\$ 467,439,087
19	SWIRE PACIFIC A	4,946,948	\$ 492,468,673
23	BANK OF E ASIA	33,371,218	\$ 1,051,193,367
27	GALAXY ENT	62,108,328	\$ 2,816,612,675
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
66	MTR CORPORATION	10,543,079	\$ 327,889,757
83	SINO LAND	34,952,693	\$ 422,927,585
86	SUN HUNG KAI CO	10,164,000	\$ 56,511,840
101	HANG LUNG PPT	36,525,003	\$ 825,465,068
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	107,408,025	\$ 1,190,080,917
144	CHINA MER HOLD	30,995,316	\$ 734,588,989
151	WANT WANT CHINA	628,274,727	\$ 6,044,002,874
165	CHINA EB LTD	9,962,699	\$ 143,462,866
168	TSINGTAO BREW	28,884,915	\$ 1,616,110,994
171	SILVER GRANT	0	\$ 0
267	CITIC	256,069,572	\$ 3,318,661,653
291	CHINA RESOURCES	50,133,475	\$ 942,509,330
293	CATHAY PAC AIR	36,359,059	\$ 522,843,268
302	WING HANG BANK	110,500	\$ 13,458,900
322	TINGYI	34,553,540	\$ 710,075,247
358	JIANGXI COPPER	72,353,654	\$ 915,997,260
373	ALLIED GROUP	0	\$ 0
386	SINOPEC CORP	308,602,543	\$ 2,064,551,013
388	HKEX	15,533,586	\$ 2,639,156,261
390	CHINA RAILWAY	194,983,425	\$ 799,432,043
412	HERITAGE INT'L	0	\$ 0
440	DAH SING	0	\$ 0
489	DONGFENG GROUP	51,622,222	\$ 656,634,664
494	LI & FUNG	478,018,891	\$ 4,240,027,563



## Aggregated Reportable Short Positions of Specified Shares as of 03/10/2014

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
530	GOLDIN FIN HOLD	0	\$ 0
626	PUBLIC FIN HOLD	0	\$ 0
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	12,336,102	\$ 55,142,376
688	CHINA OVERSEAS	149,740,796	\$ 3,174,504,875
700	TENCENT	178,371,596	\$ 20,691,105,136
721	C FIN INT INV	0	\$ 0
728	CHINA TELECOM	297,864,840	\$ 1,423,793,935
753	AIR CHINA	55,463,815	\$ 275,655,161
762	CHINA UNICOM	133,885,608	\$ 1,547,717,628
806	VALUE PARTNERS	0	\$ 0
836	CHINA RES POWER	18,637,063	\$ 396,969,442
857	PETROCHINA	253,116,304	\$ 2,531,163,040
883	CNOOC	83,209,805	\$ 1,093,376,838
902	HUANENG POWER	363,494,223	\$ 3,107,875,607
914	ANHUI CONCH	297,915,464	\$ 7,462,782,373
916	CHINA LONGYUAN	79,946,181	\$ 600,395,819
931	CHINA LNG	0	\$ 0
939	CCB	442,096,482	\$ 2,413,846,792
941	CHINA MOBILE	58,033,937	\$ 5,388,451,050
966	CHINA TAIPING	3,084,946	\$ 52,382,383
992	LENOVO GROUP	594,131,230	\$ 6,951,335,391
998	CITIC BANK	321,091,213	\$ 1,515,550,525
1031	KINGSTON FIN	0	\$ 0
1044	HENGAN INT'L	20,532,114	\$ 1,583,025,989
1066	WEIGAO GROUP	64,732,474	\$ 480,962,282
1088	CHINA SHENHUA	215,307,121	\$ 4,704,460,594
1099	SINOPHARM	62,844,484	\$ 1,813,063,363
1109	CHINA RES LAND	61,649,899	\$ 1,035,718,303
1111	CHONG HING BANK	0	\$ 0
1171	YANZHOU COAL	307,400,806	\$ 1,976,587,183
1199	COSCO PACIFIC	23,184,788	\$ 243,903,970
1211	BYD COMPANY	70,479,225	\$ 3,566,248,785
1288	ABC	489,354,770	\$ 1,688,273,957
1299	AIA	60,828,691	\$ 2,506,142,069
1336	NCI	6,128,119	\$ 165,765,619
1339	PICC GROUP	60,624,766	\$ 194,605,499
1359	CHINA CINDA	234,036,132	\$ 814,445,739
1398	ICBC	893,225,272	\$ 4,350,007,075
1788	GUOTAI JUNAN I	6,599,231	\$ 34,909,932
1800	CHINA COMM CONS	141,679,526	\$ 793,405,346



Aggregated Reportable Short Positions of Specified Shares as of 03/10/2014

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1880	BELLE INT'L	241,517,471	\$ 2,106,032,347
1898	CHINA COAL	717,178,875	\$ 3,342,053,558
1928	SANDS CHINA LTD	62,240,052	\$ 2,495,826,085
1963	BCQ	546,100	\$ 2,839,720
1988	MINSHENG BANK	865,495,043	\$ 6,205,599,458
2238	GAC GROUP	72,396,910	\$ 550,216,516
2318	PING AN	431,828,638	\$ 25,456,298,210
2319	MENGNU DAIRY	58,228,726	\$ 1,860,407,796
2328	PICC P&C	100,289,435	\$ 1,438,150,498
2333	GREATWALL MOTOR	110,099,773	\$ 3,264,458,269
2338	WEICHAJ POWER	78,759,789	\$ 2,201,336,103
2356	DAHSING BANKING	972,956	\$ 13,679,761
2378	PRU	0	\$ 0
2388	BOC HONG KONG	105,612,396	\$ 2,608,626,181
2601	CPIC	154,074,540	\$ 4,237,049,850
2628	CHINA LIFE	182,057,850	\$ 3,941,552,453
2883	CHINA OILFIELD	79,029,173	\$ 1,588,486,377
2888	STANCHART	5,246,341	\$ 739,734,081
3323	CNBM	390,240,563	\$ 2,770,707,997
3328	BANKCOMM	106,613,426	\$ 582,109,306
3360	FE HORIZON	28,963,508	\$ 199,848,205
3618	CQRC BANK	103,885,962	\$ 366,717,446
3698	HUIZHANG BANK	10,485,596	\$ 35,651,026
3968	CM BANK	199,492,738	\$ 2,685,172,253
3988	BANK OF CHINA	709,706,644	\$ 2,476,876,188
6030	CITIC SEC	99,139,656	\$ 1,802,358,946
6138	HARBIN BANK	0	\$ 0
6818	CEB BANK	61,222,464	\$ 221,625,320
6837	HAITONG SEC	32,039,759	\$ 387,681,084
6881	CGS	16,218,500	\$ 87,417,715
8207	CREDIT CHINA	0	\$ 0